

ITU Blocks #14 & #15 (ICS #7A)

Curriculum Map

Block Description:

These two 2-hour blocks of instruction provide an overview of the [redacted] program [redacted]. In addition to the overview, terms are defined and the concepts [redacted] are introduced. The Attorney General Guidelines and FBI reference manuals pertaining to the operation [redacted] are explored. Additionally, the concept [redacted] will be discussed [redacted] as well as the [redacted] that can assist [redacted]. Furthermore, the [redacted] to not only provide case-related information, but to also provide information that will assist [redacted].

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Supplemental Materials:

- 1) PowerPoint note taking Guide

- 2)
- 3)
- 4)
- 5)
- 6)
- 7)
- 8)
- 9)
- 10)
- 11)

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Assignments: Review next ITU PowerPoint and read supplemental materials for next class.

Learning Objectives:

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At the end of this four-hour block of instruction, students should be able to:

- 1)
- 2)
- 3)

Instructional Platform:

During Part II. disseminate results [redacted]
[redacted] Discuss [redacted]
Facilitate role playing exercise [redacted]
[redacted] During course [redacted]
[redacted] of investigative interest.

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Key Points for Instructors:

Emphasize and reinforce the importance and necessity [redacted]
[redacted]

Emphasize the need to think ahead and the need to focus, from an early point, on identifying means/methods/manners of communications.

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[redacted]

Revised 09/07/2006

**ITU Block #49
(ICS #13C)**

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Curriculum Map

Block Description:

This four-hour block is devoted to having learners role-play [REDACTED]
[REDACTED] Several National Security scenarios have been developed. During each of the different
"roles," the role player presents a different issue, question or problem for the learners to resolve applying
FBI policies and procedures.

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Supplemental Materials:

- 1) [REDACTED] role-play scenarios

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

- 1) Discuss which approach and [REDACTED] techniques are appropriate in a given fact situation;
- 2) Apply concepts learned [REDACTED]

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Instructional Platform:

FBI Academy instructors play the role of the interviewee. Students are grouped in two-Agent teams to conduct the interviews. This provides for active participation by up to sixteen New Agent Trainees.

Key Points for Instructors:

After each role-play it is important to discuss what worked, what did not, and to offer alternative approaches and solicit same from student observers.

Revised 09/08/2006

ITU Blocks #47 & #48 (ICS #13B)

Curriculum Map

Block Description:

This four-hour block is devoted to how Agents develop [redacted]
[redacted] Topics include [redacted]
[redacted] Also discussed are [redacted]
[redacted] At the conclusion of this block of
instruction, [redacted]
[redacted]

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Supplemental Materials:

1) PowerPoint note taking guide

2) [redacted]
3) [redacted]

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Assignments: None.

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

1) [redacted]
2) [redacted]
3) [redacted]

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Instructional Platform:

Classroom discussion, continuation of [redacted] practical exercise

Key Points for Instructors:

In addition to discussing [redacted] this block affords the instructor the
opportunity to talk [redacted] to include the various authority levels to operate,
reporting requirements and paperwork involved.

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Revised 09/08/2006

	WEEK 1 DAY 1	WEEK 1 DAY 2	WEEK 1 DAY 3	WEEK 1 DAY 4	WEEK 1 DAY 5
7-8 am	PERSONNEL MATTERS 7:30 - 9:00		NATU/C BRIEFING		
8-9 am		LEGAL # 1 Constitution Bill of Rights			TRANSFER POLICY
9-10 am	OATH 9:00 - 10:30				
10-11 am	PERSONNEL MATTERS 10:30 - 11:30	INVESTIGATIVE TRAINING # 1 Course Overview		FEDERAL EMPLOYMENT RETIREMENT SYSTEM	
11-12 pm	CREDENTIAL PHOTOS & TOUR/LUNCH 11:30 - 1:30				
12-1 pm					
1-2 pm	EEO 1:30 - 2:30			LEGAL I # 3 Agent Arrest Authority/Use of Force Intro	INTERVIEWING # 1 Course Intro & Active Listening
2-3 pm	VOUCHERS 2:30 - 3:30				
3-4 pm	NURSE 3:30 - 4:00		LEGAL I # 2 Bill of Rights/ Probably Cause	FIREARMS # 1	LEGAL I # 4 Deadly Force Policy
4-5 pm	LIBRARY 4:00 - 4:30				
5-6 pm	UNIFORM PURCHASE 4:30 - 6:00				
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

1st Quarter FY07
Starting 07-01

	WEEK 2 DAY 1	WEEK 2 DAY 2	WEEK 2 DAY 3	WEEK 2 DAY 4	WEEK 2 DAY 5
7-8 am	EAP	OMBUDSMAN	NCIC		
8-9 am	LEGAL I #5	LEGAL I #6	Overview of NCIC System		LEGAL I #8
9-10 am	Deadly Force Policy	Deadly Force/ Non-Deadly Force Scenarios			Federal Judicial System
10-11 am	ETHICAL LEADERSHIP #1	INTERVIEWING #2	Investigative Training #2	LEGAL I #7	
11-12 pm	Overview, Ninth House, Disk Present., Core Values	Structure of the Interview Part 1	Role of the SA	Fed. Crimin. Law Defenses/Entrapment	Individual Dangerousness II
12-1 pm					
1-2 pm		FIREARMS #2	FIREARMS #3	INTERVIEWING #4	CONCEPTS AND TACTS FOR SURVIVAL
2-3 pm	Individual Dangerousness I			PRACTICAL	
3-4 pm	IPET	INTERVIEWING #3		Interview	CATS I
4-5 pm	Introduction to Practical Exercise Training	Structure of the Interview Part 2			Intro to Survival Concepts for LEO
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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WEEK 3 DAY 1 WEEK 3 DAY 2 WEEK 3 DAY 3 WEEK 3 DAY 4 WEEK 3 DAY 5

7-8 am

	INTERVIEWING #5 Testimonial Interviews and FD-302s	FIREARMS #4	S-1 GROUP A	FIREARMS #5	INVESTIGATIVE TRAINING #5
10-11 am			Surveill. Practical	ITU #4 FBI Ref. Manuals CBT 2 GROUP B	ICS #1 CBT 2
11-12 pm				GROUP A	INTERVIEWING #7 FD-302s and Interview ICS #2
12-1 pm					

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1-2 pm	INVESTIGATIVE TRAINING #3		S-1 GROUP B	ETHICAL LEADERSHIP #2	ITU #6 ICS SUPERVISOR
2-3 pm	CBT 2	SURVEILLANCE LECTURE		Standards of Conduct	Case Initiation ICS #2A
3-4 pm		(OTD: FM Radio Use - Basic)	Surveill. Practical	ITU #4 FBI Ref. Manuals CBT 2 GROUP A	LEGAL I #9
4-5 pm				INTERVIEWING #6 FD-71s Call-in	Federal Judicial System
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 4 DAY 1	WEEK 4 DAY 2	WEEK 4 DAY 3	WEEK 4 DAY 4	WEEK 4 DAY 5
7-8 am					
8-9 am	INTERVIEWING #8	INVESTIGATIVE TRAINING #8	LEGAL 1 #11 Fed'l Judicial System	FIREARMS #5	FIREARMS #6
9-10 am	Inserts and Cognitive Interviewing ICS #3				
10-11 am	INVESTIGATIVE TRAINING #7	LEGAL I #10 Federal Judicial System			NATU Briefing Orders Profiles Group A
11-12 pm				GROUP B	GROUP B
12-1 pm					

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	FIREARMS #6	INTERVIEWING #9 Interviews & Communi- cations			INTERVIEWING #11
3-4 pm		ICS #4		Group Dangerousness I	PRACTICAL
4-5 pm	NATU Briefing Orders Profiles GROUP A	ICS SUPERVISOR ITU #9 ICS #4A		INTERVIEWING #10 Exam Review & Practical Preparation	
5-6 pm	Group B	NATU Briefing			ICS #5
6-7 pm					
7-8 pm		SURVEILLANCE #2		SURVEILLANCE #2	
8-9 pm		Night Surveillance		Night Surveillance	
9-10 pm		GROUP B		GROUP A	

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	WEEK 5 DAY 1	WEEK 5 DAY 2	WEEK 5 DAY 3	WEEK 5 DAY 4	WEEK 5 DAY 5
7-8 am					
8-9 am	FIREARMS #7	INTERVIEWING #12 EXAM		LEGAL I #13 (EXAM)	FIREARMS #9
9-10 am				NATJ Briefing Orders Matters	
10-11 am		ETHICAL LEADERSHIP #3 Standards of Conduct	INVESTIGATIVE TRAINING #10 Case Study		
11-12 pm					
12-1 pm					
1-2 pm	LEGAL I #12	FIREARMS #8	CIVIL RIGHTS		ITU #11
2-3 pm	Federal Rules of Evidence			Group Dangerousness II	
3-4 pm					CBT 2
4-5 pm			CULTURAL DIVERSITY	INTELLIGENCE #1 CENTER FOR INTELLIGENCE	INTELLIGENCE #3 CENTER FOR INTELLIGENCE
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 6 DAY 1	WEEK 6 DAY 2	WEEK 6 DAY 3	WEEK 6 DAY 4	WEEK 6 DAY 5
7-8 am	Inter Exam Review				
8-9 am	ETHICAL LEADERSHIP # 4		LEGAL II # 15 Intro to Fourth Amendment		CONCEPTS AND TACTICS FOR SURVIVAL CATS II
9-10 am					
10-11 am		FIREARMS # 9A	ITU #12 ICS Supervisor	LEGAL II # 16 Criminal Evidence Gathering/Search Warrants	Intro to Tactics: Room Clearing Alt. To Entry Visual Clearing Approaching Entry.
11-12 pm			CBT 2 *** ICS # 6		
12-1 pm					
1-2 pm	LEGAL II # 14	INTELLIGENCE # 5 LECU		FIREARMS # 10	CONCEPTS AND TACTICS FOR SURVIVAL
2-3 pm	Exam Review Intro to Fourth Amendment	Identifying Intelligence Writing Text &			
3-4 pm	INTELLIGENCE #4 (LECU)	Writing Subject Lines	INTELLIGENCE #6 (LECU)		CATS II
4-5 pm	Dissemination Methods Environments/Concepts		Writing an IIR		
5-6 pm			CLASS HELD MOOT COURT CLASSROOM BUT RESERVE CBT 2 ALSO		
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 7 DAY 1	WEEK 7 DAY 2	WEEK 7 DAY 3	WEEK 7 DAY 4	WEEK 7 DAY 5
7-8 am		Credit Car Stops			
8-9 am	FIREARMS # 11	LEGAL II # 18 Search Warrant Exceptions	LEGAL II # 19 Search Warrant Exceptions	FIREARMS # 12	CONCEPTS AND TACTICS FOR SURVIVAL CATS III Felony Car Stops Building Clearing
9-10 am					
10-11 am		RELOCATION MANAGEMENT OFFICE	LEGAL # 20		
11-12 pm		NATU Briefing (Relocation Matters)	Criminal Evidence and Intelligence Gathering- Elsur and Phys. Surv.		
12-1 pm					
1-2 pm	LEGAL II # 17	INVESTIGATIVE TRAINING # 14	INVESTIGATIVE TRAINING # 15		CONCEPTS AND TACTICS FOR SURVIVAL CATS III
2-3 pm	Criminal Evidence Gathering: Search Warrant Exceptions				
3-4 pm	INVESTIGATIVE TRAINING # 13	INTELLIGENCE #7 (LECU) Writing an IIR/ Revisions and Recalls		LEGAL II # 21 Intelligence Gathering	
4-5 pm	ICS #6A	CLASS HELD MOOT COURT CLASSROOM BUT RESERVE CBT 2 ALSO ICS # 7			
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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WEEK 8 DAY 1 WEEK 8 DAY 2 WEEK 8 DAY 3 WEEK 8 DAY 4 WEEK 8 DAY 5

7-8 am

8-9 am

9-10 am

10-11 am

11-12 pm

12-1 pm

1-2 pm

2-3 pm

3-4 pm

4-5 pm

5-6 pm

6-7 pm

7-8 pm

8-9 pm

9-10 pm

ITU #16 ICS Supervisor CBT 2 *** ICS # 8	LEGAL II # 23 Investigative Detention	FIREARMS # 13	INVESTIGATIVE TRAINING # 19 Management	INVESTIGATIVE TRAINING # 20 Using [] in Investigations
LEGAL II # 22 Investigative Detention			LEGAL II # 25 Interrogations	LEGAL II # 26 Interrogations

ITU #17 [] ICS #8A		INVESTIGATIVE TRAINING # 18 [] Practical ICS #9		FIREARMS # 14
	ETHICAL LEADERSHIP # 5 Seven Habits of Highly Effective Leader	LEGAL II # 24 Interrogations	OPERATIONAL TECHNOLOGY Tech. Trained Agents Transmitters, Etc. O.T.D.	

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	WEEK 9 DAY 1	WEEK 9 DAY 2	WEEK 9 DAY 3	WEEK 9 DAY 4	WEEK 9 DAY 5
7-8 am	LEGAL II # 27 Eyewitness ID	FIREARMS # 15	INVESTIGATIVE TRAINING # 23	INVESTIGATIVE TRAINING # 25 Enterprise Theory	LEGAL II # 29 (EXAM)
8-9 am					
9-10am	INVESTIGATIVE TRAINING # 21 Consensual Monitoring Part 1			TRANSFER & ADVANCE PAYMENT UNIT (FINANCE DIV)	INVESTIGATIVE TRAINING # 26 Financial Investig. Tools & Techniques Part 1
10-11 am					
11-12 pm	LUNCH				
12-1 pm					
1-2 pm		INVESTIGATIVE TRAINING # 22 Consensual Monitoring Part 2	LEGAL II # 28 Civil Liability	FIREARMS # 16	INVESTIGATIVE TRAINING # 27 Financial Investig. Tools & Techniques ICS #9A Part 2
2-3 pm			INVESTIGATIVE TRAINING # 24 Title III (OTD)		
3-4 pm					
4-5 pm					
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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WEEK 10 DAY 1 WEEK 10 DAY 2 WEEK 10 DAY 3 WEEK 10 DAY 4 WEEK 10 DAY 5

8-9 am	INVESTIGATIVE TRAINING #28	INVESTIGATIVE TRAINING #29	FIREARMS #18	SAFE TACTICS AND REMEDIAL TRAINING (START 1) Review Lessons of P1 Exercise	INVESTIGATIVE TRAINING #34 Practical Exercise ICS #9D
9-10 am	Financial Investig. Tools & Techniques Part 3	Financial Investig. Tools & Techniques Part 4			
10-11 am		INVESTIGATIVE TRAINING #30			
11-12 pm		Financial Investig. Tools & Techniques Part 5			
12-1 pm					
1-2 pm	FIREARMS #17	P - 1 COMPLIANT ARREST SCENARIOS	INVESTIGATIVE TRAINING #31	INVESTIGATIVE TRAINING #32 Liaison	FIREARMS #19
2-3 pm					
3-4 pm			Practical Exercise	INVESTIGATIVE TRAINING #33 Practical Exercise	
4-5 pm			Body Record Practical / OTD ICS #9B	ICS 9C	
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

	WEEK 11 DAY 1	WEEK 11 DAY 2	WEEK 11 DAY 3	WEEK 11 DAY 4	WEEK 11 DAY 5	
7-8 am	LEGAL II # 30					
8-9 am	Exam Review/ Legal Aspects of Practical Exercises/ Deadly Force Review	INVESTIGATIVE TRAINING # 36	INVESTIGATIVE TRAINING # 38	INVESTIGATIVE TRAINING # 41	INVESTIGATIVE TRAINING # 43	
9-10 am			ICS #11		International Terrorism ICS #13A Part 2	b7E
10-11 am	ETHICAL LEADERSHIP # 6	INVESTIGATIVE TRAINING # 37		INVESTIGATIVE TRAINING # 42	INVESTIGATIVE TRAINING # 44	
11-12 pm				International Terrorism Part 1 ICS 13	International Terrorism Investigations	
12-1 pm						
1-2 pm			INVESTIGATIVE TRAINING # 39	PRACTICAL EXERCISE # 2	FIREARMS # 20	
2-3 pm						
3-4 pm	ICS # 10	INTERVIEWING # 13	ITU #40 ICS Supervisor	NON-COMPLIANT ARREST SCENARIOS		
4-5 pm	INVESTIGATIVE TRAINING # 35		CBT 2 *** ICS # 12			b7E
5-6 pm	EXAM: Take Home 2 Days					
6-7 pm						
7-8 pm						
8-9 pm						
9-10 pm						

