

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
**Budget Overview**



**Fiscal Year 2020**  
**Congressional Justification**

---

## Table of Contents

<i>United States Citizenship and Immigration Services</i> .....	1
<i>Appropriation Organization Structure</i> .....	3
<b>Strategic Context</b> .....	4
<b>Budget Comparison and Adjustments</b> .....	8
<b>Personnel Compensation and Benefits</b> .....	13
<b>Non Pay Budget Exhibits</b> .....	14
<b>Supplemental Budget Justification Exhibits</b> .....	16

**United States Citizenship and Immigration Services**

*Appropriation Organization Structure*

<b>Organization Name</b>	<b>Level</b>	<b>Fund Type (* Includes Defense Funding)</b>
<b>United States Citizenship and Immigration Services</b>	<b>Component</b>	
<b>Operations and Support</b>	<b>Appropriation</b>	
Employment Status Verification	PPA	Discretionary - Appropriation
<b>Procurement, Construction, and Improvements</b>	<b>Appropriation</b>	Discretionary - Appropriation
Verification Modernization (VER)	Investment,PPA Level II	Discretionary - Appropriation
<b>Immigration Examinations Fee Account</b>	<b>Appropriation</b>	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum, Refugee and International Operations	PPA	Mandatory - Fee
Records Operations	PPA	Mandatory - Fee
Premium Processing (Including Transformation)	PPA	Mandatory - Fee
Information and Applicant Services	PPA	Mandatory - Fee
Administration	PPA	Mandatory - Fee
Systematic Alien Verification for Entitlements (SAVE)	PPA	Mandatory - Fee
<b>H-1B Nonimmigrant Petitioner Account</b>	<b>Appropriation</b>	
Service Center Operations	PPA	Mandatory - Fee
<b>Fraud Prevention and Detection Account</b>	<b>Appropriation</b>	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum, Refugee and International Operations	PPA	Mandatory - Fee

**United States Citizenship and Immigration Services  
Strategic Context**

**Component Overview**

The strategic context presents the performance budget by tying together strategy, budget resource requests, programs, or PPAs, and performance measures that gauge the delivery of results to our stakeholders. The Common Appropriation Structure (CAS) allows DHS to integrate the strategic programmatic view with our budget view of resources. With this structure, a significant portion of the Level 1 PPAs represent what DHS refers to as our mission programs. A mission program is a group of activities acting together to accomplish a specific high-level outcome external to DHS and includes operational processes, skills, technology, human capital, and other resources. USCIS’s mission programs are presented below. Performance measures associated with our mission programs are presented in two measure sets, strategic and management measures. Strategic measures communicate results delivered for our agency goals by our mission programs and are considered our Government Performance and Results Act Modernization Act of 2010 (GPRAMA) measures. Additional management measures are displayed to provide a more thorough context of expected mission program performance for the Component related to its budgetary plans. Measure tables that do not display previous year’s results are because the measure did not exist at that time.

**Employment Status Verification:** The electronic employment eligibility verification E-Verify program enables enrolled employers to quickly and easily confirm the work authorization of their newly hired employees. E-Verify is an Internet-based system that compares information from an employee's Form I-9, Employment Eligibility Verification, to data available to U.S. Department of Homeland Security to confirm employment eligibility within seconds.

*Strategic Measure*

<b>Measure:</b> Percent of workers determined to be "Employment Authorized" after an initial mismatch						
<b>Description:</b> This measure assesses the accuracy of the E-verify process by assessing the percent of employment verification requests that are not positively resolved at time of initial review.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	<=0.90%	<=0.80%	<=0.70%	<=0.60%	<=0.50%	<=0.40%
<b>Result:</b>	0.17%	0.16%	.15%	0.16%	TBD	TBD

**Fraud Prevention and Detection Account:** The Fraud Prevention and Detection Account supports activities related to preventing and detecting immigration benefit fraud. The program leads efforts to identify threats to national security and public safety, deter, detect and combat immigration benefit fraud, and remove systemic and other vulnerabilities.

*Strategic Measure*

<b>Measure:</b> Percent of applications for citizenship and immigration benefits not approved following a potential finding of fraud						
<b>Description:</b> This measure reflects the agency's capacity to prevent fraud, abuse, and exploitation of the immigration system, and address systemic vulnerabilities that threaten its integrity. By not approving (denial, abandonment, withdrawal, etc.) benefits to individuals potentially attempting to commit fraud and who were not eligible for a waiver or exemptions, USCIS is actively eliminating vulnerabilities, and identifying ways to continue to deter and prevent fraud in the future. As a result, those instances where benefits are approved should be very low.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	---	90.0%	90.0%	90.0%	90.0%	90.0%
<b>Result:</b>	---	91.3%	91.7%	92.2%	TBD	TBD

**Immigration Examinations Fee Account:** The Immigration Examinations Fee Account (IEFA) is the primary funding source for USCIS. Fees collected from immigration benefit applications and petitions are deposited into IEFA. They fund the cost of adjudicating immigration benefit requests, including those provided without charge to refugee, asylum, and certain other immigrant applicants.

*Strategic Measures*

<b>Measure:</b> Average of processing cycle time (in months) for adjustment of status to permanent resident applications (I-485)						
<b>Description:</b> An I-485, Application to Register for Permanent Residence or Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications and petitions by Center or Field Office.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0
<b>Result:</b>	6.4	6.9	9.3	11.5	TBD	TBD

<b>Measure:</b> Average of processing cycle time (in months) for naturalization applications (N-400)						
<b>Description:</b> An N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications by Center or Field Office.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0
<b>Result:</b>	5.0	5.8	8.6	10.4	TBD	TBD

<b>Measure:</b> Percent of respondents satisfied with the citizenship and immigration-related support received from the U.S. Citizenship and Immigration Services Contact Center						
<b>Description:</b> This measure gauges the overall respondent rating of the support received from the U.S. Citizenship and Immigration Services Contact Center Contact Center, a nationwide call center. Based on accuracy of information; responsiveness to respondent inquiries; accessibility to information; and respondent satisfaction.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	85%	85%	85%	85%	85%	85%
<b>Result:</b>	88%	85%	84%	83%	TBD	TBD

<b>Measure:</b> Percent of students enrolled in classes under the Citizenship and Integration Grant Program that show educational gains						
<b>Description:</b> This measure reports on the success of grant recipients to increase knowledge of English necessary for students receiving services under the program to pass the naturalization test. Under the Citizenship and Integration Grant Program, grant recipients are required to use a nationally normed standardized test of English language proficiency for student placement and assessment of progress. This measure evaluates the percentage of students receiving these services who demonstrate an increase in score						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	80%	80%	80%	80.0%	80.0%	80.0%
<b>Result:</b>	75%	75%	75%	80%	TBD	TBD

*Management Measures*

<b>Measure:</b> Accuracy rate of USCIS's processing of manual verifications for Systematic Alien Verification for Entitlements (SAVE) referrals						
<b>Description:</b> The measure tracks the accuracy of SAVE manual verifications using a quality review which is a monthly review of verification work performed by Status Verifiers (SV) to determine whether SAVE referrals are resolved correctly. Specifically, they determine whether the response provided to by USCIS reflects the immigration status on record for persons seeking benefits from other governmental agencies using the SAVE program.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	98.4%	98.6%	98.8%	99.0%	99.0%	99.0%
<b>Result:</b>	99.1%	99.3%	99.6%	99.0%	TBD	TBD

<b>Measure:</b> Average online Citizenship Resource Center respondent satisfaction rate						
<b>Description:</b> This measure tracks how well respondents are satisfied with the Citizenship Resource Center (CRC). The CRC is a free and easy-to-use website that provides citizenship applicants, educators and immigrant-serving organizations with a one-stop portal for citizenship information and resources.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	85%	85%	85%	85%	85%	85%
<b>Result:</b>	84%	85%	84%	86%	TBD	TBD

<b>Measure:</b> Percent of approved applications for naturalization that were appropriately decided						
<b>Description:</b> A N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final decisions on approved N-400 applications. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the N-400 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	99%	99%	99%	99%	99%	99%
<b>Result:</b>	99%	99%	99%	99%	TBD	TBD

<b>Measure:</b> Percent of approved applications for permanent residence that were appropriately decided						
<b>Description:</b> An I-485, Application to Register for Permanent Residence or to Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final approved decisions. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the I-485 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
<b>Fiscal Year:</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Target:</b>	99%	99%	99%	99%	99%	99%
<b>Result:</b>	99%	99%	99%	99%	TBD	TBD

**United States Citizenship and Immigration Services  
Budget Comparison and Adjustments**

**Budget Comparison with FY 2019 Annualized CR**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 Annualized CR</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>
<b>Operations and Support</b>	<b>\$108,856</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Employment Status Verification	\$108,856	\$108,856	\$109,081	\$121,586
<b>Procurement, Construction, and Improvements</b>	<b>\$22,657</b>	<b>\$22,657</b>	<b>\$22,838</b>	<b>-</b>
Verification Modernization (VER)	\$22,657	\$22,657	\$22,838	-
<b>Immigration Examinations Fee Account</b>	<b>\$4,268,339</b>	<b>\$4,268,339</b>	<b>\$4,525,350</b>	<b>\$4,655,366</b>
District Operations	\$1,805,941	\$1,805,941	\$1,938,508	\$1,934,033
Service Center Operations	\$687,491	\$687,491	\$767,263	\$746,687
Asylum, Refugee and International Operations	\$345,100	\$345,100	\$356,206	\$349,295
Records Operations	\$137,526	\$137,526	\$150,941	\$155,150
Premium Processing (Including Transformation)	\$642,746	\$642,746	\$643,423	\$658,190
Information and Applicant Services	\$108,146	\$108,146	\$112,493	\$125,335
Administration	\$504,615	\$504,615	\$519,002	\$651,808
Systematic Alien Verification for Entitlements (SAVE)	\$36,774	\$36,774	\$37,514	\$34,868
<b>H-1B Nonimmigrant Petitioner Account</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
Service Center Operations	\$15,000	\$15,000	\$15,000	\$15,000
<b>Fraud Prevention and Detection Account</b>	<b>\$67,187</b>	<b>\$67,187</b>	<b>\$47,301</b>	<b>\$48,458</b>
District Operations	\$45,101	\$45,101	\$27,178	\$27,773
Service Center Operations	\$21,778	\$21,778	\$19,815	\$20,377
Asylum, Refugee and International Operations	\$308	\$308	\$308	\$308
<b>Total</b>	<b>\$4,482,039</b>	<b>\$4,482,039</b>	<b>\$4,719,570</b>	<b>\$4,840,410</b>



## United States Citizenship and Immigration Services Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	419	398	\$108,856	419	398	\$109,081	419	398	\$121,586	-	-	\$12,505
Procurement, Construction, and Improvements	-	-	\$22,657	-	-	\$22,838	-	-	-	-	-	(\$22,838)
Immigration Examinations Fee Account	17,592	16,713	\$4,268,339	18,866	17,924	\$4,525,350	19,794	18,806	\$4,655,366	928	882	\$130,016
H-1B Nonimmigrant Petitioner Account	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Fraud Prevention and Detection Account	185	185	\$67,187	185	176	\$47,301	185	176	\$48,458	-	-	\$1,157
<b>Total</b>	<b>18,196</b>	<b>17,296</b>	<b>\$4,482,039</b>	<b>19,470</b>	<b>18,498</b>	<b>\$4,719,570</b>	<b>20,398</b>	<b>19,380</b>	<b>\$4,840,410</b>	<b>928</b>	<b>882</b>	<b>\$120,840</b>
Subtotal Discretionary - Appropriation	419	398	\$131,513	419	398	\$131,919	419	398	\$121,586	-	-	(\$10,333)
Subtotal Mandatory - Fee	17,777	16,898	\$4,350,526	19,051	18,100	\$4,587,651	19,979	18,982	\$4,718,824	928	882	\$131,173

### Component Budget Overview

The FY 2020 President's Budget includes \$121.6M in discretionary budget authority for the United States Citizenship and Immigration Services (USCIS). This represents a reduction of \$10.3M below the FY 2019 President's Budget.

The FY 2020 President's Budget estimates \$4.7B in new mandatory budget authority from collections in the Immigration Examinations Fee Account (IEFA), the H-1B Nonimmigrant Petitioner Account, and the Fraud Prevention and Detection Account (FPDA). This represents an increase of \$131.2M over the FY 2019 President's Budget estimate.

The funding enables USCIS to fully meet its mission requirements, including the following:

- Strengthen and effectively administer the immigration system;
- Strengthen National security safeguards and combat fraud;
- Reinforce quality and consistency in administering immigration benefits.

The FY 2020 President's Budget includes discretionary funding for ongoing mission operations and support of the Verification Modernization (VER MOD) program. The VER MOD program anticipates declaring Full Operational Capability by the end of FY 2019, which will shift funds from PC&I to O&S for on-going operations and maintenance through a series of agile software releases to improve the system's scalability and accuracy and fund ancillary program support functions.

**United States Citizenship and Immigration Services**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request</b>	<b>\$4,482,039</b>	<b>\$4,719,570</b>	<b>\$4,840,410</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$1,363,138	\$1,365,114	\$1,408,271
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$9,116	\$9,939	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$5,854,293</b>	<b>\$6,094,623</b>	<b>\$6,248,681</b>
Collections – Reimbursable Resources	\$41,058	\$40,819	\$46,000
<b>Total Budget Resources</b>	<b>\$5,895,351</b>	<b>\$6,135,442</b>	<b>\$6,294,681</b>
Obligations (Actual/Estimates/Projections)	\$4,159,051	\$4,727,816	\$4,840,410
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	18,196	19,470	20,398
Enacted/Request FTE	17,296	18,498	19,380
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	17,830	19,470	20,398
FTE (Actual/Estimates/Projections)	17,003	18,498	19,380

**United States Citizenship and Immigration Services  
Collections - Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>		FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
<b>Department of Defense - Department of Defense</b>	<b>Source</b>	-	-	\$7,500	-	-	\$3,000	-	-	\$3,000	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7,500	-	-	\$3,000	-	-	\$3,000	-	-	-
District Operations	Location	-	-	\$7,500	-	-	\$3,000	-	-	\$3,000	-	-	-
<b>Department of Health and Human Services - Department Wide</b>	<b>Source</b>	-	-	-	-	-	-	-	-	\$250	-	-	\$250
Immigration Examinations Fee Account	Location	-	-	-	-	-	-	-	-	\$250	-	-	\$250
District Operations	Location	-	-	-	-	-	-	-	-	\$250	-	-	\$250
<b>Department of Homeland Security - Department of Homeland Security</b>	<b>Source</b>	-	-	-	-	-	-	-	-	\$1,500	-	-	\$1,500
Immigration Examinations Fee Account	Location	-	-	-	-	-	-	-	-	\$1,500	-	-	\$1,500
District Operations	Location	-	-	-	-	-	-	-	-	\$1,500	-	-	\$1,500
<b>Department of Homeland Security - Federal Emergency Management Agency</b>	<b>Source</b>	-	-	-	-	-	-	-	-	\$5,000	-	-	\$5,000
Immigration Examinations Fee Account	Location	-	-	-	-	-	-	-	-	\$5,000	-	-	\$5,000
District Operations	Location	-	-	-	-	-	-	-	-	\$5,000	-	-	\$5,000
<b>Department of Homeland Security - U.S. Immigration and Customs Enforcement</b>	<b>Source</b>	-	-	\$12,046	-	-	\$12,046	-	-	\$12,500	-	-	\$454
Immigration Examinations Fee Account	Location	-	-	\$12,046	-	-	\$12,046	-	-	\$12,500	-	-	\$454
District Operations	Location	-	-	\$9,637	-	-	\$12,046	-	-	\$12,500	-	-	\$454
Records Operations	Location	-	-	\$2,409	-	-	-	-	-	-	-	-	-
<b>Independent Agency - Social Security Administration</b>	<b>Source</b>	-	-	\$7	-	-	\$7	-	-	-	-	-	(\$7)
Immigration Examinations Fee Account	Location	-	-	\$7	-	-	\$7	-	-	-	-	-	(\$7)
District Operations	Location	-	-	-	-	-	\$7	-	-	-	-	-	(\$7)
Records Operations	Location	-	-	\$7	-	-	-	-	-	-	-	-	-
<b>Department of Homeland Security - U.S. Customs and Border Protection</b>	<b>Source</b>	-	-	\$5,914	-	-	\$5,914	-	-	\$6,000	-	-	\$86
Immigration Examinations Fee Account	Location	-	-	\$5,914	-	-	\$5,914	-	-	\$6,000	-	-	\$86
District Operations	Location	-	-	\$4,731	-	-	\$5,914	-	-	\$6,000	-	-	\$86

Department of Homeland Security

United States Citizenship and Immigration Services

Collections <i>(Dollars in Thousands)</i>		FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Records Operations	Location	-	-	\$1,183	-	-	-	-	-	-	-	-	-
<b>Department of Justice - Department of Justice</b>	<b>Source</b>	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
District Operations	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
<b>SAVE Collections</b>	<b>Source</b>	-	-	\$7,000	-	-	\$11,261	-	-	\$9,000	-	-	(\$2,261)
Immigration Examinations Fee Account	Location	-	-	\$7,000	-	-	\$11,261	-	-	\$9,000	-	-	(\$2,261)
Systematic Alien Verification for Entitlements (SAVE)	Location	-	-	\$7,000	-	-	\$11,261	-	-	\$9,000	-	-	(\$2,261)
<b>Canada/UK Visa</b>	<b>Source</b>	-	-	\$8,341	-	-	\$8,341	-	-	\$8,500	-	-	\$159
Immigration Examinations Fee Account	Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,500	-	-	\$159
District Operations	Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,500	-	-	\$159
<b>Total Collections</b>		-	-	<b>\$41,058</b>	-	-	<b>\$40,819</b>	-	-	<b>\$46,000</b>	-	-	<b>\$5,181</b>

**United States Citizenship and Immigration Services  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05
Immigration Examinations Fee Account	17,592	16,713	\$2,096,804	\$125.4	18,866	17,924	\$2,266,693	\$126.41	19,794	18,806	\$2,284,549	\$121.42	928	882	\$17,856	(\$4.99)
Fraud Prevention and Detection Account	185	185	\$24,027	\$129.88	185	176	\$24,141	\$137.16	185	176	\$24,231	\$137.68	-	-	\$90	\$0.52
<b>Total</b>	<b>18,196</b>	<b>17,296</b>	<b>\$2,168,273</b>	<b>\$125.31</b>	<b>19,470</b>	<b>18,498</b>	<b>\$2,338,501</b>	<b>\$126.37</b>	<b>20,398</b>	<b>19,380</b>	<b>\$2,357,266</b>	<b>\$121.58</b>	<b>928</b>	<b>882</b>	<b>\$18,765</b>	<b>(\$4.79)</b>
Discretionary - Appropriation	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05
Mandatory - Fee	17,777	16,898	\$2,120,831	\$125.45	19,051	18,100	\$2,290,834	\$126.51	19,979	18,982	\$2,308,780	\$121.58	928	882	\$17,946	(\$4.93)

\* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$1,507,191	\$1,627,023	\$1,610,364	(\$16,659)
11.3 Other than Full-Time Permanent	\$13,307	\$14,101	\$14,348	\$247
11.5 Other Personnel Compensation	\$123,334	\$131,120	\$136,819	\$5,699
12.1 Civilian Personnel Benefits	\$523,488	\$565,270	\$594,697	\$29,427
13.0 Benefits for Former Personnel	\$953	\$987	\$1,038	\$51
<b>Total - Personnel Compensation and Benefits</b>	<b>\$2,168,273</b>	<b>\$2,338,501</b>	<b>\$2,357,266</b>	<b>\$18,765</b>
<b>Positions and FTE</b>				
Positions - Civilian	18,196	19,470	20,398	928
FTE - Civilian	17,296	18,498	19,380	882

**United States Citizenship and Immigration Services  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Operations and Support	\$61,414	\$61,414	\$73,100	\$11,686
Procurement, Construction, and Improvements	\$22,657	\$22,838	-	(\$22,838)
Immigration Examinations Fee Account	\$2,171,535	\$2,258,657	\$2,370,817	\$112,160
H-1B Nonimmigrant Petitioner Account	\$15,000	\$15,000	\$15,000	-
Fraud Prevention and Detection Account	\$43,160	\$23,160	\$24,227	\$1,067
<b>Total</b>	<b>\$2,313,766</b>	<b>\$2,381,069</b>	<b>\$2,483,144</b>	<b>\$102,075</b>
Discretionary - Appropriation	\$84,071	\$84,252	\$73,100	(\$11,152)
Mandatory - Fee	\$2,229,695	\$2,296,817	\$2,410,044	\$113,227

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$35,997	\$35,918	\$32,124	(\$3,794)
22.0 Transportation of Things	\$15,055	\$15,160	\$18,184	\$3,024
23.1 Rental Payments to GSA	\$248,394	\$262,681	\$297,687	\$35,006
23.2 Rental Payments to Others	\$4,840	\$5,165	\$5,817	\$652
23.3 Communications, Utilities, and Misc. Charges	\$103,534	\$110,269	\$118,158	\$7,889
24.0 Printing and Reproduction	\$10,388	\$11,019	\$11,682	\$663
25.1 Advisory and Assistance Services	\$958,066	\$978,430	\$977,181	(\$1,249)
25.2 Other Services from Non-Federal Sources	\$244,622	\$255,842	\$250,500	(\$5,342)
25.3 Other Goods and Services from Federal Sources	\$305,225	\$318,135	\$385,086	\$66,951
25.4 Operation and Maintenance of Facilities	\$749	\$793	\$893	\$100
25.7 Operation and Maintenance of Equipment	\$149,785	\$152,867	\$153,740	\$873
26.0 Supplies and Materials	\$34,342	\$37,363	\$39,878	\$2,515
31.0 Equipment	\$135,961	\$138,310	\$142,206	\$3,896
32.0 Land and Structures	\$34,895	\$35,002	\$37,480	\$2,478
41.0 Grants, Subsidies, and Contributions	\$29,823	\$22,000	\$10,000	(\$12,000)
42.0 Insurance Claims and Indemnities	\$2,090	\$2,115	\$2,528	\$413
<b>Total - Non Pay Object Classes</b>	<b>\$2,313,766</b>	<b>\$2,381,069</b>	<b>\$2,483,144</b>	<b>\$102,075</b>

**United States Citizenship and Immigration Services  
Supplemental Budget Justification Exhibits**

**Working Capital Fund**

<b>Appropriation and PPA</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>
<b>Operations and Support</b>	<b>\$4,980</b>	<b>\$1,211</b>	<b>\$3,352</b>
Employment Status Verification	\$4,980	\$1,211	\$3,352
<b>Immigration Examinations Fee Account</b>	<b>\$22,210</b>	<b>\$13,621</b>	<b>\$11,964</b>
District Operations	\$4,063	\$2,492	\$2,189
Service Center Operations	\$6,054	\$3,713	\$3,261
Asylum, Refugee and International Operations	\$5,927	\$3,635	\$3,193
Information and Applicant Services	\$2,689	\$1,649	\$1,448
Administration	\$3,125	\$1,916	\$1,683
Systematic Alien Verification for Entitlements (SAVE)	\$352	\$216	\$190
<b>Total Working Capital Fund</b>	<b>\$27,190</b>	<b>\$14,832</b>	<b>\$15,316</b>



**United States Citizenship and Immigration Services  
Status of Congressionally Requested Studies, Reports and Evaluations**

<b>Fiscal Year</b>	<b>Due Date</b>	<b>Reference/Citation</b>	<b>Requirement</b>	<b>Status</b>
2018	4/23/2018	House Report 115-239	In addition, USCIS continues to have the authority to accept private donations to support this program. The Committee directs USCIS to provide an update on its planned use of this authority not later than 30 days after the date of enactment of this Act.	Completed
2018	5/22/2018	House Report 115-239	The Committee directs DHS, not later than 60 days after the date of enactment of this Act, to brief the Committee on changes to the vetting process for benefit programs, as directed by the Executive Order, Protecting the Nation from Foreign Terrorist Entry into the United States.	Completed
2018	7/20/2018	Joint Explanatory Statement, Page 74 Consolidated Appropriations Act 2018,	The Department, in consultation with the Department of Labor, is directed to review options for addressing the problem of unavailability of H-2B visas for employers that need workers to start work late in a semiannual period of availability and to report to the Committees on these options not later than 120 days after the date of enactment of this Act	In Progress
2018	7/30/2018	House Report 115-239	As directed in House Report 114–668, USCIS shall continue to brief the Committee on its framework for prioritizing Immigration Benefit Fraud Assessments, to include how USCIS currently applies fraud prevention and detection techniques.	Completed
2018	None	House Report 115-239	A recent GAO report on the Systematic Alien Verification for Entitlements (SAVE) system (GAO–17–204) found that, while the system accurately reported the status of benefit applicants 99 percent of the time, the majority of user agencies did not complete additional steps to verify an applicant’s immigration status when prompted by the system to do so. In addition, the report found that USCIS’s mechanisms for allowing benefit applicants to correct inaccurate personal information was inadequate, and concluded that the SAVE Monitoring and Compliance Branch lacks a documented, risk-based strategy for monitoring user agencies. The Committee expects USCIS to provide regular updates on the status of complying with the report’s nine recommendations.	Completed
2018	None	Joint Explanatory Statement, Page 74 Consolidated Appropriations Act, 2018	USCIS is directed to brief the Committees on specific actions, if any, that the agency is taking to reduce the backlog of asylum applications while ensuring that asylum applicants are properly reviewed for eligibility and for security purposes.	Completed

**United States Citizenship and Immigration Services  
Authorized/Unauthorized Appropriations**

Budget Activity <i>(Dollars in Thousands)</i>	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2020 President's Budget
	Fiscal Year	Amount	Amount	Amount
<b>Operations and Support</b>	N/A	\$631,745	\$707,395	\$121,586
Employment Status Verification	2002	\$631,745	\$707,395	\$121,586
<b>Procurement, Construction, and Improvements</b>	N/A	\$631,745	\$707,395	\$0
Employment Status Verification-Verification Modernization Investment	2002	\$631,745	\$707,395	\$0
<b>Total Direct Authorization/Appropriation</b>	N/A	\$631,745	\$707,395	\$121,586
<b>Fee Accounts</b>	N/A	Such sums as are available	Such sums as are available	Such sums as are available
Immigration Examinations Fee	1988	Such sums as are available	Such sums as are available	Such sums as are available
H-1B Non-immigrant Petitioner	1998	Such sums as are available	Such sums as are available	Such sums as are available
Fraud Prevention and Detection	2004	Such sums as are available	Such sums as are available	Such sums as are available

**United States Citizenship and Immigration Services  
Proposed Legislative Language**

**Operations and Support**

For necessary expenses of United States Citizenship and Immigration Services for operations and support of the E-Verify Program, ~~[\$109,081,000]~~*\$121,586,000*.

Language Provision	Explanation
<del>...</del> <i>...[\$109,081,000]</i> <i>\$121,586,000</i>	An increase of \$12.505M above the FY 2019 request to support the full operational capability of the Verification Modernization effort. Developmental activity in the PC&I account will reduce to \$0 in FY 2020 and beyond as a result of the completion of this effort. This funding supports the ongoing maintenance and support of the fully modernized system.

**Procurement, Construction, and Improvements**

[For necessary expenses of United States Citizenship and Immigration Services for the E-Verify Program for procurement, construction, and improvements, ~~[\$22,838,000].~~]

Language Provision	Explanation
[For necessary expenses of United States Citizenship and Immigration Services for the E-Verify Program for procurement, construction, and improvements, <del>[\$22,838,000].</del> ]	A reduction of \$22.838M below the FY 2019 request due to the expected achievement of Full Operating Capability in FY 2020. No funding is requested in FY 2019.

