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Author: [REDACTED] Approver: SWECKER CHRIS  
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Precedence: ROUTINE Date: 11/17/2005

To: All Field Offices Attn: All ADICs  
All SACs  
All TCEP Squad Supervisors  
FBIHQ, Manuals Desk

From: Criminal Investigative  
Transnational Criminal Enterprise Section, Rm 3548  
Contact: Section Chief Charles J. Cunningham, [REDACTED]

Approved By: Swecker Chris  
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Cunningham Charles J  
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Drafted By: [REDACTED] trm

Case ID #: 66F-HQ-A1246974-G  
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4/19/2011

Title: TRANSNATIONAL CRIMINAL ENTERPRISE PROGRAM - CASE  
INITIATION CRITERIA AND REPORTING REQUIREMENTS FOR  
TRANSNATIONAL CRIMINAL ENTERPRISE INVESTIGATIONS

Synopsis: To reiterate case initiation and reporting  
requirements for all Transnational Criminal Enterprise Program  
investigations.

Details: THE FBI STRATEGIC PLAN

The "FBI Strategic Plan: "2007-2011" was developed to  
focus the FBI's investigative

and national security programs on  
definitive and identifiable results. According to the  
strategy, the FBI has a responsibility and an obligation to assess  
the major Transnational Criminal Enterprises (TCE) facing the  
United States (U.S.) and to develop proactive programs that seek  
to diminish the most serious TCE threats. The strategic plan  
calls for the FBI to concentrate its Transnational Criminal  
Enterprise Program (TCEP) resources against internationally and  
nationally targeted organizations and their affiliates. Upon  
review of the crime survey, the FBI will develop and annually  
update, the Organized Crime Threat List (OCTL) that will  
prioritize OC national/international criminal enterprises. The  
FBI's focus [REDACTED]

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[REDACTED] The intent of  
the strategic plan is to focus FBI investigations on targeted  
organizations, thus enabling the consolidation of resources in  
areas and against Transnational Criminal Enterprises deemed to  
have the highest impact upon socioeconomic stability and national  
security of the United States. The FBI objectives in TCE  
investigations are to dismantle and disrupt existing  
Transnational CE's and to prevent newly organized Transnational  
Criminal Enterprises from establishing a national infrastructure  
within the U.S. The Transnational Criminal Enterprise Section  
(TCEP) has identified the following OC organizations as national  
priorities: La Cosa Nostra, Italian Organized Crime, Balkan  
Criminal Enterprises, Asian Criminal Enterprises, Eurasian  
Criminal Enterprises, Middle Eastern Criminal Enterprises and  
African Criminal Enterprises.

#### CRITERIA FOR CASE INITIATION.

In order for FBIHQ to ensure that Transnational  
Criminal Enterprise investigations are compatible with the  
requirements of the FBI Strategic Plan, the following are  
criteria for initiating FBI Transnational Criminal Enterprise  
investigations:

1) The SAC, or the SAC's designee, may authorize the  
opening of any Transnational Criminal Enterprise investigation  
involving an organization, or affiliate, identified on the OCTL  
as a priority. The opening communication should clearly identify  
the organized crime threat enterprise and articulate the nature  
of the relationship of the subjects of the investigation to the

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threat enterprise.

2) The SAC, or the SAC's designee, may authorize the opening of any TCE investigation involving an organization not on the OCTL if the enterprise is a significant national or international enterprise. The opening communication should clearly describe the national scope of the targeted organization and articulate the nature of the relationship of the subjects of the investigation to the target organization.

3) The SAC, or the SAC's designee, may authorize the opening of any TCE investigation involving a local organization, cell, group or individual which, if targeted, is anticipated to lead to a significant national or international enterprise or to an enterprise identified on the organized crime threat list. The opening communication should specifically explain how the investigation is expected to lead to a significant national or international enterprise or enterprise on the organized crime threat list as well as clearly describe the national scope of the intended organizational target.

4) The SAC, or the SAC's designee, may authorize the opening of any TCE investigation involving an organization which is significant in size, violence, or with significant impact on the local community and will not divert a significant amount of office resources from ongoing investigations linked to the OCTL. The opening communication should specifically describe the impact of the organization on the local community and should include a statement which indicates that resources will not be diverted from investigations linked to the OCTL.