	WEEK 12 DAY 1	WEEK 12 DAY 2	WEEK 12 DAY 3	WEEK 12 DAY 4	WEEK 12 DAY 5
7-8 am					
8-9 am		FIREARMS #21		INTERVIEWING #15 Transition to Interrogation	FORENSIC SCIENCE #5 Intro to Photography
9-10 am					
10-11 am	INVESTIGATIVE TRAINING #45		INVESTIGATIVE TRAINING #47	INVESTIGATIVE TRAINING #48	INTERVIEWING #16 Steps of the Interrogation
11-12 pm				ICS #13 B Part 2	
12-1 pm					
1-2 pm	INTERVIEWING #14	FORENSIC SCIENCE #2 Handling Latent Evidence	FORENSIC SCIENCE #3 Final Latent Print		INVESTIGATIVE TRAINING #49
2-3 pm					
3-4 pm	FORENSIC SCIENCE #1 Latent Fingerprints	INVESTIGATIVE TRAINING #46	FORENSIC SCIENCE #4 Major Prints & Live Scan JABS	LEGAL II #31 Drafting	Practical
4-5 pm					ICS #13C
5-6 pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 13 DAY 1	WEEK 13 DAY 2	WEEK 13 DAY 3	WEEK 13 DAY 4	WEEK 13 DAY 5
7-8 am					
8-9 am	INVESTIGATIVE TRAINING # 50	PRACTICAL EXERCISE # 3		FIREARMS # 22	COMMAND POST OPERATIONS (CPC)
9-10 am	Financial Crimes/ ICS ICS 13D Practical	Source Contact Buy Bust Operation			
10-11 am		CCTV/Elsur	FORENSIC SCIENCE # 6 Photography		INVESTIGATIVE TRAINING # 53
11-12 pm					
12-1 pm					
1-2 pm	INTERVIEWING # 17	PRACTICAL EXERCISE # 3	ITU#51/ICS Supervisor ICS#14A	FORENSIC SCIENCE # 7 Photography	
2-3 pm			INVESTIGATIVE TRAINING # 52		
3-4 pm	PRACTICAL	Download Practical / OTD		INTERVIEWING # 18 Review & Practical Preparation	FORENSIC SCIENCE # 8 Intro to Lab/Evidence Lab Examinations
4-5 pm					
5-6 pm		ICS # 14			
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 14 DAY 1	WEEK 14 DAY 2	WEEK 14 DAY 3	WEEK 14 DAY 4	WEEK 14 DAY 5	
7-8 am						
8-9 am						
9-10 am	PRACTICAL EXERCISE # 4		FIREARMS # 23	FORENSIC SCIENCE # 10	INTERVIEWING # 21	
10-11 am	CONCEPTS AND TACTICS FOR SURVIVAL # 4			Firearms Evidence Explosive Crime Scene	EXAM REVIEW ICS # 18	
11-12 pm	UFAP and Letter Bomb Investigations	INVESTIGATIVE TRAINING # 54				b7E
12-1 pm		ICS #15A				
1-2 pm	PRACTICAL EXERCISE # 4	INTERVIEWING # 19	ITU #55 (ICS Supervisor)		ITU #56	
2-3 pm	CONCEPTS AND TACTICS FOR SURVIVAL # 4	ICS # 16	Fug. Matters ICS # 17	INTERVIEWING # 20 PRACTICAL	ITU PRACTICAL	b6 b7C
3-4 pm						
4-5 pm	ICS # 15		FORENSIC SCIENCE # 9 DNA/Safety Issues/ Case Studies			
5-6 pm						
6-7 pm						
7-8 pm						
8-9 pm						
9-10 pm						

	WEEK 15 DAY 1	WEEK 15 DAY 2	WEEK 15 DAY 3	WEEK 15 DAY 4	WEEK 15 DAY 5
7-8 am					
8-9 am	INVESTIGATIVE TRAINING # 57 IT Case Study	INTERVIEWING # 22 EXAM	FORENSIC SCIENCE # 11	FIREARMS # 25	
9-10 am					
10-11 am	INFORMATION SECURITY OPSEC, Inform. System Threats		Crime Scene Administration & Management		ETHICAL LEADERSHIP # 7 EXAM
11-12 pm					
12-1 pm					
1-2 pm	INFORMATION SECURITY	LEGAL # 32		INVESTIGATIVE TRAINING # 60	OPERATIONAL TECHNOLOGY
2-3 pm	WEAPONS OF MASS DESTRUCTION Statutes, Case Over- view, Protocol	Attorney General Guidelines/Protect. of Civil Liberties	FIREARMS # 24		FBI FM Radio System Video Enhancement
3-4 pm		INVESTIGATIVE TRAINING # 59		OPERATIONAL TECHNOLOGY Surveillance Using Tracking Technology OTD	Data Intercept Technology OTD
4-5 pm	ITU #58 Classified E ICS #18A ICS SUP				
5-6pm					
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

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	WEEK 16 DAY 1	WEEK 16 DAY 2	WEEK 16 DAY 3	WEEK 16 DAY 4	WEEK 16 DAY 5
7-8 am	Legal #33/SW Review				
8-9 am		FIREARMS # 26	FIREARMS # 26 A	FIREARMS # 27	PRACTICAL EXERCISE # 5
9-10 am				QUALS	NATs Make Final Arrests in ICS ICS # 21
10-11 am			ITU #61 (ICS Supervisor)		
11-12 pm			Indict. Review		LUNCH
12-1 pm			ICS # 20		
1-2 pm					OFFICE OF PROFESSIONAL RESPONSIBILITY
2-3 pm	FORENSIC SCIENCE # 12	SAFE TACTICS AND REMED. TRAINING	FORENSIC SCIENCE # 12	SAFE TACTICS AND REMED. TRAINING	VICTIM WITNESS ASSISTANCE
3-4 pm	GROUP A (START I I) JOINTLY TAUGHT	GROUP B (START I I) JOINTLY TAUGHT			
4-5 pm	FSTU AND ICTU ICS # 19	FSTU AND ICTU ICS # 19	FORENSIC SCIENCE # 13 Review	LEGAL # 34 Testifying in Court Trial Preparation	NATU Briefing
5-6 pm		GROUP B	GROUP A	ICS #20A	
6-7 pm					
7-8 pm					
8-9 pm					
9-10 pm					

WEEK 17 DAY 1 WEEK 17 DAY 2 WEEK 17 DAY 3 WEEK 17 DAY 4 WEEK 17 DAY 5

7-8 am

INTERVIEWING
EXAM REVIEW

8-9 am

FORENSIC SCIENCE
14
(EXAM)

PRACTICAL
EXERCISE
#6

INVESTIGATIVE
TRAINING
#63
Exam Review

LEGAL
35

MOOT COURT

10-11 am

CRIME
IDENTIFICATION
(DEA)

Chance
Encounters

NATU Briefing
GRADUATION
MATTERS

BACKGROUND
INVESTIGATION

11-12 pm

NATU / Briefing

GROUP A

NATU
ADMIN
GROUP B

12-1 pm

1-2 pm

FIREARMS
28

INVESTIGATIVE
TRAINING
62

PRACTICAL
EXERCISE
#6

CONCEPTS AND
TACTICS FOR
SURVIVAL
5

LEGAL
35

2-3 pm

ADMIN. LECT.

FILE REVIEW
ICS Supervisor

MOOT COURT

3-4 pm

Flying Armed
Home Safety
Holsters

ICS #21A

NATs Practice
Tactical Principles

4-5 pm

GROUP B

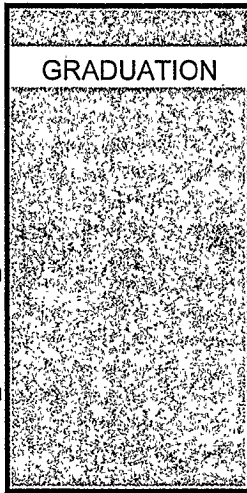
NATU
Briefing
GROUP A

ICS # 22

WEEK 18 DAY 1 WEEK 18 DAY 2

7-8 am

8-9 am



9-10 am

10-11 am

11-12 pm

12-1 pm

CBT 1 = COMPUTER BASED TRAINING CLASSROOM # 1

1-2 pm CBT 1 *** = SCHEDULE SHOULD IDENTIFY LOCATION AS
 HOMEROOM BUT RESERVE CBT 1 AS CLASS WILL
 RELOCATE TO CBT 1 DURING THE SECOND HOUR

2-3 pm CBT 2 = COMPUTER BASED TRAINING CLASSROOM # 2
 CBT 2 *** = SCHEDULE SHOULD IDENTIFY LOCATION AS
 HOMEROOM BUT RESERVE CBT 2 AS CLASS WILL
3-4 pm RELOCATE TO CBT 2 DURING THE SECOND HOUR

CTU 1 = COMPUTER BASED TRAINING

CTU 1 *** = SCHEDULE SHOULD IDENTIFY LOCATION AS HOMEROOM BUT RESERVE CTU 1 AS
4-5 pm AS CLASS WILL RELOCATE THERE.
 SCHEDULE CLASS IN 309 , 310, 311, OR 312

1st Odd
2nd Even

ITU Schedule for New Agent Classes 06-06 and Beyond

Block Number	Topic(s)	Instructor
ITU 1	Course Overview	SJ/AII; BB/AII
ITU 2	Role of Special Agent	VW; LP
ITU 3		PD/DC; BB/KM
ITU 4A	FBI Manuals Group B	VW; LP
ITU 4B	FBI Manuals Group A	DC; KM
ITU 5 (ICS #1)		PD/DC; BB/KM
*ICS #2	Interviewing #7	LECU
ITU 6 (ICS #2A)	Case Initiation	VW; LP
*ICS #3	Interviewing #8	LECU
ITU 7		DC; KM
ITU 8		DC; KM
*ICS #4	Interviewing #9	LECU
ITU 9 (ICS #4A)	ICS Supervisor	VW; LP
*ICS #5	Interviewing #11	LECU
ITU 10	Case Study	MT; KM
ITU 11 (ICS #5A)		MT; KM
ITU 12 (ICS #6)	ICS Supervisor	VW; LP
ITU 13 (ICS #6A)		MT; KM
ITU 14		SJ; BB
*ICS #7		LECU
ITU 15 (ICS #7A)		SJ; BB
ITU 16 (ICS #8)	ICS Supervisor	VW; LP
ITU 17 (ICS #8A)		SJ; BB
ITU 18 (ICS #9)	Practical	SJ; BB
ITU 19	Management	SJ; BB
ITU 20	Using in Investigations	SJ; BB
ITU 21	Consensual Monitoring, Part 1	DC; BB
ITU 22	Consensual Monitoring, Part 2	DC; BB
ITU 23		VW; BB
ITU 24	Title III	MT; KM
ITU 25	Enterprise Theory	MT; KM
ITU 26	Financial Investigative Tools and Techniques, Part 1	PD; SD
ITU 27 (ICS # 9A)	Financial Investigative Tools and Techniques, Part 2	PD; SD

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ITU 28	Financial Investigative Tools and Techniques, Part 3	PD; SD
ITU 29	Financial Investigative Tools and Techniques, Part 4	PD; SD
ITU 30	Financial Investigative Tools and Techniques, Part 5	PD; SD
ITU 31 (ICS # 9B)	Practical Exercise	DC/PD; BB/KM
ITU 32	Liaison	RL/MT; RL/MT
ITU 33 (ICS # 9C)	Practical Exercise	PD; SD
ITU 34 (ICS #9D)	Practical Exercise	DC/PD; KM/BB
*ICS #10	Data Analysis Part 1	ESTU
ITU 35	Test #1	PD/All; KM/All
ITU 36		RL/MT; RL/MT
ITU 37		VS/DB; BG/DB
ITU 38 (ICS #11)		VS/DB; BG/DB
ITU 39		VS; BG
ITU 40 (ICS #12)	ICS Supervisor	VW; LP
ITU 41		RL/VS; RL/VS
ITU 42 (ICS #13)	International Terrorism Case Management	DB/SJ; DB/SJ
ITU 43 (ICS #13A)	International Terrorism Inv. Techniques	DB/SJ; DB/SJ
ITU 44	International Terrorism Practical	DB/SJ; DB/SJ
ITU 45		VS; BG
ITU 46		VS; BG
ITU 47	Part 1	VS; BG
ITU 48 (ICS #13B)	Part 2	VS; BG
ITU 49 (ICS #13C)		VS; BG
ITU 50 (ICS #13D)	Financial Crimes Exercise	PD; SD
*ICS #14	Practical #3	OSU
ITU 51 (ICS #14A)	ICS Supervisor	VW; LP
ITU 52		VS; BG
ITU 53		RL; RL
*ICS #15	Practical #4	OSU
ITU 54 (ICS #15A)		DB/SJ; DB/ SJ
*ICS #16	Interviewing #19	LECU
ITU 55 (ICS #17)	ICS Supervisor	VW; LP
*ICS #18	Interviewing #21	LECU
ITU 56		DB/VS; DB/VS

b7E

b6
b7C

ITU 57	IT Case Study	DB; DB
ITU 58 (ICS #18A)	ICS Supervisor	VW; LP
ITU 59		VS/DB; BG; DB
ITU 60		RL; RL
*ICS #19	Forensics	LAB
ITU 61 (ICS #20)	ICS Supervisor	VW; LP
*ICS #21	Practical #5	OSU
ITU 62 (ICS #21A)	ICS Supervisor	VW; LP
ITU 63		VS/All; BG/All
*ICS #22	Moot Court	LEGAL

b7E

***Block from Integrated Case Scenario (ICS) taught by unit other than ITU**
Revised 12/08/05

Sequence of instruction may vary slightly from one New Agent's class to another

Team 1

(Team Leader)

Team 2

(Team Leader)

Floater (Teach all NA classes)

b6
b7C

ITU Block #39

b7E

Curriculum Map

Block Description:

This two-hour block of instruction introduces learners to the policy guidelines

Learners will be exposed to

b7E

Supplemental Materials:

1) PowerPoint note taking guide

2)

3)

b7E

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

1)

2)

3)

4)

b7E

Instructional Platform:

Students will discuss

Key Points for Instructors:

Executive Order 12333 (1981) designates the FBI as the lead counterintelligence agency in the United States.

The key components of National Security investigations are to Identify, Penetrate and Neutralize.

is vital to protecting U.S. national security interests.

Revised 09/08/2006

ITU Block #38
(ICS #11)

b7E

Curriculum Map

Block Description:

This two-hour block of instruction provides

Learners are also exposed to

b7E

An overview of

Supplemental Materials:

- 1)
- 2) PowerPoint note taking guide
- 3)

b7E

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

- 1)
- 2)
- 3)
- 4)
- 5)

b7E

Instructional Platform:

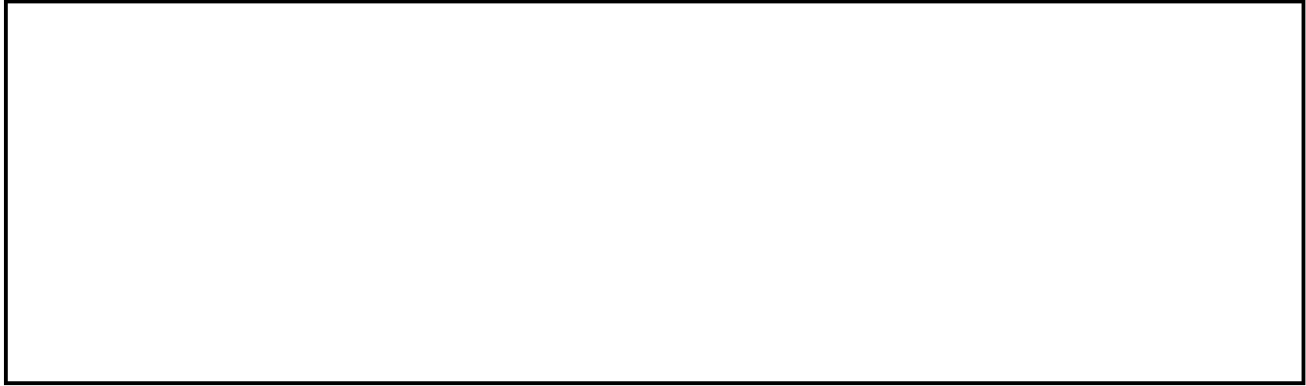
Students will be reminded

Students will conduct database checks in accordance with the investigative rules

b7E

Students will watch a video of a case agent from the Quantico JTTF discussing with the FBI NYO case agent. This information will

Key Points for Instructors:



b7E

Revised 09/08/2006

ITU Block #37

b7E

Curriculum Map

Block Description:

This two-hour block explains the FBI's role as a law enforcement and counterintelligence/counterterrorism agency

Learners are exposed to

b7E

The learners are further provided with an overview of information

Supplemental Materials:

- 1)
- 2) PowerPoint note taking guide
- 3)

b7E

Assignments: None

Learning Objectives:

At the conclusion of this two-hour block of instruction students should be able to:

- 1)
- 2)
- 3)
- 4)
- 5)
- 6)
- 7)

b7E

Instructional Platform: Class discussion

Key Points for Instructors:

Counterterrorism is the Bureau's #1 priority and the FBI is the lead Agency in the US for both CT and CI.

There are two types of NSP Investigations:

1.
2.

The three components of the National Security Branch are:

1. Counterterrorism Division
2. Counterintelligence Division
3. Directorate of Intelligence

b7E

The priority of the is to share intelligence in order to prevent, disrupt and defeat terrorist operations and planning before they occur.