**Immigration Examination Fee Account**

Sec408. *Of the funds deposited into the Immigration Examinations Fee Account, up to \$25,000,000 may be allocated by United States Citizenship and Immigration Services through fiscal year 2022 for construction of a training facility at a location under the administrative control of the Federal Law Enforcement Training Centers.*

Language Provision	Explanation
<p><b>Immigration Examinations Fee Account</b></p> <p>Sec. 408. Of the funds deposited into the Immigration Examinations Fee Account, up to \$25,000,000 may be allocated by United States Citizenship and Immigration Services through fiscal year 2022 for construction of a training facility at a location under the administrative control of the Federal Law Enforcement Training Centers.</p>	<p>This provision provides USCIS with the ability to transfer funding to FLETC to construct a new building to better meet its growing training needs. USCIS has been experiencing increasing levels of application and petition receipts and a growing backlog, which require a significant increase in the number of immigration service officer positions. Having access to sufficient training space and accommodations to quickly train these individuals is critical. USCIS and FLETC have assessed USCIS’ long-term training requirements and conclude that the best approach is to construct a new two (2) story 48,000 square foot building on the FLETC, Charleston, South Carolina campus. The new training facility will consolidate training for immigration service officers, and Fraud Detection and National Security (FDNS) and Asylum/Refugee Officers in one location. The new facility will allow staff and instructors from these three disciplines to interact more frequently, share best practices and leverage resources across programs, thus leading to an improved product for all three programs and for the students.</p> <p>Section 408 provides the Federal Law Enforcement Training Center (FLETC) the authority to accept funds for such a purpose. However, USCIS’s IEFA funding is not available for construction without specific statutory language. 41 USC 6303 places a specific limit on the authority of Federal entities to expend funds on construction projects: “A contract to erect, repair, or furnish a public building, or to make any public improvement, shall not be made on terms requiring the Federal Government to pay more than the amount specifically appropriated for the activity covered by the contract.” While USCIS is using funds drawn from IEFA for USCIS Headquarters Consolidation; that project is a lease through the General Services Administration, and Congressional authorization was provided through the Congressional prospectus process under 40 USC 3307.</p>

Sec. 409. Provided further, That of the funds deposited into the “Immigration Examinations Fee Account’ established under section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)), not to exceed \$10,000 may be allocated by the Director of U.S. Citizenship and Immigration Services in fiscal year 2020 for official reception and representation expenses.

Language Provision	Explanation
<b>Immigration Examinations Fee Account</b>	
Sec. 409. Provided further, That of the funds deposited into the “Immigration Examinations Fee Account” established under section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)), not to exceed \$10,000 may be allocated by the Director of U.S. Citizenship and Immigration Services in fiscal year 2020 for official reception and representation expenses.	Provides the Director of USCIS the ability to utilize IEFA resources in a limited capacity, for official reception and representation activities, in accordance with prior fiscal years.

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
*Operations and Support*



**Fiscal Year 2020**  
**Congressional Justification**

**Table of Contents**

*Operations and Support* ..... **1**

    Budget Comparison and Adjustments..... 3

    Personnel Compensation and Benefits..... 8

    Non Pay Budget Exhibits..... 10

    Employment Status Verification – PPA..... 11

        Budget Comparison and Adjustments ..... 11

        Personnel Compensation and Benefits..... 15

        Non Pay Budget Exhibits..... 17

## Operations and Support

### Budget Comparison and Adjustments

#### Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$108,856	419	398	\$109,081	419	398	\$121,586	-	-	\$12,505
<b>Total</b>	<b>419</b>	<b>398</b>	<b>\$108,856</b>	<b>419</b>	<b>398</b>	<b>\$109,081</b>	<b>419</b>	<b>398</b>	<b>\$121,586</b>	<b>-</b>	<b>-</b>	<b>\$12,505</b>
Subtotal Discretionary - Appropriation	419	398	\$108,856	419	398	\$109,081	419	398	\$121,586	-	-	\$12,505

The U.S. Citizenship and Immigration Services (USCIS) Operations and Support (O&S) appropriation provides funding for ongoing mission operations, mission support, and associated management and administration (M&A) costs for the E-Verify program.

The appropriation supports one Program, Project, and Activity (PPA):

**Employment Status Verification (ESV):** The ESV PPA provides funding for E-Verify, which is one part of USCIS's verification program. The other is the Systematic Alien Verification for Entitlements (SAVE) program, which is funded within USCIS's Immigration Examinations Fee Account (IEFA). The requirements for both programs are included in the Verification Modernization (VER MOD) acquisition program. Additionally, due to the similarities between E-Verify and SAVE, both programs use the Verification Information System (VIS) and secondary IT systems and services. The shared VIS costs are distributed between the two programs.



## Operations and Support Budget Authority and Obligations

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Obligations (Actual/Estimates/Projections)	\$108,443	\$109,081	\$121,586
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	305	419	419
FTE (Actual/Estimates/Projections)	324	398	398

## Operations and Support Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	419	398	\$108,856
<b>FY 2019 President's Budget</b>	419	398	\$109,081
<b>FY 2020 Base Budget</b>	419	398	\$109,081
FERS Agency Contribution	-	-	\$819
GSA Rent Enhancement	-	-	\$2,420
<b>Total, Pricing Increases</b>	-	-	\$3,239
<b>Total Adjustments-to-Base</b>	-	-	\$3,239
<b>FY 2020 Current Services</b>	419	398	\$112,320
Verification Modernization (VER) O&M for Full Operating Capability	-	-	\$9,266
<b>Total, Program Increases</b>	-	-	\$9,266
<b>FY 2020 Request</b>	419	398	\$121,586
<b>FY 2019 To FY 2020 Change</b>	-	-	\$12,505

## Operations and Support Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Pricing Change 1 - FERS Agency Contribution</b>	-	-	<b>\$819</b>
Employment Status Verification	-	-	\$819
<b>Pricing Change 2 - GSA Rent Enhancement</b>	-	-	<b>\$2,420</b>
Employment Status Verification	-	-	\$2,420
<b>Total Pricing Changes</b>	-	-	<b>\$3,239</b>

**Pricing Change 1 - FERS Agency Contribution:** Per OMB Circular A-11, agency FERS contributions will increase based on updated actuarial assumptions. The regular FERS agency contribution increased by 2.3% from 13.7% in FY 2019 to 16.0% in FY 2020. Accordingly, the budget includes an increase of \$819,000 for the FERS agency contribution increase.

**Pricing Change 2 - GSA Rent Enhancement:** GSA Rent Enhancements are the result of the agency incurring higher rent charges for existing space requirements. Total changes to GSA Rent expenses include new or updated lease terms, acquisition or release of calculated square footage. The O&S account will incur approximately \$2.4M in additional rent costs in FY 2020.

## Operations and Support Justification of Program Changes

Program Changes <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Program Change 1 - Verification Modernization (VER) O&amp;M for Full Operating Capability</b>	-	-	\$9,266
Employment Status Verification	-	-	\$9,266
<b>Total Program Changes</b>	-	-	\$9,266

### **Program Change 1 – VER Modernization (VER) O&M for Full Operating Capability:**

#### **Description**

An increase of \$9.3M is to support the Full Operating Capability (FOC) of the Verification Modernization program. The O&S base is \$109.1M.

#### **Justification**

The program anticipates declaring FOC before the end of FY 2019. Once the Verification Information System (VIS) is declared fully operational, it will still require on-going operations and maintenance through a series of agile software releases.

#### **Performance**

The operations and support funding is expected to sustain performance across USCIS' employment verification functions. Support will include improving the system's scalability and accuracy to support the E-Verify program, as well as telephony, Customer Relationship Management (CRM), records, and the myE-Verify application.

**Operations and Support  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05
<b>Total</b>	<b>419</b>	<b>398</b>	<b>\$47,442</b>	<b>\$119.2</b>	<b>419</b>	<b>398</b>	<b>\$47,667</b>	<b>\$119.77</b>	<b>419</b>	<b>398</b>	<b>\$48,486</b>	<b>\$121.82</b>	<b>-</b>	<b>-</b>	<b>\$819</b>	<b>\$2.05</b>
Discretionary - Appropriation	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05

\* The FTE rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel. This applies to all FTE rate calculations in this appropriation.

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$35,460	\$35,628	\$35,628	-
11.5 Other Personnel Compensation	\$625	\$628	\$628	-
12.1 Civilian Personnel Benefits	\$11,357	\$11,411	\$12,230	\$819
<b>Total - Personnel Compensation and Benefits</b>	<b>\$47,442</b>	<b>\$47,667</b>	<b>\$48,486</b>	<b>\$819</b>
<b>Positions and FTE</b>				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

## Operations and Support

### Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 to FY 2020 Change
GS-15	33	33	33	-
GS-14	114	114	114	-
GS-13	77	77	77	-
GS-12	44	44	44	-
GS-11	42	42	42	-
GS-10	1	1	1	-
GS-9	99	99	99	-
GS-8	1	1	1	-
GS-7	8	8	8	-
<b>Total Permanent Positions</b>	<b>419</b>	<b>419</b>	<b>419</b>	<b>-</b>
Unfilled Positions EOY	114	-	-	-
Total Perm. Employment (Filled Positions) EOY	305	419	419	-
<b>Position Locations</b>				
Headquarters	181	181	181	-
U.S. Field	238	238	238	-
<b>Averages</b>				
Average Personnel Costs, GS Positions	99,549	100,007	100,467	460
Average Grade, GS Positions	12	12	12	-

**Operations and Support  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Employment Status Verification	\$61,414	\$61,414	\$73,100	\$11,686
<b>Total</b>	<b>\$61,414</b>	<b>\$61,414</b>	<b>\$73,100</b>	<b>\$11,686</b>
Discretionary - Appropriation	\$61,414	\$61,414	\$73,100	\$11,686

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$133	\$135	\$157	\$22
22.0 Transportation of Things	\$6	\$6	\$7	\$1
23.1 Rental Payments to GSA	\$4,382	\$3,411	\$5,831	\$2,420
23.2 Rental Payments to Others	\$53	\$54	\$63	\$9
23.3 Communications, Utilities, and Misc. Charges	\$43	\$44	\$51	\$7
24.0 Printing and Reproduction	\$10	\$10	\$12	\$2
25.1 Advisory and Assistance Services	\$36,359	\$36,979	\$42,885	\$5,906
25.2 Other Services from Non-Federal Sources	\$710	\$722	\$837	\$115
25.3 Other Goods and Services from Federal Sources	\$10,038	\$10,209	\$11,840	\$1,631
25.7 Operation and Maintenance of Equipment	\$8,407	\$8,550	\$9,916	\$1,366
26.0 Supplies and Materials	\$71	\$72	\$84	\$12
31.0 Equipment	\$1,202	\$1,222	\$1,417	\$195
<b>Total - Non Pay Object Classes</b>	<b>\$61,414</b>	<b>\$61,414</b>	<b>\$73,100</b>	<b>\$11,686</b>

**Employment Status Verification – PPA****Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$108,856	419	398	\$109,081	419	398	\$121,586	-	-	\$12,505
<b>Total</b>	<b>419</b>	<b>398</b>	<b>\$108,856</b>	<b>419</b>	<b>398</b>	<b>\$109,081</b>	<b>419</b>	<b>398</b>	<b>\$121,586</b>	<b>-</b>	<b>-</b>	<b>\$12,505</b>
Subtotal Discretionary - Appropriation	419	398	\$108,856	419	398	\$109,081	419	398	\$121,586	-	-	\$12,505

**PPA Level I Description**

The Employment Status Verification PPA provides funds for the operations, mission support, and associated management and administration costs of E-Verify. E-Verify is an internet-based program that enables an employer to determine a newly-hired employee's eligibility to work in the United States by verifying information reported on an employee's Form I-9 against Department of Homeland Security, Social Security Administration, Department of State, and Departments of Motor Vehicles data from participating States. This PPA also funds USCIS's E-Verify Monitoring and Compliance Branch.

As of September 30, 2018, there were approximately 816,000 employers enrolled in E-Verify. Of those enrolled, approximately 262,000 ran more than 39 million queries during FY 2018. The program continues to grow by approximately 1,500 new employers per week.

The FY 2020 Budget Request will support the projected level of activity outlined in the table shown below.



Activity	FY 2018 Actuals <sup>1</sup>	FY 2019 Projection	FY 2020 Projection	Change from FYs 2019-2020
<b>E-Verify</b>				
E-Verify Cases	39,085,303	40,853,655	43,356,924	2,503,269
E-Verify cases requiring secondary review by USCIS staff <sup>2</sup>	323,195	269,634	286,156	16,522
E-Verify cases requiring additional review by USCIS staff <sup>3</sup>	50,990	67,409	71,540	4,131
Records and Information for DMVs for E-Verify Queries	4,123,941	24,908,700	26,447,724	1,539,024
Employers (Cumulative)	816,139	899,967	976,218	76,251
<b>E-Verify Monitoring &amp; Compliance Staff</b>	<b>FY 2018 Actuals</b>	<b>FY 2019 Projection</b>	<b>FY 2020 Projection</b>	<b>Change from FYs 2019-2020</b>
On-Board	59	72	72	-
Vacancies	13	-	-	-
Payroll Expenditures	\$6,068	\$8,197	\$8,353	\$156
<b>Monitoring &amp; Compliance</b>	<b>FY 2018 Actuals</b>	<b>FY 2019 Projection</b>	<b>FY 2020 Projection</b>	<b>Change from FYs 2019-2020</b>
Emails	108,975	124,000	141,000	17,000
Compliance Calls	625	2,842	3,500	658
Desk Reviews	81	75	90	15
Site Visits	30	40	40	-
Enhanced Compliance Assistance	15	35	50	15
Webinars	4	12	12	-
<b>Total Employer Actions</b>	<b>109,730</b>	<b>127,004</b>	<b>144,692</b>	<b>17,688</b>
<b>External Actions</b>	<b>FY 2018 Actuals</b>	<b>FY 2019 Projection</b>	<b>FY 2020 Projection</b>	<b>Change from FYs 2019-2020</b>
Referrals to Immigration and Customs Enforcement (Fraud)	9	14	16	2
Referrals to Department of Justice (Discrimination)	407	521	550	29
Law Enforcement Requests (LERs) - IER, ICE, Other Agencies	300	236	250	14
Referrals from DOJ (IMARR) <sup>4</sup>	10	15	20	5
Locked Social Security Numbers (SSNs) <sup>5</sup>	6,227	50	70	20
<b>Total External Activity</b>	<b>6,953</b>	<b>836</b>	<b>906</b>	<b>70</b>

<sup>1</sup> The FY 2018 actual numbers are through September 30, 2018. The FY 2019 and FY 2020 numbers are full-year projections.

<sup>2</sup> Second step cases are resolved manually by USCIS staff checking records without employer or employee involvement.

<sup>3</sup> Cases for additional review require the employer to refer the case and the employee to contact USCIS.

<sup>4</sup> Immigration and Employee Rights Misuse and Abuse Report Referrals.

<sup>5</sup> The FY 2018 level reflects a one-time locking of SSNs related to a State driver's license fraud scheme.

## Employment Status Verification – PPA Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2018	FY 2019	FY 2020
<b>Enacted/Request</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$108,856</b>	<b>\$109,081</b>	<b>\$121,586</b>
Obligations (Actual/Estimates/Projections)	\$108,443	\$109,081	\$121,586
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	305	419	419
FTE (Actual/Estimates/Projections)	324	398	398

**Employment Status Verification – PPA**  
**Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	419	398	\$108,856
<b>FY 2019 President's Budget</b>	419	398	\$109,081
<b>FY 2020 Base Budget</b>	419	398	\$109,081
FERS Agency Contribution	-	-	\$819
GSA Rent Enhancement	-	-	\$2,420
<b>Total, Pricing Increases</b>	-	-	\$3,239
<b>Total Adjustments-to-Base</b>	-	-	\$3,239
<b>FY 2020 Current Services</b>	419	398	\$112,320
Verification Modernization (VER) O&M for Full Operating Capability	-	-	\$9,266
<b>Total, Program Increases</b>	-	-	\$9,266
<b>FY 2020 Request</b>	419	398	\$121,586
<b>FY 2019 To FY 2020 Change</b>	-	-	\$12,505

**Employment Status Verification-PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05
<b>Total</b>	<b>419</b>	<b>398</b>	<b>\$47,442</b>	<b>\$119.2</b>	<b>419</b>	<b>398</b>	<b>\$47,667</b>	<b>\$119.77</b>	<b>419</b>	<b>398</b>	<b>\$48,486</b>	<b>\$121.82</b>	<b>-</b>	<b>-</b>	<b>\$819</b>	<b>\$2.05</b>
Discretionary - Appropriation	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	419	398	\$48,486	\$121.82	-	-	\$819	\$2.05

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$35,460	\$35,628	\$35,628	-
11.5 Other Personnel Compensation	\$625	\$628	\$628	-
12.1 Civilian Personnel Benefits	\$11,357	\$11,411	\$12,230	\$819
<b>Total - Personnel Compensation and Benefits</b>	<b>\$47,442</b>	<b>\$47,667</b>	<b>\$48,486</b>	<b>\$819</b>
<b>Positions and FTE</b>				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

**Pay Cost Drivers**

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Other Personnel	398	\$47,442	\$119.20	398	\$47,667	\$119.77	398	\$48,486	\$121.82	-	\$819	\$2.05
<b>Total – Pay Cost Drivers</b>	<b>398</b>	<b>\$47,442</b>	<b>\$119.20</b>	<b>398</b>	<b>\$47,667</b>	<b>\$119.77</b>	<b>398</b>	<b>\$48,486</b>	<b>\$121.82</b>	<b>-</b>	<b>\$819</b>	<b>\$2.05</b>

**Explanation of Pay Cost Driver**

**Other Personnel:** Funds for the personnel that support the operations, mission support, associated management, and administration of E-Verify.

**Employment Status Verification – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Employment Status Verification	\$61,414	\$61,414	\$73,100	\$11,686
<b>Total</b>	<b>\$61,414</b>	<b>\$61,414</b>	<b>\$73,100</b>	<b>\$11,686</b>
Discretionary - Appropriation	\$61,414	\$61,414	\$73,100	\$11,686

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$133	\$135	\$157	\$22
22.0 Transportation of Things	\$6	\$6	\$7	\$1
23.1 Rental Payments to GSA	\$4,382	\$3,411	\$5,831	\$2,420
23.2 Rental Payments to Others	\$53	\$54	\$63	\$9
23.3 Communications, Utilities, and Misc. Charges	\$43	\$44	\$51	\$7
24.0 Printing and Reproduction	\$10	\$10	\$12	\$2
25.1 Advisory and Assistance Services	\$36,359	\$36,979	\$42,885	\$5,906
25.2 Other Services from Non-Federal Sources	\$710	\$722	\$837	\$115
25.3 Other Goods and Services from Federal Sources	\$10,038	\$10,209	\$11,840	\$1,631
25.7 Operation and Maintenance of Equipment	\$8,407	\$8,550	\$9,916	\$1,366
26.0 Supplies and Materials	\$71	\$72	\$84	\$12
31.0 Equipment	\$1,202	\$1,222	\$1,417	\$195
<b>Total - Non Pay Object Classes</b>	<b>\$61,414</b>	<b>\$61,414</b>	<b>\$73,100</b>	<b>\$11,686</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>Dollars in Thousands</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
E-Verify Marketing	\$9,330	\$9,330	\$9,330	-
Rental Payments to General Services Administration (GSA)	\$4,382	\$3,411	\$5,831	\$2,420
Social Security Administration Reimbursements and Technology Enhancements	\$7,547	\$7,547	\$7,547	-
Verification Information System (VIS) Development and Operations (Formerly VIS O&M) - sustainment of system	\$12,893	\$12,232	\$27,873	\$15,641
Verification Modernization (VER MOD)	\$11,368	\$11,885	\$7,930	(\$3,955)
Other Costs	\$15,894	\$17,009	\$14,589	(\$2,420)
<b>Total – Non Pay Cost Drivers</b>	<b>\$61,414</b>	<b>\$61,414</b>	<b>\$73,100</b>	<b>\$11,686</b>

**Explanation of Non Pay Cost Drivers**

**E-Verify Marketing:** Includes costs associated with marketing the E-Verify program for a nationwide public education and outreach campaign.

**Rental Payments to General Services Administration (GSA):** The FY 2020 amount is based on projections developed by USCIS' Facilities Division, using information provided by GSA that reflects projected lease adjustments.

**Social Security Administration Reimbursements and Technology Enhancements:** Reimbursement to the Social Security Administration (SSA) for all E-Verify queries that cannot be resolved electronically. These costs are dependent upon query volume. These costs include technology enhancements supporting electronic query resolution through the SSA's E-Verify SSA Tentative Non-confirmation Automated Response system (EV-STAR). EV-STAR allows SSA personnel to query VIS for information.

**Verification Information System (VIS) Development and Operations Development and Operations (Formerly VIS O&M) - sustainment of system:** Cost includes day-to-day operations and application maintenance to fully maintain VIS, such as managing software configuration; conducting corrective, adaptive, or relevance maintenance; and maintaining VIS operational readiness, system administration activities, technical service support, database administration, and ad-hoc reporting. The increased spending in FY 2020 will support additional operational development functions to make agile system improvements to include patches, updates, and other agile releases that incorporate small operational changes to the system.

**Verification Modernization (VER MOD):** VER MOD Program efforts have increased the effectiveness and efficiency of VIS. Continuous operations and maintenance to VIS through a series of agile software releases will improve the systems scalability and accuracy to support the E-Verify and the Systematic Alien Verification for Entitlements (SAVE) programs, including support for telephony, Customer Relationship Management (CRM), records, and my E-Verify.

**Other Costs:** Funds the remaining costs for the general operating expenses, technical contract support, and associated management and administration of E-Verify. The decrease in Other Costs is a result of funding realignments pertaining to the E-Verify self-check and customer relationship management tools (within Verification Information System (VIS) Development and Operations (Formerly VIS O&M) - sustainment of system).

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
*Procurement, Construction, and Improvements*



**Fiscal Year 2020**  
**Congressional Justification**



**Table of Contents**

*Procurement, Construction, and Improvements* ..... **1**

    Budget Comparison and Adjustments ..... 3

    Non Pay Budget Exhibits ..... 7

    Capital Investments Exhibits ..... 8

*Verification Modernization (VER) – Investment* ..... 9

    Capital Investments Exhibits ..... 9

**Procurement, Construction, and Improvements  
Budget Comparison and Adjustments**

**Comparison of Budget Authority and Request**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Verification Modernization (VER)	\$22,657	\$22,838	-	(\$22,838)
<b>Total</b>	<b>\$22,657</b>	<b>\$22,838</b>	-	<b>(\$22,838)</b>
Discretionary - Appropriation	\$22,657	\$22,838	-	(\$22,838)

The U.S. Citizenship and Immigration Services (USCIS) Procurement, Construction, and Improvements (PC&I) appropriation funds the planning and acquisition costs for the E-Verify program. The appropriation includes one Program, Project, and Activity (PPA), Employment Status Verification (ESV).

E-Verify is one part of USCIS's verification program. The other is the Systematic Alien Verification for Entitlements (SAVE) program, which is resourced from mandatory fee funding within USCIS's Immigration Examinations Fee Account. The requirements for both programs are included in the Verification Modernization (VER MOD) investment. Due to the similarities between the E-Verify and SAVE programs, both use the Verification Information System (VIS) and related ancillary IT systems and services. The shared VIS costs are distributed between the two programs.

PC&I provides funding for the planning and acquisition requirements included in the VER MOD investment business case that are attributable to E-Verify. Funding for operations and maintenance requirements that are attributable to E-Verify is included in USCIS's Operations and Support appropriation.

**Procurement, Construction, and Improvements  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request</b>	\$22,657	\$22,838	-
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	\$20,586	\$16,754
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	\$22,657	\$43,424	\$16,754
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	\$22,657	\$43,424	\$16,754
Obligations (Actual/Estimates/Projections)	\$2,071	\$26,670	-
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

**Procurement, Construction, and Improvements**  
**Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	-	-	\$22,657
<b>FY 2019 President's Budget</b>	-	-	\$22,838
<b>FY 2020 Base Budget</b>	-	-	-
<b>FY 2020 Request</b>	-	-	-
<b>FY 2019 To FY 2020 Change</b>	-	-	(\$22,838)

**Program Change 1 –Program Reduction for Full Operation Capacity:**

**Description**

For the FY 2020 President’s Budget, USCIS is not requesting any PC&I funds.

**Justification**

In FY 2019, the VER MOD investment is projected to declare Full Operating Capability (FOC) and will not require funding to support developing, planning, and acquisition in the PC&I account. The investment will transition to operations and maintenance and all costs will be supported through the Operations and Support appropriation.

**Performance**

The reduction will not have any impact on USCIS operations or performance.

## Procurement, Construction, and Improvements

### Non Pay Budget Exhibits

#### Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 to FY 2020 Change
25.1 Advisory and Assistance Services	\$22,657	\$22,838	-	(\$22,838)
<b>Total - Non Pay Object Classes</b>	<b>\$22,657</b>	<b>\$22,838</b>	<b>-</b>	<b>(\$22,838)</b>

#### Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 to FY 2020 Total Changes
Verification Modernization Development	\$22,657	\$22,838	-	(\$22,838)
<b>Total – Non Pay Cost Drivers</b>	<b>\$22,657</b>	<b>\$22,838</b>	<b>-</b>	<b>(\$22,838)</b>

#### Explanation of Non Pay Cost Driver

**Verification Modernization Development:** The USCIS VER MOD Program development efforts have increased the effectiveness and efficiency of the VIS and associated applications. Continuous updates and enhancements to VIS, through a series of agile software releases, have improved the scalability and accuracy of the system that supports the E-Verify and SAVE programs. The VER MOD Program has delivered streamlined enrollment, case processing, and client account management functions. Subject to Departmental approval, the program anticipates declaring FOC no later than the end of FY 2019. Based on this streamlined approach and acquisition timeline, the VER MOD Program does not require additional PC&I funds in FY 2020.

**Procurement, Construction, and Improvements  
Capital Investments Exhibits**

**Capital Investments**

<b>Investment</b> <i>(Dollars in Thousands)</i>	<b>Unique Item Identifier</b>	<b>Acquisition Level</b>	<b>Procurement/ Construction</b>	<b>IT/Non-IT</b>	<b>MAOL</b>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$22,657	\$22,838	-

**Verification Modernization (VER) – Investment****Capital Investments Exhibits****Procurement/Acquisition Programs****Verification Modernization****Procurement, Construction, and Improvements Funding**

<b>Investment</b> <i>(Dollars in Thousands)</i>	<b>Unique Item Identifier</b>	<b>Acquisition Level</b>	<b>Procurement/ Construction</b>	<b>IT/Non-IT</b>	<b>MAOL</b>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$22,657	\$22,838	-

**Investment Description**

The VER MOD investment represents USCIS efforts to modernize the VIS and associated applications, which strengthens and improves the E-Verify and SAVE programs.

The E-Verify program allows participating employers to verify the employment eligibility of their newly hired employees. As of September 30, 2018, there were approximately 816,000 employers enrolled in E-Verify. Of those enrolled, approximately 262,000 employers ran more than 39 million queries during FY 2018. The program continues to grow by approximately 1,500 new employers per week.

The modernization streamlines and automates business processes, strengthens the technical infrastructure of VIS, and increases the capacity of E-Verify while reducing the risk of identity fraud, data inaccuracies, and system misuse.

**Justification**

For the FY 2020 President's Budget USCIS is not requesting any PC&I funds since the program is projected to declare FOC by the end of FY 2019.

The VER MOD program efforts have increased the effectiveness and efficiency of VIS. Continuous updates and enhancements to VIS through a series of agile software releases have improved the systems scalability and accuracy.

**FY 2018 Key Milestone Events**

- Upgraded the system architecture for E-Verify enrollments and case processing, and modernized reporting capabilities.
- Built modernized web Graphical User Interface and web services for E-Verify case processing, which improves data intake, prohibits non-compliant behaviors, and enables new paperless processes.



