

Human Subjects Research Compliance Determination Form

11/5/2021

1. Project Title:

Threat Assessment and Threat Management

2. Program and/or project under which this effort is performed:

Public Safety Violence Prevention

3. Point of Contact:

First and Last Name:	(b)(6)
Email Address:	
Title and Division/Office:	Program Manager, Mission Capability Support
Phone Number:	(b)(6)

4. This a request for a:

Research Determination Exemption Determination

5. Are any elements of the project classified?

Yes No

All sources and research outputs will be public and open source, meant to be shared with the research community to inform additional research.

6. Is the project being conducted solely in support of functions/components within DHS (i.e., validation of technology for which DHS components are the sole end user):

Yes No

Please list the entities this effort will support:

This research is being undertaken to provide foundational research to support researchers, policy makers (such as state and federal legislators, local/state/federal governments, and law enforcement, and the public in general). This research effort is in support of the Department's October 2020 Countering Terrorism and Targeted Violence (CTTV) Strategic Implementation Plan (SIP), Priority Action 3.3.6: Evaluate prevention programming and expand research and training on threat assessment, intervention, reintegration, and counter-recidivism.

7. What is the purpose/objective of this effort?

Project Description/Purpose

[This activity will use a multidisciplinary approach to (1) conduct an outcome evaluation and testing on the general quality and role of threat assessments and threat management programs to determine whether or not these efforts were successful in achieving its intended objectives, and

(2) conduct an impact evaluation to measure intended and unintended changes that can be attributed to the implementation and outcomes of various threat assessments and models.

8. Summary of planned activities (e.g., description of the activities, when and where activities will be conducted, and individuals who will host the activities.):

The research team will conduct, face-to-face and virtual interviews with stakeholders. The interview format will be a maximum of 1 hour per interview, to be conducted over a two-week period if conducted virtually, or over a 2-3 day period if in-person. The research subjects must be 19 years of age or older and utilize threat assessment tools as part of their job responsibilities. This may include members of the Secret Service, USG personnel, and threat assessment personnel at the local and federal level. Interviews will take place at the research teams' facility (UNO) or at the interviewees preferred location (if in person) otherwise they will take place virtually. All Participants will use an anonymous identifier for the study. This study intends to gain a core understanding around perceived utility of threat assessment tools and models (*i.e., are current tools any good in practice?*) In addition, this study intends to gain a core understanding of practical application of threat assessment tools and models (*i.e., how are current tools actually used, if used at all?*)

9. Will members of the public (any individuals outside of DHS or the Federal government) be engaged in the proposed activities?

Yes No

10. Will any participants from vulnerable populations or populations requiring special consideration(s) (e.g., prisoners, pregnant women/neonates, children, socially or financially disadvantaged persons, or persons with physical or mental disabilities) be the focus for involvement in the effort?

***Please note that DHS affords detainees the same additional provisions and considerations as a prisoner population when involved in research.*

Yes No

11. Description of participants and recruitment procedures:

Example Description: 250 voluntary participants will be recruited from a population of college students and local first responders. Participants will range in age from 18-35 and will consist of both males and females in good physical health.

Members of the public will not be solicited for interview or discussions of any kind.

12. Will participants be compensated for their involvement in the project?

Yes No

If yes, please provide the type of compensation and when participants will receive it:

N/A

13. Is data being collected or accessed?

Yes No

If so, what type(s) of data will be collected or accessed, will identifiers be provided/recorded with the data, how will the data be stored (i.e., on DHS or non-DHS servers/property), and how data will be used/analyzed (to include individuals who will have access to the analyzed data/final report); i.e., internal review only, publication, presentation of results at a professional meeting, etc.

*If data will be procured from a commercially available source, please provide the name of the source and the type of data-sharing agreement in place:

All researchers involved in the proposed study will monitor and supervise the safety of any data collected, although ultimate responsibility will fall to the NCITE/UNI Principal Investigator (PI). The PI and other researchers involved will clearly define and commit to data quality and safety protocols per the UNO Institutional Review Board (IRB) and data management plan.

To monitor data management and ensure data security and integrity researchers will ensure the following:

- Data will be stored on a secure file server in a locked room; access to the file server will be restricted to the PI and committee chair
- No identifying information will be collected in this study.

14. Will Personally Identifiable Information (PII) be collected?

Yes No

If so, please list the types of PII that will be collected (i.e., names, date of birth, phone numbers, addresses, etc.):

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