Revised 09/08/2006

b7E

ITU Block #46

Curriculum Map

Block Description:

This two-hour block addresses clandestine communication

b7E

Supplemental Materials:

1) PowerPoint note taking guide

2)

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

1)

2)

Instructional Platform:

Classroom discussion

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b7C
b7E

Key Points for Instructors:

Revised 09/08/2006

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ITU Block #52

(S)

Curriculum Map

[Redacted]

[Redacted]

b1
b7E

This block of instruction also addresses the

[Redacted]

The block is concluded with the presentation

[Redacted]

Supplemental Materials:

1) PowerPoint note taking guide

2)

3)

[Redacted]

b7E

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

1)

2)

3)

4)

5)

6)

[Redacted]

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7)

8)

Instructional Platform: Classroom discussion

Key Points for Instructors:

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Revised 09/08/2006

ITU Block #60

b7E

Curriculum Map

Block Description:

This two-hour block of instruction is used to administer the [redacted] exam. The test is open-book, but done in class.

b7E

Supplemental Materials:

- 1) Exam
- 2) Student envelopes

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

- 1) Demonstrate their knowledge of various concepts [redacted]
- 2) Successfully apply various concepts learned [redacted]

b7E

Instructional Platform:

Written exam

Key Points for Instructors:

Instructors must remember to disseminate student materials [redacted]

b7E

Revised 09/08/2006

ITU Block #63

b7E

Curriculum Map

Block Description:

This two-hour block begins with a review of the material from the CI/CT exam and concludes with
[redacted] of the 63 blocks of ITU instruction.

b7E

Supplemental Materials:

- 1) PowerPoint note taking guide

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

- 1) Successfully apply basic and advanced investigative techniques to FBI investigations.

Instructional Platform:

Class discussion

Key Points for Instructors:

Re-emphasize key points from previous 62 blocks of ITU instruction.

Revised 09/08/2006

New Agent CI Training Enhancement

1) courses

- a. Basic CI.....15 hours
b. 15 hours
c. 15 hours
d. 15 hours

b7E

.....60 hours total

2) methods/interviewing

- a. 4 hours
b. 2 hours
c. 2 hours
d. 3 hours
e. 1 hour

b7E

.....12 hours total

3) 4 hours total

b7E

4) 4 hours total

Total CI addition to NAT.....80 hours total

**New Agents Training Curriculum Committee
Meeting Agenda
December 3, 2007**

1. Discussion of Curriculum Assessments - status/update
2. Update by Instructional Systems Specialists
3. Recommendations for Schedule lay-out
4. Admin Areas - meeting on 11/30/2007 - summary
5. Week 1 - Introduction to the FBI
Discussions regarding the "indoctrination" of New Agents/Analysts into the FBI
What should Week 1 "look like"? - ideas
6. Next Steps:
 - a. Units/ISSs begin lesson plan construction
 - b. Operational divisions meet to discuss "transition" training areas
 - c. Schedule lay-out meeting
7. Initiative Progress Deadlines:
 - a. **12/03/2007** - units submit reports documenting assessment and review of existing curriculum
 - b. **12/17/2007** - official Audit report
 - c. **01/07/2008** - Initial review of new lesson plans (rough drafts)
 - d. **01/07/2008** - provide new schedule plan to TSU for scheduling
 - e. **01/25/2008** - progress review of all units
 - f. **02/08/2008** - second progress review (majority of curriculum should be completed)
 - g. **02/29/2008** - curriculum finalized for initial implementation
 - h. **04/01/2008** - implementation of new NATP curriculum

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New Agents Training Program Proposed Curriculum Layout

Intro to the FBI
Week 1

Intelligence-Collection

Core Skills Training

Basic skills essential to the Special Agent

Safety and Survival

Investigative Tools and Techniques

Interview and Interrogation

Legal Procedures

Transitional Phase

Modern Trends

Contemporary Topics

Program-centric topics

Issues for consideration:

1. How are new NATS and Analysts introduced to the FBI? As an organization? Their roles?
2. Will NAT/IBC be combined at certain points? If so, where
3. How will the transition phase look?
4. How is the intelligence-collection continuum integrated in all areas/programs?
5. Where should the CTC presentation be placed in the schedule?

b7E



New Agents Training Program
Division/Unit Name
Subject Title
Block #
Curriculum Map
SAMPLE



Block Description: The New Agent Trainees (NATs) are introduced to the team of instructors and provided an outline of the expectations, goals and objectives, format and time-line of the entire ITU curriculum, and learning strategies including classroom presentations, practical exercises, joint training opportunities, and supervision over the Integrated Case Scenario (ICS). The concept of [redacted] [redacted] is also introduced..

b7E

Block time: 2 Hours

Instructional Goal: New Agent Trainees (NATs) are introduced to the team of instructors and provided an outline of the expectations, goals and objectives, format and time-line of the entire ITU curriculum.

Instructional Objectives: After this two-hour block of instruction, learners will be able to:

- 1) Describe, in general terms, the ITU curriculum and the method in which they, as learners, will be evaluated.
- 2) List the core capabilities of the FBI to include investigative, intelligence, strategic partnerships, investigative technologies, and forensic capabilities

Instructional Strategies: (describe in detail)

- 1) Lecture
- 2) Group exercise: New Agents will identify the techniques appropriate to specific investigations given various situations/descriptions
- 3) Icebreaker exercise

Supplemental Materials:

- 1) ITU binder with NAC cover sheet (prepared by SPPU)
- 2) PowerPoint for Block #1 (prepared by SPPU)
- 3) Course Outline (prepared by SPPU)
- 4) CD-Rom (prepared by ITU--see Program Manager)

Assignments:

b7E

- 1) Review CD for organization and content.
- 2) Review PowerPoint and supplemental materials on CD for next ITU class.

Key Points for Instructors: Discuss how the course focuses on investigative techniques as applied in criminal and national security investigations and has moved away from the "program specific" approach to New Agent Training. Introduce the intelligence cycle and explain the critical role that intelligence plays in FBI investigations. Introduce and explain the concept of [redacted] Discuss how the students will learn how to manage criminal and national security cases via [redacted]

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32 HOURS

Day 1

4 hours Counterintelligence in the FBI - a History

ITU Block #37

Block Description:

This two-hour block explains the FBI's role as a law enforcement and
counterintelligence/counterterrorism agency.

Learners are exposed to

b7E

The learners are further provided with an overview of information

4 hours Early and contemporary

ITU Block #46

Block Description:

This two-hour block addresses communication

b7E

Day 2

4 hours The Adversaries

ITU Block #38

Curriculum Map

Block Description:

This two-hour block of instruction provides

Learners are

also exposed to

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Day 2

2 hours CI Tools

2 hours [REDACTED]

ITU Blocks #7 & #8

Block Description:

These two 2-hour blocks of instruction provide a general [REDACTED]

b7E

[REDACTED]
[REDACTED] Additionally, students will learn how to apply these techniques in a logical order working from least to most intrusive, as well as discuss tactical and safety considerations for each technique. [REDACTED]

[REDACTED] Investigative techniques are compared to tools in a toolbox, with the FBI using "criminal" and "foreign intelligence" tools.

ITU Block #39

Block Description:

This two-hour block of instruction introduces learners to the policy guidelines [REDACTED]

[REDACTED]
[REDACTED] Learners will be exposed to [REDACTED]

b7E

Day 3

4 hours CI Case Studies - The Reagan Case

4 hours [REDACTED]

ITU Block #52

[REDACTED]

b1
b7E

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[Redacted]

This block of instruction also addresses the use [Redacted]

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[Redacted]

The block is concluded with the presentation [Redacted]

[Redacted]

(S) Day 4
8 hours Culture [Redacted]

b1
b7E

Day 5
2 hours Traditional versus Non-Traditional [Redacted]
ITIL Block #46 [Redacted]

Block Description:

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This two-hour block addresses clandestine communication [Redacted]

[Redacted]

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Day 5
6 hours CI Interviews

ITU Blocks #47 & #48 (ICS #13B)

[REDACTED]

Block Description:

This four-hour block is devoted to how Agents develop [REDACTED]

[REDACTED] Topics include [REDACTED]

[REDACTED] Also discussed are [REDACTED]

[REDACTED] At the conclusion of this block of instruction [REDACTED]

b7E

ITU Block #49

[REDACTED]

Block Description:

This four-hour block is devoted to having learners role-play [REDACTED]

[REDACTED] Several National Security scenarios have been developed. During each of the different "roles," the role player presents a different issue, question or problem for the learners to resolve applying FBI policies and procedures.

Day 6
4 hours Anatomy of a CI Case

ITU Block #52

[REDACTED]

Block Description:

This two-hour block utilizing lecture and a practical problem demonstrates [REDACTED]

[REDACTED]

b7E

ITU Block #39

[REDACTED]

Block Description:

This two-hour block of instruction introduces learners to the policy guidelines [REDACTED]

[REDACTED] Learners will be exposed to [REDACTED]

[REDACTED]

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4 hours Surveillance

Day 7

4 hours Cyber Threat and CI Operations

4 hours Counter proliferation

AD Required additions

Practical Exercise

ITU Block #56

[REDACTED]
Block Description:

The New Agent/Analysts joint-training exercise is a four-hour simulated [REDACTED]
scenario. [REDACTED]
[REDACTED]
[REDACTED]

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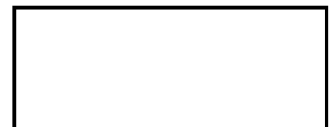
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OUTLINES
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COURSES

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CONTAINS TO



Training Division New Agent Training Curriculum Crosswalk Enhanced and Added Content

Division	Hours	NOTE: The additional hours do not equate to approximately 4 weeks added to the NAT. To maximize the time new Agents are in training, it is expected that various tactics will be evaluated to include different delivery methods, extending the training day from 40 to 44 hours per week, and including mandatory events in the evening and/or weekends.
Counterterrorism	62	
Intelligence	6	
Laboratory	8	
Training	72	
Counterintelligence	36	
Total Additional Hours		184

Counterterrorism Division				
Recommended Topics		Suggested Outcomes		
		36	62	TOTAL HOURS DEDICATED TO CT = 98
Awareness	Owner	Current Time	Added Time	Objectives
	TD (ITU 41)	2 hrs		- List at least two resources available to learn more - Define terms - List and describe at least three issues to consider when interviewing - Describe three important concepts - Identify the four parts
Combined Learning Objectives:	CTC		2 hrs	Understand the Origin

b7E

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October 26, 2006
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- Describe how to interpret a link-chain diagram - Understand how a link-chain can be used as an investigative tool				
	Owner	Current Time	Added Time	Objectives
CT Operations				
AQ Strategy	New content			
	CTC		4 hrs	• • • • • • • •
	CTD		2 hrs	•
	TD		2 hrs	
	New content			
	CTC		4 hrs	• • • • • • • •
		2 hrs		• • • • • • • •
	(ITU 53)			
		3 hrs		• • • • • • • •

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Directorate of Intelligence			
Recommended Topics		Suggested Outcomes	
		6	Reflective of new lecture time only
	Owner	Time	Objectives
Overview	DI	.5 hr	
What Do You Need to Know About Your Territory to Protect It?	DI	1.5 hrs	- Explain the requirements process - Identify requirement sets, and how they can be found, and serve as a logical starting point in the process - Construct a strategic process to understand what you need to know about a specific threat in your territory - Describe and how they can be used to better understand threats and vulnerabilities in a territory
What Do You Know About the Problem?	DI	1.25 hrs	- Describe the relevance of case, and liaison contact data in the process - Critically evaluate case, and liaison contact data and determine its overall quality within the larger context of the problem being covered - Apply evaluated case and liaison contact information to a constructed strategic process - Assess case and liaison contact information relative to the problem being covered using - Describe a method to obtain access to data at the field office for successful
What Don't You Know About the Problem?	DI	.25 hrs	- Describe a process of strategic analysis in identifying knowledge gap with regards to threats and vulnerabilities in their territory - Describe the role of in identifying knowledge gaps with regards to threats and vulnerabilities in their territory
What Are You Going to Do to Address Threats and Vulnerabilities?	DI	2 hrs	- Describe the use of the application of strategic analysis and in addressing threats and vulnerabilities that have been identified in other stages of the process - Identify critical factors that are considered when prioritizing cases and requirements affected by the threat and vulnerability - Describe how the application of strategic analysis can be incorporated in addressing threats and vulnerabilities within a field office

b7E

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			•	
			•	
			•	
	DI	.5 hrs	•	
			•	

b7E

Laboratory Division			
Recommended Topics		Suggested Outcomes	
		8	ENHANCED LESSON CONTENT = 8
	Owner	Time	Objectives
Practical Exercise Note: Teaching such concepts in the course of an evidence collection scenario would be a critical value added to the forensic science curriculum that directly supports current FBI investigative priorities. These scenarios would, of course, also be woven into the broad investigative case scenarios utilized by other components of the New Agent Training process.	LD	8	• Conduct crime scene and search warrant execution locations in a manner affording increasing evidence collection proficiency for the new agent trainees • Engage in hands on forensic techniques, evidence recognition practices, and collection and preservation techniques focusing on investigative case scenarios utilized by other components of the new agent training process, supporting FBI and National Security Branch investigative priorities. • Incorporate concepts in intelligence related aspects of crime scene and search warrant executions emphasizing on the real time value of recognizing the actionable intelligence of items of evidentiary value. • Understand the importance of expeditiously forwarding such actionable intelligence items to relevant investigative entities for exploitation purposes, ensuring such items are not simply packaged for later review.

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Training Division			
Recommended Topics		Suggested Outcomes	
		72	ENHANCED LESSON CONTENT = 72
	Owner	Time	Objectives
	TD	20 hrs	•
Defensive Tactics (Confined Area Arrests)	TD	8 hrs	• Arrest scenarios to include planes, trains, and buses
Legal Issues	TD	4 hrs	• Extraterritorial Operations
			• DOJ Policies regarding Online Investigative Principles
Long gun retention/protection – 223 range time		8 hrs	•
CIA Day		8 hrs	•
Career Day		4 hrs	•
Final Exercise	TD	20 hrs	• Incorporate as much of the entire curriculum in one final exercise that includes involvement of the Investigative Analysts

b7E

Counterintelligence Division			
Recommended Topics		Suggested Outcomes	
		36	ENHANCED LESSON CONTENT = 28
	Owner	Time	Objectives
Introduction to Counterintelligence	CD	4 hrs	•
Introduction to Espionage	CD	4 hrs	•
Counterintelligence	CD	4 hrs	•
Counterintelligence Interviewing	CD	4 hrs	•
	CD	8 hrs	•
Practical	CD	8 hrs	•

Criminal and Cyber Division have been invited to review the current content to ensure it remains contemporary.

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b7E

ITU Blocks #7 & #8

Curriculum Map

Block Description:

These two 2-hour blocks of instruction provide a general
commonly used in FBI investigations.

b7E

Additionally, students will learn how to apply
these techniques in a logical order working from least to most intrusive, as well as discuss tactical and
safety considerations for each technique.

Investigative techniques are compared
to tools in a toolbox, with the FBI using "criminal" and "foreign intelligence" tools.

Supplemental Materials:

- 1)
- 2) PowerPoint note taking guide
- 3)
- 4)
- 5)
- 6)

b7E

Assignments: Review PowerPoint and supplemental materials on CD for next ITU class

Learning Objectives:

By the end of this two-hour block of instruction, students should be able to:

- 1)
- 2)
- 3)
- 4)

b7E

Instructional Platform:

[Redacted]

b7E

Key Points for Instructors:

[Redacted]

Revised 09/07/2006

ITU #11
(ICS #5A.

b7E

Curriculum Map

Block Description:

This two-hour block of instruction is held in CBT2 and focuses on

b7E

Supplemental Materials:

1) Instructional PowerPoint

2)

3)

4)

5) ITU Homework #1

b7E

Assignments:

1) Complete ITU homework by designated date and time (one week).

Learning Objectives:

After this two-hour block of instruction, NATs will be able to:

1)

2)

2)

b7E

Key Points for Instructors:

Instructors should show NATs how to access

of the class, the instructor should focus on recent developments

NATs will review several training intelligence products

Results should be reviewed with a hard copy provided. Students will then be asked to

b7E

complete the homework assignment [redacted] Students
should be advised that, in the future, they will [redacted]

b7E

Revised 09/07/2006

ITU Block #45

b7E

Curriculum Map

Block Description:

This two-hour block of instruction introduces the means and methods used by the FBI to

b7E

Supplemental Materials:

- 1) PowerPoint note taking guide

Assignments: None

Learning Objectives:

At the conclusion of this block of instruction students should be able to:

- 1)
- 2)
- 3)

b7E

Instructional Platform:

Classroom discussion

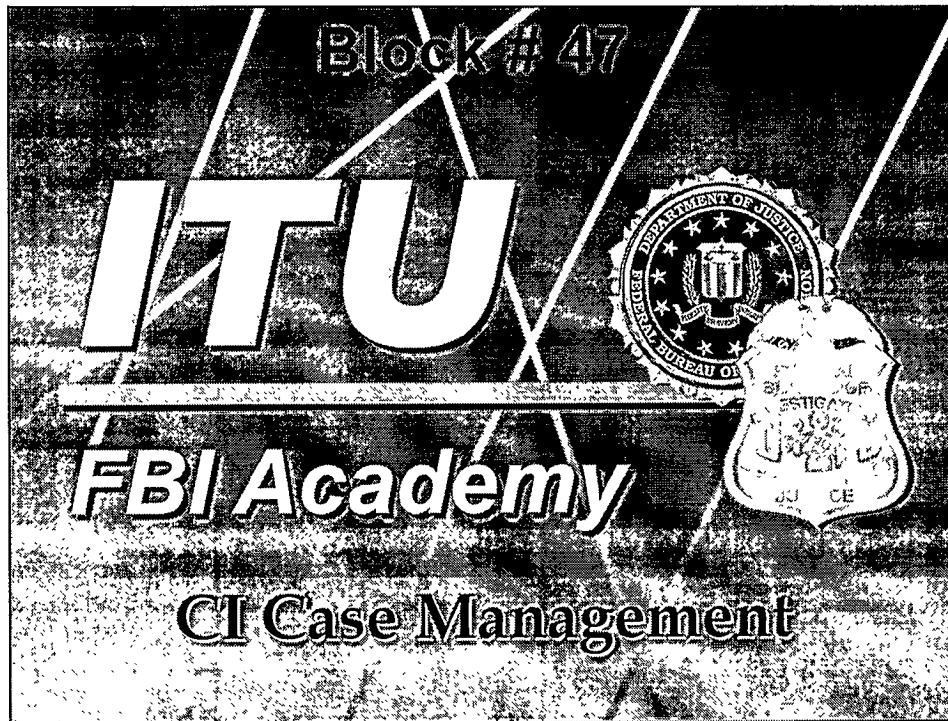
Key Points for Instructors:

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Learning Objectives

At the conclusion of this block NATs should be able to:

Select appropriate investigative techniques for National Security investigations and apply those techniques to a fact pattern

Distinguish between authority levels and approval procedures for National Security investigations

Determine which investigative techniques accomplish the intelligence mission in a fact situation

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National Security Programs

This briefing is classified

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in its entirety

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Counterintelligence Solutions



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Criminal Solutions



UNCLASSIFIED

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THE ATTORNEY GENERAL'S GUIDELINES FOR DOMESTIC FBI OPERATIONS (AGG-DOM)

The AGG-DOM governs the FBI's :

- Federal Crimes investigations
- Threats to the National Security
- Foreign Intelligence collection

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AGG-DOM

Three Types of Investigative Activity:

- Assessment Investigations
- Predicated Investigations
 - Preliminary Investigations
 - Full Investigations
- Enterprise Investigations

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AGG-DOM

ASSESSMENTS:

Purpose: Must have an authorized purpose and may be carried out to detect, obtain information about, or prevent or protect against federal crimes or threats to the national security or to collect foreign intelligence.