- Implemented micro service technology and modernized data matching algorithms to improve accuracy and reduce manual casework for verification cases.
- Launched E-Verify.gov. The new site is easier to find with its own URL and has improved customer usability and increased participation (i.e., web traffic).
- Continued to reduce identity fraud by:
  - Monitoring and locking Social Security numbers that appear to be fraudulent, verifying driver's license data from 10 states, and providing photo matching for Permanent Resident Cards, Employment Authorization Documents, and U.S. Passports and Passport Cards.
  - Developed a connection to National Law Enforcement Telecommunications System (NLETS) so E-Verify can have access to all 50 states' DMV data without needing to set up separate State agreements for technical connectivity and data access. This effort will help flag fraudulent or invalid driver's licenses and State issued identification cards. Currently E-Verify validates DMV data from 41 states and Puerto Rico/Washington DC through NLETS and is working to gain access to the remaining nine State DMVs.

**FY 2019 Planned Key Milestone Events**

- Launch an E-Verify Redesign pilot to complement the existing E-Verify process that will allow employees to create an electronic account to conduct their own employment verification and return a response to enrolled employers. The E-Verify Redesign will eliminate the need for work authorized employees to go through the E-Verify process multiple times with different employers, and will offer identity validation features for enrollment, which will help prevent fraud in E-Verify.
- Achieve FOC for the VER MOD investment by meeting all Key Performance Parameters (KPP) for the program.
- Deploy a new version of E-Verify Web Services Interface Control Agreement (ICA) to enhance user experience for the web service community.
- Improve capabilities for Monitoring and Compliance (M&C) that address behavior and compliance issues through automated monitoring and notification services.
- Complete post-FOC capability enhancements for the VER MOD system and operational components.
- Continue to focus on furthering automation, improving performance and system maintainability, and optimizing the user experience.

**FY 2020 Planned Key Milestone Events**

- N/A.

**Overall Investment Funding**

<i>(Dollars in Thousands)</i>	Prior Years	FY 2018	FY 2019	FY 2020
<b>Operations and Support</b>	\$103,912	\$ 108,856	\$109,081	\$121,586
<b>Procurement, Construction, and Improvements</b>	\$15,227	\$22,657	\$22,838	\$0
<b>Research and Development</b>	-	-	-	-
<b>Legacy Appropriations</b>	-			
<b>Total Project Funding</b>	\$119,139	\$131,513	\$131,919	\$121,586
<b>Obligations</b>	\$118,887	\$110,513		
<b>Expenditures</b>	\$66,360	\$71,294		

**Contract Information (Current/Execution Year, Budget Year)**

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value <i>(Dollars in thousands)</i>
Inter-Agency Agreement (IAA) CISIRWA18079	NTIS	Fixed Price	09/2018	09/2018	09/2019	No	\$10,437
HSSCCG-17-J-00082	360 IT Integrated Solutions	Fixed Price	11/2018	11/2018	10/2019	No	\$7,280
HSSCCG-17-J-00025	Sevatec Inc.	Fixed Price	06/2018	06/2018	06/2019	No	\$865
HSSCCG-15-J-00084	TWD Associates, Inc	Fixed Price	04/2018	04/2018	05/2019	No	\$1,751

Note: Data as of 10/31/2018

Subject to Departmental approval, the program anticipates declaring FOC no later than FY 2019.

**Investment Schedule**

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	<b>FY 2018</b>			
Build and deploy new web applications, analyze the current database, extract, modernize data tier components, and improve algorithms for optimal systems functionality.	NA	NA	FY 2018 Q1	FY 2018 Q4
	<b>FY 2019</b>			
Achieve Full Operating Capability (FOC) for the VER MOD program by meeting all Key Performance Parameters (KPP) for the program, which include system accuracy, response time, processing capacity, operational availability, and concurrent users.	NA	NA	FY 2019 Q1	FY 2019 Q4
Deliver E-Verify and SAVE algorithm improvements to reduce cases requiring human intervention.	NA	NA	FY 2019 Q1	FY 2019 Q4
Continue refactoring and simplifying the system architecture to reduce system maintenance costs.	NA	NA	FY 2019 Q1	FY 2019 Q4
Completion of post- FOC capability enhancements for VER MOD system and operational components, continuing to focus on furthering automation, improving performance and system maintainability, and optimizing the user experience.	NA	NA	FY 2019 Q1	FY 2019 Q4

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
*Immigration Examinations Fee Account*



**Fiscal Year 2020**  
**Congressional Justification**

**Table of Contents**

*Immigration Examinations Fee Account* ..... 1

    Budget Comparison and Adjustments..... 4

    Personnel Compensation and Benefits..... 14

    Non Pay Budget Exhibits..... 16

*District Operations – PPA* ..... 18

        Budget Comparison and Adjustments ..... 18

        Personnel Compensation and Benefits..... 28

        Non Pay Budget Exhibits..... 30

*Service Center Operations – PPA*..... 32

        Budget Comparison and Adjustments ..... 32

        Personnel Compensation and Benefits..... 35

        Non Pay Budget Exhibits..... 37

*Asylum, Refugee and International Operations – PPA*..... 39

        Budget Comparison and Adjustments ..... 39

        Personnel Compensation and Benefits..... 42

        Non Pay Budget Exhibits..... 44

*Records Operations – PPA* ..... 46

        Budget Comparison and Adjustments ..... 46

        Personnel Compensation and Benefits..... 50

        Non Pay Budget Exhibits..... 52

*Premium Processing (Including Transformation) – PPA*..... 54

        Budget Comparison and Adjustments ..... 54

        Personnel Compensation and Benefits..... 60

        Non Pay Budget Exhibits..... 62

*Information and Applicant Services – PPA* ..... 64

        Budget Comparison and Adjustments ..... 64

        Personnel Compensation and Benefits..... 68

        Non Pay Budget Exhibits..... 70

**United States Citizenship and Immigration Services**

**Immigration Examinations Fee Account**

---

<i>Administration – PPA</i> .....	72
Budget Comparison and Adjustments .....	72
Personnel Compensation and Benefits.....	76
Non Pay Budget Exhibits.....	78
<i>Systematic Alien Verification for Entitlements (SAVE) – PPA</i> .....	80
Budget Comparison and Adjustments .....	80
Personnel Compensation and Benefits.....	85
Non Pay Budget Exhibits.....	87

## Immigration Examinations Fee Account

### Budget Comparison and Adjustments

#### Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	8,311	7,895	\$1,805,941	9,032	8,580	\$1,938,508	9,445	8,972	\$1,934,033	413	392	(\$4,475)
Service Center Operations	3,556	3,378	\$687,491	3,806	3,616	\$767,263	4,054	3,852	\$746,687	248	236	(\$20,576)
Asylum, Refugee and International Operations	1,668	1,585	\$345,100	1,917	1,822	\$356,206	1,936	1,840	\$349,295	19	18	(\$6,911)
Records Operations	430	408	\$137,526	459	436	\$150,941	471	447	\$155,150	12	11	\$4,209
Premium Processing (Including Transformation)	1,430	1,359	\$642,746	1,293	1,229	\$643,423	1,402	1,333	\$658,190	109	104	\$14,767
Information and Applicant Services	329	313	\$108,146	376	357	\$112,493	425	404	\$125,335	49	47	\$12,842
Administration	1,645	1,563	\$504,615	1,760	1,672	\$519,002	1,839	1,747	\$651,808	79	75	\$132,806
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$36,774	223	212	\$37,514	222	211	\$34,868	(1)	(1)	(\$2,646)
<b>Total</b>	<b>17,592</b>	<b>16,713</b>	<b>\$4,268,339</b>	<b>18,866</b>	<b>17,924</b>	<b>\$4,525,350</b>	<b>19,794</b>	<b>18,806</b>	<b>\$4,655,366</b>	<b>928</b>	<b>882</b>	<b>\$130,016</b>
Subtotal Mandatory - Fee	17,592	16,713	\$4,268,339	18,866	17,924	\$4,525,350	19,794	18,806	\$4,655,366	928	882	\$130,016

The Immigration Examinations Fee Account (IEFA) provides the resources to:

- Strengthen and effectively administer the immigration system
- Strengthen national security safeguards and combat fraud
- Reinforce quality and consistency in administering immigration benefits

The IEFA fee account was established by Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356), is the primary funding source for USCIS. Fees collected with the submission of immigration benefit applications and petitions are deposited into the IEFA and are used to fund the cost of processing immigration benefit applications and petitions, as well as to cover the cost of processing similar benefit requests for applicants without charge, for example, for refugee and asylum applicants.

The IEFA fee account is broken out into the following Programs, Projects, and Activities (PPAs):

**District Operations:** The District Operations PPA supports the processing of immigration benefit applications while ensuring the security and integrity of the immigration system where an in-person interview is required. USCIS primarily accomplishes this through its network of domestic district and field offices.

**Service Center Operations:** The Service Center Operations PPA supports the processing of immigration benefit applications while ensuring the security and integrity of the immigration system where an in person interview is generally not required. USCIS primarily accomplishes this through its five service centers.

**Asylum, Refugee and International Operations:** The Asylum, Refugee and International Operations PPA supports the adjudication of asylum and refugee status applications for individuals seeking protection from persecution and facilitates the process for close relatives of approved refugees and asylees to immigrate to the United States. Additionally, this PPA supports overseas adjudication of a variety of immigration benefit requests at USCIS's international offices.

**Records Operations:** The Records Operations PPA primarily supports the storage, administration, and access to USCIS records, as well as processing Freedom of Information Act requests.

**Premium Processing (Including Transformation):** The Premium Processing PPA supports the provision of premium processing services requested by business petitioners, as well as infrastructure improvements in the adjudication and customer-service processes. This PPA provides the funding for the Transformation program.

**Information and Applicant Services:** The Information and Applicant Services PPA supports USCIS's activities that engage with stakeholders and provide information services to applicants and petitioners, including through the USCIS Contact Center.

**Administration:** The Administration PPA supports the management and administrative functions of USCIS, including the financial, human resource, training, and facility management functions, as well as the policy, legal, and executive leadership of the agency.

**Systematic Alien Verification for Entitlements:** The PPA supports the Systematic Alien Verification for Entitlements (SAVE) program. The SAVE Program provides a fast, secure and efficient verification service for Federal, State and local benefit-granting agencies to verify a benefit applicant's immigration status or naturalized/derived citizenship.

As was the case in the FY 2019 President's Budget, the FY 2020 President's Budget proposed that the IEFA budget include anticipates a \$207.6M transfer of USCIS IEFA fee funding expended from USCIS Operations and Support (O&S), to Immigration and Customs Enforcement (ICE) Operations and Support (O&S) for law enforcement fraud investigations. ICE will use the funds for programs and activities to prevent and detect immigration benefit fraud, as well as investigatory work necessary to support the adjudication of applications. Additional detail can be found in ICE's FY 2020 IEFA Congressional Justification materials



**Immigration Examinations Fee Account**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$4,268,339</b>	<b>\$4,525,350</b>	<b>\$4,655,366</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$1,300,517	\$1,280,746	\$1,331,009
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$9,381	\$9,444	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$5,578,237</b>	<b>\$5,815,540</b>	<b>\$5,986,375</b>
Collections – Reimbursable Resources	\$41,058	\$40,819	\$46,000
<b>Total Budget Resources</b>	<b>\$5,619,295</b>	<b>\$5,856,359</b>	<b>\$6,032,375</b>
Obligations (Actual/Estimates/Projections)	\$3,971,438	\$4,525,350	\$4,655,366
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	17,592	18,866	19,794
Enacted/Request FTE	16,713	17,924	18,806
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	17,360	18,866	19,794
FTE (Actual/Estimates/Projections)	16,408	17,924	18,806

**Immigration Examinations Fee Account  
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense <span style="float:right">Source</span>	-	-	\$7,500	-	-	\$3,000	-	-	\$3,000
Department of Health and Human Services - Department Wide <span style="float:right">Source</span>	-	-	-	-	-	-	-	-	\$250
Department of Homeland Security - Department of Homeland Security <span style="float:right">Source</span>	-	-	-	-	-	-	-	-	\$1,500
Department of Homeland Security - Federal Emergency Management Agency <span style="float:right">Source</span>	-	-	-	-	-	-	-	-	\$5,000
Department of Homeland Security - U.S. Immigration and Customs Enforcement <span style="float:right">Source</span>	-	-	\$12,046	-	-	\$12,046	-	-	\$12,500
Independent Agency - Social Security Administration <span style="float:right">Source</span>	-	-	\$7	-	-	\$7	-	-	-
Department of Homeland Security - U.S. Customs and Border Protection <span style="float:right">Source</span>	-	-	\$5,914	-	-	\$5,914	-	-	\$6,000
Department of Justice - Department of Justice <span style="float:right">Source</span>	-	-	\$250	-	-	\$250	-	-	\$250
SAVE Collections <span style="float:right">Source</span>	-	-	\$7,000	-	-	\$11,261	-	-	\$9,000
Canada/UK Visa <span style="float:right">Source</span>	-	-	\$8,341	-	-	\$8,341	-	-	\$8,500
<b>Total Collections</b>	-	-	<b>\$41,058</b>	-	-	<b>\$40,819</b>	-	-	<b>\$46,000</b>

## Immigration Examinations Fee Account Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	<b>17,592</b>	<b>16,713</b>	<b>\$4,268,339</b>
<b>FY 2019 President's Budget</b>	<b>18,866</b>	<b>17,924</b>	<b>\$4,525,350</b>
<b>FY 2020 Base Budget</b>	<b>18,866</b>	<b>17,924</b>	<b>\$4,525,350</b>
Transfer to the Fraud Prevention and Detection Account	-	-	(\$496)
<b>Total Transfers</b>	-	-	<b>(\$496)</b>
FERS Agency Contribution	-	-	\$36,528
GSA Rent Enhancement	-	-	\$32,323
<b>Total, Pricing Increases</b>	-	-	<b>\$68,851</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$68,355</b>
<b>FY 2020 Current Services</b>	<b>18,866</b>	<b>17,924</b>	<b>\$4,593,705</b>
Annualization of FY19 Enhancement Positions	928	882	\$36,661
Federal Law Enforcement Training Center (FLETC) Training Facility Construction	-	-	\$25,000
Off-Setting Reinvestment of Cuban Haitian Entrance Program (CHEP) funds	-	-	\$12,000
<b>Total, Program Increases</b>	<b>928</b>	<b>882</b>	<b>\$73,661</b>
Cessation of Cuban Haitian Entrance Program (CHEP)	-	-	(\$12,000)
<b>Total, Program Decreases</b>	-	-	<b>(\$12,000)</b>
<b>FY 2020 Estimate</b>	<b>19,794</b>	<b>18,806</b>	<b>\$4,655,366</b>
<b>FY 2019 To FY 2020 Change</b>	<b>928</b>	<b>882</b>	<b>\$130,016</b>

**Immigration Examinations Fee Account  
Justification of Transfers**

Transfers <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Transfer 1 - Transfer to the Fraud Prevention and Detection Account</b>	-	-	(\$496)
District Operations	-	-	(\$496)
<b>Total Transfers</b>	-	-	(\$496)

**Transfer 1 – Transfer to USCIS/Fraud Prevention and Detection Account/District Operations from USCIS/IEFA/District Operations for supporting operational requirements:** The \$0.5M is to support the USCIS fraud detection efforts within District Operations and Service Center Operations.

## Immigration Examinations Fee Account Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Pricing Change 1 - FERS Agency Contribution</b>	-	-	<b>\$36,528</b>
District Operations	-	-	\$18,103
Service Center Operations	-	-	\$7,736
Asylum, Refugee and International Operations	-	-	\$3,191
Records Operations	-	-	\$765
Premium Processing (Including Transformation)	-	-	\$1,299
Information and Applicant Services	-	-	\$749
Administration	-	-	\$4,304
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$381
<b>Pricing Change 2 - GSA Rent Enhancement</b>	-	-	<b>\$32,323</b>
District Operations	-	-	\$16,627
Service Center Operations	-	-	\$4,421
Asylum, Refugee and International Operations	-	-	\$7,583
Records Operations	-	-	\$1,045
Premium Processing (Including Transformation)	-	-	(\$1,927)
Information and Applicant Services	-	-	\$3,283
Administration	-	-	\$1,916
Systematic Alien Verification for Entitlements (SAVE)	-	-	(\$625)
<b>Total Pricing Changes</b>	-	-	<b>\$68,851</b>

**Pricing Change 1 – FERS Agency Contribution:** Per OMB Circular A-11, agency FERS contributions increased. The regular FERS agency contribution increased by 2.3% from 13.7% in FY 2019 to 16.0% in FY 2020. The Law Enforcement FERS agency contribution increased by 3.3% from 30.1% to 33.4%. The agency contribution amount for CSRS did not change.

**Pricing Change 2 – GSA Rent Enhancement:** GSA Rent Changes are typically the result of the agency incurring higher rent charges for existing space requirements. Total changes to GSA Rent expenses include new or updated lease terms, acquisition or release of calculated square footage. The agency will incur approximately \$32.3M in additional rent costs in this account for FY 2020.

## Immigration Examinations Fee Account Justification of Program Changes

Program Changes <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Program Change 1 - Annualization of FY19 Enhancement Positions</b>	<b>928</b>	<b>882</b>	<b>\$36,661</b>
District Operations	413	392	\$15,487
Service Center Operations	248	236	\$2,876
Asylum, Refugee and International Operations	19	18	\$977
Records Operations	12	11	\$691
Premium Processing (Including Transformation)	109	104	\$10,811
Information and Applicant Services	49	47	\$1,853
Administration	79	75	\$3,966
Systematic Alien Verification for Entitlements (SAVE)	(1)	(1)	-
<b>Program Change 2 - Cessation of Cuban Haitian Entrance Program (CHEP)</b>	<b>-</b>	<b>-</b>	<b>(\$12,000)</b>
Asylum, Refugee and International Operations	-	-	(\$12,000)
<b>Program Change 3 - Federal Law Enforcement Training Center (FLETC) Training Facility Construction</b>	<b>-</b>	<b>-</b>	<b>\$25,000</b>
Administration	-	-	\$25,000
<b>Program Change 4 - Off-Setting Reinvestment of Cuban Haitian Entrance Program (CHEP) funds</b>	<b>-</b>	<b>-</b>	<b>\$12,000</b>
Asylum, Refugee and International Operations	-	-	\$12,000
<b>Total Program Changes</b>	<b>928</b>	<b>882</b>	<b>\$61,661</b>

### Program Change 1 – Annualization of FY 2019 Enhancement Positions:

#### **Description**

The FY 2020 President's Budget includes \$36.7M to support the pay and non-pay costs associated with the annualization of the enhancement positions included in the FY 2019 USCIS Annual Operating Plan.

#### **Justification**

As part of the USCIS FY 2019 Annual Operating Plan, personnel resources were approved by USCIS senior leadership to directly support the day-to-day operations of USCIS within the FY 2019 President's Budget. These positions, which are expected to be hired in FY 2019, will incur annualization costs that are reflected in the FY 2020 estimate.

**Performance**

The enhancement positions will provide support of performance across all of USCIS operations.

**Program Change 2 – Cessation of Cuban Haitian Entrance Program (CHEP):****Description**

The FY 2020 President’s Budget includes a reduction of \$12.0M by eliminating funding for the CHEP cooperative agreements. These cooperative agreements provided benefits and services to facilitate the integration of eligible Cuban and Haitian nationals in the United States. The Base for the Immigration Examination Fees account is \$4.5B.

**Justification**

Section 501 of the Refugee Education Assistance Act of 1980 (REAA) authorizes benefits and services to facilitate the integration of eligible Cuban and Haitian nationals in the United States. The statute gives the executive branch broad discretion to determine which Cuban and Haitian Entrants should receive benefits and what types of benefits. Historically, the vast majority of CHEP beneficiaries were Cubans paroled into the United States to apply for adjustment under the Cuban Adjustment Act. USCIS generally declined to authorize CHEP benefits to individuals in removal proceedings. Even though parolees in such proceedings satisfy the statutory definition of Cuban/Haitian Entrants, USCIS had determined that these individuals were not on a path to regularize their immigration status and thus did not warrant CHEP benefits designed to facilitate local integration.

With the January 2017 rescission of U.S. policy to parole Cubans arriving at land borders or by boat, the proportion of Cuban/Haitian Entrants in removal proceedings increased significantly. During the three month period of March to May 2017, USCIS authorized CHEP benefits for 1,482 individuals. For the same three month period in 2018, USCIS only authorized benefits for 91 individuals. At this time there is no mass migration of Cubans or Haitians resulting in a disproportionate impact on a particular part of the country. The current and anticipated low numbers of individuals provided CHEP benefits, rather than a reason to continue the program, is a reason to discontinue it as the effect on local communities will not be significant. USCIS will use the funds on the core mission of administering the nation’s lawful immigration system, safeguarding its integrity and promise by efficiently and fairly adjudicating requests for immigration benefits while protecting Americans, securing the homeland, and honoring our values. .

**Performance**

This reduction will not have an impact on USCIS operations or performance.

**Program Change 3 – Federal Law Enforcement Training Centers (FLETC) Facility Construction:****Description**

The FY 2020 President’s Budget includes an increase of \$25.0M to fund the construction of a USCIS Academy Training Center on the FLETC campus in Charleston, South Carolina. USCIS proposes to transfer up to \$25.0M to FLETC, which has the authority to accept such funding under the authority of Section 4085 of Division F of Public Law 115-31. The building would remain under the administrative control of FLETC but would be primarily available to USCIS as a consolidated location for immigration-related training courses. The FY 2019 President’s Budget base funding level

for this project is \$0.

**Justification**

USCIS has been experiencing increasing levels of application and petition receipts and a growing backlog, which require a significant increase in the number of Immigration Service Officers (ISOs), Asylum Officers, and other positions. Having access to sufficient training space and accommodations to quickly train these individuals is critical. The majority of USCIS's immigration-related training courses are conducted at FLETC campus locations. Presently, USCIS's ISO initial training, which is known as the BASIC program, and the Fraud Detection and National Security (FDNS) training courses are conducted at FLETC Charleston, while the FLETC campus in Glynco, GA hosts and the Refugee, Asylum, and International Operations (RAIO) training courses. USCIS and FLETC assessed USCIS's long-term training requirements and concluded that construction of a new building on the Charleston campus is the best approach to meet USCIS's needs. The facility will provide increased training capacity and the centralization of BASIC, FDNS, and RAIO training in one location so that instructors and support staff can realize economies of scale and collaborate more frequently and directly.

**Performance**

This new facility is expected to improve performance across USCIS immigration benefit adjudication duties by ensuring staff are trained on their duties in a timelier manner, and by increasing the efficiency and effectiveness of training through shared resources and instructor collaboration. This will be accomplished with an increase in the square footage of the USCIS training space, from 19,000 square feet of existing space to approximately 48,000 square feet in the new consolidated training facility.

**Program Change 4 – Off-Setting Reinvestment of Cuban Haitian Entrance Program (CHEP) funds:****Description**

The FY 2020 Budget includes a re-investment of \$12.0M (resulting from the elimination of the CHEP cooperative agreements) in other core-mission functions within USCIS's IEFA Asylum, Refugee, and International Operations PPA. The \$12.0M reinvestment was distributed across non-pay object classes to provide additional support in non-grant related areas such as travel, training, general program administration, equipment, and supplies. The FY 2019 President's Budget for the Asylum, Refugee, and International Operations PPA is \$356.2M.

**Justification**

As noted in Program Change 1, USCIS will discontinue the CHEP program. The funds will remain within the PPA for other mission related functions such as travel, training, general program administration, equipment, and supplies.

**Performance**

This proposed reinvestment is expected to sustain performance across USCIS immigration benefit adjudication duties.



**Immigration Examinations Fee Account  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	8,311	7,895	\$1,017,168	\$128.84	9,032	8,580	\$1,102,624	\$128.51	9,445	8,972	\$1,105,079	\$123.17	413	392	\$2,455	(\$5.34)
Service Center Operations	3,556	3,378	\$422,168	\$124.98	3,806	3,616	\$479,784	\$132.68	4,054	3,852	\$438,776	\$113.91	248	236	(\$41,008)	(\$18.77)
Asylum, Refugee and International Operations	1,668	1,585	\$188,469	\$118.61	1,917	1,822	\$195,039	\$106.78	1,936	1,840	\$206,655	\$112.04	19	18	\$11,616	\$5.26
Records Operations	430	408	\$42,541	\$104.27	459	436	\$47,741	\$109.5	471	447	\$47,502	\$106.27	12	11	(\$239)	(\$3.23)
Premium Processing (Including Transformation)	1,430	1,359	\$118,742	\$87.37	1,293	1,229	\$119,419	\$97.17	1,402	1,333	\$144,799	\$108.63	109	104	\$25,380	\$11.46
Information and Applicant Services	329	313	\$39,821	\$127.22	376	357	\$44,168	\$123.72	425	404	\$44,513	\$110.18	49	47	\$345	(\$13.54)
Administration	1,645	1,563	\$245,398	\$156.69	1,760	1,672	\$254,681	\$152.02	1,839	1,747	\$273,716	\$156.37	79	75	\$19,035	\$4.35
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$22,497	\$106.12	223	212	\$23,237	\$109.61	222	211	\$23,509	\$111.42	(1)	(1)	\$272	\$1.81
<b>Total</b>	<b>17,592</b>	<b>16,713</b>	<b>\$2,096,804</b>	<b>\$125.4</b>	<b>18,866</b>	<b>17,924</b>	<b>\$2,266,693</b>	<b>\$126.41</b>	<b>19,794</b>	<b>18,806</b>	<b>\$2,284,549</b>	<b>\$121.42</b>	<b>928</b>	<b>882</b>	<b>\$17,856</b>	<b>(\$4.99)</b>
Mandatory - Fee	17,592	16,713	\$2,096,804	\$125.4	18,866	17,924	\$2,266,693	\$126.41	19,794	18,806	\$2,284,549	\$121.42	928	882	\$17,856	(\$4.99)

\* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$1,454,645	\$1,574,228	\$1,557,773	(\$16,455)
11.3 Other than Full-Time Permanent	\$13,249	\$14,043	\$14,292	\$249
11.5 Other Personnel Compensation	\$121,690	\$129,468	\$135,192	\$5,724
12.1 Civilian Personnel Benefits	\$506,267	\$547,967	\$576,254	\$28,287
13.0 Benefits for Former Personnel	\$953	\$987	\$1,038	\$51
<b>Total - Personnel Compensation and Benefits</b>	<b>\$2,096,804</b>	<b>\$2,266,693</b>	<b>\$2,284,549</b>	<b>\$17,856</b>
<b>Positions and FTE</b>				
Positions - Civilian	17,592	18,866	19,794	928
FTE - Civilian	16,713	17,924	18,806	882

## Immigration Examinations Fee Account Permanent Positions by Grade Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 to FY 2020 Change
Total, SES	74	74	78	4
GS-15	956	1,025	1,028	3
GS-14	2,098	2,250	2,350	100
GS-13	3,304	3,543	3,840	297
GS-12	4,656	4,999	5,076	77
GS-11	1,237	1,327	1,537	210
GS-10	18	19	13	-6
GS-9	2,655	2,847	2,856	9
GS-8	29	31	38	7
GS-7	1,647	1,766	1,868	102
GS-6	349	374	355	-19
GS-5	561	602	669	67
GS-4	7	8	68	60
GS-3	1	1	16	15
GS-2	-	-	2	2
<b>Total Permanent Positions</b>	<b>17,592</b>	<b>18,866</b>	<b>19,794</b>	<b>928</b>
Unfilled Positions EOY	232	-	-	-
Total Perm. Employment (Filled Positions) EOY	17,360	18,866	19,794	928
<b>Position Locations</b>				
Headquarters	2,561	2,746	2,888	142
U.S. Field	14,958	16,042	16,824	782
Foreign Field	73	78	82	4
<b>Averages</b>				
Average Personnel Costs, ES Positions	175,765	176,574	177,386	812
Average Personnel Costs, GS Positions	86,796	87,195	87,596	401
Average Grade, GS Positions	11	11	11	-

**Immigration Examinations Fee Account**

**Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
District Operations	\$788,773	\$835,884	\$828,954	(\$6,930)
Service Center Operations	\$265,323	\$287,479	\$307,911	\$20,432
Asylum, Refugee and International Operations	\$156,631	\$161,167	\$142,640	(\$18,527)
Records Operations	\$94,985	\$103,200	\$107,648	\$4,448
Premium Processing (Including Transformation)	\$524,004	\$524,004	\$513,391	(\$10,613)
Information and Applicant Services	\$68,325	\$68,325	\$80,822	\$12,497
Administration	\$259,217	\$264,321	\$378,092	\$113,771
Systematic Alien Verification for Entitlements (SAVE)	\$14,277	\$14,277	\$11,359	(\$2,918)
<b>Total</b>	<b>\$2,171,535</b>	<b>\$2,258,657</b>	<b>\$2,370,817</b>	<b>\$112,160</b>
Mandatory - Fee	\$2,171,535	\$2,258,657	\$2,370,817	\$112,160

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$35,193	\$35,543	\$31,710	(\$3,833)
22.0 Transportation of Things	\$14,955	\$15,120	\$18,141	\$3,021
23.1 Rental Payments to GSA	\$240,195	\$255,513	\$287,836	\$32,323
23.2 Rental Payments to Others	\$4,689	\$5,076	\$5,717	\$641
23.3 Communications, Utilities, and Misc. Charges	\$103,474	\$110,219	\$118,101	\$7,882
24.0 Printing and Reproduction	\$10,374	\$11,008	\$11,669	\$661
25.1 Advisory and Assistance Services	\$854,053	\$889,027	\$904,067	\$15,040
25.2 Other Services from Non-Federal Sources	\$238,438	\$251,907	\$246,354	(\$5,553)
25.3 Other Goods and Services from Federal Sources	\$293,710	\$307,356	\$372,641	\$65,285
25.4 Operation and Maintenance of Facilities	\$749	\$793	\$893	\$100
25.7 Operation and Maintenance of Equipment	\$141,378	\$144,317	\$143,824	(\$493)
26.0 Supplies and Materials	\$33,162	\$36,586	\$39,080	\$2,494
31.0 Equipment	\$134,357	\$137,075	\$140,776	\$3,701
32.0 Land and Structures	\$34,895	\$35,002	\$37,480	\$2,478
41.0 Grants, Subsidies, and Contributions	\$29,823	\$22,000	\$10,000	(\$12,000)
42.0 Insurance Claims and Indemnities	\$2,090	\$2,115	\$2,528	\$413
<b>Total - Non Pay Object Classes</b>	<b>\$2,171,535</b>	<b>\$2,258,657</b>	<b>\$2,370,817</b>	<b>\$112,160</b>

*District Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	8,311	7,895	\$1,805,941	9,032	8,580	\$1,938,508	9,445	8,972	\$1,934,033	413	392	(\$4,475)
<b>Total</b>	<b>8,311</b>	<b>7,895</b>	<b>\$1,805,941</b>	<b>9,032</b>	<b>8,580</b>	<b>\$1,938,508</b>	<b>9,445</b>	<b>8,972</b>	<b>\$1,934,033</b>	<b>413</b>	<b>392</b>	<b>(\$4,475)</b>
Subtotal Mandatory - Fee	8,311	7,895	\$1,805,941	9,032	8,580	\$1,938,508	9,445	8,972	\$1,934,033	413	392	(\$4,475)

**PPA Level I Description**

The District Operations PPA includes the following offices that help process immigration benefit applications while ensuring the security and integrity of the immigration system.