Field divisions with any element of an organization which is identified on the OCTL, and operating within their respective territories, will be expected to prioritize their Transnational Criminal Enterprise investigative resources to adequately address the problem.

#### REPORTING REQUIREMENTS FOR ORGANIZED CRIME INVESTIGATIONS

##### REPORTING CASE INITIATIONS

All TCEP investigation opening ECs must be uploaded into the Automated Case Support (ACS) System with an administrative lead set for the appropriate supervising OC unit at FBIHQ. ECs to open TCEP investigations should include the following information:

1) identify and summarize the pertinent background information on the enterprise and the significance to the national TCEP priorities;

2) identify and summarize the predicate racketeering offenses conducted by the enterprise; the method of their operations; the scope of the enterprise;

3) identify and summarize the pertinent background information of known subjects, to include their relationship to the enterprise;

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4) identify and summarize the money laundering activities and the details of the illicit proceeds obtained by the enterprise;

5) identify and summarize any corruption issues involving this enterprise;

6) identify and summarize the methods of communication the enterprise utilizes to circumvent law enforcement investigation including foreign language capabilities;

7) identify and summarize [redacted] any anticipated international travel; any FBIHQ involvement [redacted]

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8) summarize the investigative plan and objectives for the next 180 days; identify and summarize the capabilities of LE to infiltrate the enterprise through source development, sensitive investigative techniques, intelligence community reporting, [redacted] or any other overt/covert investigative techniques; and,

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9) identify and summarize the sources of the case opening criteria (REIs, confidential sources, historical case information, referrals, etc).

All opening ECs must be uploaded into ACS with an Administrative Lead set for the appropriate supervising unit at FBIHQ.

#### REPORTING INVESTIGATIVE PROGRESS

Implementation of the Strategic Plan has placed a greater emphasis on program management by FBIHQ supervisors to ensure that organizations on the OCTL are adequately addressed and FBI's TCEP resources are properly being utilized. In order to accomplish this, periodic reporting requirements are necessary to advise FBIHQ of the progress of ongoing investigations and any significant case developments. To fulfill these requirements for FBIHQ, and to keep the impact of additional reporting requirements on the workloads of all case agents to a minimum, one of the following procedures may be followed to satisfy case reporting requirements:

1) During the course of any investigation, there are numerous, routinely prepared case documents which either provide an investigative summary or document significant developments in the investigation. The reporting requirement to FBIHQ may be satisfied by uploading such documents with an administrative lead set for the appropriate supervising TCEP unit at FBIHQ. Documents of interest to FBIHQ for program management would include:

a) ECs or other documents containing case summaries or significant case developments;

b) [redacted]

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c) ECs [REDACTED]

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d) Prosecutive reports or informal case progress reports to the U.S. Attorney's Office;

e) ECs authorizing pen registers or requesting Elsur checks in anticipation of Title III coverage;

f) Legat leads;

g) Official press releases;

h) Case status reports prepared for the management of the assisting agencies in joint investigations; and,

i) Case-closing ECs to summarize the adjudication of the investigation.

2) Significant developments in investigations which should be brought to the attention of FBIHQ include the following:

a) significant arrests;

b) significant search warrants;

c) significant seizures of contraband or money;

d) significant changes in the direction of the investigation;

e) anticipated complaints, indictments, informations, and court dispositions.

3) If no significant development has occurred in the investigation for a 180-day period or FBIHQ has not been advised through ACS of a summary communication or significant development for a 180-day period, a summary EC must then be prepared advising FBIHQ of the status of the investigation and the planned investigative activity for the next 180 days. If no investigative activity has occurred during two consecutive 180-day periods, FBIHQ will recommend closing unless strong justification is provided for the investigation to remain pending.

The case initiation EC and all subsequent progress or closing communications must be disseminated to all offices and/or Legats with an investigative interest. Communications directed to Legats must designate copies for the Transnational Criminal Enterprise Section, CID, and the appropriate section in the Office of International Operations.

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LEAD(s) :

Set Lead 1: (Adm)

ALL RECEIVING OFFICES

All Transnational Criminal Enterprise Program personnel  
should be advised of the contents of this communication.

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