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FBI042831

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Assessments

Circumstances Warranting Investigation:

- 1. Activities constituting violations of federal criminal law or threats to the national security
- 2. The involvement or role of individuals, groups, or organizations in such (#1) activities
- 3. Determining [redacted] of/vulnerabilities to federal criminal activities or threats to the national security

Assessment

Circumstances continued:

- 4. Obtaining information to inform or facilitate intelligence analysis and planning
- 5. Efforts to identify, assess, validate or maintain the cover or credibility of a [redacted]
- 6. Matters of Foreign Intelligence (FI) interest responsive to FI requirements

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Assessments

Lacking any more specific investigative predication...utilizing least intrusive investigative techniques

Investigative methods available:

Examine publicly available information
Examine FBI records
Examine Federal, State and Local records
Utilize online resources

[REDACTED]
Interview members of public and private entities
Accept information voluntarily provided
Engage in observation or surveillance not requiring court order
Federal Grand Jury subpoenas for telephone and electronic mail subscriber records

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AGG-DOM

If threat assessment indicates further investigation is warranted you can open...

Preliminary Investigation

Information or an allegation indicating the existence of one of the following two circumstances:

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FBI042833

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AGG-DOM

Circumstances for opening a Preliminary Investigation (PI)

- An activity constituting a federal crime or a threat to national security has or may have occurred, is or may be occurring, or will or may occur and the investigation may obtain information relating to the activity or the involvement or role of an individual, group, or organization in such activity
- An individual, group, organization, entity, information, property, or activity is or may be a target of attack, victimization, acquisition, infiltration, or in connection with criminal activity in violation of federal law or a threat to national security and the investigation may obtain information that would help to protect against such activity or threat

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Circumstances for opening a Preliminary Investigation (PI)

×

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Circumstances for opening a Preliminary Investigation
(PI)

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~~(S)~~ PRELIMINARY INVESTIGATION:

Investigative Methods

All available in Assessments, plus:

- Mail covers
- Physical searches not requiring warrant or court order
- Consensual monitoring of communications, including computer
- CCTV, direction finders and other monitoring devices
- Polygraph
-
- Federal Grand Jury and other subpoenas and NSL's
- Accessing stored wire and electronic communications
- Pen registers and trap and trace devices

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AGG-DOM

PRELIMINARY INVESTIGATION:

- PIs are initially authorized by SSA for a period of 6 months
- SAC may authorize 6 month extension up to one year
- Further PI extensions MUST be approved by FBIHQ

Reporting Requirements:

- FBIHQ unit as dictated by program guidance

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Full Investigation

- A Full investigation may be initiated when there is an articulable factual basis for the investigation that reasonably indicates that one of the following three circumstances exist:

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Full Investigation Circumstances Warranting Investigation:

- An activity constituting a federal crime or a threat to the national security has or may have occurred, is or may be occurring, or will or may occur and the investigation may obtain info relating to the activity or the involvement of an individual, group, or organization
- An individual, group, organization, entity, information, property, or activity is or may be a target of attack, victimization, acquisition, infiltration, or [redacted] in connection with federal criminal activity or a threat to the national security and the investigation may obtain info that would help to protect against such activity or threat

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Full Investigation Circumstance Warranting Investigation continued:

- The investigation may obtain foreign intelligence that is responsive to a United States Intelligence Community (USIC) derived foreign intelligence requirement

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Circumstances for opening a Full Investigation

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AGG-DOM

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~~(S)~~ **FULL INVESTIGATION:**

Investigative Techniques

- All available in Assessment and PIs
- Electronic Surveillance
- Physical searches, including mail openings, where a warrant or court order is legally required

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AGG-DOM FULL INVESTIGATION

- FI's are authorized by SSA
- Unlimited Duration
- Reporting Requirements:
 - FBIHQ substantive unit
 - DOJ/NSD for USPER national security cases
 - Annual EC/LHM

USPER (US Person)

- US citizen
- Permanent Resident Alien (PRA)
- Unincorporated association substantially composed of US citizens or PRA's
- Corporation incorporated in the US, except for a corporation directed and controlled by a foreign government or governments
- If you don't know– presumption is USPER

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USPER (US Person)

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Foreign Power

- Defined in FISA (Title 50 USC 1801)
- Foreign Power:
 - (1) a foreign government or any component thereof, whether or not recognized by the United States;
 - (2) a faction of a foreign nation or nations, not substantially composed of United States persons;
 - (3) an entity that is openly acknowledged by a foreign government or governments to be directed and controlled by such foreign government or governments;
 - (4) a group engaged in international terrorism or activities in preparation therefor;
 - (5) a foreign-based political organization, not substantially composed of United States persons; or
 - (6) an entity that is directed and controlled by a foreign government or governments.

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Agent of Foreign Power

- Defined in FISA (Title 50 USC 1801)
- (1) any person other than a United States person, who—
 - (A) acts in the United States as an officer or employee of a foreign power, or as a member of a foreign power as defined in subsection (a)(4) of this section;
 - (B) acts for or on behalf of a foreign power which engages in clandestine intelligence activities in the United States contrary to the interests of the United States, when the circumstances of such person's presence in the United States indicate that such person may engage in such activities in the United States, or when such person knowingly aids or abets any person in the conduct of such activities or knowingly conspires with any person to engage in such activities; or

Agent of Foreign Power (cont.)

- (2) any person who--
- (A) knowingly engages in clandestine intelligence gathering activities for or on behalf of a foreign power, which activities involve or may involve a violation of the criminal statutes of the United States;
- (B) pursuant to the direction of an intelligence service or network of a foreign power, knowingly engages in any other clandestine intelligence activities for or on behalf of such foreign power, which activities involve or are about to involve a violation of the criminal statutes of the United States;
- (C) knowingly engages in sabotage or international terrorism, or activities that are in preparation therefore, or on behalf of a foreign power; or
- (D) knowingly aids or abets any person in the conduct of activities described in subparagraph (A), (B), or (C) or knowingly conspires with any person to engage in activities described

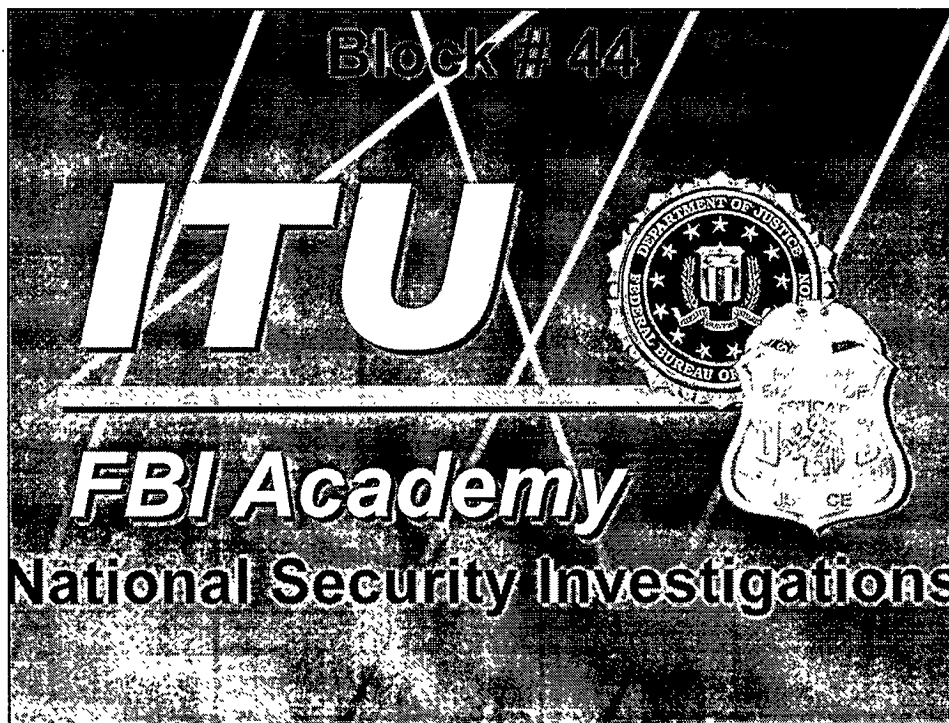
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Classification Levels

- **Unclassified**
- **Confidential** – Information that could Damage US National Security
- **Secret** – Information that could Seriously Damage US National Security
- **Top Secret** – Information could Gravely Damage US National Security
 - **SCI – Sensitive Compartmentalized Information**

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Learning Objectives

At the conclusion of this block NATs should be able to:

- Describe the types of National Security Investigations conducted by the FBI
- Employ correct FBI terminology for FBI National Security investigations
- Articulate the FBI Counterintelligence Strategic Plan
- Relate current and historic National Security investigations

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(U) Intelligence Services

- Internal Security Service
- External Security Service
- Military Intelligence Service

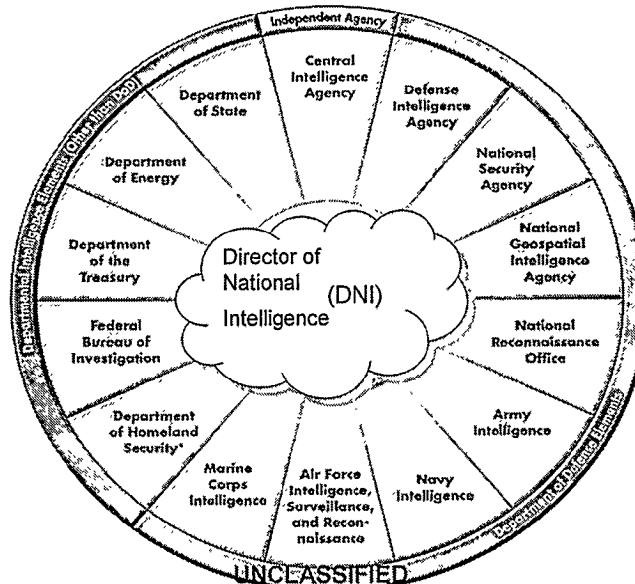
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US Intelligence Community

Intelligence
Reform Act,
Dec. 2004



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INTELLIGENCE and COUNTERINTELLIGENCE

Intelligence:

- Collection/compilation and analysis of data provided by any or all which provides a picture of intentions, capabilities or activities

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INTELLIGENCE and COUNTERINTELLIGENCE

Counterintelligence:

- Information gathered and activities conducted to protect against espionage, sabotage and other intelligence activities conducted on behalf of foreign powers or by international terrorists

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Foreign Intelligence

- (U) Information relating to the capabilities, intentions, and activities of foreign powers, organizations or persons, that does not include counterintelligence except for information on international terrorist matters

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Executive Order 12333 (Revised 2008)

The FBI is authorized pursuant to EO 12333 1.7(g) to:

- (1) Collect (including through clandestine means), analyze, produce and disseminate foreign intelligence and counterintelligence to support national and departmental missions, in accordance with procedural guidelines approved by the Attorney General, after consultation with the Director;
- (2) Conduct counterintelligence activities; and
- (3) Conduct foreign intelligence and counterintelligence liaison relationships with intelligence, security, and law enforcement services of foreign governments or international organizations in accordance with sections 1.3(b)(4) and 1.7(a)(6) of this order. 1.3(b)

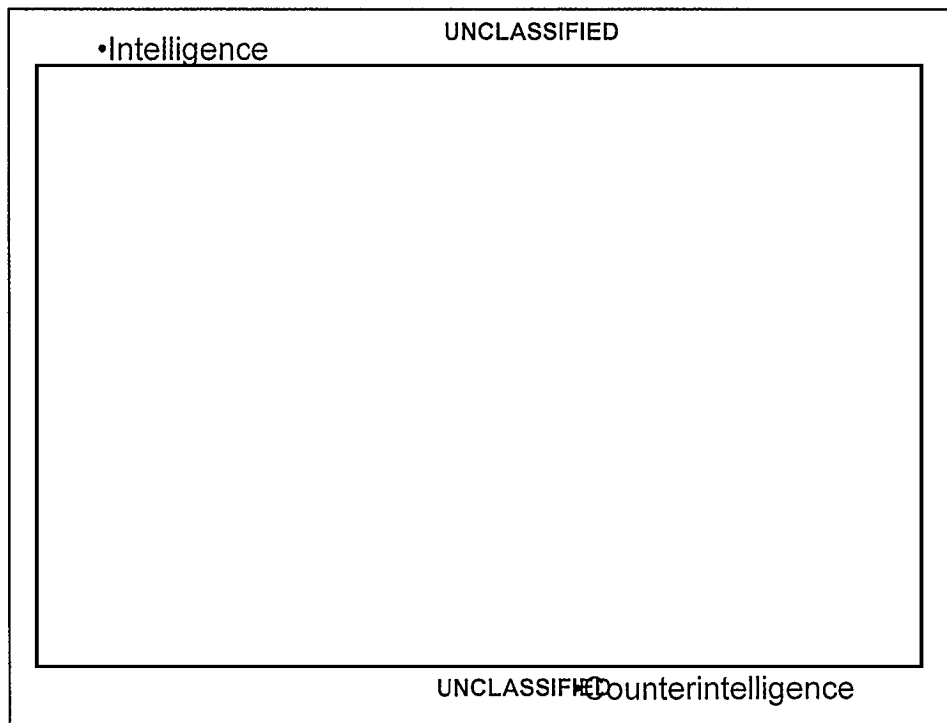


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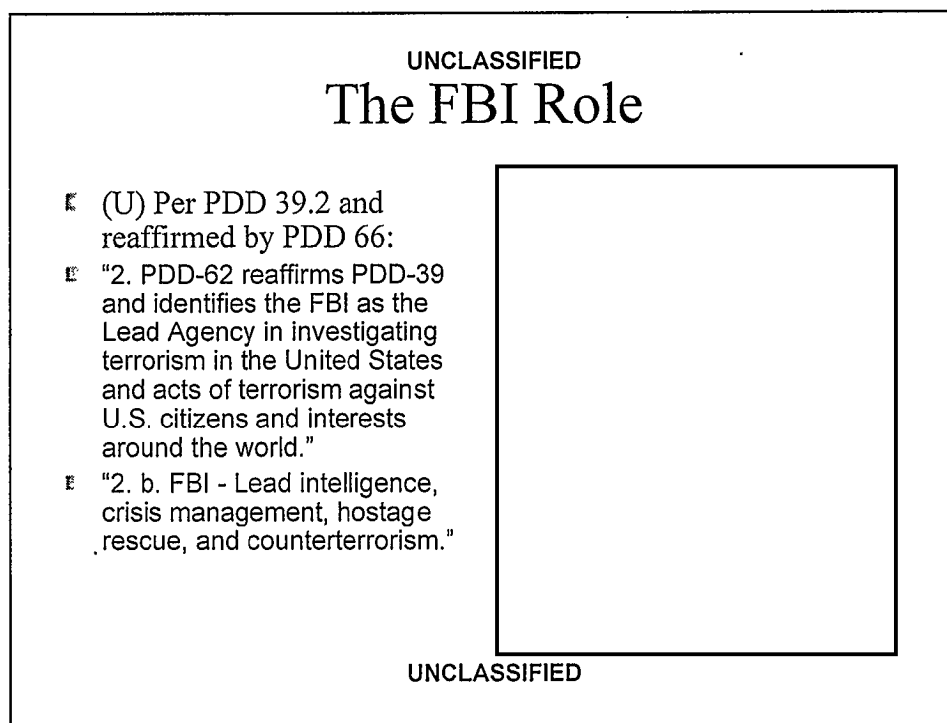
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**National Security Branch:
Operations – Investigative and Intelligence**

Anticipate, Identify and respond to the prevailing U.S. threat environment by building a national awareness that leads to the detection of threats in a sufficient time to permit their disruption, and in a manner that is consistent with the law and protects civil liberties.