**Field Operations:** The Field Operations Directorate conducts in-person interviews for all immigration and naturalization benefit types that require an interview. The most significant benefits are adjustment of status applications to become a permanent resident and naturalization applications to become a U.S. citizen.

**Fraud Detection and National Security:** The Fraud Detection and National Security Directorate (FDNS) leads USCIS efforts to determine whether individuals or organizations requesting immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system by detecting, deterring, and administratively investigating immigration-related fraud, and working to eliminate systemic vulnerabilities that present a threat to national security and public safety.

The FDNS Data System (FDNS-DS) records, tracks, and manages immigration inquiries, investigative referrals, law enforcement requests, and case determinations involving benefit fraud, criminal activity, public safety and national security concerns. FDNS received 149,309 referrals in FY 2018, projects to receive 174,820 referrals in FY 2019 and 199,866 referrals in FY 2020. This increase is partly attributable to the increase in receipts received by USCIS over time as well as the implementation of new screening processes, such as the historical fingerprint enrollment project. The projections are conservative as they do not take into account all of the potential impacts of the Executive Orders, "Implementing the President's Border Security and Immigration Enforcement Policies", "Protecting the Nation from Foreign Terrorist Entry into the United States," and "Buy American, Hire American."

**Fraud Detection Referrals Processed<sup>1</sup>**

<b>FY 2018 Actuals</b>	<b>Projected FY 2019</b>	<b>Projected FY 2020</b>
149,309	174,820	199,866

<sup>1</sup> For the purpose of this document, the term “referral” indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS “referral” that does not include requests to FDNS to conduct administrative investigations of fraud. Case type and subtype data is provided as of September 30, 2018. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.  
Source: Fraud Detection and National Security Data System (FDNS-DS).

Of the 149,309 referrals FDNS received in FY 2018 through September 30, 2018:

- 7,597 were national security concerns
- 4,429 were public safety leads and 12,295 were public safety cases
- 30,386 were fraud leads and 21,402 were fraud cases
- 59,513 were requests for assistance
- 1,628 were requests for overseas verification
- 9,888 were for Administrative Site Visit and Verification Program (ASVVP) compliance reviews
- 2,171 were for the Targeted Site Visit and Verification Program (TSVVP)

**Office of Information Technology:** The Office of Information Technology (OIT) provides the information technology, equipment, software, services, expertise, and the strategic vision to enable USCIS to deliver effective, efficient, and secure immigration services and products. OIT leads USCIS in the design, development, delivery, and deployment of a wide range of IT services and solutions that are collectively improving the Nation's immigration system.

**Biometrics:** The Biometrics Division is responsible for collecting biometric and biographic data from applicants that are required to provide this data when they request immigration benefits in the United States.

The following table depicts FY 2018 actual workload volumes through September 30, 2018 and projected FY 2019 and FY 2020 workload volumes for the USCIS Biometrics program, which entails applicant/petitioner processing at the Application Support Centers (ASCs) nationwide, as well as fingerprint checks and name checks with the Federal Bureau of Investigation (FBI). When required by USCIS, applicants and petitioners appear at an ASC to have their biometrics (fingerprints, photographs, and signatures) collected. The biometrics are used for identity verification purposes, as well as for performing the FBI checks for security purposes. USCIS reimburses the FBI for the costs of these security checks. The biometrics workload is a derivative of immigration benefit application and petition receipts.

Activity	FY 2018 Actuals <sup>1</sup>	FY 2019 Projected	FY 2020 Projected
Individuals Processed at an ASC	3,331,608	3,371,438	3,405,152
FBI Fingerprint Checks	3,846,262	3,380,141	3,413,942 <sup>2</sup>
FBI Name Checks	2,066,785	1,961,763	1,981,381 <sup>3</sup>

**External Affairs:** The External Affairs Directorate was established in FY 2018 and consists of the Office of Legislative and Intergovernmental Affairs, the Office of Public Affairs, and the Office of Citizenship and Applicant Information Services (CAIS)<sup>4</sup>. EXA ensures that USCIS conveys accurate, consistent and transparent information and unified messaging to both external and internal audiences.

The table depicts actual FY 2018 Citizenship and Assimilation Grant Program output and outcome data along with projected FY 2019 and FY 2020 program outputs and outcomes.

Accomplishments	FY 2018 Actuals <sup>5</sup>	FY 2019 Projected	FY 2020 Projected
Grants awarded	40	40	40
Monitoring visits conducted	16	19	19
Total permanent residents served	32,594	32,500	30,500
Total students enrolled in citizenship classes	13,091	13,000	13,000
Total clients provided with naturalization eligibility screenings	21,121	21,000	21,000
Total N-400 applications for naturalization submitted to USCIS	14,014	14,000	14,000
Total permanent residents that became naturalized citizens <sup>6</sup>	5,842	5,800	5,800

<sup>1</sup> The FY 2018 Actual number is through September 30, 2018; the FY 2019 and FY 2020 numbers are annual projections.

<sup>2</sup> Projection based from the USCIS Volume Projection Committee on receipts that would normally require biometric collection, based on receipt data from the historical Performance Reporting Tool and USCIS systems of record.

<sup>3</sup> Modernization efforts on the FBI name check system has reduced the number of duplicate FBI Name Check requests and significantly reduced redundant requests that occurred frequently in the past; current projections indicate that USCIS should continue to see lower volumes in future years.

<sup>4</sup> CAIS principally funded from District Operations.

<sup>5</sup> The FY 2018 is projected for the end of FY 2018. The FY 2019 and FY 2020 numbers are annual projections.

<sup>6</sup> The actual number of permanent residents served through the program who will become naturalized citizens is greater than reported here. The table reflects the number of permanent residents who file Form N-400 and are projected to naturalize during the reporting period. The processing times for naturalization applications have a significant impact on these numbers.

**Office of Administrative Appeals:** The Office of Administrative Appeals issues immigration appeals decisions, including precedent decisions to guide officers and the public, and provides the public with clear and accessible information about the immigration appeals process.

**Office of Performance and Quality:** The Office of Performance and Quality (OPQ) seeks to preserve and enhance the quality of USCIS data by serving as diligent stewards of the data. Through the use of sound data collection, validation, and management techniques, and by ensuring a high degree of data quality, OPQ provides relevant and actionable datasets to USCIS.

**Application and Petition Workload:** Application and petition workload (i.e., the number of immigration benefit requests received) and completions (i.e., adjudication and issuance of a decision in response to the request) are the single largest drivers of USCIS’s operating costs, affecting staffing and contractual requirements across the agency. The following table depicts FY 2018 (through September 30, 2018) immigration benefit application and petition workload, including requests received, requests approved, requests not approved, and total completions. It also provides receipt projections for FY 2019 and FY 2020.

		Fiscal Year 2018 (October 2017 to September 2018)				Fiscal Year 2019		Fiscal Year 2020
		Requests Received <sup>1</sup>	Requests Approved <sup>2</sup>	Requests Not Approved <sup>3</sup>	Total Completions <sup>4</sup>	Receipt Projection <sup>5</sup>	Completion Target <sup>6</sup>	Receipt Projection <sup>5</sup>
<b>Grand Total</b>		<b>8,044,956</b>	<b>7,075,024</b>	<b>765,475</b>	<b>7,840,499</b>	<b>8,770,048</b>	<b>9,739,441</b>	<b>8,473,608</b>
<b>Family-Based</b>								
I-129F	Fiancé(e) Petitions	47,495	34,902	9,452	44,354	48,000	59,502	48,000
I-130	Immediate and Preference Relatives	835,972	580,427	52,868	633,295	905,000	1,471,928	917,000
I-485	Family-Based Adjustments	334,182	261,993	39,836	301,829	353,000	572,370	360,000
I-600/I-600A	Orphan Petitions	2,284	1,981	268	2,249	2,250	1,979	2,060
I-601A	Provisional Waivers	60,748	41,580	2,315	43,895	64,000	85,817	70,000
I-751	Remove Conditions on Residence	177,674	118,418	5,541	123,959	173,000	335,910	166,000
I-800/I-800A	Convention Country Adoptions	6,257	6,589	72	6,661	6,300	5,152	6,300
<b>Employment Based</b>								
I-129	Nonimmigrant Worker Petitions	551,021	451,387	125,775	577,162	574,000	752,527	560,000
I-140	Immigrant Petitions for Workers	136,585	135,653	12,566	148,219	137,000	159,376	150,000



United States Citizenship and Immigration Services

Immigration Examinations Fee Account

			Fiscal Year 2018 (October 2017 to September 2018)				Fiscal Year 2019		Fiscal Year 2020
			Requests Received <sup>1</sup>	Requests Approved <sup>2</sup>	Requests Not Approved <sup>3</sup>	Total Completions <sup>4</sup>	Receipt Projection <sup>5</sup>	Completion Target <sup>6</sup>	Receipt Projection <sup>5</sup>
	I-485	Employment-Based Adjustments	132,708	109,369	10,893	120,262	127,000	125,263	127,000
	I-526	Petitions by Entrepreneurs	6,424	13,571	1,551	15,122	8,894	19,949	8,894
	I-765 <sup>7</sup>	Employment Authorization Documents	1,751,225	1,607,542	172,189	1,779,731	2,502,000	2,108,514	2,196,000
	I-829	Remove Conditions on Entrepreneur	3,283	2,610	102	2,712	3,500	9,295	3,700
	I-924/I-924A	Regional Center Applications	909	491	294	785	1,340	1,054	1,330
<b>Humanitarian</b>									
	I-485	Asylum Adjustments	31,711	30,261	1,450	31,711	31,000	48,770	33,000
	I-485	Refugee Adjustments	78,007	81,515	1,412	82,927	27,000	59,292	25,000
	I-485	Indo Chinese Adjustments	16	19	---	19	---	15	---
	I-485	Cuban Adjustment Act	51,751	70,737	2,462	73,199	10,000	41,523	10,000
	I-589	Asylum Application	106,041	17,537	726	18,263	114,000	146,000	133,000
	Legalization <sup>8</sup>	Legalization/ SAW	58	57	133	190	53	618	53
	I-730	Refugee/Asylee Relative Petitions	13,917	5,326	1,252	6,578	13,000	24,189	13,000
	I-817	Family Unity Applications	707	1,148	118	1,266	1,000	1,141	1,000
	I-821 <sup>9</sup>	Temporary Protected Status	260,216	258,275	4,020	262,295	4,000	1,141	4,000
	I-821D	Deferred Action for Childhood Arrivals	260,129	319,869	12,460	332,329	460,000	388,797	309,000
	I-867 <sup>10</sup>	Credible Fear Referral	99,035	---	---	---	112,000	70,000	114,000
	I-881	NACARA 203 Applications	505	531	123	654	520	450	520
	I-889 <sup>10</sup>	Reasonable Fear	11,101	---	---	---	13,000	12,000	14,000
	I-914/I-914A	T Nonimmigrant Status Applications	2,979	1,278	571	1,849	3,200	5,426	3,200
	I-918/I-918A	U Nonimmigrant Status Applications	58,664	17,710	4,489	22,199	69,000	22,374	75,000

**United States Citizenship and Immigration Services**

**Immigration Examinations Fee Account**

			Fiscal Year 2018 (October 2017 to September 2018)				Fiscal Year 2019		Fiscal Year 2020
			Requests Received <sup>1</sup>	Requests Approved <sup>2</sup>	Requests Not Approved <sup>3</sup>	Total Completions <sup>4</sup>	Receipt Projection <sup>5</sup>	Completion Target <sup>6</sup>	Receipt Projection <sup>5</sup>
I-929	Petitions for Family of U Nonimmigrants	1,192	1,272	287	1,559	1,500	2,134	1,500	
<i>Citizenship and Nationality</i>									
N-300	Declaration of Intent	29	59	---	59	20	98	20	
N-336	Requests for Hearing	10,675	2,577	2,365	4,942	5,000	5,298	4,400	
N-400	Military Naturalization	3,172	4,024	637	4,661	3,500	5,791	4,000	
N-400	Non-Military Naturalization	834,251	752,798	91,994	844,792	778,000	714,213	846,000	
N-470	Preserve Residence for Naturalization	172	141	95	236	120	132	80	
N-565	Replace Naturalization/Citizenship Certificates	24,170	30,913	3,406	34,319	23,000	17,064	23,000	
N600/600K	Recognition of Citizenship Applications	53,059	61,393	4,866	66,259	44,400	68,402	43,300	
N-648 <sup>11</sup>	Disability Exception Applications	3,734	8,849	3,679	12,528	---	13,562	---	
<i>Other</i>									
I-90	Renew/Replace Permanent Resident Cards	701,210	835,432	58,813	894,245	741,001	804,501	769,001	
I-102	Replace I-94s	5,592	4,768	1,815	6,583	5,560	6,606	5,560	
I-131	Reentry Permit/Refugee Travel Document	84,324	74,219	6,824	81,043	86,000	90,881	85,000	
I-131 <sup>12</sup>	Advance Parole	400,724	318,896	66,217	385,113	360,000	402,644	365,000	
I-131 <sup>13</sup>	Parole in Place	99	100	44	144	1,900	3,493	1,900	
I-360	Immigrant Petitions	38,511	13,246	4,452	17,698	39,070	78,359	39,070	
I-485	Other Adjustments of Status	27,041	24,231	4,128	28,359	29,000	46,911	29,000	
I-539	Applications to Extend/Change Nonimmigrant Status	230,975	187,913	40,290	228,203	255,000	297,813	270,000	
I-824	Action on Approved Applications or Petitions	10,894	8,471	1,766	10,237	11,000	16,798	11,000	

**United States Citizenship and Immigration Services**

**Immigration Examinations Fee Account**

			Fiscal Year 2018 (October 2017 to September 2018)				Fiscal Year 2019		Fiscal Year 2020
			Requests Received <sup>1</sup>	Requests Approved <sup>2</sup>	Requests Not Approved <sup>3</sup>	Total Completions <sup>4</sup>	Receipt Projection <sup>5</sup>	Completion Target <sup>6</sup>	Receipt Projection <sup>5</sup>
I-910	Application for Civil Surgeon	362	156	128	284	400	607	400	
Waivers <sup>14</sup>	Waivers, excluding I-601A	76,423	36,363	10,731	47,094	85,220	109,384	86,320	
Immigrant Visas <sup>15</sup>	Immigrant Visas	516,743	538,427	NA	538,427	538,000	524,378	538,000	

<sup>1</sup> Some processed forms may have been received in previous reporting periods. The report reflects the most up-to-date estimate available at the time the report is generated.

<sup>2</sup> The number of new applications/petitions received and entered into a case-tracking system during the reporting period.

<sup>3</sup> The number of applications/petitions processed during the reporting period. Immigrant Visa approvals equal the number of cases processed as USCIS does not approve this benefit type.

<sup>4</sup> The number of applications/petitions that were denied, terminated, or withdrawn during the reporting period.

<sup>5</sup> The sum of requests approved and not approved during the reporting period.

<sup>6</sup> FY 2019- 2020 Volume Projection Committee estimates.

<sup>7</sup> FY 2019 Completion Targets are not available at the time of this report. Estimates of completion targets from the September 2018 NPR are provided and rounded.

<sup>8</sup> Includes DACA/TPS Employment Authorization.

<sup>9</sup> Includes the following applications for persons applying for benefits under the Immigration Reform and Control Act of 1986: Forms I-687, I-700, I-698, I-690, I-694, and I-695.

<sup>10</sup> DHS announced the termination of Temporary Protected Status for El Salvador on 1/8/2018. Receipt projections for FY 2019 and 2020 have been reduced to account for this.

<sup>11</sup> Beginning February 2018, data for the I-867 (Credible Fear) and I-889 (Reasonable Fear) forms have not been available.

<sup>12</sup> Forecast not provided by Volume Projection Committee.

<sup>13</sup> I-131 Advance Parole includes Haitian Family Reunification, DACA advance parole and all ELIS filed cases.

<sup>14</sup> Parole in Place includes Family of Active Military, Former Military, and Family Member.

<sup>15</sup> Includes the following applications filed to waive exclusionary grounds: Forms I-191, I-192, I-212, I-601, I-602, and I-612.

<sup>16</sup> The number of applications/petitions processed during the reporting period. Immigrant Visa approvals equal the number of cases processed as USCIS does not approve this benefit type.

**District Operations – PPA**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$1,805,941</b>	<b>\$1,938,508</b>	<b>\$1,934,033</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$431,821	\$346,868	\$376,426
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$2,237,762</b>	<b>\$2,285,376</b>	<b>\$2,310,459</b>
Collections – Reimbursable Resources	\$30,459	\$29,558	\$37,000
<b>Total Budget Resources</b>	<b>\$2,268,221</b>	<b>\$2,314,934</b>	<b>\$2,347,459</b>
Obligations (Actual/Estimates/Projections)	\$1,746,646	\$1,938,508	\$1,934,033
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	8,311	9,032	9,445
Enacted/Request FTE	7,895	8,580	8,972
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	8,561	9,032	9,445
FTE (Actual/Estimates/Projections)	8,021	8,580	8,972

**District Operations – PPA  
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>		FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$3,000	-	-	\$3,000
Department of Health and Human Services - Department Wide	Source	-	-	-	-	-	-	-	-	\$250
Department of Homeland Security - Department of Homeland Security	Source	-	-	-	-	-	-	-	-	\$1,500
Department of Homeland Security - Federal Emergency Management Agency	Source	-	-	-	-	-	-	-	-	\$5,000
Department of Homeland Security - U.S. Immigration and Customs Enforcement	Source	-	-	\$9,637	-	-	\$12,046	-	-	\$12,500
Independent Agency - Social Security Administration	Source	-	-	-	-	-	\$7	-	-	-
Department of Homeland Security - U.S. Customs and Border Protection	Source	-	-	\$4,731	-	-	\$5,914	-	-	\$6,000
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,500
<b>Total Collections</b>		-	-	<b>\$30,459</b>	-	-	<b>\$29,558</b>	-	-	<b>\$37,000</b>

## District Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	8,311	7,895	\$1,805,941
<b>FY 2019 President's Budget</b>	9,032	8,580	\$1,938,508
<b>FY 2020 Base Budget</b>	9,032	8,580	\$1,938,508
Realignment between PPAs to reflect operational requirements	-	-	(\$54,196)
Transfer to the Fraud Prevention and Detection Account	-	-	(\$496)
<b>Total Transfers</b>	-	-	<b>(\$54,692)</b>
FERS Agency Contribution	-	-	\$18,103
GSA Rent Enhancement	-	-	\$16,627
<b>Total, Pricing Increases</b>	-	-	<b>\$34,730</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>(\$19,962)</b>
<b>FY 2020 Current Services</b>	9,032	8,580	\$1,918,546
Annualization of FY19 Enhancement Positions	413	392	\$15,487
<b>Total, Program Increases</b>	413	392	<b>\$15,487</b>
<b>FY 2020 Estimate</b>	9,445	8,972	\$1,934,033
<b>FY 2019 To FY 2020 Change</b>	413	392	<b>(\$4,475)</b>

**District Operations – PPA  
Personnel Compensation and Benefits  
Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	8,311	7,895	\$1,017,168	\$128.84	9,032	8,580	\$1,102,624	\$128.51	9,445	8,972	\$1,105,079	\$123.17	413	392	\$2,455	(\$5.34)
<b>Total</b>	<b>8,311</b>	<b>7,895</b>	<b>\$1,017,168</b>	<b>\$128.84</b>	<b>9,032</b>	<b>8,580</b>	<b>\$1,102,624</b>	<b>\$128.51</b>	<b>9,445</b>	<b>8,972</b>	<b>\$1,105,079</b>	<b>\$123.17</b>	<b>413</b>	<b>392</b>	<b>\$2,455</b>	<b>(\$5.34)</b>
Mandatory - Fee	8,311	7,895	\$1,017,168	\$128.84	9,032	8,580	\$1,102,624	\$128.51	9,445	8,972	\$1,105,079	\$123.17	413	392	\$2,455	(\$5.34)

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$727,638	\$788,770	\$777,757	(\$11,013)
11.3 Other than Full-Time Permanent	\$3,102	\$3,363	\$3,316	(\$47)
11.5 Other Personnel Compensation	\$38,664	\$41,911	\$41,326	(\$585)
12.1 Civilian Personnel Benefits	\$247,764	\$268,580	\$282,680	\$14,100
<b>Total - Personnel Compensation and Benefits</b>	<b>\$1,017,168</b>	<b>\$1,102,624</b>	<b>\$1,105,079</b>	<b>\$2,455</b>
<b>Positions and FTE</b>				
Positions - Civilian	8,311	9,032	9,445	413
FTE - Civilian	7,895	8,580	8,972	392

### Pay Cost Drivers

Leading Cost-Drivers (Dollars in Thousands)	FY 2018 Enacted			FY 2019 President's s Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	4,980	\$661,561	\$132.84	5,412	\$717,141	\$132.51	5,660	\$718,738	\$126.99	248	\$1,597	(\$5.52)
Other Personnel	2,915	\$355,607	\$121.99	3,168	\$385,483	\$121.68	3,312	\$386,341	\$116.65	144	\$858	(\$5.03)
<b>Total – Pay Cost Drivers</b>	<b>7,895</b>	<b>\$1,017,168</b>	<b>\$128.84</b>	<b>8,580</b>	<b>\$1,102,624</b>	<b>\$123.51</b>	<b>8,972</b>	<b>\$1,105,079</b>	<b>\$123.17</b>	<b>392</b>	<b>\$2,455</b>	<b>(\$5.34)</b>

#### Explanation of Pay Cost Drivers

**Mission Critical Occupation Positions:** Funds \$718.7M for 5,660 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, Immigration Officers, and Appeals Officers, who support in-person interviews for all immigration and naturalization benefit types that require an interview, issue immigration appeals decisions, including precedent decisions to guide officers and the public, and provide the public with clear and accessible information about the immigration appeals process.

**Other Personnel:** Funds \$386.3M for 3,312 non-Mission Critical Occupation Positions that support in-person interviews for all immigration and naturalization benefit types that require an interview, issue immigration appeals decisions, including precedent decisions to guide officers and the public, and provides the public with clear and accessible information about the immigration appeals process.



**District Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
District Operations	\$788,773	\$835,884	\$828,954	(\$6,930)
<b>Total</b>	<b>\$788,773</b>	<b>\$835,884</b>	<b>\$828,954</b>	<b>(\$6,930)</b>
Mandatory - Fee	\$788,773	\$835,884	\$828,954	(\$6,930)

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$6,413	\$6,688	\$6,457	(\$231)
22.0 Transportation of Things	\$3,200	\$3,372	\$3,256	(\$116)
23.1 Rental Payments to GSA	\$137,432	\$143,518	\$160,145	\$16,627
23.2 Rental Payments to Others	\$281	\$297	\$287	(\$10)
23.3 Communications, Utilities, and Misc. Charges	\$26,955	\$28,397	\$27,416	(\$981)
24.0 Printing and Reproduction	\$4,567	\$4,812	\$4,646	(\$166)
25.1 Advisory and Assistance Services	\$250,123	\$269,969	\$260,648	(\$9,321)
25.2 Other Services from Non-Federal Sources	\$130,513	\$136,664	\$131,946	(\$4,718)
25.3 Other Goods and Services from Federal Sources	\$117,365	\$124,546	\$120,247	(\$4,299)
25.4 Operation and Maintenance of Facilities	\$37	\$40	\$39	(\$1)
25.7 Operation and Maintenance of Equipment	\$54,231	\$57,374	\$55,393	(\$1,981)
26.0 Supplies and Materials	\$6,989	\$7,363	\$7,109	(\$254)
31.0 Equipment	\$34,345	\$36,184	\$34,935	(\$1,249)
32.0 Land and Structures	\$5,582	\$5,881	\$5,678	(\$203)
41.0 Grants, Subsidies, and Contributions	\$10,000	\$10,000	\$10,000	-
42.0 Insurance Claims and Indemnities	\$740	\$779	\$752	(\$27)
<b>Total - Non Pay Object Classes</b>	<b>\$788,773</b>	<b>\$835,884</b>	<b>\$828,954</b>	<b>(\$6,930)</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
FBI Reimbursement for Fingerprint and Name Checks	\$89,532	\$94,884	\$79,532	(\$15,352)
Information Technology Platforms	\$78,474	\$83,165	\$64,485	(\$18,680)
Rental Payments to the General Services Administration (GSA)	\$137,432	\$143,518	\$160,145	\$16,627
USCIS Contract Support for Adjudication Services	\$120,929	\$128,158	\$117,793	(\$10,365)
Other Costs	\$362,366	\$386,159	\$406,999	\$20,840
<b>Total – Non Pay Cost Drivers</b>	<b>\$788,733</b>	<b>\$835,884</b>	<b>\$828,954</b>	<b>(\$6,930)</b>

**Explanation of Non Pay Cost Drivers**

**FBI Reimbursement for Fingerprint and Name Checks:** These reimbursements are for the FBI to run fingerprint and name checks for USCIS as part of the background check process for those seeking to obtain immigration benefits in the United States.

**Information Technology Platforms:** This cost driver includes three IT platforms that support USCIS adjudications. The first is the USCIS enterprise technology infrastructure engineering services to sustain and strengthen the hardware platforms upon which USCIS software applications reside. Second is the Naturalization Benefits System portfolio, which supports USCIS naturalization IT systems. Third, is the USCIS Applicant Service portfolio, which provides development and support to the applicant service platforms throughout USCIS.

**Rental Payments to the General Services Administration (GSA):** The FY 2020 amount is based on projections developed by USCIS Facilities Division, using information provided by the GSA. The \$16.6M change from FY 2019 to FY 2020 reflects projected rent increases, termination of leases, and new leases.

**USCIS Contract Support for Adjudication Services:** This cost driver includes contracts that provide facilities and labor for operating USCIS Application Support Centers where applicants and petitioners must appear (when required by USCIS) for biometrics collection. This cost driver also includes contract support for clerical and records management in USCIS's domestic field offices and the National Benefits Center. These contracts costs are driven by application/petition receipt volumes.

**Other Costs:** Funds the remaining management and support costs for processing immigration benefit applications while ensuring the security and integrity of the immigration system.

*Service Center Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	3,556	3,378	\$687,491	3,806	3,616	\$767,263	4,054	3,852	\$746,687	248	236	(\$20,576)
<b>Total</b>	<b>3,556</b>	<b>3,378</b>	<b>\$687,491</b>	<b>3,806</b>	<b>3,616</b>	<b>\$767,263</b>	<b>4,054</b>	<b>3,852</b>	<b>\$746,687</b>	<b>248</b>	<b>236</b>	<b>(\$20,576)</b>
Subtotal Mandatory - Fee	3,556	3,378	\$687,491	3,806	3,616	\$767,263	4,054	3,852	\$746,687	248	236	(\$20,576)

**PPA Level I Description**

The Service Center Operations PPA supports the processing of immigration benefit applications while ensuring the security and integrity of the immigration system where an in person interview is generally not required. USCIS primarily accomplishes this through its five service centers. This PPA primarily supports the Service Center Operations (SCOPS) directorate and Office of Intake and Document Production (OIDP).

SCOPS is one of the key operational components of USCIS and is responsible for adjudicating the largest volume of applications across the broadest and most diverse cross-section of immigration benefits, which do not generally require an in-person interview.

OIDP is responsible for the beginning and end of the process for individuals who are seeking immigration benefits. It develops and publishes USCIS forms, distributes secure certificates and informational materials; receives applications and petitions; processes and deposits filing fees; generates and distributes USCIS appointment notices; and provides approved individuals with secure documents such as permanent resident cards (i.e., green card), employment authorization documents, and travel booklets.

## Service Center Operations – PPA Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2018	FY 2019	FY 2020
<b>Enacted/Request/Estimate</b>	<b>\$687,491</b>	<b>\$767,263</b>	<b>\$746,687</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$150,818	\$131,347	\$131,347
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$838,309</b>	<b>\$898,610</b>	<b>\$878,034</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$838,309</b>	<b>\$898,610</b>	<b>\$878,034</b>
Obligations (Actual/Estimates/Projections)	\$662,191	\$767,263	\$746,687
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	3,556	3,806	4,054
Enacted/Request FTE	3,378	3,616	3,852
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	3,614	3,806	4,054
FTE (Actual/Estimates/Projections)	3,444	3,616	3,852

**Service Center Operations – PPA  
Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	<b>3,556</b>	<b>3,378</b>	<b>\$687,491</b>
<b>FY 2019 President's Budget</b>	<b>3,806</b>	<b>3,616</b>	<b>\$767,263</b>
<b>FY 2020 Base Budget</b>	<b>3,806</b>	<b>3,616</b>	<b>\$767,263</b>
Realignment between PPAs to reflect operational requirements	-	-	(\$35,609)
<b>Total Transfers</b>	-	-	<b>(\$35,609)</b>
FERS Agency Contribution	-	-	\$7,736
GSA Rent Enhancement	-	-	\$4,421
<b>Total, Pricing Increases</b>	-	-	<b>\$12,157</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>(\$23,452)</b>
<b>FY 2020 Current Services</b>	<b>3,806</b>	<b>3,616</b>	<b>\$743,811</b>
Annualization of FY19 Enhancement Positions	248	236	\$2,876
<b>Total, Program Increases</b>	<b>248</b>	<b>236</b>	<b>\$2,876</b>
<b>FY 2020 Estimate</b>	<b>4,054</b>	<b>3,852</b>	<b>\$746,687</b>
<b>FY 2019 To FY 2020 Change</b>	<b>248</b>	<b>236</b>	<b>(\$20,576)</b>

**Service Center Operations – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	3,556	3,378	\$422,168	\$124.98	3,806	3,616	\$479,784	\$132.68	4,054	3,852	\$438,776	\$113.91	248	236	(\$41,008)	(\$18.77)
<b>Total</b>	<b>3,556</b>	<b>3,378</b>	<b>\$422,168</b>	<b>\$124.98</b>	<b>3,806</b>	<b>3,616</b>	<b>\$479,784</b>	<b>\$132.68</b>	<b>4,054</b>	<b>3,852</b>	<b>\$438,776</b>	<b>\$113.91</b>	<b>248</b>	<b>236</b>	<b>(\$41,008)</b>	<b>(\$18.77)</b>
Mandatory - Fee	3,556	3,378	\$422,168	\$124.98	3,806	3,616	\$479,784	\$132.68	4,054	3,852	\$438,776	\$113.91	248	236	(\$41,008)	(\$18.77)

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$292,960	\$332,942	\$299,653	(\$33,289)
11.3 Other than Full-Time Permanent	\$1,158	\$1,316	\$1,184	(\$132)
11.5 Other Personnel Compensation	\$24,667	\$28,034	\$25,231	(\$2,803)
12.1 Civilian Personnel Benefits	\$103,383	\$117,492	\$112,708	(\$4,784)
<b>Total - Personnel Compensation and Benefits</b>	<b>\$422,168</b>	<b>\$479,784</b>	<b>\$438,776</b>	<b>(\$41,008)</b>
<b>Positions and FTE</b>				
Positions - Civilian	3,556	3,806	4,054	248
FTE - Civilian	3,378	3,616	3,852	236

### Pay Cost Drivers

Leading Cost-Drivers (Dollars in Thousands)	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	2,525	\$325,802	\$129.03	2,703	\$370,266	\$136.98	2,880	\$338,619	\$117.58	177	(\$31,647)	(\$19.41)
Other Personnel	853	\$96,366	\$112.97	913	\$109,518	\$119.95	972	\$100,157	\$103.04	59	(\$9,361)	(\$16.91)
<b>Total – Pay Cost Drivers</b>	<b>3,378</b>	<b>\$422,168</b>	<b>\$124.98</b>	<b>3,616</b>	<b>\$479,784</b>	<b>\$132.68</b>	<b>3,852</b>	<b>\$438,776</b>	<b>\$113.91</b>	<b>236</b>	<b>(\$41,008)</b>	<b>(\$18.77)</b>

#### Explanation of Pay Cost Drivers

**Mission Critical Occupation Positions:** Funds \$338.6M for 2,880 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, and Immigration Officers, who support the adjudication of the largest volume of applications across the broadest and most diverse cross-section of immigration benefits, which do not require an in-person interview.

**Other Personnel:** Funds \$100.2M for 972 non-Mission Critical Occupation Positions that support the adjudication of the largest volume of applications across the broadest and most diverse cross-section of immigration benefits, which do not require an in-person interview.

**Service Center Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Service Center Operations	\$265,323	\$287,479	\$307,911	\$20,432
<b>Total</b>	<b>\$265,323</b>	<b>\$287,479</b>	<b>\$307,911</b>	<b>\$20,432</b>
Mandatory - Fee	\$265,323	\$287,479	\$307,911	\$20,432

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$589	\$614	\$651	\$37
22.0 Transportation of Things	\$1,394	\$1,452	\$1,541	\$89
23.1 Rental Payments to GSA	\$36,910	\$26,579	\$31,000	\$4,421
23.2 Rental Payments to Others	-	\$38	\$40	\$2
23.3 Communications, Utilities, and Misc. Charges	\$33,814	\$38,533	\$40,898	\$2,365
24.0 Printing and Reproduction	\$2,275	\$2,592	\$2,751	\$159
25.1 Advisory and Assistance Services	\$95,798	\$109,166	\$115,864	\$6,698
25.2 Other Services from Non-Federal Sources	\$7,100	\$8,090	\$8,587	\$497
25.3 Other Goods and Services from Federal Sources	\$50,444	\$57,803	\$61,351	\$3,548
25.4 Operation and Maintenance of Facilities	\$67	\$76	\$81	\$5
25.7 Operation and Maintenance of Equipment	\$6,487	\$7,392	\$7,846	\$454
26.0 Supplies and Materials	\$18,958	\$21,866	\$23,208	\$1,342
31.0 Equipment	\$10,507	\$12,161	\$12,907	\$746
32.0 Land and Structures	\$966	\$1,101	\$1,169	\$68
42.0 Insurance Claims and Indemnities	\$14	\$16	\$17	\$1
<b>Total - Non Pay Object Classes</b>	<b>\$265,323</b>	<b>\$287,479</b>	<b>\$307,911</b>	<b>\$20,432</b>



**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Rental Payments to GSA	\$36,910	\$26,579	\$31,000	\$4,421
Service Center Operations Support Services (SCOSS) Contract	\$74,043	\$80,226	\$73,354	(\$6,872)
Treasury Lockbox Reimbursement	\$46,300	\$50,166	\$46,522	(\$3,644)
USCIS Secure Mail Initiative	\$23,658	\$25,634	\$23,712	(\$1,922)
Other Costs	\$84,412	\$104,874	\$133,323	\$28,449
<b>Total – Non Pay Cost Drivers</b>	<b>\$265,323</b>	<b>\$287,479</b>	<b>\$307,911</b>	<b>\$20,432</b>

**Explanation of Non Pay Cost Drivers**

**Rental Payments to GSA:** The FY 2020 amount is based on projections developed by USCIS' Facilities Division, using information provided by GSA. The \$4.4M change from FY 2019 to FY 2020 reflects projected lease adjustments.

**Service Center Operations Support Services (SCOSS) Contract:** Provides administrative and technical functions in support of records management at USCIS' five Service Centers. This includes file operations, as well as data entry, fee collection, and mail operations for the applications and petitions received directly by the Service Centers rather than those received at a lockbox.

**Treasury Lockbox Reimbursement:** USCIS maintains a reimbursable agreement with the Department of the Treasury for services provided by its fiscal agent JP Morgan Chase to collect and deposit revenue from immigration fees. The lockbox performs the initial intake and data entry of applications, scanning of materials, transmission of data to USCIS case management systems, transfer of files to USCIS processing centers, and depositing of checks into USCIS's Treasury accounts.

**USCIS Secure Mail Initiative:** Funds priority mail postage for delivery of secure identification documents, which require delivery to be tracked and signed.

**Other Costs:** Funds the remaining management and support costs to adjudicate applications, which do not generally require an in-person interview, as well as the publication and processing of USCIS forms and secure documents.

*Asylum, Refugee and International Operations – PPA*

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum, Refugee and International Operations	1,668	1,585	\$345,100	1,917	1,822	\$356,206	1,936	1,840	\$349,295	19	18	(\$6,911)
<b>Total</b>	<b>1,668</b>	<b>1,585</b>	<b>\$345,100</b>	<b>1,917</b>	<b>1,822</b>	<b>\$356,206</b>	<b>1,936</b>	<b>1,840</b>	<b>\$349,295</b>	<b>19</b>	<b>18</b>	<b>(\$6,911)</b>
Subtotal Mandatory - Fee	1,668	1,585	\$345,100	1,917	1,822	\$356,206	1,936	1,840	\$349,295	19	18	(\$6,911)

**PPA Level I Description**

This PPA funds USCIS’ Refugee, Asylum, and International Operations (RAIO) Directorate, which extends humanitarian protection, citizenship, and other immigration benefits to eligible individuals. In addition, RAIO adjudicates asylum and refugee status applications for individuals seeking protection from persecution and facilitates the process for close relatives of approved refugees and asylees to immigrate to the United States.

**Asylum Refugee and International Operations – PPA**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$345,100</b>	<b>\$356,206</b>	<b>\$349,295</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$88,773	\$147,204	\$147,204
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$433,873</b>	<b>\$503,410</b>	<b>\$496,499</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$433,873</b>	<b>\$503,410</b>	<b>\$496,499</b>
Obligations (Actual/Estimates/Projections)	\$292,845	\$356,206	\$349,295
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	1,668	1,917	1,936
Enacted/Request FTE	1,585	1,822	1,840
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	1,443	1,917	1,936
FTE (Actual/Estimates/Projections)	1,394	1,822	1,840

## Asylum Refugee and International Operations – PPA

### Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	<b>1,668</b>	<b>1,585</b>	<b>\$345,100</b>
<b>FY 2019 President's Budget</b>	<b>1,917</b>	<b>1,822</b>	<b>\$356,206</b>
<b>FY 2020 Base Budget</b>	<b>1,917</b>	<b>1,822</b>	<b>\$356,206</b>
Realignment between PPAs to reflect operational requirements	-	-	(\$18,662)
<b>Total Transfers</b>	-	-	<b>(\$18,662)</b>
FERS Agency Contribution	-	-	\$3,191
GSA Rent Enhancement	-	-	\$7,583
<b>Total, Pricing Increases</b>	-	-	<b>\$10,774</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>(\$7,888)</b>
<b>FY 2020 Current Services</b>	<b>1,917</b>	<b>1,822</b>	<b>\$348,318</b>
Annualization of FY19 Enhancement Positions	19	18	\$977
Off-Setting Reinvestment of Cuban Haitian Entrance Program (CHEP) funds	-	-	\$12,000
<b>Total, Program Increases</b>	<b>19</b>	<b>18</b>	<b>\$12,977</b>
Cessation of Cuban Haitian Entrance Program (CHEP)	-	-	(\$12,000)
<b>Total, Program Decreases</b>	-	-	<b>(\$12,000)</b>
<b>FY 2020 Estimate</b>	<b>1,936</b>	<b>1,840</b>	<b>\$349,295</b>
<b>FY 2019 To FY 2020 Change</b>	<b>19</b>	<b>18</b>	<b>(\$6,911)</b>

**Asylum Refugee and International Operations – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Asylum, Refugee and International Operations	1,668	1,585	\$188,469	\$118.61	1,917	1,822	\$195,039	\$106.78	1,936	1,840	\$206,655	\$112.04	19	18	\$11,616	\$5.26
<b>Total</b>	<b>1,668</b>	<b>1,585</b>	<b>\$188,469</b>	<b>\$118.61</b>	<b>1,917</b>	<b>1,822</b>	<b>\$195,039</b>	<b>\$106.78</b>	<b>1,936</b>	<b>1,840</b>	<b>\$206,655</b>	<b>\$112.04</b>	<b>19</b>	<b>18</b>	<b>\$11,616</b>	<b>\$5.26</b>
Mandatory - Fee	1,668	1,585	\$188,469	\$118.61	1,917	1,822	\$195,039	\$106.78	1,936	1,840	\$206,655	\$112.04	19	18	\$11,616	\$5.26

\* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$127,875	\$132,332	\$139,624	\$7,292
11.3 Other than Full-Time Permanent	\$5,358	\$5,545	\$5,802	\$257
11.5 Other Personnel Compensation	\$7,185	\$7,436	\$7,781	\$345
12.1 Civilian Personnel Benefits	\$47,586	\$49,245	\$52,944	\$3,699
13.0 Benefits for Former Personnel	\$465	\$481	\$504	\$23
<b>Total - Personnel Compensation and Benefits</b>	<b>\$188,469</b>	<b>\$195,039</b>	<b>\$206,655</b>	<b>\$11,616</b>
<b>Positions and FTE</b>				
Positions - Civilian	1,668	1,917	1,936	19
FTE - Civilian	1,585	1,822	1,840	18

### Pay Cost Drivers

Leading Cost-Drivers (Dollars in Thousands)	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	1,134	\$137,247	\$121.03	1,304	\$142,032	\$108.92	1,317	\$150,491	\$114.27	13	\$8,459	\$5.35
Other Personnel	451	\$51,222	\$113.57	518	\$53,007	\$102.33	523	\$56,164	\$107.39	5	\$3,157	\$5.06
<b>Total – Pay Cost Drivers</b>	<b>1,585</b>	<b>\$188,469</b>	<b>\$118.91</b>	<b>1,822</b>	<b>\$195,039</b>	<b>\$107.05</b>	<b>1,840</b>	<b>\$206,655</b>	<b>\$112.31</b>	<b>18</b>	<b>\$11,616</b>	<b>\$5.27</b>

#### Explanation of Pay Cost Drivers

**Mission Critical Occupations Positions:** Funds \$150.5M to support 1,317 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, Refugee Officers, and Asylum Officers, who adjudicate asylum and refugee status applications for individuals seeking protection from persecution and facilitates the process for close relatives of approved refugees and asylees to immigrate to the United States.

**Other Personnel:** Funds \$56.2M to support 523 non-Mission Critical Occupation Positions that support the adjudication of asylum and refugee status applications for individuals seeking protection from persecution and facilitate the process for close relatives of approved refugees and asylees to immigrate to the United States.

**Asylum Refugee and International Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Asylum, Refugee and International Operations	\$156,631	\$161,167	\$142,640	(\$18,527)
<b>Total</b>	<b>\$156,631</b>	<b>\$161,167</b>	<b>\$142,640</b>	<b>(\$18,527)</b>
Mandatory - Fee	\$156,631	\$161,167	\$142,640	(\$18,527)

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$20,000	\$20,000	\$13,830	(\$6,170)
22.0 Transportation of Things	\$1,677	\$1,677	\$1,849	\$172
23.1 Rental Payments to GSA	\$18,716	\$21,688	\$29,271	\$7,583
23.2 Rental Payments to Others	\$3,661	\$4,001	\$4,410	\$409
23.3 Communications, Utilities, and Misc. Charges	\$6,023	\$6,516	\$7,182	\$666
24.0 Printing and Reproduction	\$10	\$10	\$11	\$1
25.1 Advisory and Assistance Services	\$16,179	\$17,516	\$19,309	\$1,793
25.2 Other Services from Non-Federal Sources	\$57,482	\$64,174	\$51,805	(\$12,369)
25.3 Other Goods and Services from Federal Sources	\$3,307	\$3,512	\$3,871	\$359
25.7 Operation and Maintenance of Equipment	\$5,373	\$5,458	\$6,015	\$557
26.0 Supplies and Materials	\$1,417	\$1,551	\$1,709	\$158
31.0 Equipment	\$2,126	\$2,209	\$2,434	\$225
32.0 Land and Structures	\$827	\$849	\$937	\$88
41.0 Grants, Subsidies, and Contributions	\$19,823	\$12,000	-	(\$12,000)
42.0 Insurance Claims and Indemnities	\$10	\$6	\$7	\$1
<b>Total - Non Pay Object Classes</b>	<b>\$156,631</b>	<b>\$161,167</b>	<b>\$142,640</b>	<b>(\$18,527)</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Dept. of State Reimbursement for Services Performed Overseas on USCIS' Behalf	\$25,000	\$25,724	\$20,000	(\$5,724)
Rental Payments to GSA	\$18,716	\$21,688	\$29,271	\$7,583
USCIS Refugee, Asylum, and International Operations (RAIO) Travel and Overseas Costs	\$33,559	\$34,531	\$34,122	(\$409)
Other Costs	\$72,984	\$79,224	\$59,247	(\$19,977)
<b>Total Non Pay Cost Drivers</b>	<b>\$156,631</b>	<b>\$161,167</b>	<b>\$142,640</b>	<b>(\$18,527)</b>

**Explanation of Non Pay Cost Drivers**

**Dept. of State Reimbursement for Services Performed Overseas on USCIS' Behalf:** This interagency agreement is for services that DOS provides for USCIS at overseas consular and embassy locations.

**Rental Payments to GSA:** The FY 2020 amount is based on projections developed by USCIS' Facilities Division, using information provided by GSA and the \$7.6M change from FY 2019 to FY 2020 reflects projected lease adjustments.

**USCIS Refugee, Asylum, and International Operations (RAIO) Travel and Overseas Costs:** This cost driver includes travel for refugee and asylum applicant interviews and includes travel to the Southwest Border to interview detainees as well as costs for International Cooperative Administrative Support Services (ICASS) and Capital Cost Sharing (CSCS) with the Department of State. Additionally, the cost driver includes general operating expenses for the USCIS International Operations Division.

**Other Costs:** Funds the remaining management and support costs to adjudicate asylum and refugee status applications.



**Records Operations – PPA**

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Records Operations	430	408	\$137,526	459	436	\$150,941	471	447	\$155,150	12	11	\$4,209
<b>Total</b>	<b>430</b>	<b>408</b>	<b>\$137,526</b>	<b>459</b>	<b>436</b>	<b>\$150,941</b>	<b>471</b>	<b>447</b>	<b>\$155,150</b>	<b>12</b>	<b>11</b>	<b>\$4,209</b>
Subtotal Mandatory - Fee	430	408	\$137,526	459	436	\$150,941	471	447	\$155,150	12	11	\$4,209

**PPA Level I Description**

This PPA primarily supports the Records Division and the National Records Center (NRC) within the Immigration Records and Identity Services Directorate (IRIS). The Records Division administers immigration records created by USCIS and enforcement records created by other DHS entities, including alien registration files (A-files). The NRC stores and accesses over 18M A-files, providing timely and appropriate access to requested records and information in an efficient manner. In addition, NRC is responsible for the largest Freedom of Information Act (FOIA) program within the Federal Government.

## Records Operations – PPA Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2018	FY 2019	FY 2020
<b>Enacted/Request/Estimate</b>	<b>\$137,526</b>	<b>\$150,941</b>	<b>\$155,150</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$37,844	\$84,948	\$84,948
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$175,370</b>	<b>\$235,889</b>	<b>\$240,098</b>
Collections – Reimbursable Resources	\$3,599	-	-
<b>Total Budget Resources</b>	<b>\$178,969</b>	<b>\$235,889</b>	<b>\$240,098</b>
Obligations (Actual/Estimates/Projections)	\$114,069	\$150,941	\$155,150
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	430	459	471
Enacted/Request FTE	408	436	447
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	421	459	471
FTE (Actual/Estimates/Projections)	373	436	447

**Records Operations – PPA  
Collections Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - U.S. Immigration and Customs Enforcement      Source	-	-	\$2,409	-	-	-	-	-	-
Independent Agency - Social Security Administration      Source	-	-	\$7	-	-	-	-	-	-
Department of Homeland Security - U.S. Customs and Border Protection      Source	-	-	\$1,183	-	-	-	-	-	-
<b>Total Collections</b>	-	-	<b>\$3,599</b>	-	-	-	-	-	-

## Records Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	430	408	\$137,526
<b>FY 2019 President's Budget</b>	459	436	\$150,941
<b>FY 2020 Base Budget</b>	459	436	\$150,941
Realignment between PPAs to reflect operational requirements	-	-	\$1,708
<b>Total Transfers</b>	-	-	<b>\$1,708</b>
FERS Agency Contribution	-	-	\$765
GSA Rent Enhancement	-	-	\$1,045
<b>Total, Pricing Increases</b>	-	-	<b>\$1,810</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$3,518</b>
<b>FY 2020 Current Services</b>	<b>459</b>	<b>436</b>	<b>\$154,459</b>
Annualization of FY19 Enhancement Positions	12	11	\$691
<b>Total, Program Increases</b>	<b>12</b>	<b>11</b>	<b>\$691</b>
<b>FY 2020 Estimate</b>	<b>471</b>	<b>447</b>	<b>\$155,150</b>
<b>FY 2019 To FY 2020 Change</b>	<b>12</b>	<b>11</b>	<b>\$4,209</b>

**Records Operations – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Records Operations	430	408	\$42,541	\$104.27	459	436	\$47,741	\$109.5	471	447	\$47,502	\$106.27	12	11	(\$239)	(\$3.23)
<b>Total</b>	<b>430</b>	<b>408</b>	<b>\$42,541</b>	<b>\$104.27</b>	<b>459</b>	<b>436</b>	<b>\$47,741</b>	<b>\$109.5</b>	<b>471</b>	<b>447</b>	<b>\$47,502</b>	<b>\$106.27</b>	<b>12</b>	<b>11</b>	<b>(\$239)</b>	<b>(\$3.23)</b>
Mandatory - Fee	430	408	\$42,541	\$104.27	459	436	\$47,741	\$109.5	471	447	\$47,502	\$106.27	12	11	(\$239)	(\$3.23)

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$29,164	\$32,502	\$31,829	(\$673)
11.3 Other than Full-Time Permanent	\$515	\$574	\$563	(\$11)
11.5 Other Personnel Compensation	\$2,952	\$3,366	\$3,296	(\$70)
12.1 Civilian Personnel Benefits	\$9,910	\$11,299	\$11,814	\$515
<b>Total - Personnel Compensation and Benefits</b>	<b>\$42,541</b>	<b>\$47,741</b>	<b>\$47,502</b>	<b>(\$239)</b>
<b>Positions and FTE</b>				
Positions - Civilian	430	459	471	12
FTE - Civilian	408	436	447	11

**Pay Cost Drivers**

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Other Personnel	408	\$42,541	\$104.27	436	\$47,741	\$109.50	447	\$47,502	\$106.27	11	(\$239)	(\$3.23)
<b>Total Pay Cost Drivers</b>	<b>408</b>	<b>\$42,541</b>	<b>\$104.27</b>	<b>436</b>	<b>\$47,741</b>	<b>\$109.50</b>	<b>447</b>	<b>\$47,502</b>	<b>\$106.27</b>	<b>11</b>	<b>(\$239)</b>	<b>(\$3.23)</b>

**Explanation of Pay Cost Drivers**

**Other Personnel:** Other personnel funds \$47.5M for personnel who administer immigration records created by USCIS and enforcement records created by other DHS entities, including alien registration files (A-files) and provide access to requested records and information in an efficient manner, and manage the USCIS FOIA program.