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**National Security Branch:
Operations – Investigative and Intelligence**

1. **Knowing the Domain and Recognizing Threats**
Develop a national awareness that permits prompt identification of vulnerabilities, threats and knowledge gaps; to the extent permitted by U.S. laws and mindful of civil liberties.
2. **Collecting Information**
 technical and other collection capabilities that close knowledge gaps and meet national intelligence priorities and investigative needs.
3. **Producing Strategic and Tactical Intelligence**
Develop, integrate and manage strategic and tactical processes that produce high-quality intelligence products for internal and external customers.
4. **Disruption of Operational Planning**
 1. Pursue strategies to identify and disrupt those engaged in WMD, terrorist plots, intelligence operations or espionage, and be prepared to effectively respond to NS attacks.

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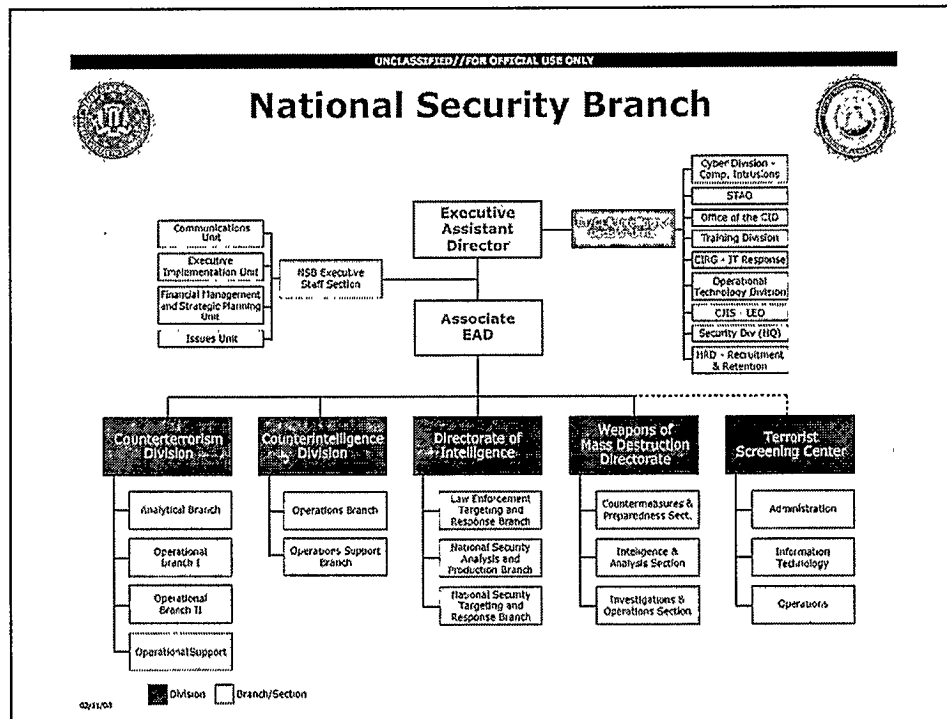
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National Security Branch

1. (U) Counter Terrorism Division (CTD)
2. (U) Counterintelligence Division (CD)
3. (U) Directorate of Intelligence (DI)
4. (U) Weapons of Mass Destruction Division (WMDD)
5. (U) Terrorism Screening Center (TSC)

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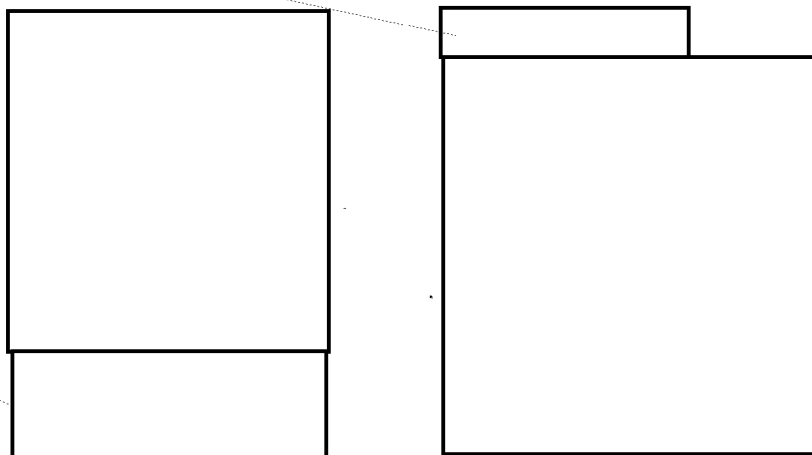
Types of NS Investigations

- n Counterintelligence
- n Counterterrorism
- n Intelligence
- n WMD

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FBI National Security "Speak"



See Definitions Handout

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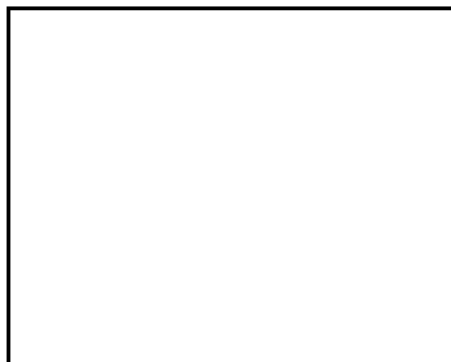
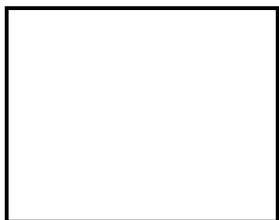
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National Security Investigation Theory



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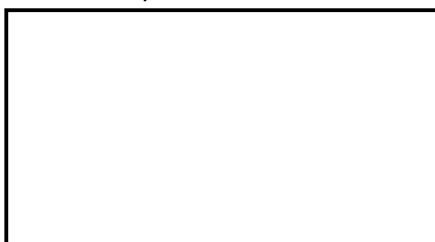
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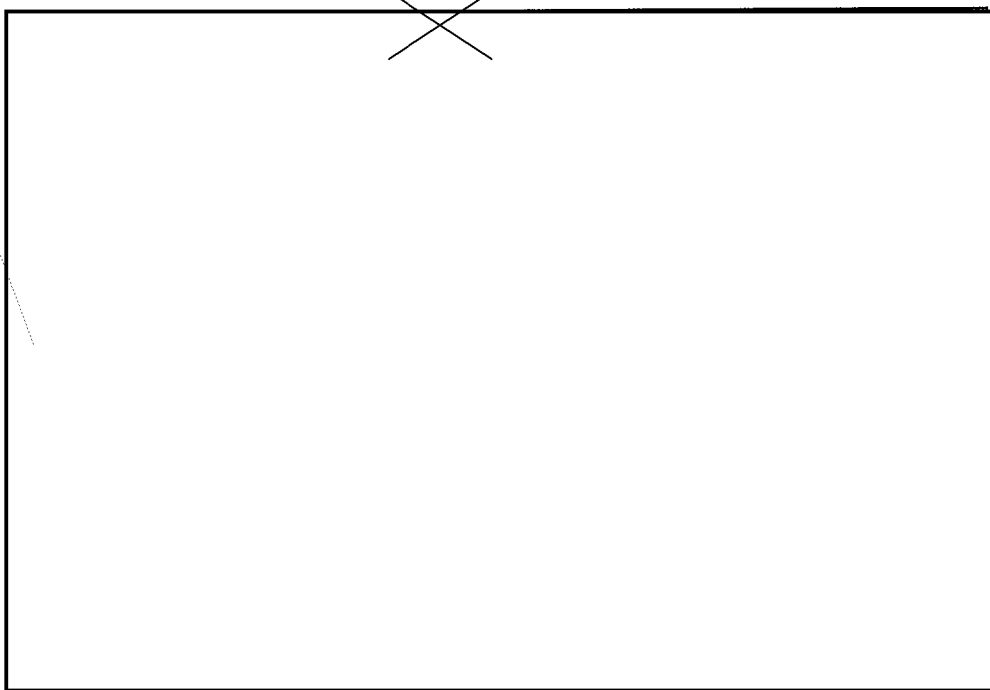
National Security



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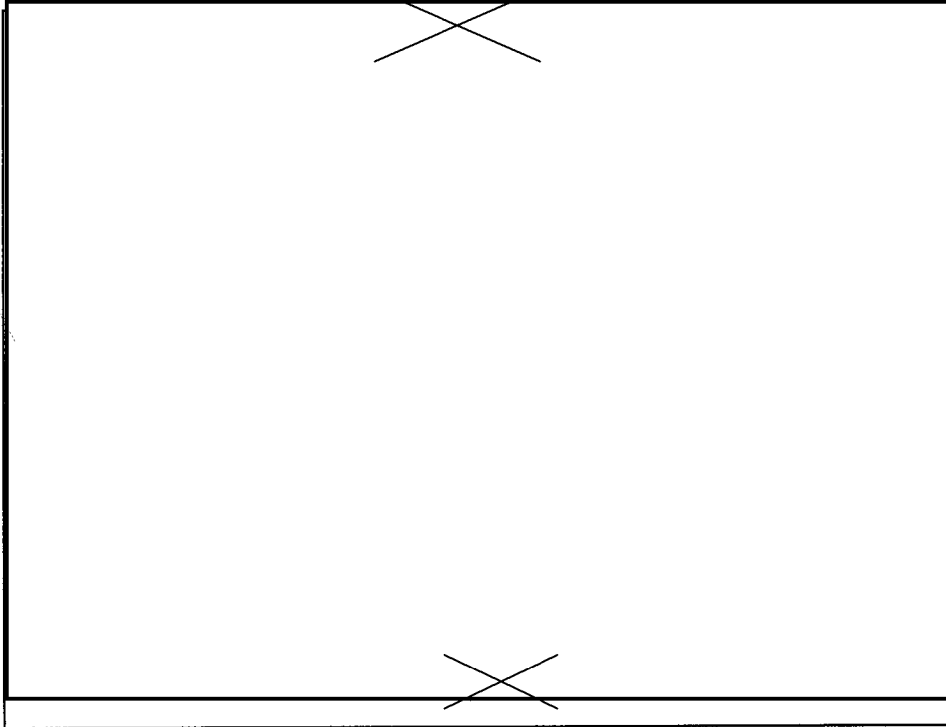
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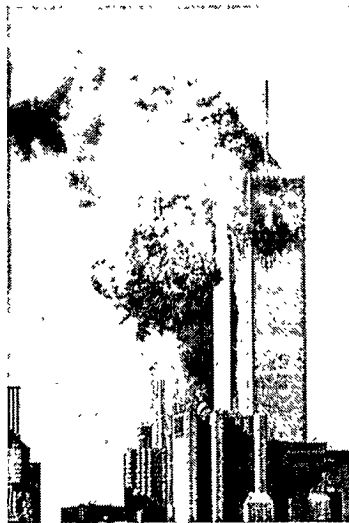


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Terrorism

- International
Vs
- Domestic Terrorism



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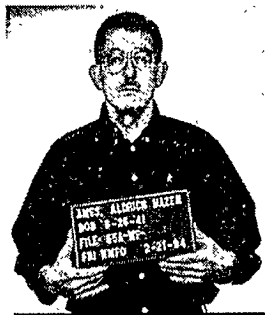
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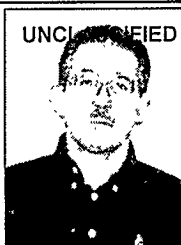
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Espionage

- Foreign power sponsored activity directed at the US Government or US corporations which involves the identification, targeting and collection of US national defense information



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Aldrich Hazen Ames
February 21, 1994



- John Walker*
- Aldrich Ames*
- Harold Nicholson*
- Earl Pitts*
- Robert Hanssen*
- Jonathan Pollard*



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Economic Espionage

- Foreign power sponsored intelligence activity directed at the US Government or US corporations which involves the unlawful or clandestine targeting or acquisition of sensitive financial, trade or economic policy or proprietary information or critical technologies; or influencing of sensitive economic policy decisions



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Targeting the US Government

- Foreign Affairs
- Economic Policy
- Financial Matters
- Defense Establishment
- National Preparedness
- Intelligence Community

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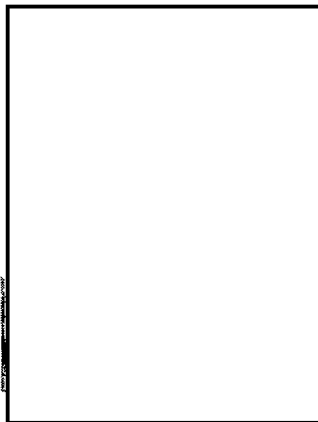
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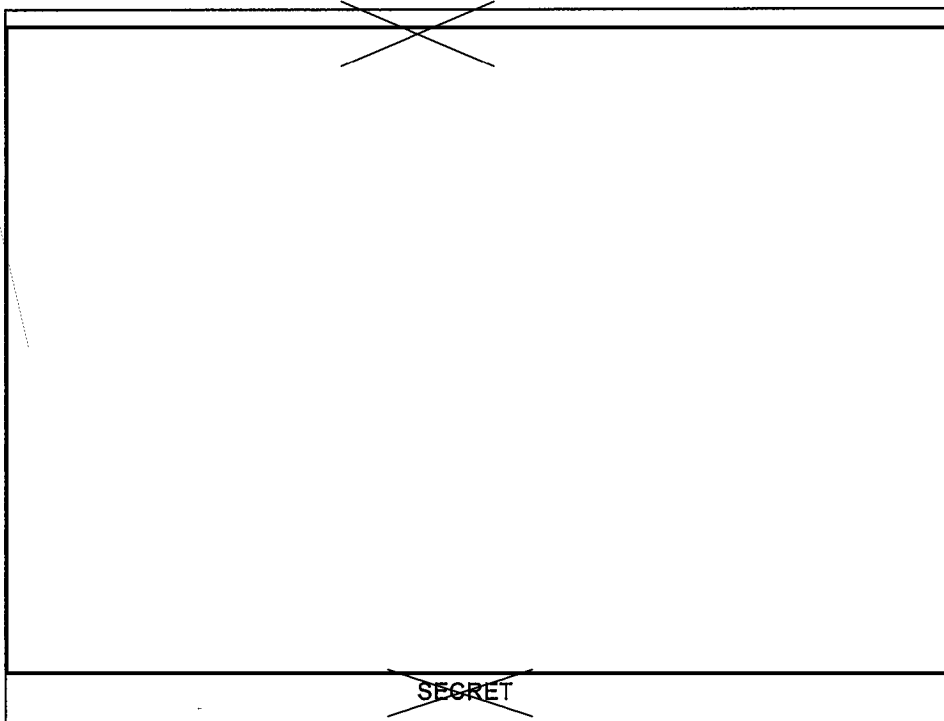
Perception Management

- Use of propaganda by a foreign power to effect public perception through manipulating the information or communicating false and or deceptive information
 - Easy on the internet



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Questions ?