**Records Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Records Operations	\$94,985	\$103,200	\$107,648	\$4,448
<b>Total</b>	<b>\$94,985</b>	<b>\$103,200</b>	<b>\$107,648</b>	<b>\$4,448</b>
Mandatory - Fee	\$94,985	\$103,200	\$107,648	\$4,448

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$225	\$247	\$256	\$9
22.0 Transportation of Things	\$105	\$115	\$119	\$4
23.1 Rental Payments to GSA	\$8,052	\$8,458	\$9,503	\$1,045
23.3 Communications, Utilities, and Misc. Charges	\$4,961	\$5,406	\$5,600	\$194
24.0 Printing and Reproduction	\$958	\$1,044	\$1,082	\$38
25.1 Advisory and Assistance Services	\$72,110	\$78,584	\$81,406	\$2,822
25.2 Other Services from Non-Federal Sources	\$964	\$1,050	\$1,088	\$38
25.3 Other Goods and Services from Federal Sources	\$1,822	\$1,986	\$2,057	\$71
25.4 Operation and Maintenance of Facilities	\$372	\$406	\$421	\$15
25.7 Operation and Maintenance of Equipment	\$170	\$186	\$193	\$7
26.0 Supplies and Materials	\$516	\$563	\$583	\$20
31.0 Equipment	\$4,347	\$4,737	\$4,907	\$170
32.0 Land and Structures	\$383	\$418	\$433	\$15
<b>Total - Non Pay Object Classes</b>	<b>\$94,985</b>	<b>\$103,200</b>	<b>\$107,648</b>	<b>\$4,448</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
National Benefits Center (NBC) Records Contract	\$20,573	\$22,352	\$20,573	(\$1,779)
National Records Center (NRC) Records Operations Support Contract	\$10,172	\$11,052	\$9,641	(\$1,411)
Records Compaction - USCIS Record Audit and Retention	\$11,914	\$12,944	\$9,445	(\$3,499)
Retired Records Storage - National Archives and Records Admin. (NARA) Reimbursement	\$12,933	\$14,052	\$12,933	(\$1,119)
Other Costs	\$39,393	\$42,800	\$55,056	\$12,256
<b>Total – Non Pay Cost Drivers</b>	<b>\$94,985</b>	<b>\$103,200</b>	<b>\$107,648</b>	<b>\$4,448</b>

**Explanation of Non Pay Cost Drivers**

**National Benefits Center (NBC) Records Contract:** This contract provides active records management, file operations, quality control/business process improvement, and reporting services at the National Benefits Center. Contract levels fluctuate based on application/petition volume receipts.

**National Records Center (NRC) Records Operations Support Contract:** This funds centralized long-term storage and maintenance of immigration records.

**Records Compaction - USCIS Record Audit and Retention:** This cost is to compact older A-Files stored at the Federal Records Center to improve data integrity and reduce the number of lost files.

**Retired Records Storage - National Archives and Records Admin. (NARA) Reimbursement:** This funds the storage of inactive immigration records as USCIS must move inactive records to other storage facilities to free up space for active A-Files.

**Other Costs:** Funds the remaining management and support costs of the immigration records management, including the storage and access of A-files as well as the USCIS FOIA process.



**Premium Processing (Including Transformation) – PPA**

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Premium Processing (Including Transformation)	1,430	1,359	\$642,746	1,293	1,229	\$643,423	1,402	1,333	\$658,190	109	104	\$14,767
<b>Total</b>	<b>1,430</b>	<b>1,359</b>	<b>\$642,746</b>	<b>1,293</b>	<b>1,229</b>	<b>\$643,423</b>	<b>1,402</b>	<b>1,333</b>	<b>\$658,190</b>	<b>109</b>	<b>104</b>	<b>\$14,767</b>
Subtotal Mandatory - Fee	1,430	1,359	\$642,746	1,293	1,229	\$643,423	1,402	1,333	\$658,190	109	104	\$14,767

**PPA Level I Description**

This PPA supports USCIS Premium Processing, which includes but is not limited to the Transformation program. The Immigration and Nationality Act §286(u) authorizes USCIS to charge business applicants a premium processing fee and to use revenue from this fee to provide the premium processing service, as well as to make infrastructure improvements in the adjudications and customer-service processes.

The Premium Processing PPA Funds:

- Adjudication of cases for business applicants that have requested premium processing service,
- System development and operations and maintenance costs for the USCIS’ business transformation investment, including the Electronic Immigration System (ELIS),
- Additional infrastructure improvements in the adjudications and customer service processes, and
- Staffing to mitigate the application/petition processing backlogs.

The Transformation investment program is an enterprise-wide modernization initiative to transition the Agency from largely paper-based business processes to a digital operational environment facilitating electronic processing and adjudication of immigration benefits. The program is focusing on completing the following business objectives:

**Transformation Business Objectives (FY 2018 through FY 2020)**

<b>Business Objectives (FY 2018)</b>
<p><b>1st Quarter</b></p> <ul style="list-style-type: none"> <li>• Modernized and improved the digital process flow of I-90 (green card replacement), N-400 (Application for Naturalization), and Immigrant Visa (IV) fee product lines</li> <li>• Added enterprise features</li> </ul>

- Enhanced ELIS to allow digital processing of additional Temporary Protected Status (TPS) countries such as Honduras and El Salvador
- Pivoted N-400 processing from the legacy IT system to ELIS
- Prepared for program re-baseline by completing remediation plan & Acquisition Decision Memorandum (ADM) actions
- Re-baselined program
- Improved system scalability and maintainability by refactoring application architecture into smaller microservices

**2nd Quarter**

- Added features to ELIS to enhance digital processing of N-400 to support Homebound applicants who are unable to travel to Application Service Centers (ASC) for biometric appointments or to USCIS locations for naturalization interviews or ceremonies
- Enhanced ELIS to allow digital processing of additional TPS countries such as Syria
- Enhanced ELIS to improve and strengthen the ELIS security posture
- Enhanced ELIS to support ingestion of N-400 applications via the e-filing intake channel (myUSCIS)
- Added features to ELIS to improve the digital processing flow of Employment Authorization Documents (EADs)
- Added features to ELIS to support future digital processing of Family-based Adjustment of Status (FB-AOS) forms within the Immigrant Line of Business (LoB)
- Improved system scalability and maintainability by refactoring the application architecture into smaller microservices
- Improved the ELIS security posture by executing a DHS High Value Asset (HVA) assessment
- Prepared for program re-baseline by completing remediation plan & ADM actions

**3rd Quarter**

- Enhanced ELIS to allow digital processing of remaining products (N-600, Application for Certificate of Citizenship/N-600K, Application for Citizenship and Issuance of Certificate) in the Citizenship Line of Business (LoB)
- Enhanced ELIS to allow digital processing of additional TPS countries such as Nepal
- Added features to strengthen the ELIS security posture
- Enhanced ELIS to support ingestion of N-565, Application for Replacement Naturalization/Citizenship Document requests via the e-filing intake channel (myUSCIS)
- Added features to ELIS to support digital processing of N-400 military naturalizations
- Added features to ELIS to support future digital processing of FB-AOS forms within the Immigrant LoB
- Initiated the Third Party Application Integration (3PI) initiative to create an additional e-filing channel that allows cases from third party filers to be ingested into ELIS for digital processing
- Enhanced systems integration logging and error handling, and improve enterprise data quality standards
- Obtained program re-baseline

**4th Quarter**

- Enhanced ELIS to allow digital processing of remaining products N-600 and N-600K in the Citizenship LoB
- Enhanced ELIS to allow digital processing of additional TPS countries such as Yemen and Somalia
- Delivered ELIS features to support digital processing of N-400 military naturalizations

- Enhanced ELIS to support ingestion of N-600 applications via the e-filing intake channel (myUSCIS)
- Added features to ELIS to support future digital processing of FB-AOS forms within the Immigrant LoB
- Enhanced systems integration logging and error handling, and improve enterprise data quality standards
- Added features to strengthen the ELIS security posture

**Business Objectives (FY 2019)**

**1st Quarter**

- Complete delivery of the Citizenship LOB by enhancing ELIS to allow digital processing of N-600K
- Add features to ELIS to support future digital processing of FB-AOS forms within the Immigrant LoB
- Enhance ELIS to support ingestion of N-600K applications via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

**2nd Quarter**

- Add features to ELIS to support future digital processing of FB-AOS forms within the Immigrant LoB
- Enhance ELIS to support ingestion of I-130, Petition for Alien Relative via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

**3rd Quarter**

- Add features to ELIS to support future digital processing of FB-AOS forms within the Immigrant LoB
- Enhance ELIS to support ingestion of I-485 applications via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

**4th Quarter**

- Enhance ELIS to support digital processing of FB-AOS
- Enhance ELIS to support ingestion of applications and petitions via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure

- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

### **Business Objectives (FY 2020)**

#### **1st Quarter**

- Conduct Operational Test & Evaluation (IOT&E) in support of Acquisition Decision Event (ADE)-3 and Full Operational Capability (FOC)
- Enhance ELIS to support digital processing of Family-based Adjustment of Status (FB-AOS)
- Enhance ELIS to support ingestion of applications and petitions via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian Lines of Business (LoB)

#### **2nd Quarter**

- Complete IOT&E
- Prepare for ADE-3 and FOC
- Enhance ELIS to support ingestion of applications and petitions via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB
- Achieve ELIS FOC (transition to Operations & Maintenance phase)

#### **3rd Quarter**

- Enhance ELIS to support ingestion of applications and petitions via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

#### **4th Quarter**

- Sustain ELIS FOC (Operations & Maintenance)
- Enhance ELIS to support ingestion of applications and petitions via the e-filing intake channel (myUSCIS)
- Add features to strengthen the ELIS security posture
- Enhance systems integration logging and error handling, and improve enterprise data quality standards
- Sustain cloud and platform infrastructure
- Sustain existing product lines within the Citizenship, Immigrant, and Humanitarian LoB

**Premium Processing (Including Transformation) – PPA**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$642,746</b>	<b>\$643,423</b>	<b>\$658,190</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$429,068	\$382,162	\$391,606
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$9,381	\$9,444	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$1,081,195</b>	<b>\$1,035,029</b>	<b>\$1,049,796</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$1,081,195</b>	<b>\$1,035,029</b>	<b>\$1,049,796</b>
Obligations (Actual/Estimates/Projections)	\$542,098	\$643,423	\$658,190
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	1,430	1,293	1,402
Enacted/Request FTE	1,359	1,229	1,333
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	1,119	1,293	1,402
FTE (Actual/Estimates/Projections)	1,039	1,229	1,333

**Premium Processing (Including Transformation) – PPA**  
**Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	<b>1,430</b>	<b>1,359</b>	<b>\$642,746</b>
<b>FY 2019 President's Budget</b>	<b>1,293</b>	<b>1,229</b>	<b>\$643,423</b>
<b>FY 2020 Base Budget</b>	<b>1,293</b>	<b>1,229</b>	<b>\$643,423</b>
Realignment between PPAs to reflect operational requirements	-	-	\$4,584
<b>Total Transfers</b>	-	-	<b>\$4,584</b>
FERS Agency Contribution	-	-	\$1,299
<b>Total, Pricing Increases</b>	-	-	<b>\$1,299</b>
GSA Rent Enhancement	-	-	(\$1,927)
<b>Total, Pricing Decreases</b>	-	-	<b>(\$1,927)</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$3,956</b>
<b>FY 2020 Current Services</b>	<b>1,293</b>	<b>1,229</b>	<b>\$647,379</b>
Annualization of FY19 Enhancement Positions	109	104	\$10,811
<b>Total, Program Increases</b>	<b>109</b>	<b>104</b>	<b>\$10,811</b>
<b>FY 2020 Estimate</b>	<b>1,402</b>	<b>1,333</b>	<b>\$658,190</b>
<b>FY 2019 To FY 2020 Change</b>	<b>109</b>	<b>104</b>	<b>\$14,767</b>

**Premium Processing (Including Transformation) – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Premium Processing (Including Transformation)	1,430	1,359	\$118,742	\$87.37	1,293	1,229	\$119,419	\$97.17	1,402	1,333	\$144,799	\$108.63	109	104	\$25,380	\$11.46
<b>Total</b>	<b>1,430</b>	<b>1,359</b>	<b>\$118,742</b>	<b>\$87.37</b>	<b>1,293</b>	<b>1,229</b>	<b>\$119,419</b>	<b>\$97.17</b>	<b>1,402</b>	<b>1,333</b>	<b>\$144,799</b>	<b>\$108.63</b>	<b>109</b>	<b>104</b>	<b>\$25,380</b>	<b>\$11.46</b>
Mandatory - Fee	1,430	1,359	\$118,742	\$87.37	1,293	1,229	\$119,419	\$97.17	1,402	1,333	\$144,799	\$108.63	109	104	\$25,380	\$11.46

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$55,727	\$56,042	\$67,223	\$11,181
11.3 Other than Full-Time Permanent	\$88	\$89	\$106	\$17
11.5 Other Personnel Compensation	\$43,270	\$43,519	\$52,200	\$8,681
12.1 Civilian Personnel Benefits	\$19,657	\$19,769	\$25,270	\$5,501
<b>Total - Personnel Compensation and Benefits</b>	<b>\$118,742</b>	<b>\$119,419</b>	<b>\$144,799</b>	<b>\$25,380</b>
<b>Positions and FTE</b>				
Positions - Civilian	1,430	1,293	1,402	109
FTE - Civilian	1,359	1,229	1,333	104

**Pay Cost Drivers**

Leading Cost-Drivers (Dollars in Thousands)	FY 2018 Enacted			FY 2019 President’s Budget			FY 2020 President’s Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	1,086	\$95,652	\$88.08	982	\$96,198	\$97.96	1,065	\$116,643	\$109.52	83	\$20,445	\$11.56
Other Personnel	273	\$23,090	\$84.58	247	\$23,221	\$94.01	268	\$28,156	\$105.06	21	\$4,935	\$11.05
<b>Total – Pay Cost Drivers</b>	<b>1,359</b>	<b>\$118,742</b>	<b>\$87.37</b>	<b>1,229</b>	<b>\$119,419</b>	<b>\$97.17</b>	<b>1,333</b>	<b>\$144,799</b>	<b>\$108.63</b>	<b>104</b>	<b>\$25,380</b>	<b>\$11.46</b>

**Explanation of Pay Cost Drivers**

**Mission Critical Occupation Positions:** Funds \$116.6M for 1,065 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, and Asylum Officers, who adjudicate cases for business applicants that have requested premium processing service and/or that contribute to USCIS’s effort to mitigate application/petition backlogs in all benefit types. An increase of 83 FTE for the annualization of an FY 2019 program increases to support mitigation efforts of the asylum backlog is driving this cost.

**Other Personnel:** Funds \$28.2M for 268 non-Mission Critical Occupation Positions that support the various functions within the Premium Processing PPA. This includes staff that support adjudicative workloads and those that support the Transformation investment program in the Office of Information Technology’s Transformation Delivery Division.



**Premium Processing (Including Transformation) – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Premium Processing (Including Transformation)	\$524,004	\$524,004	\$513,391	(\$10,613)
<b>Total</b>	<b>\$524,004</b>	<b>\$524,004</b>	<b>\$513,391</b>	<b>(\$10,613)</b>
Mandatory - Fee	\$524,004	\$524,004	\$513,391	(\$10,613)

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$264	\$285	\$280	(\$5)
22.0 Transportation of Things	\$21	\$23	\$23	-
23.1 Rental Payments to GSA	\$6,061	\$14,694	\$12,767	(\$1,927)
23.3 Communications, Utilities, and Misc. Charges	\$11,901	\$11,703	\$11,503	(\$200)
25.1 Advisory and Assistance Services	\$319,229	\$313,879	\$308,526	(\$5,353)
25.2 Other Services from Non-Federal Sources	\$8,272	\$8,135	\$7,996	(\$139)
25.3 Other Goods and Services from Federal Sources	\$22,047	\$21,679	\$21,309	(\$370)
25.7 Operation and Maintenance of Equipment	\$70,018	\$68,851	\$67,677	(\$1,174)
26.0 Supplies and Materials	\$519	\$511	\$503	(\$8)
31.0 Equipment	\$67,144	\$66,025	\$64,899	(\$1,126)
32.0 Land and Structures	\$18,514	\$18,205	\$17,894	(\$311)
42.0 Insurance Claims and Indemnities	\$14	\$14	\$14	-
<b>Total - Non Pay Object Classes</b>	<b>\$524,004</b>	<b>\$524,004</b>	<b>\$513,391</b>	<b>(\$10,613)</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Flexible Agile Development Services (FADS)	\$53,415	\$53,415	\$57,766	\$4,351
Information Technology Platforms	\$107,249	\$107,249	\$106,129	(\$1,120)
USCIS ELIS Development and Support	\$105,708	\$105,708	\$104,053	(\$1,655)
USCIS Lease Acquisition Program	\$28,183	\$28,183	\$28,183	-
Other Costs	\$229,449	\$229,449	\$217,260	(\$12,189)
<b>Total Non Pay Cost Drivers</b>	<b>\$524,004</b>	<b>\$524,004</b>	<b>\$513,391</b>	<b>(\$10,613)</b>

**Explanation of Non Pay Cost Drivers**

**Flexible Agile Development Services (FADS):** This item consists of several contracts for agile software development of ELIS.

**Information Technology Platforms:** This cost driver includes amounts for Data, Voice, and Video Infrastructure Support; the USCIS Applicant Service Portfolio; and the USCIS Naturalization Benefits Systems Portfolio.

**USCIS ELIS Development and Support:** This cost driver includes development and support for the USCIS ELIS system including Independent Verification and Validation, Applied Integration and Support, Information Technology Platform Engineering and Operations, and Asset and License Management. Additionally, this cost driver also includes contract costs for contact center support, service center data entry support, and the National Benefits Center records operations contract.

**USCIS Lease Acquisition Program:** This item includes facilities renovation/upgrade projects that improve applicant service and adjudication processes.

**Other Costs:** Funds the remaining management and support costs to provide the premium processing service, as well as to make infrastructure improvements in the adjudications and customer service processes.

**Information and Applicant Services – PPA****Budget Comparison and Adjustments****Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Information and Applicant Services	329	313	\$108,146	376	357	\$112,493	425	404	\$125,335	49	47	\$12,842
<b>Total</b>	<b>329</b>	<b>313</b>	<b>\$108,146</b>	<b>376</b>	<b>357</b>	<b>\$112,493</b>	<b>425</b>	<b>404</b>	<b>\$125,335</b>	<b>49</b>	<b>47</b>	<b>\$12,842</b>
Subtotal Mandatory - Fee	329	313	\$108,146	376	357	\$112,493	425	404	\$125,335	49	47	\$12,842

**PPA Level I Description**

The Information and Applicant Services PPA primarily supports the External Affairs Directorate's (EXA), Citizenship and Applicant Information Services (CAIS).

CAIS manages more than 14 million engagements with applicants/petitioners annually over multiple channels and various tiers of service, designs and develops enterprise online tools and resources, and leads agency-wide dialogue with external stakeholders. The EXA Directorate maintains the USCIS Contact Center and conducts engagements so USCIS applicants/petitioners and stakeholders can receive accurate, timely, consistent information and effective resolution of their specific issues during their first contact with the agency. Additionally, CAIS supports online tools such as Case Status Online and e-Request as well as serves as an agency leader in transformation and innovation to build a unified digital experience for applicants/petitioners.

Call volume is the single largest factor in determining costs of the Information and Applicant Services PPA. Tier 1 calls are managed by contractors, and the more complicated calls are routed to Tiers 2 and 3 and are handled by experienced USCIS Federal staff. As the following table details, Tier 1 and Tier 2 call volume is anticipated to increase 20% in FY 2019 and 5% in FY 2020. Tier 3 call volume, which represents calls escalated from Tier 2 to a supervisor or Immigration Services Officer (ISO) Level 2, is anticipated to grow 20% in FY 2019 and 5% in FY 2020. The growth is partially driven by an anticipated increase in workload related to the national information services program implementation that will re-direct applicants/petitioners to the call center to schedule an appointment, rather than allowing unrestricted self-scheduling as was the previous practice. Through this initiative, USCIS seeks to avoid in-person visits to a field office when the contact center can provide information telephonically or electronically, thereby reducing unnecessary field office visits and allowing quicker scheduling of individuals that truly need to speak with an Immigration Services Officer in person. The reduction of unnecessary in-person field office visits will also increase the amount of field office staff time available for interviews and adjudications work.

<b>Call Volume</b>			
<b>Call Centers</b>	<b>FY 2018 Actuals*</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Tier 1</b>	6,608,515	7,930,218	8,326,729
<b>Tier 2</b>	1,267,721	1,521,265	1,597,328
<b>Tier 3</b>	126,772	152,126	159,732

\*The FY 2018 Actual numbers are through September 30, 2018; the FY 2019 and FY 2020 numbers are full year projections

**Information and Applicant Services – PPA  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$108,146</b>	<b>\$112,493</b>	<b>\$125,335</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$27,802	\$37,336	\$37,336
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$135,948</b>	<b>\$149,829</b>	<b>\$162,671</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$135,948</b>	<b>\$149,829</b>	<b>\$162,671</b>
Obligations (Actual/Estimates/Projections)	\$99,476	\$112,493	\$125,335
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	329	376	425
Enacted/Request FTE	313	357	404
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	359	376	425
FTE (Actual/Estimates/Projections)	347	357	404

**Information and Applicant Services – PPA**  
**Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	329	313	\$108,146
<b>FY 2019 President's Budget</b>	376	357	\$112,493
<b>FY 2020 Base Budget</b>	376	357	\$112,493
Realignment between PPAs to reflect operational requirements	-	-	\$6,957
<b>Total Transfers</b>	-	-	\$6,957
FERS Agency Contribution	-	-	\$749
GSA Rent Enhancement	-	-	\$3,283
<b>Total, Pricing Increases</b>	-	-	\$4,032
<b>Total Adjustments-to-Base</b>	-	-	\$10,989
<b>FY 2020 Current Services</b>	376	357	\$123,482
Annualization of FY19 Enhancement Positions	49	47	\$1,853
<b>Total, Program Increases</b>	49	47	\$1,853
<b>FY 2020 Estimate</b>	425	404	\$125,335
<b>FY 2019 To FY 2020 Change</b>	49	47	\$12,842

**Information and Applicant Services – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Information and Applicant Services	329	313	\$39,821	\$127.22	376	357	\$44,168	\$123.72	425	404	\$44,513	\$110.18	49	47	\$345	(\$13.54)
<b>Total</b>	<b>329</b>	<b>313</b>	<b>\$39,821</b>	<b>\$127.22</b>	<b>376</b>	<b>357</b>	<b>\$44,168</b>	<b>\$123.72</b>	<b>425</b>	<b>404</b>	<b>\$44,513</b>	<b>\$110.18</b>	<b>49</b>	<b>47</b>	<b>\$345</b>	<b>(\$13.54)</b>
Mandatory - Fee	329	313	\$39,821	\$127.22	376	357	\$44,168	\$123.72	425	404	\$44,513	\$110.18	49	47	\$345	(\$13.54)

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$28,971	\$32,134	\$31,845	(\$289)
11.3 Other than Full-Time Permanent	\$192	\$213	\$211	(\$2)
11.5 Other Personnel Compensation	\$963	\$1,068	\$1,058	(\$10)
12.1 Civilian Personnel Benefits	\$9,695	\$10,753	\$11,399	\$646
<b>Total - Personnel Compensation and Benefits</b>	<b>\$39,821</b>	<b>\$44,168</b>	<b>\$44,513</b>	<b>\$345</b>
<b>Positions and FTE</b>				
Positions - Civilian	329	376	425	49
FTE - Civilian	313	357	404	47

### Pay Cost Drivers

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupations Positions	196	\$20,480	\$104.49	224	\$22,716	\$101.41	253	\$22,893	\$90.49	29	\$177	(\$10.92)
Other Personnel	117	\$19,341	\$165.31	133	\$21,452	\$161.29	151	\$21,620	\$143.18	18	\$168	(\$18.12)
<b>Total – Pay Cost Drivers</b>	<b>313</b>	<b>\$39,821</b>	<b>\$127.22</b>	<b>357</b>	<b>\$44,168</b>	<b>\$123.72</b>	<b>404</b>	<b>\$44,513</b>	<b>\$110.18</b>	<b>47</b>	<b>\$345</b>	<b>(\$13.54)</b>

#### Explanation of Pay Cost Drivers

**Mission Critical Occupation Positions:** Funds \$22.9M for 253 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, and Appeals Officers that support and provide services to applicants/petitioners over multiple channels and various tiers of service, design and develop enterprise online tools and resources, and lead agency-wide dialogue with external stakeholders.

**Other Personnel:** Funds \$21.6M for 151 non-Mission Critical Occupation Positions that support services to applicants/petitioners over multiple channels and various tiers of service, design and develop enterprise online tools and resources, and lead agency-wide dialogue with external stakeholders.



**Information and Applicant Services – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Information and Applicant Services	\$68,325	\$68,325	\$80,822	\$12,497
<b>Total</b>	<b>\$68,325</b>	<b>\$68,325</b>	<b>\$80,822</b>	<b>\$12,497</b>
Mandatory - Fee	\$68,325	\$68,325	\$80,822	\$12,497

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$221	\$221	\$252	\$31
22.0 Transportation of Things	\$38	\$38	\$44	\$6
23.1 Rental Payments to GSA	\$3,657	\$3,726	\$7,009	\$3,283
23.3 Communications, Utilities, and Misc. Charges	\$3,706	\$3,702	\$4,230	\$528
24.0 Printing and Reproduction	\$1,176	\$1,175	\$1,342	\$167
25.1 Advisory and Assistance Services	\$52,261	\$52,205	\$59,651	\$7,446
25.2 Other Services from Non-Federal Sources	\$688	\$687	\$785	\$98
25.3 Other Goods and Services from Federal Sources	\$2,086	\$2,084	\$2,382	\$298
25.4 Operation and Maintenance of Facilities	\$55	\$55	\$63	\$8
25.7 Operation and Maintenance of Equipment	\$316	\$316	\$361	\$45
26.0 Supplies and Materials	\$1,757	\$1,755	\$2,005	\$250
31.0 Equipment	\$1,933	\$1,931	\$2,207	\$276
32.0 Land and Structures	\$415	\$414	\$473	\$59
42.0 Insurance Claims and Indemnities	\$16	\$16	\$18	\$2
<b>Total - Non Pay Object Classes</b>	<b>\$68,325</b>	<b>\$68,325</b>	<b>\$80,822</b>	<b>\$12,497</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
End User Desktop and Mobile Services	\$3,423	\$3,423	\$3,193	(\$230)
USCIS Contact Center Contracts	\$43,815	\$43,815	\$43,815	-
Other Costs	\$18,196	\$18,196	\$33,814	\$12,727
<b>Total Non Pay Cost Drivers</b>	<b>\$68,325</b>	<b>\$68,325</b>	<b>\$80,822</b>	<b>\$12,497</b>

**Explanation of Non Pay Cost Drivers**

**End User Desktop and Mobile Services:** This item includes end-user IT support to USCIS employees and contractors, as well as maintenance and support of locally-housed IT equipment and wireless devices. This amount represents a portion of the total annual contract cost that is attributable to Information and Applicant Services.

**USCIS Contact Center Contracts:** This item funds nationwide Tier 1 contact center contracts to operate the bilingual (English/Spanish) USCIS Contact Center.

**Other Costs:** Funds the remaining management and support costs for USCIS engagements with applicants/petitioners, online tools and resources, and coordination of agency-wide dialogue with external stakeholders.

*Administration – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Administration	1,645	1,563	\$504,615	1,760	1,672	\$519,002	1,839	1,747	\$651,808	79	75	\$132,806
<b>Total</b>	<b>1,645</b>	<b>1,563</b>	<b>\$504,615</b>	<b>1,760</b>	<b>1,672</b>	<b>\$519,002</b>	<b>1,839</b>	<b>1,747</b>	<b>\$651,808</b>	<b>79</b>	<b>75</b>	<b>\$132,806</b>
Subtotal Mandatory - Fee	1,645	1,563	\$504,615	1,760	1,672	\$519,002	1,839	1,747	\$651,808	79	75	\$132,806

**PPA Level I Description**

The Administration PPA supports a variety of USCIS headquarters offices, including: the Office of the Director, Administration, Investment Management Division, Chief Financial Officer, Chief Counsel, Privacy, Contracting, Policy and Strategy, Equal Opportunity and Inclusion, Human Capital and Training, Security and Integrity, and External Affairs (Legislative and Intergovernmental Affairs and Communications).

The Administration PPA supports the following functions: legal, privacy, equal opportunity and inclusion, policy and strategy, procurement operations; management of property, plant, and equipment, and other material resources; budget, planning and performance measures, strategic sourcing, financial and capital asset management; human resources and personnel recruitment, hiring, training, leadership development, employee benefits, and work-life programs, immigration forms, print services, and the management of security and emergency management operations.

The following table depicts actual and projected workloads for select functions included in the Administration PPA.

Responsible Office	Workload Measure	FY 2018 Actuals <sup>1</sup>	FY 2019 Projected	FY 2020 Projected
Office of Human Capital and Training	Personnel Actions	20,041	23,012	25,983
Office of Human Capital and Training	Employees Completing BASIC Immigration Adjudicator Training	986	1,504	1,216 <sup>2</sup>
Office of Security and Integrity	Adjudicative Determinations <sup>3</sup>	7,328	7,756	8,376
Office of Security and Integrity	Entry on Duty (EOD) Determinations <sup>4</sup>	7,366	7,900	8,532
Office of Equal Opportunity and Inclusion	Formal Complaint Filings	120	132	145 <sup>5</sup>
Office of Equal Opportunity and Inclusion	Disability Accommodation Requests	756	900	900
Office of Equal Opportunity and Inclusion	Informal Complaint Filings	199	221	243
Office of Equal Opportunity and Inclusion	Anti-Harassment Contracts	136	150	165 <sup>6</sup>

<sup>1</sup>The FY 2018 Actual numbers are through September 30, 2018; the FY 2019 and FY 2020 numbers are full year projections.

<sup>2</sup>The FY 2020 decrease in BASIC training from FY 2019 is due to the projected reduction of backlogged students being undertaken in FY 2019 with additional capacity being added through expansion efforts at FLETC and exportable classes.

<sup>3</sup>Includes suitability, fitness, security, reinvestigation, and Secure Compartmented Information (SCI) eligibility case types not including contract-to-contract transfers or internal employee selections.

<sup>4</sup>Includes contractor new hire, federal new hires, and transfers from other Federal agencies.

<sup>5</sup>Formal complaint filings have gradually increased and the FY 2019 and FY 2020 projections have been adjusted to reflect that trend and anticipated increases in caseloads and lower informal case resolution rates, which will result in more formal filings.

<sup>6</sup>Anti-harassment contacts increased in FY 2018 and it is expected these increases will continue given increased DHS efforts in this area as well as recent societal and cultural efforts around anti-harassment public education campaigns, which will likely increase cases moving forward into FY 2019 and FY 2020.

**Administration – PPA**  
**Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$504,615</b>	<b>\$519,002</b>	<b>\$651,808</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$126,234	\$139,298	\$139,298
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$630,849</b>	<b>\$658,300</b>	<b>\$791,106</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$630,849</b>	<b>\$658,300</b>	<b>\$791,106</b>
Obligations (Actual/Estimates/Projections)	\$481,353	\$519,002	\$651,808
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	1,645	1,760	1,839
Enacted/Request FTE	1,563	1,672	1,747
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	1,661	1,760	1,839
FTE (Actual/Estimates/Projections)	1,601	1,672	1,747

**Administration – PPA**  
**Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	1,645	1,563	\$504,615
<b>FY 2019 President's Budget</b>	1,760	1,672	\$519,002
<b>FY 2020 Base Budget</b>	1,760	1,672	\$519,002
Realignment between PPAs to reflect operational requirements	-	-	\$97,620
<b>Total Transfers</b>	-	-	\$97,620
FERS Agency Contribution	-	-	\$4,304
GSA Rent Enhancement	-	-	\$1,916
<b>Total, Pricing Increases</b>	-	-	\$6,220
<b>Total Adjustments-to-Base</b>	-	-	\$103,840
<b>FY 2020 Current Services</b>	1,760	1,672	\$622,842
Annualization of FY19 Enhancement Positions	79	75	\$3,966
Federal Law Enforcement Training Center (FLETC) Training Facility Construction	-	-	\$25,000
<b>Total, Program Increases</b>	79	75	\$28,966
<b>FY 2020 Estimate</b>	1,839	1,747	\$651,808
<b>FY 2019 To FY 2020 Change</b>	79	75	\$132,806

**Administration – PPA**  
**Personnel Compensation and Benefits**  
**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Administration	1,645	1,563	\$245,398	\$156.69	1,760	1,672	\$254,681	\$152.02	1,839	1,747	\$273,716	\$156.37	79	75	\$19,035	\$4.35
<b>Total</b>	<b>1,645</b>	<b>1,563</b>	<b>\$245,398</b>	<b>\$156.69</b>	<b>1,760</b>	<b>1,672</b>	<b>\$254,681</b>	<b>\$152.02</b>	<b>1,839</b>	<b>1,747</b>	<b>\$273,716</b>	<b>\$156.37</b>	<b>79</b>	<b>75</b>	<b>\$19,035</b>	<b>\$4.35</b>
Mandatory - Fee	1,645	1,563	\$245,398	\$156.69	1,760	1,672	\$254,681	\$152.02	1,839	1,747	\$273,716	\$156.37	79	75	\$19,035	\$4.35

\* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$176,369	\$183,041	\$193,453	\$10,412
11.3 Other than Full-Time Permanent	\$2,834	\$2,941	\$3,108	\$167
11.5 Other Personnel Compensation	\$2,890	\$2,999	\$3,170	\$171
12.1 Civilian Personnel Benefits	\$62,817	\$65,194	\$73,451	\$8,257
13.0 Benefits for Former Personnel	\$488	\$506	\$534	\$28
<b>Total - Personnel Compensation and Benefits</b>	<b>\$245,398</b>	<b>\$254,681</b>	<b>\$273,716</b>	<b>\$19,035</b>
<b>Positions and FTE</b>				
Positions - Civilian	1,645	1,760	1,839	79
FTE - Civilian	1,563	1,672	1,747	75

**Pay Cost Drivers**

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	63	\$11,596	\$184.07	68	\$12,035	\$176.98	71	\$12,934	\$182.18	3	\$899	\$5.19
Other Personnel	1,500	\$233,802	\$155.87	1,604	\$242,646	\$151.28	1,676	\$260,782	\$155.59	72	\$18,136	\$4.31
<b>Total – Pay Cost Drivers</b>	<b>1,563</b>	<b>\$245,398</b>	<b>\$157.00</b>	<b>1,672</b>	<b>\$254,681</b>	<b>\$152.32</b>	<b>1,747</b>	<b>\$273,716</b>	<b>\$156.67</b>	<b>75</b>	<b>\$19,035</b>	<b>\$4.35</b>

**Explanation of Pay Cost Drivers**

**Mission Critical Occupation Positions:** Funds \$12.9M for 71 Mission Critical Occupation Positions including Immigration Services Officers, Adjudication Officers, and Immigration Officers, that support the adjudication of immigration benefits through the administrative functions across USCIS. The majority of these positions are USCIS Academy Training Center training professionals recruited from within the agency’s experienced adjudications workforce.

**Other Personnel:** Funds \$260.8M for 1,676 non-Mission Critical Occupation Positions that support legal, privacy, policy and strategy, equal opportunity and inclusion, procurement operations; management of property, plant, and equipment, and other material resources; budget, planning and performance measures, strategic sourcing, financial and capital asset management; human resources and personnel recruitment, hiring, training, leadership development, employee benefits, and work-life programs, immigration forms, print services, and the management of security and emergency management operations.



**Administration – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Administration	\$259,217	\$264,321	\$378,092	\$113,771
<b>Total</b>	<b>\$259,217</b>	<b>\$264,321</b>	<b>\$378,092</b>	<b>\$113,771</b>
Mandatory - Fee	\$259,217	\$264,321	\$378,092	\$113,771

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$7,404	\$7,404	\$9,918	\$2,514
22.0 Transportation of Things	\$8,520	\$8,443	\$11,309	\$2,866
23.1 Rental Payments to GSA	\$26,413	\$33,556	\$35,472	\$1,916
23.2 Rental Payments to Others	\$728	\$721	\$965	\$244
23.3 Communications, Utilities, and Misc. Charges	\$15,904	\$15,760	\$21,112	\$5,352
24.0 Printing and Reproduction	\$1,378	\$1,365	\$1,829	\$464
25.1 Advisory and Assistance Services	\$38,487	\$38,140	\$51,092	\$12,952
25.2 Other Services from Non-Federal Sources	\$33,034	\$32,736	\$43,853	\$11,117
25.3 Other Goods and Services from Federal Sources	\$96,019	\$95,149	\$160,952	\$65,803
25.4 Operation and Maintenance of Facilities	\$218	\$216	\$289	\$73
25.7 Operation and Maintenance of Equipment	\$4,764	\$4,721	\$6,324	\$1,603
26.0 Supplies and Materials	\$2,957	\$2,930	\$3,926	\$996
31.0 Equipment	\$13,887	\$13,762	\$18,435	\$4,673
32.0 Land and Structures	\$8,208	\$8,134	\$10,896	\$2,762
42.0 Insurance Claims and Indemnities	\$1,296	\$1,284	\$1,720	\$436
<b>Total - Non Pay Object Classes</b>	<b>\$259,217</b>	<b>\$264,321</b>	<b>\$378,092</b>	<b>\$113,771</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Federal Law Enforcement Training Centers	-	-	\$25,000	\$25,000
Office of Personnel Management (OPM) Background Investigation Reimbursement	\$39,869	\$40,654	\$40,704	\$50
Rental Payments to GSA	\$26,413	\$33,556	\$35,472	\$1,916
USCIS Field Overheads (e.g., Utilities, Local Guard Services, etc.)	\$64,032	\$65,293	\$67,670	\$2,377
USCIS Lease Acquisition Plan	\$12,649	\$45,049	\$45,049	\$0
Other Costs	\$116,254	\$79,769	\$164,197	\$84,428
<b>Total – Non Pay Cost Drivers</b>	<b>\$259,217</b>	<b>\$264,321</b>	<b>\$378,092</b>	<b>\$113,771</b>

**Explanation of Non Pay Cost Drivers**

**Federal Law Enforcement Training Centers:** In FY 2020, USCIS plans to provide \$25M to the Federal Law Enforcement Training Centers (FLETC) to construct a new Academy Training Center building for USCIS at the FLETC location in Charleston, South Carolina.

**Office of Personnel Management (OPM) Background Investigation Reimbursement:** This item consists of reimbursements to OPM for employee background investigations. Costs are impacted by OPM reimbursement rates, the number of new hires, as well as the number of onboard employees and the cyclic nature of 5-year reinvestigations due to past hiring surges.

**Rental Payments to GSA:** The FY 2020 amount is based on projections developed by USCIS' Facilities Division using information provided by GSA. The \$1.9M change from FY 2019 to FY 2020 reflects projected lease adjustments.

**USCIS Field Overheads (e.g., Utilities, Local Guard Services, etc.):** This item includes expenses at USCIS field facilities to fund local guard services, utilities, housekeeping/cleaning, and other mandatory operational costs. This cost item is impacted by where USCIS locates its field offices. Many costs are included in the GSA rent charges for federally owned buildings as opposed to leased locations.

**USCIS Lease Acquisition Plan:** This item represents facilities renovation/upgrade projects throughout USCIS.

**Other Costs:** Funds the remaining management and support costs for the day-to-day operations across USCIS, including an array of information technology support for a broad range of USCIS operations involving applicant services, processing, cybersecurity and basic IT infrastructure.

**Systematic Alien Verification for Entitlements (SAVE) – PPA****Budget Comparison and Adjustments****Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$36,774	223	212	\$37,514	222	211	\$34,868	(1)	(1)	(\$2,646)
<b>Total</b>	<b>223</b>	<b>212</b>	<b>\$36,774</b>	<b>223</b>	<b>212</b>	<b>\$37,514</b>	<b>222</b>	<b>211</b>	<b>\$34,868</b>	<b>(1)</b>	<b>(1)</b>	<b>(\$2,646)</b>
Subtotal Mandatory - Fee	223	212	\$36,774	223	212	\$37,514	222	211	\$34,868	(1)	(1)	(\$2,646)

**PPA Level I Description**

This PPA supports the Systematic Alien Verification for Entitlements (SAVE) program.

The SAVE Program provides a fast, secure and efficient verification service for Federal, State and local benefit-granting agencies to verify a benefit applicant's immigration status or naturalized/derived citizenship. SAVE is also used to verify the immigration status of applicants for health insurance under the Patient Protection and Affordable Care Act (PPACA). Customers include Federal agencies, State departments of motor vehicles, licensing bureaus, and other benefit granting agencies. Every State and the District of Columbia are represented in SAVE's customer base. The SAVE program is funded by a combination of user charges paid by its customers and general fee receipts paid by USCIS immigration benefit applicants and petitioners.

The following table depicts the actual SAVE workload for FY 2018 through September 30, 2018 along with projections for FY 2019 and FY 2020. Staffing is mainly driven by SAVE second and third step queries, which are those that cannot be processed solely through the automated process and require human intervention by a Legal Instrument Examiner to research the case and provide a response to the customer agency. The SAVE program is modernizing its system to become paperless, to increase automation, and to decrease the reliance on manual verification. The modernization is progressing on schedule and the paperless G-845 (verification request, to help confirm the immigration status of someone who wishes to apply for a Federal, State, or local license or for any public benefits) project was completed in May 2018. USCIS no longer accepts paper SAVE requests, which were previously submitted using form G-845.

**Systematic Alien Verification for Entitlements (SAVE)  
Actual and projected Workload for FY 2018 - FY 2020**

<b>Activity</b>	<b>FY 2018 Actuals<sup>1</sup></b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
SAVE Automated Queries	17,329,263	15,448,415 <sup>2</sup>	14,926,386
SAVE Status Verification Officer 2nd Step Queries	1,166,927	865,111	835,878
SAVE Status Verification Officer 3rd Step Queries	296,599	183,836	177,624
SAVE Customer Agencies as of September 30	1,179	1,192	1,200

<sup>1</sup> The FY 2018 Actual numbers are through September 30, 2018; the FY 2019 and FY 2020 numbers are full year projections.

<sup>2</sup> The projected decline in SAVE Automated Queries in FY 2019 and FY 2020 is a continuation of a trend since FY 2015. These queries are primarily driven by a variety of factors including Affordable Care Act (ACA) case volume, changes due to Social Security Administration behaviors, and a couple of economic variables (i.e., many of the benefits that trigger SAVE queries are ones that are more heavily used during times of economic recession).

**Systematic Alien Verification for Entitlements (SAVE) – PPA**  
**Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2018	FY 2019	FY 2020
<b>Enacted/Request/Estimate</b>	<b>\$36,774</b>	<b>\$37,514</b>	<b>\$34,868</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$8,157	\$11,583	\$22,844
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$44,931</b>	<b>\$49,097</b>	<b>\$57,712</b>
Collections – Reimbursable Resources	\$7,000	\$11,261	\$9,000
<b>Total Budget Resources</b>	<b>\$51,931</b>	<b>\$60,358</b>	<b>\$66,712</b>
Obligations (Actual/Estimates/Projections)	\$32,760	\$37,514	\$34,868
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	223	223	222
Enacted/Request FTE	212	212	211
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	182	223	222
FTE (Actual/Estimates/Projections)	189	212	211

**Systematic Alien Verification for Entitlements (SAVE) – PPA  
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
SAVE Collections <span style="float:right">Source</span>	-	-	\$7,000	-	-	\$11,261	-	-	\$9,000
<b>Total Collections</b>	-	-	<b>\$7,000</b>	-	-	<b>\$11,261</b>	-	-	<b>\$9,000</b>

**Systematic Alien Verification for Entitlements (SAVE) – PPA**  
**Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	223	212	\$36,774
<b>FY 2019 President's Budget</b>	223	212	\$37,514
<b>FY 2020 Base Budget</b>	223	212	\$37,514
Realignment between PPAs to reflect operational requirements	-	-	(\$2,402)
<b>Total Transfers</b>	-	-	(\$2,402)
FERS Agency Contribution	-	-	\$381
<b>Total, Pricing Increases</b>	-	-	\$381
GSA Rent Enhancement	-	-	(\$625)
<b>Total, Pricing Decreases</b>	-	-	(\$625)
<b>Total Adjustments-to-Base</b>	-	-	(\$2,646)
<b>FY 2020 Current Services</b>	223	212	\$34,868
Annualization of FY19 Enhancement Positions	(1)	(1)	-
<b>Total, Program Decreases</b>	(1)	(1)	-
<b>FY 2020 Estimate</b>	222	211	\$34,868
<b>FY 2019 To FY 2020 Change</b>	(1)	(1)	(\$2,646)

**Systematic Alien Verification for Entitlements (SAVE) – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$22,497	\$106.12	223	212	\$23,237	\$109.61	222	211	\$23,509	\$111.42	(1)	(1)	\$272	\$1.81
<b>Total</b>	<b>223</b>	<b>212</b>	<b>\$22,497</b>	<b>\$106.12</b>	<b>223</b>	<b>212</b>	<b>\$23,237</b>	<b>\$109.61</b>	<b>222</b>	<b>211</b>	<b>\$23,509</b>	<b>\$111.42</b>	<b>(1)</b>	<b>(1)</b>	<b>\$272</b>	<b>\$1.81</b>
Mandatory - Fee	223	212	\$22,497	\$106.12	223	212	\$23,237	\$109.61	222	211	\$23,509	\$111.42	(1)	(1)	\$272	\$1.81

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$15,941	\$16,465	\$16,389	(\$76)
11.3 Other than Full-Time Permanent	\$2	\$2	\$2	-
11.5 Other Personnel Compensation	\$1,099	\$1,135	\$1,130	(\$5)
12.1 Civilian Personnel Benefits	\$5,455	\$5,635	\$5,988	\$353
<b>Total - Personnel Compensation and Benefits</b>	<b>\$22,497</b>	<b>\$23,237</b>	<b>\$23,509</b>	<b>\$272</b>
<b>Positions and FTE</b>				
Positions - Civilian	223	223	222	(1)
FTE - Civilian	212	212	211	(1)



**Pay Cost Drivers**

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Other Personnel	212	\$22,497	\$106.12	212	\$23,237	\$109.61	211	\$23,509	\$111.42	(1)	\$272	\$1.81
<b>Total – Pay Cost Drivers</b>	<b>212</b>	<b>\$22,497</b>	<b>\$106.12</b>	<b>212</b>	<b>\$23,237</b>	<b>\$109.61</b>	<b>211</b>	<b>\$23,509</b>	<b>\$111.42</b>	<b>(1)</b>	<b>\$272</b>	<b>\$1.81</b>

**Explanation of Pay Cost Driver**

**Other Personnel:** There are no positions designated as Mission Critical Occupations (MCO) in this PPA. Other Personnel funds \$23.5M for personnel that support the functions and operations of the SAVE program, primarily SAVE second and third step queries, which are those that cannot be processed solely through the automated process and require human intervention by a Legal Instrument Examiner to research the case and provide a response to the customer agency.

**Systematic Alien Verification for Entitlements (SAVE) – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Systematic Alien Verification for Entitlements (SAVE)	\$14,277	\$14,277	\$11,359	(\$2,918)
<b>Total</b>	<b>\$14,277</b>	<b>\$14,277</b>	<b>\$11,359</b>	<b>(\$2,918)</b>
Mandatory - Fee	\$14,277	\$14,277	\$11,359	(\$2,918)

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$77	\$84	\$66	(\$18)
23.1 Rental Payments to GSA	\$2,954	\$3,294	\$2,669	(\$625)
23.2 Rental Payments to Others	\$19	\$19	\$15	(\$4)
23.3 Communications, Utilities, and Misc. Charges	\$210	\$202	\$160	(\$42)
24.0 Printing and Reproduction	\$10	\$10	\$8	(\$2)
25.1 Advisory and Assistance Services	\$9,866	\$9,568	\$7,571	(\$1,997)
25.2 Other Services from Non-Federal Sources	\$385	\$371	\$294	(\$77)
25.3 Other Goods and Services from Federal Sources	\$620	\$597	\$472	(\$125)
25.7 Operation and Maintenance of Equipment	\$19	\$19	\$15	(\$4)
26.0 Supplies and Materials	\$49	\$47	\$37	(\$10)
31.0 Equipment	\$68	\$66	\$52	(\$14)
<b>Total - Non Pay Object Classes</b>	<b>\$14,277</b>	<b>\$14,277</b>	<b>\$11,359</b>	<b>(\$2,918)</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Rental payments to GSA	\$3,829	\$3,294	\$2,669	(\$625)
Verification Information System (VIS) O&M	\$5,830	\$5,830	\$6,074	\$244
Other Costs	\$4,618	\$5,153	\$2,616	(\$2,537)
<b>Total Non Pay Cost Drivers</b>	<b>\$14,277</b>	<b>\$14,277</b>	<b>\$11,359</b>	<b>(\$2,918)</b>

**Explanation of Non Pay Cost Drivers**

**Rental Payments to GSA:** The FY 2020 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA.

**Verification Information System (VIS) O&M:** This contract provides operations and maintenance support for VIS, the computing platform that enables SAVE. This amount in VIS represents the share attributable to the SAVE program. The share attributable to E-Verify, which also uses VIS as its back-end, is reflected in the discretionary Operations and Support appropriation.

**Other Costs:** Funds the remaining costs for the general operating expenses, technical contract support, and associated management and administration of the SAVE program.

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
*H-1B Nonimmigrant Petitioner Account*



**Fiscal Year 2020**  
**Congressional Justification**

**Table of Contents**

*H-1B Nonimmigrant Petitioner Account* **1**

Budget Comparison and Adjustments..... 3

Non Pay Budget Exhibits..... 6

*Service Center Operations – PPA*..... 7

    Budget Comparison and Adjustments..... 7

    Non Pay Budget Exhibits..... 10

**H-1B Nonimmigrant Petitioner Account****Budget Comparison and Adjustments****Comparison of Budget Authority**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Service Center Operations	\$15,000	\$15,000	\$15,000	-
<b>Total</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

U.S. Citizenship and Immigration Service's (USCIS) H-1B Nonimmigrant Petitioner Account, established by Section 286(s) of the Immigration and Nationality Act (8 U.S.C. 1356 (s)), supports activities related to the processing of petitions for nonimmigrant workers in the H-1B visa classification. The H-1B visa program allows U.S. employers to temporarily employ foreign workers in specialty occupations.

Employers petitioning for H-1B workers in specialty occupations are required to pay a variety of fees based on their individual circumstances, and as determined by statute and regulation. As required by statute, five percent of the American Competitiveness and Workforce Improvement Act (ACWIA) fee collections are deposited into the H-1B Nonimmigrant Petitioner Account, while the remaining 95% of ACWIA collections are deposited in accounts managed by the Department of Labor and the National Science Foundation. Certain H-1B and H-1B petitioners with more than 25 employees in the United States pay an ACWIA fee of \$1,500, while similar petitioners with 25 or fewer employees in the United States pay an ACWIA fee of \$750.

The account includes one Program, Projects, and Activities (PPA):

**Service Center Operations:** The Service Center Operations funds a portion of the facility rent cost for the Service Center Operations Directorate and a share of the contractual cost for correspondence management, fee receipting, data entry, and file operations support at three of the five USCIS service centers: California Service Center, Nebraska Service Center, and Vermont Service Center.

### H-1B Nonimmigrant Petitioner Account Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2018	FY 2019	FY 2020
<b>Enacted/Request/Estimate</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$20,137	\$24,566	\$24,669
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$70)	\$103	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$35,067</b>	<b>\$39,669</b>	<b>\$39,669</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$35,067</b>	<b>\$39,669</b>	<b>\$39,669</b>
Obligations (Actual/Estimates/Projections)	\$15,000	\$15,000	\$15,000
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

## H-1B Nonimmigrant Petitioner Account Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	-	-	<b>\$15,000</b>
<b>FY 2019 President's Budget</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Base Budget</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Current Services</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Estimate</b>	-	-	<b>\$15,000</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	-



**H-1B Nonimmigrant Petitioner Account  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Service Center Operations	\$15,000	\$15,000	\$15,000	-
<b>Total</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
<b>Total - Non Pay Object Classes</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	-

*Service Center Operations – PPA*

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

<i>(Dollars in Thousands)</i>	FY 2018			FY 2019			FY 2020			FY 2019 to FY 2020		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
<b>Total</b>	-	-	<b>\$15,000</b>	-	-	<b>\$15,000</b>	-	-	<b>\$15,000</b>	-	-	-
Subtotal Mandatory - Fee	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-

**PPA Level I Description**

The H-1B Nonimmigrant Petitioner Account includes funding in a single Program, Project, or Activity (PPA), Service Center Operations. Resources in this PPA are dedicated to a portion of the facility rent cost for the Service Center Operations Directorate and a share of the contractual cost for correspondence management, fee receipting, data entry, and file operations support at three of the five USCIS service centers: California Service Center, Nebraska Service Center, and Vermont Service Center.

**Service Center Operations – PPA  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$20,137	\$24,566	\$24,669
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$70)	\$103	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$35,067</b>	<b>\$39,669</b>	<b>\$39,669</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$35,067</b>	<b>\$39,669</b>	<b>\$39,669</b>
Obligations (Actual/Estimates/Projections)	\$15,000	\$15,000	\$15,000
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

**Service Center Operations – PPA  
Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	-	-	<b>\$15,000</b>
<b>FY 2019 President's Budget</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Base Budget</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Current Services</b>	-	-	<b>\$15,000</b>
<b>FY 2020 Estimate</b>	-	-	<b>\$15,000</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	-

**Service Center Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Service Center Operations	\$15,000	\$15,000	\$15,000	-
<b>Total</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>-</b>
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
<b>Total - Non Pay Object Classes</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>-</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>Dollars in Thousands</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Rental Payments to General Services Administration (GSA)	\$2,000	\$2,000	\$2,000	-
Service Center Operations Support Services Contract	\$13,000	\$13,000	\$13,000	-
<b>Total – Non Pay Cost Drivers</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>-</b>

**Explanation of Non Pay Cost Drivers**

**Rental Payments to General Services Administration (GSA):** The FY 2020 amount is based on projections developed by USCIS' Facilities Division, using information provided by the GSA. There are no projected changes from the FY 2019 President's Budget to the FY 2020 Budget for this Account.

**Service Center Operations Support Services Contract:** This funds contractual costs for correspondence management, fee receipting, data entry, and file operations support for three of the five USCIS service centers: California Service Center, Nebraska Service Center, and Vermont Service Center.

**Department of Homeland Security**  
*United States Citizenship and Immigration Services*  
*Fraud Prevention and Detection Account*



**Fiscal Year 2020**  
**Congressional Justification**

**Table of Contents**

*Fraud Prevention and Detection Account* ..... **1**

    Budget Comparison and Adjustments..... 3

    Personnel Compensation and Benefits..... 9

    Non Pay Budget Exhibits..... 11

*District Operations – PPA* ..... 12

        Budget Comparison and Adjustments..... 12

        Personnel Compensation and Benefits..... 15

        Non Pay Budget Exhibits..... 17

*Service Center Operations – PPA*..... 19

        Budget Comparison and Adjustments..... 19

        Personnel Compensation and Benefits..... 22

        Non Pay Budget Exhibits..... 24

*Asylum, Refugee and International Operations – PPA*..... 26

        Budget Comparison and Adjustments..... 26

        Non Pay Budget Exhibits..... 29



## Fraud Prevention and Detection Account

### Budget Comparison and Adjustments

#### Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$45,101	115	109	\$27,178	115	109	\$27,773	-	-	\$595
Service Center Operations	70	70	\$21,778	70	67	\$19,815	70	67	\$20,377	-	-	\$562
Asylum, Refugee and International Operations	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
<b>Total</b>	<b>185</b>	<b>185</b>	<b>\$67,187</b>	<b>185</b>	<b>176</b>	<b>\$47,301</b>	<b>185</b>	<b>176</b>	<b>\$48,458</b>	<b>-</b>	<b>-</b>	<b>\$1,157</b>
Subtotal Mandatory - Fee	185	185	\$67,187	185	176	\$47,301	185	176	\$48,458	-	-	\$1,157

The Fraud Prevention and Detection Account (FPDA) directly supports U.S. Citizenship and Immigration Services (USCIS) efforts to strengthen the integrity of the United States' immigration system. FPDA resources enable USCIS operations to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systemic and other vulnerabilities.