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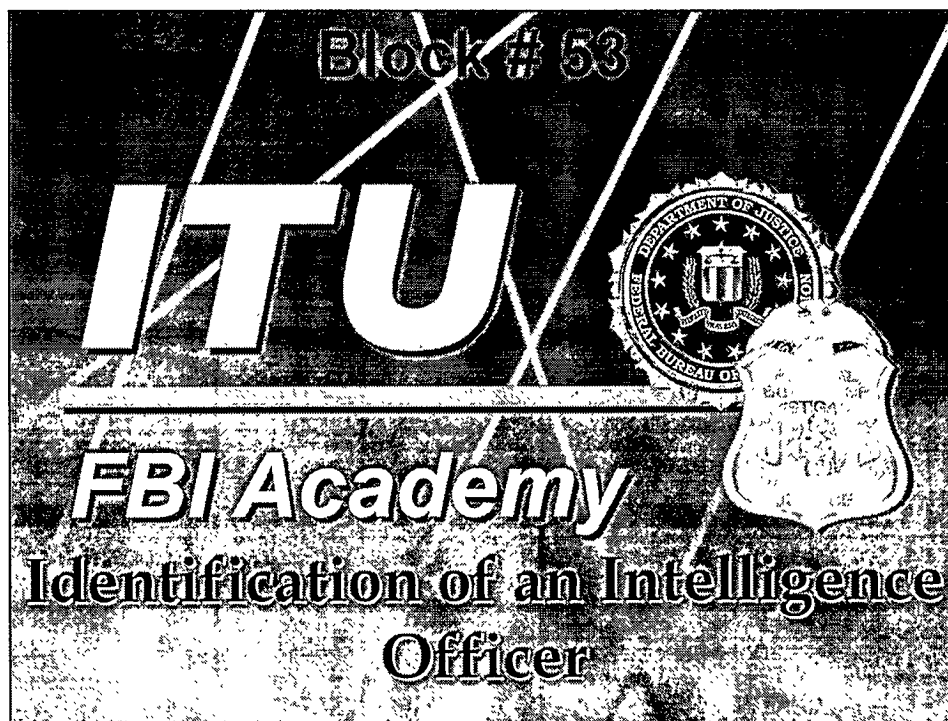
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Counterintelligence Arena

- Cases
- Leaks
- Espionage
 - Someone gets our stuff



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- Players



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What

[Redacted]

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

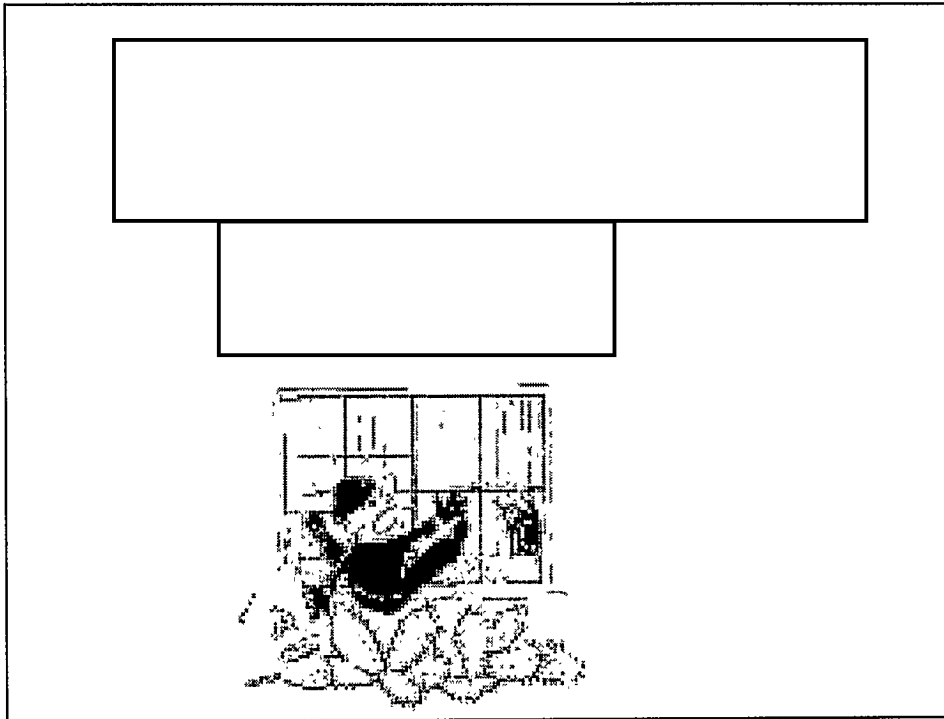
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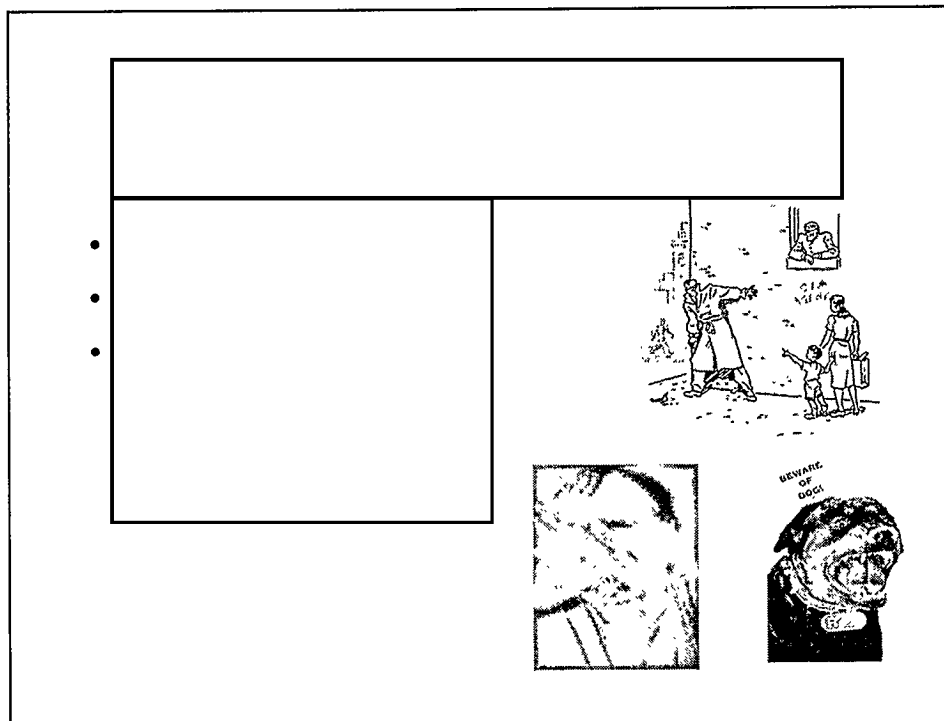
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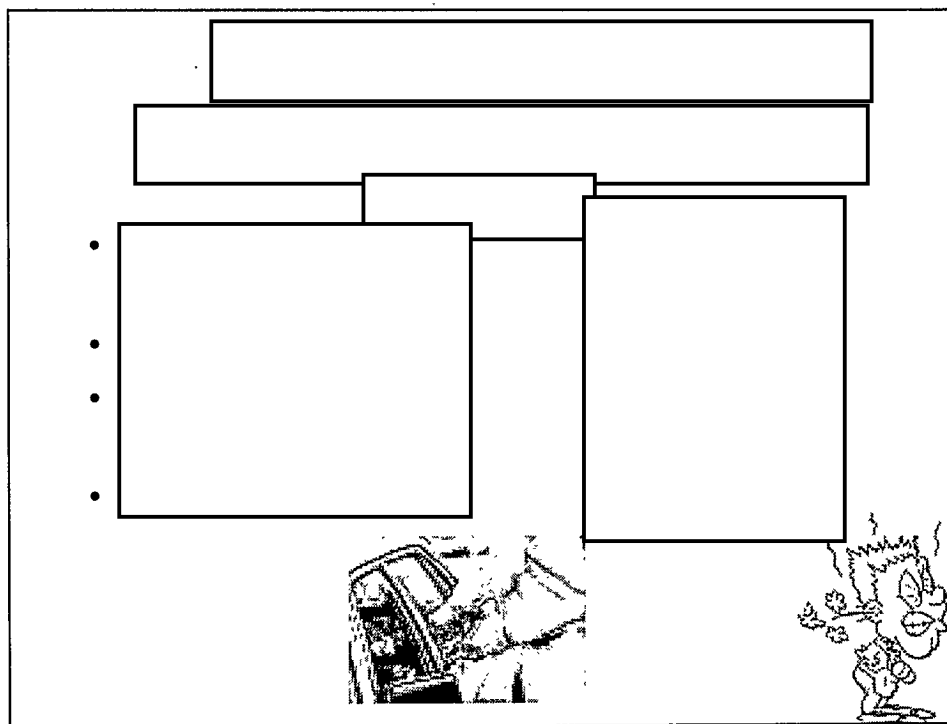
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QUESTIONS?

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Questions??

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Why is a

**important to a FBI
SA?**

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Building and Developing a

Key Points to Remember for any

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DATE: 12-07-2011
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DECLASSIFY ON: 12-07-2036

SLIDE ONE:

[redacted] is a professor [redacted]
[redacted] at University of Texas at Austin [redacted]

(S)

[redacted] X [redacted]
[redacted] peculiarities [redacted]

- Bargainers [redacted]
- Unclear intentions [redacted] [telling people what they want to hear and "sanctioned" lying]
- Social Networks [redacted] [similar to us in some ways, but this is a bigger deal]

[redacted] denial and deception [redacted]

[redacted] Contributions [redacted] are
welcome (have had these in past lectures and it has made the presentation better for
everybody)...

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b7C
b7E

SLIDE TWO:

Perception is Reality [redacted]

[redacted]

[redacted] proud people and history

[redacted] program – many see it as peaceful - [redacted]
[redacted]

[redacted]

b7E

SLIDE THREE:

Not going to beat you over the head with this, but it is important to know your
adversary's history, culture and view of reality...

History: SWITCH TO MAP ON BULLET TWO. [redacted]

[redacted]
[redacted]

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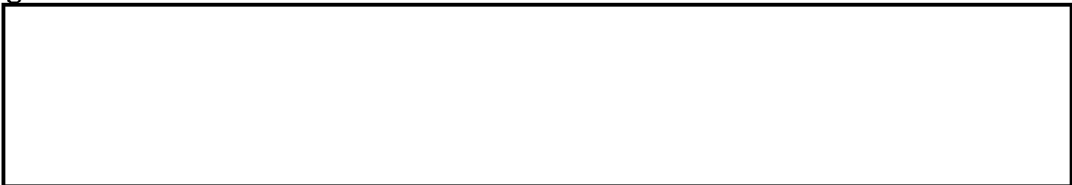
(switch back)

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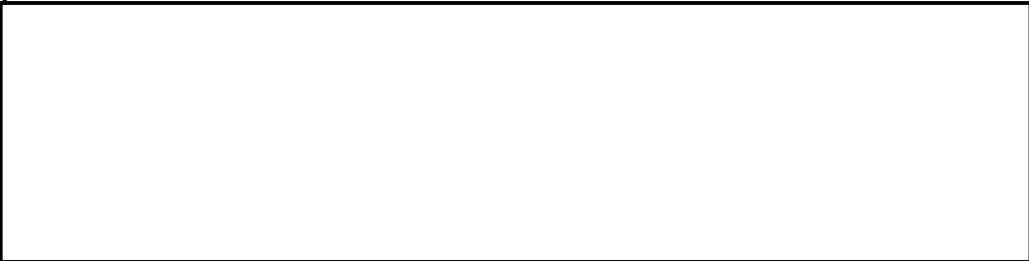
SLIDE FOUR:
Strategic location:

-
-
-
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Problems:

-
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SLIDE FIVE:
Population #'s:
Discuss slide...

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Legal

By: AGC [redacted]
[redacted]

This document contains ~~SECRET~~ material.

NSLB's role

- We are about 50 national security lawyers for the FBI.
- We support FBIHQ, the field office and the Legats in national security matters.
- We also assist in communication regarding national security matters, with other agencies, including DOJ (NSD and OI).

U//FOUO

2

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Outline

- AGG-DOM
 - Types of investigations
 - Techniques available
 - What can you do without an assessment?



U//FOUO

3

AGG-DOM: Highlights

- Effective December 1, 2008.
- U//FOUO, with classified annex.
- Implementing policy: [redacted] (also, U//FOUO, with classified annex.
- Each HQ Division will have it's own PGs.

Generally uniform rules for criminal, national, security, and



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- The SAC in San Diego wants to know if there is a problem within their AOR regarding illegal exports [redacted]
- They have a large [redacted]
- There are a couple of large scientific universities in the area.
- A port city.

- Should they
 - open a full investigation on the universities?

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AGG-DOM: Circumstances warranting a PI or a Full: [AGG-DOM II.B.3.]

- An activity constituting a federal crime or a threat to the national security has or may have occurred, is or may be occurring, or will or may occur and the investigation may obtain information relating to the activity or the involvement or role of an individual, group, or organization in such activity.
 - An individual, group, organization, entity, information, property, or activity is or may be a target of attack, victimization, acquisition, infiltration, or recruitment in connection with criminal activity in violation of federal law or a threat to the national security and the investigation may obtain information that would help to protect against such activity or threat.
 - The investigation may obtain foreign intelligence that is responsive to a foreign intelligence requirement (aka, "positive foreign intelligence")
- Preliminary: "information or allegation"
 - Full: "articulable factual basis"

U//FOUO

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- The SAC in San Diego wants to know if there is a problem within their AOR regarding illegal exports [redacted]
- Large [redacted] population
- A couple of large scientific universities in the area
- A port city.

- Should they . . .
 - Open a full investigation on the universities? NO.
 - Open an Assessment?

UNCLASSIFIED 7

AGG-DOM: Assessment background (DIOG Section 5.1).

- No particular factual predication, but requires an authorized purpose.
- To detect, obtain information about, or prevent or protect against federal crimes or threats to the national security or to collect foreign intelligence.
- An FBI employee should be able to explain the purpose of an assessment and the reason for the methods used to conduct the assessment.
- Are not pursued for frivolous or improper purposes and are not based solely on First Amendment activity or on the race, ethnicity, national origin, or religion of the subject of the assessment.

* DI is still drafting their PG.

U//FOUO 8

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AGG-DOM: Assessment types (DIOG Section 5.6.A.)

- Type 1: prompt checking of leads on individuals or activity that may violate federal criminal law or be a threat to national security.
- Type 2: prompt checking of leads on groups or organizations that may violate federal criminal law or be a threat to national security
- Type 3: potential targets of or vulnerabilities to federal criminal activities or threats to the national security.
- Type 4: Obtain information to inform or facilitate intelligence analysis and planning
- Type 5: [redacted]
- Type 6: positive foreign intelligence

U//FOUO 9

- The SAC in San Diego wants to know if there is a problem within their AOR regarding illegal exports [redacted]
- They have a large [redacted] population.
- There are a couple of large scientific universities in the area.
- A port city.

- Should they . . .
 - Open a full investigation on the universities?
 - Open an Assessment? Yes. Type 3.
 - [redacted] says it is responsive to a FBIHQ generated collection requirement?

U//FOUO 10

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AGG-DOM: Assessments and Collection Requirements: (DIOG Section 5.11.)

- 3 types of collection requirements (may overlap):
 - Field office generated requirements: an assessment can NOT be opened solely based on these. (DIOG Section 5.12.)
 - FBIHQ generated requirements: an assessment can NOT be opened solely based on these. (DIOG Section 5.11.)
 - Positive Foreign Intelligence Requirements: related to the capabilities, intentions, or activities of foreign governments or elements thereof, foreign organizations, foreign persons, or international terrorists which are not within the FBI's core national security mission (DIOG Section 9.1)
 - Issued to the FBI by the President, the DNI or other USIC office designated by the DNI, the AG, the DAG, or other designated DOJ official
 - MUST BE RUN UNDER SECTION 9 of the DIOG.

U//FOUO 11

- The SAC in San Diego wants to know if there is a problem within their AOR regarding illegal exports [redacted]
- They have a large [redacted] population.
- There are a couple of large scientific universities in the area.
- A port city.

- Should they . . .
 - Open a full investigation on the universities?
 - Open an Assessment?
 - [redacted] says it is responsive to a FBIHQ generated collection requirement?

San Diego opens a Type 3 Assessment.

What paperwork do they need?

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AGG-DOM: Assessment administrative requirements: (DIOG Section 5.6.A)

- Documentation:
 - Type 1 or 2: FD-71 or Guardian.
 - Types 1, 2, 3, 4, and 6: EC to document:
 - Opening assessment
 - Use and approval of investigative method(s).
 - Follow AGG [redacted] rules for Type 5.
- File reviews are required: 30/60/90 days.
- SIMs - higher approval levels.

U//FOUO

13

AGG-DOM: Sensitive Investigative Matter (SIM)

- Domestic public official
- Political candidate
- Religious or political organization (include prominent members)
- News media
- Universities and those affiliated with universities. (DIOG requirement)

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AGG-DOM: Assessment Authorized Techniques (AGG-DOM, Section II.A.4.)

1. Publicly available information.
2. FBI/DOJ records or personnel.
3. Records of other agencies, including foreign governments.
4. Online services and resources (whether nonprofit or commercial)
5. Use and [redacted]
6. Interview or request information from members of the public and private entities.
 - Can use false name, affiliation, purpose of request in limited circumstances (DIOG Section 5.9.F.4.)
7. Accept information voluntarily provided by governmental or private entities.
8. Engage in observation or surveillance not requiring a court order
 - Can not exceed 72 hours per approval (DIOG Section 5.9.B.2.)
9. Grand jury subpoenas for telephone or electronic mail subscriber information.
 - NO NSLs.

U//FOUO

15

- While conducting the assessment, the IA determines that an USPER Jane Doe, residing in San Diego, Ca:
 - runs an import/export business from her house.
 - travels internationally a lot
 - has a PhD in a electrical engineering from University of California, San Diego.
 - CBP stopped her once when returning to the U.S. with \$12,000 cash, which she had not reported.
 - Commerce says that she's lied on a couple of SEDs going to facilities known by the USIC to be affiliated with India's WMD program [redacted]
- Should San Diego...
 - Close the assessment and open a predicated investigation (preliminary or full) on Jane DOE?

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AGG-DOM: 3 types of investigations

- Non-predicated:
 - Assessments (NSLs can NOT be issued in Assessments)
- Predicated:
 - Preliminary investigations:
 - 6 months in duration, with extensions permitted.
 - Permitted techniques:
 - all lawful methods, except elsur, and physical searches [redacted]
 - PR/TT is permitted.
 - Full investigations:
 - Enterprise investigations
 - Positive Foreign Intelligence Investigations. (Section 9 of DIOG)
 - Permitted techniques: all lawful methods.

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AGG-DOM: ↓ Reporting to DOJ

- Opening LHMs:
 - Preliminary or full investigation involving a SIM
 - Full national security investigations of USPERs
 - Full investigation to obtain foreign intelligence
 - Any enterprise investigation
 - Annual LHMs:
 - Concerning FBI foreign intelligence collection program
 - Closing LHMs:
 - NOT required to DOJ.
 - Hopefully, reduced PIOBS!
- * NOTE: Each PG may request annual LHMs.

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AGG-DOM: What can be done outside an investigation (Assessment/PI or full? (DIOG Section 5.1)

- Search historical information already contained within:
 - 1 FBI data systems,
 - 2 Any USG databases and systems to which an FBI employee already has access
 3. Open-source Internet searches that do not require payment.
- Produce products, like Intelligence Assessments, Intelligence Bulletins and

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- While conducting the assessment, the IA determines that an USPER Jane Doe, residing in San Diego, Ca:
 - runs an import/export business from her house.
 - travels internationally a lot.
 - has a PhD in a electrical engineering from University of California, San Diego.
 - CBP stopped her once when returning to the U S. with \$12,000 cash, which had not been reported.
 - Commerce says that she's lied on a couple of SEDs going to facilities known by the USIC to be affiliated with India's WMD program

- Should San Diego ...
 - Close the assessment and open a predicated investigation (preliminary or full) on Jane DOE?

They keep the assessment open and open a full investigation on Jane DOE.

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- During the full investigation, San Diego has utilized various investigative techniques, starting with the least intrusive and moving to more intrusive
- SD wants a FISA on her mail account (janedoe@yahoo.com) and her cell phone.
- What do they need to show?

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Export control laws (ECLs)

■ Generally speaking, violations occur only if:

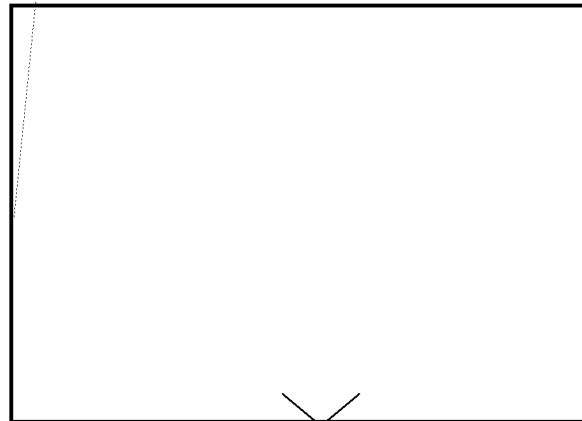
1. Item is covered by the regulation,
2. item is exported out of the U.S. or attempt,
3. license is required,
4. no license was obtained
5. Criminal violation needed for FISAs.

■ NOTE, two types of ECL violations:

- Administrative: strict liability (NOT sufficient for a FISA!)
- Criminal: Willfulness standard: the government must prove that the D acted with knowledge that his conduct was unlawful.

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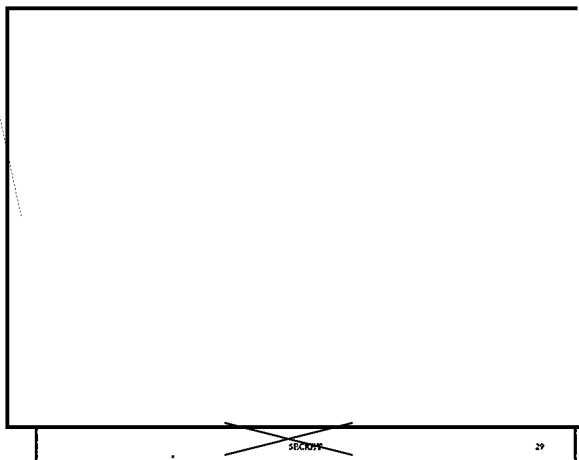


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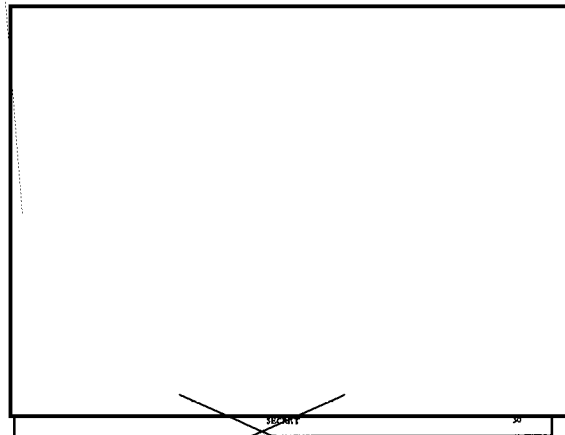
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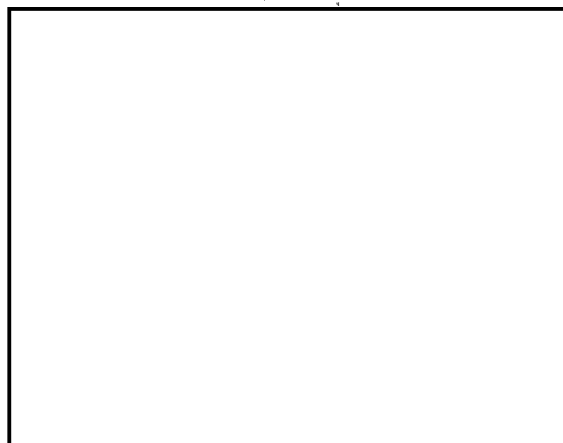
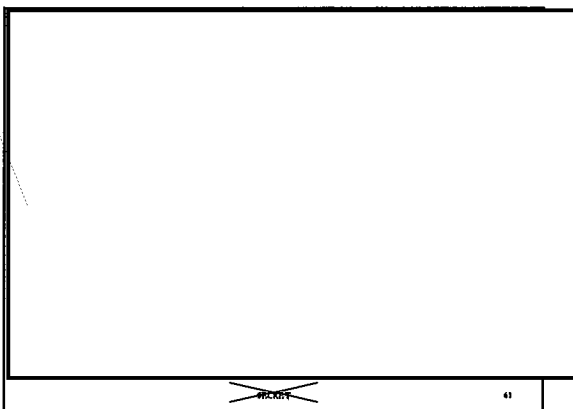
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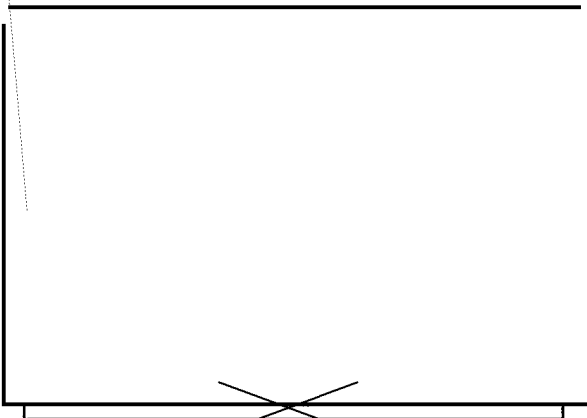
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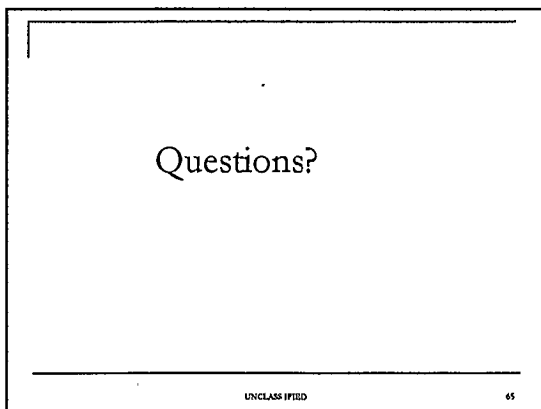
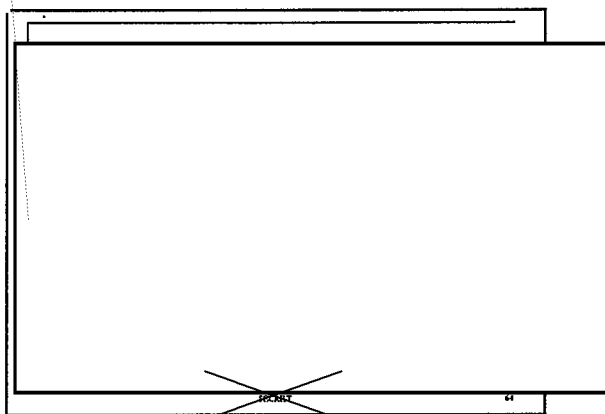


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FEDERAL BUREAU OF INVESTIGATION WMD DIRECTORATE



PRESENTATION FOR
COUNTERINTELLIGENCE
INVESTIGATIONS AND OPERATIONS
COURSE

SSA [Redacted]
[Redacted]

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FEDERAL BUREAU OF INVESTIGATION WMD DIRECTORATE

Objectives

- The National Security Threat
- FBI Role in Export Enforcement
- Defense Articles
 - Material
 - Technical Data
 - Services
 - Brokering
- Regulations - State vs. Commerce
 - International Traffic in Arms Regulations (ITAR)
 - United States Munitions List (USML)

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FEDERAL BUREAU OF INVESTIGATION WMD DIRECTORATE

Objectives

- Export Laws
 - Arms Export Control Act
 - Export Administration Act
 - Trading with the Enemy Act
 - International Emergency Economic Powers Act
- Directorate of Defense Trade Controls
 - Mission
 - Responsibilities
 - Capabilities

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FEDERAL BUREAU OF INVESTIGATION WMD DIRECTORATE

The Threat to U.S. National Security

- The U.S. has some of the most advanced military in the world
- Agents of foreign powers and others are aggressively attempting to acquire sensitive U.S. military technologies and information to steal our edge
- Asymmetric vs. Symmetric threat



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The Threat to U.S. National Security

"The U.S. government has identified 21 countries – of which Iran, North Korea, and China are of the greatest concern – with the capability to either develop WMD systems or acquire export-controlled WMD and dual-use items and sensitive technologies."

"...stealing and compromising of classified and non-classified technologies are occurring at levels previously unknown."

 Robert S. Mueller, III
January 11, 2007
Statement before the Senate Select Committee on Intelligence

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The FBI's Role

- On 11/05/2004, the Attorney General signed an order granting the FBI concurrent criminal jurisdiction in export matters with a counterintelligence nexus.

As written, the order designates "the FBI to take charge of investigative work in matters relating to espionage, sabotage, subversive activities, and related matters, including investigating any potential violations of the Arms Export Control Act, the Export Administration Act, the Trading with the Enemy Act, or the International Emergency Economic Powers Act, relating to any foreign counterintelligence matter".

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Reasons for Illegal Acquisition	
• Advance R&D efforts • Maintain aging aircraft and other platforms • Exploit U.S. vulnerabilities • Reverse engineering	
 Iranian F-14	
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DEFENSE ARTICLES	
• An article or service may be designated as a defense article or service if it: – Is specifically designed, developed, configured, adapted, or modified for a military application, and – Does not have predominant civil applications, and – Does not have performance equivalent to those of an article or service used for civil applications – Includes <u>material, tech data, services, and brokering activities</u>	
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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
TECHNICAL DATA	
• Information required for the design, development, production, manufacture, assembly, operation, repair, testing, maintenance or modification of defense articles. • Includes information in the form of blueprints, drawings, photographs, plans, instructions or documentation. • Tech Data is detailed enough to allow adversary to independently produce items.	
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DEFENSE SERVICE	
• Furnishing assistance to foreign persons, <u>whether in the United States or abroad</u> in the design, development, engineering, manufacture, production, assembly, testing, repair, maintenance, modification, operation, demilitarization, destruction, processing or use of defense article; • Furnishing to foreign persons of any <u>technical data</u> whether in United States or abroad; or • <u>Training</u> whether in United States or abroad	
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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
BROKERING	
• Broker – any person who acts as an agent for others in negotiating or arranging contracts, purchases, sales or transfers of defense articles or defense services in return for a <u>fee, commission, or other consideration</u>. • Brokering Activity – includes financing, transportation, freight forwarding, or taking of any other action that facilitates the manufacture, export, or import of a defense article or defense service. (319T-HQ-A1487677-79) • Includes activities of U.S. persons <u>located inside or outside the United States</u>.	
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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
EXPORT CONTROL LAWS	
• <u>Arms Export Control Act (AECA)</u> – prohibits unauthorized export of defense articles and services – ITAR/USML controlled material and services • <u>Export Administration Act</u> – prohibits unauthorized export of dual use equipment • <u>International Emergency Economic Powers Act</u> – Federal law allowing President to identify any unusual extraordinary threats that originates outside the United States and to prohibit transactions in response • <u>Trading With The Enemy Act</u> – prohibits commerce with any nations for which the U.S. is at war	
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The ITAR

- The Department of State, Directorate of Defense Trade Controls (DDTC), is responsible for administering the Arms Export Control Act (AECA) and its regulations, the International Traffic in Arms Regulations (ITAR)
- The ITAR regulates the export of defense articles, defense services and brokering activities
- Defense articles (including tech data) and defense services are designated as such by the DOS, with the concurrence of the DOD, and constitute the USML

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Export Controls

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THE UNITED STATES MUNITIONS LIST

- ITAR Section 121
- The United States Munitions List (USML) contains 21 categories of defense articles, services and related tech data for which an export license is required.
- Additions/Changes are made via the Federal Register.
- Commodity Jurisdiction confirms DDTC regulatory authority. May also indicate item is non-ITAR control.

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MUNITIONS LIST CATEGORIES

I - Firearms, Close Assault Weapons, Combat Shotguns	XI - Military Electronics
II - Guns and Armament	XII - Fire Control, Range Finder, Optical and Guidance and Control Equipment
III - Ammunition/Ordnance	XIII - Auxiliary Military Equipment
IV - Launch Vehicles, Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs and Mines	XIV - Toxicological Agents (Chem/Bio)
V - Explosives and Energetic Materials	XV - Spacecraft Systems and Equipment
VI - Vessels of War/Special Naval Equipment	XVI - Nuclear Weapons and Related Items
VII - Tanks and Military Vehicles	XVII - Classified Articles/Tech Data/Services Not Otherwise Enumerated
VIII - Aircraft and Associated Equipment	XVIII - Directed Energy Weapons
IX - Military Training Equipment/Training	XIX - Reserved
X - Protective Personnel Equipment/Shelters	XX - Submersible Vessels and Equipment
	XXI - "Miscellaneous Articles"

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Liaison with Regulatory Agencies

- To accomplish our goals we must have effective liaison with those agencies who regulate the export of dual-use items and defense articles.
- The Department of Commerce
- The Department of State




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Export - Federal Agencies

	Regulatory	Law Enforcement
 DDTC	X	Defense
 Commerce	X	Dual-use
 ICE		X
 FBI		X

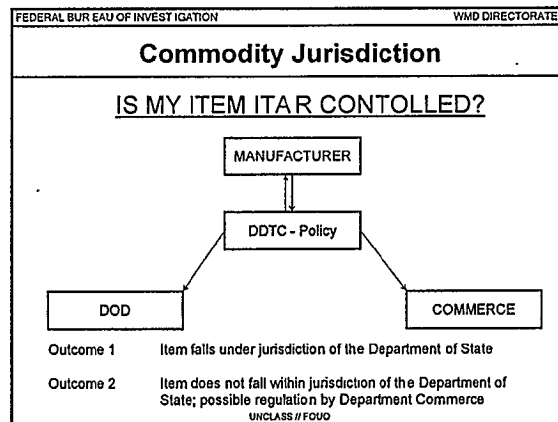
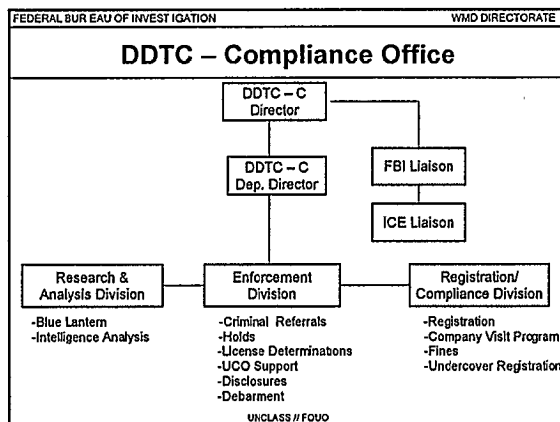
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE
Who Controls What?		
	Defense Articles	Dual-use Items
Regulating Agency	DOS, Directorate of Defense Trade Controls	DOC, Bureau of Industry and Security
Investigative Agencies	FBI, ICE	FBI, ICE, OEE
Statute	Arms Export Control Act 22 U.S.C. §§ 2751-2794	Export Administration Act / International Emergency Economic Powers Act 50 U.S.C. §§ 2401-2420
Implementing Regulations	International Traffic in Arms Regulations (ITAR) 22 C.F.R. Parts 120-130	Export Administration Regulations (EAR) 15 C.F.R. Parts 750-799
Control List	U.S. Munitions List 22 C.F.R. Part 121	Commerce Control List

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DDTC Mission		
The U.S. Government views the <u>sale, export, and re-transfer of defense articles and defense services as an integral part of safeguarding U.S. national security and furthering U.S. foreign policy objectives</u>. The Directorate of Defense Trade Controls (DDTC), in accordance with 22 U.S.C. 2778-2780 of the Arms Export Control Act (AECA) and the International Traffic in Arms Regulations (ITAR) (22 CFR Parts 120-130), is charged with controlling the export and temporary import of defense articles and defense services covered by the United States Munitions List (USML).		

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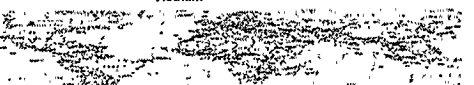
FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE
Registration		
- Any person who engages in the U.S. in the business of either manufacturing or exporting defense articles or furnishing defense services is required to register with DDTC (ITAR § 122.1) - Brokers – “Any U.S. person, wherever located...” \$2,250 initial registration, annual renewal, fees vary <u>Registration data is available</u> – Names, DOB, POB, SSN, etc.		