Established by Section 286(v) of the *Immigration and Nationality Act* (8 U.S.C. 1356 (v)), the FPDA supports the operations, mission support, and associated management and administration (M&A) costs related to preventing and detecting fraud in the adjudication of all immigration benefit types.

The FPDA provides funds towards the operation of the Fraud Detection and National Security Directorate (FDNS) and Service Center Operations Directorate (SCOPS), and supports a portion of FDNS' overseas operations non-pay costs. FDNS leads agency efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system. Resources from the FPDA are not sufficient to fund all of USCIS's fraud detection and national security programs; funds from the Immigration Examinations Fee Account (IEFA) also support these programs.

The FPDA funds 115 FDNS positions and 70 SCOPS positions. Salary and benefits funded through the FPDA represent a portion of the overall staff required to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system. The remaining salary and benefit costs supporting this activity are funded through the IEFA account.

The following table provides a summary of the total USCIS fraud detection referrals processed:

**Fraud Detection Referrals Processed<sup>1</sup>**

FY 2018 Actuals	Projected FY 2019	Projected FY 2020
149,309	174,820	199,866

Source: Fraud Detection and National Security Data System (FDNS-DS).

Of the 149,309 referrals FDNS received in FY 2018 through September 30, 2018<sup>2</sup>:

- 7,597 were national security concerns
- 4,429 were public safety leads and 12,295 were public safety cases
- 30,386 were fraud leads and 21,402 were fraud cases
- 59,513 were requests for assistance
- 1,628 were requests for overseas verification
- 9,888 were for Administrative Site Visit and Verification Program (ASVVP) compliance reviews
- 2,171 were for the Targeted Site Visit and Verification Program (TSVVP)

For FY 2020, the FPDA includes the following Programs, Projects, and Activities (PPAs):

**District Operations:** Efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the Nation’s immigration system.

**Service Center Operations:** Support immigration officers and specialized teams of adjudication staff located in USCIS service centers in background check units that coordinate with law enforcement agencies and other government agencies.

**Asylum, Refugee and International Operations:** Support program operations administered by FDNS employees stationed overseas, including site visit travel costs related to fraud verification activities carried out by FDNS officers.

<sup>1</sup> For the purpose of this document, the term “referral” indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS “referral” that does not include requests to FDNS to conduct administrative investigations of fraud.

<sup>2</sup> Case type and subtype data is provided as of September 30, 2018. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

**Fraud Prevention and Detection Account  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$67,187</b>	<b>\$47,301</b>	<b>\$48,458</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$42,484	\$39,216	\$35,839
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$195)	\$392	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$109,476</b>	<b>\$86,909</b>	<b>\$84,297</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$109,476</b>	<b>\$86,909</b>	<b>\$84,297</b>
Obligations (Actual/Estimates/Projections)	\$62,099	\$51,715	\$48,458
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	185	185	185
Enacted/Request FTE	185	176	176
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	165	185	185
FTE (Actual/Estimates/Projections)	271	176	176

**Fraud Prevention and Detection Account  
Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	<b>185</b>	<b>185</b>	<b>\$67,187</b>
<b>FY 2019 President's Budget</b>	<b>185</b>	<b>176</b>	<b>\$47,301</b>
<b>FY 2020 Base Budget</b>	<b>185</b>	<b>176</b>	<b>\$47,301</b>
Transfer to USCIS/ Fraud Prevention and Detection Account/ DO from USCIS/IEFA/DO to Support Operational Requirements	-	-	\$496
<b>Total Transfers</b>	-	-	<b>\$496</b>
FERS Agency Contribution	-	-	\$398
GSA Rent Enhancement	-	-	\$263
<b>Total, Pricing Increases</b>	-	-	<b>\$661</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$1,157</b>
<b>FY 2020 Current Services</b>	<b>185</b>	<b>176</b>	<b>\$48,458</b>
<b>FY 2020 Estimate</b>	<b>185</b>	<b>176</b>	<b>\$48,458</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	<b>\$1,157</b>

**Fraud Prevention and Detection Account  
Justification of Transfers**

Transfers <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Transfer 1 - Transfer to USCIS/ Fraud Prevention and Detection Account/ DO from USCIS/IEFA/DO to Support Operational Requirements</b>	-	-	<b>\$496</b>
District Operations	-	-	\$155
Service Center Operations	-	-	\$341
<b>Total Transfers</b>	-	-	<b>\$496</b>

**Transfer 1 – Transfer to USCIS/Fraud Prevention and Detection Account/DO from USCIS/IEFA/DO to Support Operational Requirements:** Transfers \$496,000 to support USCIS fraud detection efforts within District Operations and Service Center Operations.

## Fraud Prevention and Detection Account Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2020 President's Budget		
	Positions	FTE	Amount
<b>Pricing Change 1 - FERS Agency Contribution</b>	-	-	<b>\$398</b>
District Operations	-	-	\$266
Service Center Operations	-	-	\$132
<b>Pricing Change 2 - GSA Rent Enhancement</b>	-	-	<b>\$263</b>
District Operations	-	-	\$174
Service Center Operations	-	-	\$89
<b>Total Pricing Changes</b>	-	-	<b>\$661</b>

**Pricing Change 1 – FERS Agency Contribution:** Per OMB Circular A-11, agency FERS contributions increased. The regular FERS agency contribution increased by 2.3% from 13.7% in FY 2019 to 16.0% in FY 2020. The Law Enforcement FERS agency contribution increased by 3.3% from 30.1% to 34.4%. The agency contribution amount for CSRS did not change.

**Pricing Change 2 – GSA Rent Enhancement:** General Services Administration (GSA) Rent Changes are the result of the agency incurring higher rent charges for existing space requirements. Total changes to GSA Rent expenses include new or updated lease terms, acquisition or release of calculated square footage. This Account will incur approximately \$263,000 in additional rent costs in FY 2020.

**Fraud Prevention and Detection Account  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	115	109	\$16,129	\$147.97	-	-	(\$242)	(\$2.22)
Service Center Operations	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	70	67	\$8,102	\$120.93	-	-	\$332	\$4.96
<b>Total</b>	<b>185</b>	<b>185</b>	<b>\$24,027</b>	<b>\$129.88</b>	<b>185</b>	<b>176</b>	<b>\$24,141</b>	<b>\$137.16</b>	<b>185</b>	<b>176</b>	<b>\$24,231</b>	<b>\$137.68</b>	-	-	<b>\$90</b>	<b>\$0.52</b>
Mandatory - Fee	185	185	\$24,027	\$129.88	185	176	\$24,141	\$137.16	185	176	\$24,231	\$137.68	-	-	\$90	\$0.52

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$17,086	\$17,167	\$16,963	(\$204)
11.3 Other than Full-Time Permanent	\$58	\$58	\$56	(\$2)
11.5 Other Personnel Compensation	\$1,019	\$1,024	\$999	(\$25)
12.1 Civilian Personnel Benefits	\$5,864	\$5,892	\$6,213	\$321
<b>Total - Personnel Compensation and Benefits</b>	<b>\$24,027</b>	<b>\$24,141</b>	<b>\$24,231</b>	<b>\$90</b>
<b>Positions and FTE</b>				
Positions - Civilian	185	185	185	-
FTE - Civilian	185	176	176	-

**Fraud Prevention and Detection Account  
Permanent Positions by Grade -Appropriation**

<b>Grades and Salary Range</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
GS-15	6	6	6	-
GS-14	25	25	25	-
GS-13	77	77	77	-
GS-12	61	61	61	-
GS-11	2	2	2	-
GS-9	9	9	9	-
GS-7	4	4	4	-
GS-5	1	1	1	-
<b>Total Permanent Positions</b>	<b>185</b>	<b>185</b>	<b>185</b>	<b>-</b>
Total Perm. Employment (Filled Positions) EOY	185	185	185	-
<b>Position Locations</b>				
Headquarters	10	10	10	-
U.S. Field	173	173	173	-
Foreign Field	2	2	2	-
<b>Averages</b>				
Average Personnel Costs, GS Positions	101,302	101,768	102,236	468
Average Grade, GS Positions	12	12	12	-



**Fraud Prevention and Detection Account  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
District Operations	\$28,807	\$10,807	\$11,644	\$837
Service Center Operations	\$14,045	\$12,045	\$12,275	\$230
Asylum, Refugee and International Operations	\$308	\$308	\$308	-
<b>Total</b>	<b>\$43,160</b>	<b>\$23,160</b>	<b>\$24,227</b>	<b>\$1,067</b>
Mandatory - Fee	\$43,160	\$23,160	\$24,227	\$1,067

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$671	\$240	\$257	\$17
22.0 Transportation of Things	\$94	\$34	\$36	\$2
23.1 Rental Payments to GSA	\$1,817	\$1,757	\$2,020	\$263
23.2 Rental Payments to Others	\$98	\$35	\$37	\$2
23.3 Communications, Utilities, and Misc. Charges	\$17	\$6	\$6	-
24.0 Printing and Reproduction	\$4	\$1	\$1	-
25.1 Advisory and Assistance Services	\$31,997	\$16,586	\$17,229	\$643
25.2 Other Services from Non-Federal Sources	\$5,474	\$3,213	\$3,309	\$96
25.3 Other Goods and Services from Federal Sources	\$1,477	\$570	\$605	\$35
26.0 Supplies and Materials	\$1,109	\$705	\$714	\$9
31.0 Equipment	\$402	\$13	\$13	-
<b>Total - Non Pay Object Classes</b>	<b>\$43,160</b>	<b>\$23,160</b>	<b>\$24,227</b>	<b>\$1,067</b>

*District Operations – PPA*

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$45,101	115	109	\$27,178	115	109	\$27,773	-	-	\$595
<b>Total</b>	<b>115</b>	<b>115</b>	<b>\$45,101</b>	<b>115</b>	<b>109</b>	<b>\$27,178</b>	<b>115</b>	<b>109</b>	<b>\$27,773</b>	<b>-</b>	<b>-</b>	<b>\$595</b>
Subtotal Mandatory - Fee	115	115	\$45,101	115	109	\$27,178	115	109	\$27,773	-	-	\$595

**PPA Level I Description:**

FDNS Officers are posted in every USCIS regional, district, and field office within the United States. As part of their duties, FDNS Officers participate in U.S. Immigration and Customs Enforcement’s Document and Benefit Fraud Task Forces, the Federal Bureau of Investigation’s Joint Terrorism Task Forces, State, and Local Fusion Centers and other Federal and local law enforcement initiatives, through which they share immigration related information, assist law enforcement investigations, and provide subject matter expertise.

**District Operations PPA  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$45,101</b>	<b>\$27,178</b>	<b>\$27,773</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$24,503	\$17,940	\$13,918
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$195)	\$392	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$69,409</b>	<b>\$45,510</b>	<b>\$41,691</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$69,409</b>	<b>\$45,510</b>	<b>\$41,691</b>
Obligations (Actual/Estimates/Projections)	\$42,458	\$31,592	\$27,773
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	115	115	115
Enacted/Request FTE	115	109	109
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	100	115	115
FTE (Actual/Estimates/Projections)	205	109	109

**District Operations – PPA  
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	<b>115</b>	<b>115</b>	<b>\$45,101</b>
<b>FY 2019 President's Budget</b>	<b>115</b>	<b>109</b>	<b>\$27,178</b>
<b>FY 2020 Base Budget</b>	<b>115</b>	<b>109</b>	<b>\$27,178</b>
Transfer to USCIS/ Fraud Prevention and Detection Account/ DO from USCIS/IEFA/DO to Support Operational Requirements	-	-	\$155
<b>Total Transfers</b>	-	-	<b>\$155</b>
FERS Agency Contribution	-	-	\$266
GSA Rent Enhancement	-	-	\$174
<b>Total, Pricing Increases</b>	-	-	<b>\$440</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$595</b>
<b>FY 2020 Current Services</b>	<b>115</b>	<b>109</b>	<b>\$27,773</b>
<b>FY 2020 Estimate</b>	<b>115</b>	<b>109</b>	<b>\$27,773</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	<b>\$595</b>

**District Operations – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	115	109	\$16,129	\$147.97	-	-	(\$242)	(\$2.22)
<b>Total</b>	<b>115</b>	<b>115</b>	<b>\$16,294</b>	<b>\$141.69</b>	<b>115</b>	<b>109</b>	<b>\$16,371</b>	<b>\$150.19</b>	<b>115</b>	<b>109</b>	<b>\$16,129</b>	<b>\$147.97</b>	<b>-</b>	<b>-</b>	<b>(\$242)</b>	<b>(\$2.22)</b>
Mandatory - Fee	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	115	109	\$16,129	\$147.97	-	-	(\$242)	(\$2.22)

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$11,393	\$11,447	\$11,098	(\$349)
11.3 Other than Full-Time Permanent	\$58	\$58	\$56	(\$2)
11.5 Other Personnel Compensation	\$897	\$901	\$873	(\$28)
12.1 Civilian Personnel Benefits	\$3,946	\$3,965	\$4,102	\$137
<b>Total - Personnel Compensation and Benefits</b>	<b>\$16,294</b>	<b>\$16,371</b>	<b>\$16,129</b>	<b>(\$242)</b>
<b>Positions and FTE</b>				
Positions - Civilian	115	115	115	-
FTE - Civilian	115	109	109	-

**Pay Cost Drivers**

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President’s Budget			FY 2020 President’s Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	99	\$14,250	\$143.94	94	\$14,317	\$152.31	94	\$14,105	\$150.06	-	(\$212)	(\$2.25)
Other Personnel	16	\$2,044	\$127.77	15	\$2,054	\$136.93	15	\$2,024	\$134.91	-	(\$30)	(\$2.02)
<b>Total – Pay Cost Drivers</b>	<b>115</b>	<b>\$16,294</b>	<b>\$141.69</b>	<b>109</b>	<b>\$16,371</b>	<b>\$150.19</b>	<b>109</b>	<b>\$16,129</b>	<b>\$147.97</b>	<b>-</b>	<b>(\$242)</b>	<b>(\$2.22)</b>

**Explanation of Pay Cost Drivers**

**Mission Critical Occupation Positions:** Funds \$14.1M for 94 Mission Critical Occupation Positions, Immigration Officers, that perform various fraud prevention and detection activities, including participating in U.S. Immigration and Customs Enforcement’s Document and Benefit Fraud Task Forces, the Federal Bureau of Investigation’s Joint Terrorism Task Forces, State, and Local Fusion Centers and other Federal and local law enforcement initiatives, through which they share immigration related information, assist law enforcement investigations, and provide subject matter expertise.

**Other Personnel:** Funds \$2.0M for 15 Other Personnel Positions to support fraud prevention and detection activities across District Operations within USCIS.

**District Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
District Operations	\$28,807	\$10,807	\$11,644	\$837
<b>Total</b>	<b>\$28,807</b>	<b>\$10,807</b>	<b>\$11,644</b>	<b>\$837</b>
Mandatory - Fee	\$28,807	\$10,807	\$11,644	\$837

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
21.0 Travel and Transportation of Persons	\$671	\$240	\$257	\$17
22.0 Transportation of Things	\$94	\$34	\$36	\$2
23.1 Rental Payments to GSA	\$1,292	\$1,189	\$1,363	\$174
23.2 Rental Payments to Others	\$98	\$35	\$37	\$2
23.3 Communications, Utilities, and Misc. Charges	\$17	\$6	\$6	-
24.0 Printing and Reproduction	\$4	\$1	\$1	-
25.1 Advisory and Assistance Services	\$21,557	\$7,723	\$8,257	\$534
25.2 Other Services from Non-Federal Sources	\$3,016	\$1,080	\$1,154	\$74
25.3 Other Goods and Services from Federal Sources	\$1,393	\$499	\$533	\$34
26.0 Supplies and Materials	\$278	-	-	-
31.0 Equipment	\$387	-	-	-
<b>Total - Non Pay Object Classes</b>	<b>\$28,807</b>	<b>\$10,807</b>	<b>\$11,644</b>	<b>\$837</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Fraud Detection and National Security Program Support Contract	\$7,306	\$3,832	\$7,144	\$3,312
Other Costs	\$21,501	\$6,975	\$4,500	(\$2,475)
<b>Total – Non Pay Cost Drivers</b>	<b>\$28,807</b>	<b>\$10,807</b>	<b>\$11,644</b>	<b>\$837</b>

**Explanation of Non Pay Cost Drivers**

**Fraud Detection and National Security Program Support Contract:** Funds \$7.1M to support a portion of contractual costs to deploy advanced fraud detection devices and techniques and intelligence-driven planning.

**Other Costs:** Funds \$4.5M to support other FDNS support costs.



*Service Center Operations – PPA*

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	70	70	\$21,778	70	67	\$19,815	70	67	\$20,377	-	-	\$562
<b>Total</b>	<b>70</b>	<b>70</b>	<b>\$21,778</b>	<b>70</b>	<b>67</b>	<b>\$19,815</b>	<b>70</b>	<b>67</b>	<b>\$20,377</b>	<b>-</b>	<b>-</b>	<b>\$562</b>
Subtotal Mandatory - Fee	70	70	\$21,778	70	67	\$19,815	70	67	\$20,377	-	-	\$562

**PPA Level I Description**

This PPA supports immigration officers and specialized teams of adjudication staff located in USCIS service centers in background check units that coordinate with law enforcement agencies and other government agencies.

Service Center adjudicators and FDNS officers also vet companies through the Validation Instrument for Business Enterprises (VIBE), a web-based tool that uses commercially available information to validate the business operations of companies and organizations looking to employ foreign workers. VIBE enhances USCIS’s ability to adjudicate employment-based immigrant and nonimmigrant petitions efficiently and accurately.

**Service Center Operations – PPA  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$21,778</b>	<b>\$19,815</b>	<b>\$20,377</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$17,705	\$20,008	\$20,703
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$39,483</b>	<b>\$39,823</b>	<b>\$41,080</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$39,483</b>	<b>\$39,823</b>	<b>\$41,080</b>
Obligations (Actual/Estimates/Projections)	\$19,561	\$19,815	\$20,377
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	70	70	70
Enacted/Request FTE	70	67	67
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	65	70	70
FTE (Actual/Estimates/Projections)	66	67	67

**Service Center Operations – PPA  
Summary of Budget Changes**

<b>Budget Formulation Activity</b> <i>(Dollars in Thousands)</i>	<b>Positions</b>	<b>FTE</b>	<b>Amount</b>
<b>FY 2018 Enacted</b>	<b>70</b>	<b>70</b>	<b>\$21,778</b>
<b>FY 2019 President's Budget</b>	<b>70</b>	<b>67</b>	<b>\$19,815</b>
<b>FY 2020 Base Budget</b>	<b>70</b>	<b>67</b>	<b>\$19,815</b>
Transfer to USCIS/ Fraud Prevention and Detection Account/ DO from USCIS/IEFA/DO to Support Operational Requirements	-	-	\$341
<b>Total Transfers</b>	-	-	<b>\$341</b>
FERS Agency Contribution	-	-	\$132
GSA Rent Enhancement	-	-	\$89
<b>Total, Pricing Increases</b>	-	-	<b>\$221</b>
<b>Total Adjustments-to-Base</b>	-	-	<b>\$562</b>
<b>FY 2020 Current Services</b>	<b>70</b>	<b>67</b>	<b>\$20,377</b>
<b>FY 2020 Estimate</b>	<b>70</b>	<b>67</b>	<b>\$20,377</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	<b>\$562</b>

**Service Center Operations – PPA  
Personnel Compensation and Benefits**

**Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2018 Enacted				FY 2019 President's Budget				FY 2020 President's Budget				FY 2019 to FY 2020 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	70	67	\$8,102	\$120.93	-	-	\$332	\$4.96
<b>Total</b>	<b>70</b>	<b>70</b>	<b>\$7,733</b>	<b>\$110.47</b>	<b>70</b>	<b>67</b>	<b>\$7,770</b>	<b>\$115.97</b>	<b>70</b>	<b>67</b>	<b>\$8,102</b>	<b>\$120.93</b>	<b>-</b>	<b>-</b>	<b>\$332</b>	<b>\$4.96</b>
Mandatory - Fee	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	70	67	\$8,102	\$120.93	-	-	\$332	\$4.96

**Pay by Object Class**

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2018 Enacted	FY 2019 President's Budget	FY 2020 President's Budget	FY 2019 - FY 2020 Change
11.1 Full-time Permanent	\$5,693	\$5,720	\$5,865	\$145
11.5 Other Personnel Compensation	\$122	\$123	\$126	\$3
12.1 Civilian Personnel Benefits	\$1,918	\$1,927	\$2,111	\$184
<b>Total - Personnel Compensation and Benefits</b>	<b>\$7,733</b>	<b>\$7,770</b>	<b>\$8,102</b>	<b>\$332</b>
<b>Positions and FTE</b>				
Positions - Civilian	70	70	70	-
FTE - Civilian	70	67	67	-

### Pay Cost Drivers

Leading Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2018 Enacted			FY 2019 President's Budget			FY 2020 President's Budget			FY 2019 to FY 2020 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Mission Critical Occupation Positions	66	\$7,388	\$111.95	63	\$7,424	\$117.84	63	\$7,741	\$122.87	-	\$317	\$5.03
Other Personnel	4	\$345	\$86.16	4	\$346	\$86.57	4	\$361	\$90.27	-	\$15	\$3.70
<b>Total Pay Cost Drivers</b>	<b>70</b>	<b>\$7,733</b>	<b>\$110.47</b>	<b>67</b>	<b>\$7,770</b>	<b>\$115.97</b>	<b>67</b>	<b>\$8,102</b>	<b>\$120.93</b>	<b>-</b>	<b>\$332</b>	<b>\$4.96</b>

#### Explanation of Pay Cost Drivers

**Immigration Service Officer:** Funds \$7.7M for 63 Immigration Services Officers, located in USCIS service centers in background check units that coordinate with law enforcement agencies and other government agencies.

**Other Personnel:** Funds \$361,000 for four Other Personnel Positions that support immigration officers and specialized teams of adjudication staff located in USCIS service centers in background check units that coordinate with law enforcement agencies and other government agencies.

**Service Center Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Service Center Operations	\$14,045	\$12,045	\$12,275	\$230
<b>Total</b>	<b>\$14,045</b>	<b>\$12,045</b>	<b>\$12,275</b>	<b>\$230</b>
Mandatory - Fee	\$14,045	\$12,045	\$12,275	\$230

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
23.1 Rental Payments to GSA	\$525	\$568	\$657	\$89
25.1 Advisory and Assistance Services	\$10,440	\$8,863	\$8,972	\$109
25.2 Other Services from Non-Federal Sources	\$2,150	\$1,825	\$1,847	\$22
25.3 Other Goods and Services from Federal Sources	\$84	\$71	\$72	\$1
26.0 Supplies and Materials	\$831	\$705	\$714	\$9
31.0 Equipment	\$15	\$13	\$13	-
<b>Total - Non Pay Object Classes</b>	<b>\$14,045</b>	<b>\$12,045</b>	<b>\$12,275</b>	<b>\$230</b>

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Validation Instrument for Business Enterprises (VIBE)	\$10,770	\$9,236	\$10,770	\$1,534
Other Costs	\$3,275	\$2,809	\$1,505	(\$1,304)
<b>Total Non Pay Cost Drivers</b>	<b>\$14,045</b>	<b>\$12,045</b>	<b>\$12,275</b>	<b>\$230</b>

**Explanation of Non Pay Cost Drivers**

**Validation Instrument for Business Enterprises (VIBE):** Funds \$10.8M in operating costs and IT support contract for the VIBE system. USCIS uses this system to validate the business operations and financial viability of organizations seeking to employ foreign workers, and to identify possible benefit fraud based on FDNS fraud analysis and fraud referrals from USCIS adjudicators and other government agencies.

**Other Costs:** Funds \$1.5M in other Service Center Operations related costs.

**Asylum, Refugee and International Operations – PPA**

**Budget Comparison and Adjustments**

**Comparison of Budget Authority**

<i>(Dollars in Thousands)</i>	FY 2018			FY 2019			FY 2020			FY 2019 to FY 2020		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum, Refugee and International Operations	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
<b>Total</b>	-	-	<b>\$308</b>	-	-	<b>\$308</b>	-	-	<b>\$308</b>	-	-	-
Subtotal Mandatory - Fee	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-

**PPA Level I Description**

The Asylum, Refugee, and International Operations PPA funds Permanent Change of Station (PCS) moves for FDNS officers to the four overseas locations: Frankfurt, Germany; Guangzhou, China; Monterrey, Mexico; and New Delhi, India. The PPA also supports a portion of the program management and operational costs for FDNS employees stationed at the above mentioned locations. In addition, it funds site visit travel costs related to fraud verification activities carried out by FDNS Officers.



**Asylum, Refugee and International Operations – PPA  
Budget Authority and Obligations**

<b>Budget Authority</b> <i>(Dollars in Thousands)</i>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Enacted/Request/Estimate</b>	<b>\$308</b>	<b>\$308</b>	<b>\$308</b>
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$276	\$1,268	\$1,218
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
<b>Total Budget Authority</b>	<b>\$584</b>	<b>\$1,576</b>	<b>\$1,526</b>
Collections – Reimbursable Resources	-	-	-
<b>Total Budget Resources</b>	<b>\$584</b>	<b>\$1,576</b>	<b>\$1,526</b>
Obligations (Actual/Estimates/Projections)	\$80	\$308	\$308
<b>Personnel: Positions and FTE</b>			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
<b>Onboard and Actual FTE; Includes Collections - Reimbursable Resources</b>			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

**Asylum, Refugee and International Operations – PPA**  
**Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
<b>FY 2018 Enacted</b>	-	-	<b>\$308</b>
<b>FY 2019 President's Budget</b>	-	-	<b>\$308</b>
<b>FY 2020 Base Budget</b>	-	-	<b>\$308</b>
<b>FY 2020 Current Services</b>	-	-	<b>\$308</b>
<b>FY 2020 Estimate</b>	-	-	<b>\$308</b>
<b>FY 2019 To FY 2020 Change</b>	-	-	-

**Asylum, Refugee and International Operations – PPA  
Non Pay Budget Exhibits**

**Non Pay Summary**

<b>Organization</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Asylum, Refugee and International Operations	\$308	\$308	\$308	-
<b>Total</b>	<b>\$308</b>	<b>\$308</b>	<b>\$308</b>	-
Mandatory - Fee	\$308	\$308	\$308	-

**Non Pay by Object Class**

<b>Non-Pay Object Classes</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Change</b>
25.2 Other Services from Non-Federal Sources	\$308	\$308	\$308	-
<b>Total - Non Pay Object Classes</b>	<b>\$308</b>	<b>\$308</b>	<b>\$308</b>	-

**Non Pay Cost Drivers**

<b>Leading Non Pay Cost-Drivers</b> <i>(Dollars in Thousands)</i>	<b>FY 2018 Enacted</b>	<b>FY 2019 President's Budget</b>	<b>FY 2020 President's Budget</b>	<b>FY 2019 to FY 2020 Total Changes</b>
Fraud Detection and National Security Operating Costs	\$308	\$308	\$308	-
<b>Total – Non Pay Cost Drivers</b>	<b>\$308</b>	<b>\$308</b>	<b>\$308</b>	-

**Explanation of Non Pay Cost Drivers**

**Fraud Detection and National Security Operating Costs:** Funds Permanent Change of Station moves for FDNS officers to four overseas locations: Frankfurt, Germany; Guangzhou, China; Monterrey, Mexico; and New Delhi, India. Also supports a portion of the program management and operational costs for FDNS employees stationed at the above mentioned locations. In addition, it funds site visit travel costs related to fraud verification activities carried out by FDNS Officers.