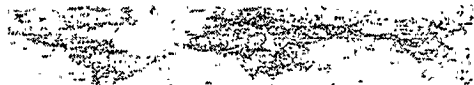
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE
DDTC - Licenses		
- DSP 85 Permanent/temporary export/import of classified defense articles and classified technical data - DSP 73 Temporary export of unclassified defense article - DSP 61 Temporary import of unclassified defense article - DSP 19 Amendment for of export/import of defense articles - DSP 05* Permanent export of unclassified defense articles and technical data - TA* Technical Assistance Agreement - BA* Brokering Agreement		

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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE	
Prohibited Countries			
The U.S. currently prohibits to some degree, the export and sale of defense articles to 26 countries (see ITAR Sec. 126.1)			
- Afghanistan - Burma - Belarus - China - Cote d'Ivoire - Cuba	- Cyprus - Congo - Eritrea - Haiti - Iran - Iraq	- Lebanon - Liberia - Libya - North Korea - Rwanda - Sierra Leone - Somalia	- Sri Lanka - Sudan - Syria - Venezuela - Vietnam - Yemen - Zimbabwe
			
DDTC website: www.pmdtc.state.gov			
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Policy Denial			
It is the policy of the United States to deny licenses and other approvals for exports and imports of defense articles and defense services, destined for or originating in (see ITAR Sec. 126.1)			
- Belarus - Cuba - Iran - Libya - North Korea - Syria - Vietnam			
			
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE	
Arms Embargo			
Embargos prohibit exports of defense articles and defense services, destined for (see ITAR Sec. 126.1)			
- Burma - China - Haiti - Liberia - Somalia - Sudan - Venezuela			
			
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Supplemental Considerations			
- China - Tiananmen Square - Russia - Georgian Invasion			
			
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE	
License Determinations			
DDTC conducts 3 levels of determinations:			
- Level 1	A preliminary review to determine if an item is a defense article or service and if so, the corresponding category under the ITAR.		
- Agent must provide:	- Country Destination - Technical Specifications* - Is manufacture also exporter? - Can DDTC contact manufacturer?		
* Internet searches	- Request via Bureau email		
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE	
License Determinations			
- Pre-Trial Cert	A more in-depth analysis of the item that may involve seeking input from the Department of Defense or the manufacturer. Also used to verify if a company or individual has registered with DDTC as a manufacturer, exporter or broker and if they have obtained previous licenses for exports. Generally required prior to any criminal prosecution or search warrant.		
- Agent must provide:	- LHM - preference for unclassified document - indicate if DDTC can contact manufacturer - Technical Specifications - Completed DDTC Manufacturer Form		
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 PWODTC Manufacturer/Expert Certification Form	
DTIC Reference No. _____ Partially by serial _____ Formal Part Name _____ Topic (Monospace) _____	
Exceptions: Manufacturer is subject (other investigator/Other exposure of investigation)	
1. Is there a patent article covered by your work under the PAT (See FAR 126.3 and 126.4) Yes _____ No _____ 2. Is your program/idea above or below the 126.3 and 126.4? (See FAR 126.3 and 126.4) Yes _____ No _____ 3. Originally, the program/idea was developed by a specific agency? _____ 4. Is this agency or other program/idea _____/Other _____ 5. Is this article unique to the program/idea? (See FAR 126.3 and 126.4) Yes _____ No _____ 6. What are the current military and/or civilian applications for this article? Military: _____ Civilian: _____ 7. Attach one copy of product literature and reports on this article. 8. Did your program/idea ever receive a Community Development Grant? (See FAR 126.4) Yes _____ No _____ 9. Did you ever receive a Community Development Grant from the Department of Commerce (See FAR 126.4) Yes _____ No _____ It Yes, add Section	
EMPOWERED OFFICIAL VERIFICATION & CERTIFICATION	
Certifications: I certify that the information provided is true and correct to the best of my knowledge and belief.	
Signature _____ Printed name _____ Title _____ Telephone Number _____	Date _____

FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
License Determinations - Trial Certification: Obtained from the DDTC prior to trial. Signed by the Secretary of State. - Requirements: - Request from AUSA - Copy of Signed Indictment - Request for Expert Witness - Must specify what is to be certified - Technical specifications - Generally no additional Technical Review	
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FEDERAL BUREAU OF INVESTIGATION		WMD DIRECTORATE	
Disclosures			
- Voluntary Disclosure:		Self reporting of information to DDTC by persons, firms, or any organization that believe they may have violated any provisions of the AECA.	
		Administrative penalties	
Sources:		Law Enforcement Investigations	
		Current employees	
		Former employees	
		Competitors	
- Directed Disclosure:		Semi-mandatory reporting to DDTC regarding specific concerns related to possible violations of the AECA.	
		Non-Compliance	

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Blue Lantern

- DDTC – “Blue Lantern” end-use monitoring program
- Pre-license or post-shipment checks to verify legitimacy of a transaction
- Indicators triggering end-use check:
 - Unfamiliar end-user
 - Reluctance by applicant to provide end-user info
 - Payment in cash or above value
 - Inconsistent end-use
 - End-user declines product support
 - Law enforcement referral – valuable tool

FY 2008 Stats:
 719 Initiated
 643 Completed
 82 Unfavorable
 = 15%

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Fines & Penalties

- **Civil Violations of the AECA & ITAR**
 - \$500,000 for each violation
 - Extra compliance measures
 - Debarment
- **Criminal Violations of the AECA & ITAR**
 - \$1 million for each violation
 - 10 years imprisonment
 - Debarment (mandatory upon conviction)



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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
Summary	
• Counterintelligence Nexus • Defense Article - Material - Tech Data - Services - Brokering • Arms Export Control Act - Prohibits unauthorized exports • DDTC - Level 1 Determination - Pre-trial Certification - Trial Certification	
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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE
Closing Thoughts	
• Export Control laws are complicated • Don't try and be an expert • There are people out there to help	
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FEDERAL BUREAU OF INVESTIGATION	WMD DIRECTORATE		
Questions ?			
			
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

Legal

By: AGC [redacted]
[redacted]

This document contains ~~SECRET~~ material.

[redacted] role

- We are [redacted]
[redacted]
- We support [redacted]
[redacted]
- We also [redacted]
[redacted]

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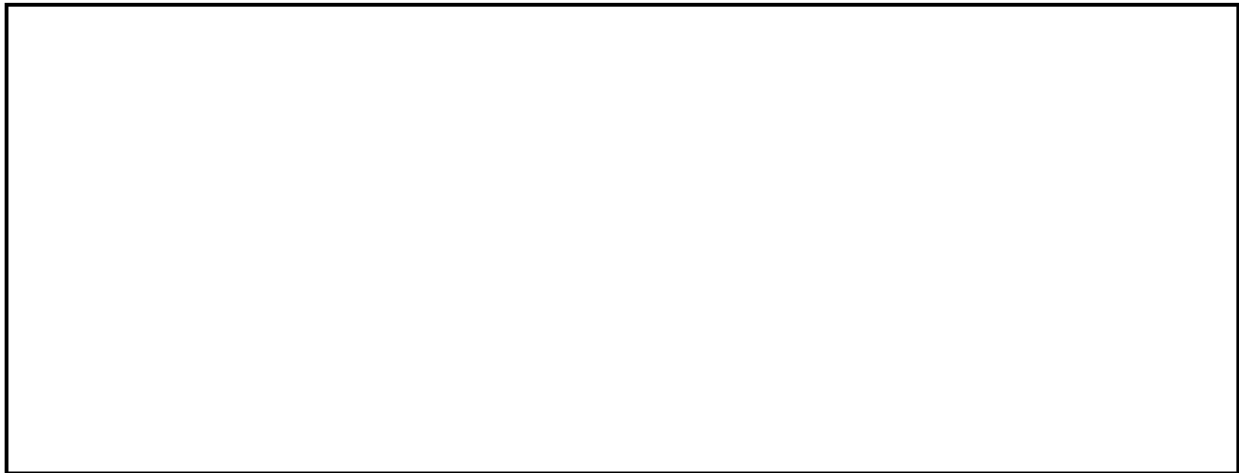
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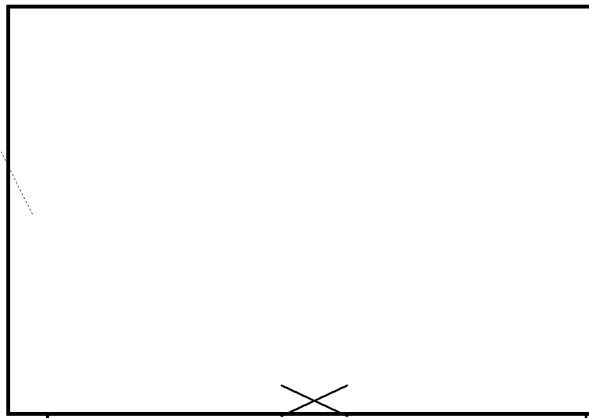
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Export control laws (ECLs)

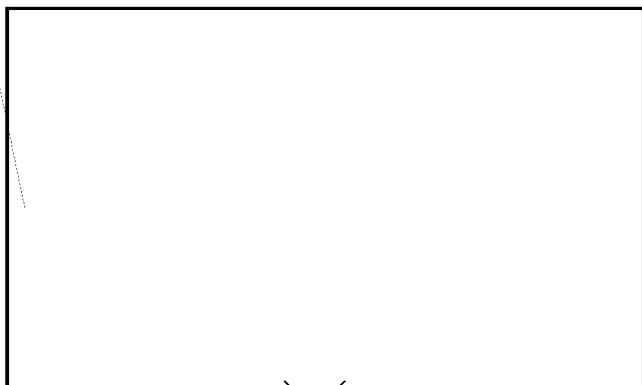
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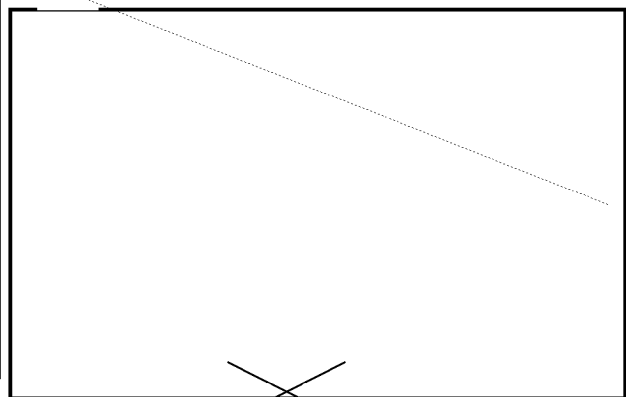
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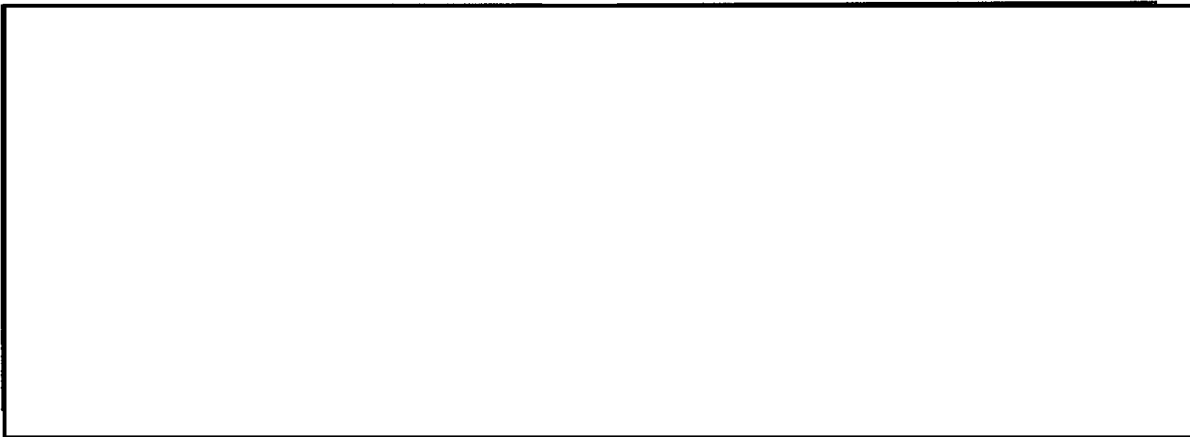
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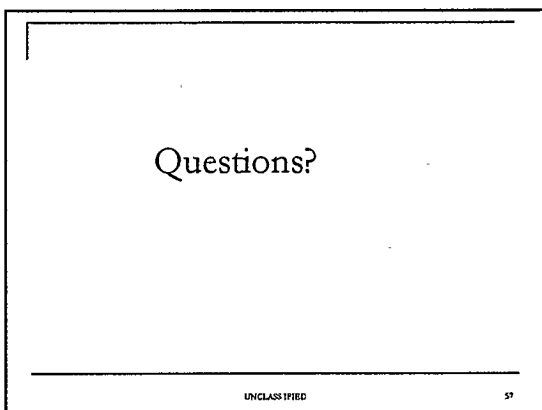
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"Lessons Learned: A Practical Guide for Case Agents"



Presented By
SA [Redacted]

Presented By
SA [Redacted]

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USA PATRIOT ACT

Section 218

"Primary Purpose"

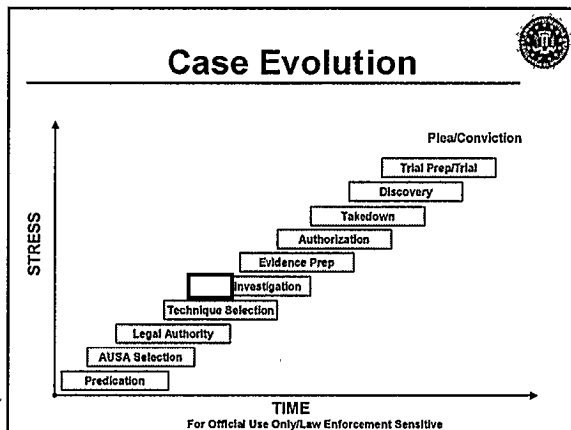
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"Significant Purpose"

- Displaced the "primary purpose" standard, [Redacted] when a "significant purpose" of the surveillance was to obtain foreign intelligence information.

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Lessons Learned #1

HOW DO I FIND AN AUSA?

- AUSAs in National Security cases [Redacted] are selected by either DOJ Counterespionage Section (CES) or Counterterrorism Section (CTS)
 - Make a request through your HQ SSA.
 - AUSA must be cleared
 - Prior experience is helpful, but not necessary

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Lessons Learned #2

WHAT VENUE OPTIONS ARE AVAILABLE?

- Consider the District of Columbia:
 - Courts have upheld that DC is the appropriate venue for:
 - Arms trafficking/technology transfer (ITAR/IEEPA)
 - FARA (951 – Illegal Agent of a Foreign Government)
 - Transnational Crime (kidnapping, terrorism, etc)
 - The National Security Section at the DC/USAO has a cadre of experienced, aggressive AUSAs.

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Lessons Learned #3

WHAT LEGAL AUTHORITY SHOULD I USE?

- Understand the distinctions [Redacted]

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Technique selection

Lessons Learned #4

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FISA Investigation

Lessons Learned #4

HOW SHOULD I ORGANIZE MY CASE FILE?

- Utilize sub-files to segment SECRET material from UNCLASSIFIED
 - Consider sources and methods
 - Only classify ECs and 302 if necessary (declassification can take time – can get verbal authority from an OCA in a pinch)
- Consider using GJ Subpoena rather than NSL
 - If using a GJ Subpoena before formally charging subject(s):
 - Ensure no FISA derived material in affidavit (without authority)
 - Prepare for the defense to challenge "significant purpose" issue regarding the use of FISA

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FISA Investigation

Lessons Learned #4 (cont)

WHAT ARE MY SUB FILES?

UNCLASSIFIED	CLASSIFIED
- TC - MC 302 - SBP - F - ELA4	

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FISA Investigation

Lessons Learned #5

HOW AM I SUPPOSED TO REVIEW ALL THIS DATA?

- Load everything into [redacted] and utilize the [redacted]
 - Work closely with CART; even if you are still in the monitoring phase, pick a point to start loading up data.
- Allow sufficient time for translation and certified transcriptions.

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Evidence Prep

Lessons Learned #6

HOW DO I ORGANIZE MY EVIDENCE?

- Document what is pertinent vs. non-pertinent

PERTINENT

NON-PERTINENT

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Authorization

Lessons Learned #7

HOW CAN I USE MY FISA EVIDENCE?

STEP 1: Declassification	STEP 2: Use Authority
-----------------------------	--------------------------

FBI/AD

DOJ/AG

- Go To [redacted]
- Fill-out USE APPROVAL REQUEST FORM
- Submit to Substantive Desk at HQ (w/ Division CDC/SAC sign-off)
- Prepare for the NSLB Audit/OIPR affidavit audit

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Takedown

Lessons Learned #8

Discovery

Lesson Learned #9

HOW DO I PRESENT EVIDENCE TO THE DEFENSE FOR DISCOVER?

- Prepare and submit CIPA motions (ex parte, in camera) and get them to the judge ASAP.
- CIPA MUST ALWAYS BE FILED – REGARDLESS OF THE NATURE OF EVIDENCE
- Once ruling have been made, contact RMD, Document Exploitation Unit.
- Consider any CIPA provision that have been granted (under CIPA section two) then start the bate stamp/scanning process.
- If the evidence is voluminous – consider organizing it in a way that is helpful to the defense. This will make you look good with the judge.

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Trial Prep/Trial

Lesson Learned #10

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 12-07-2011
CLASSIFIED BY 65179 DMH/stp/lsg
REASON: 1.4 (c)
DECLASSIFY ON: 12-07-2036



This presentation is classified

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In its entirety

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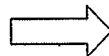
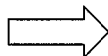
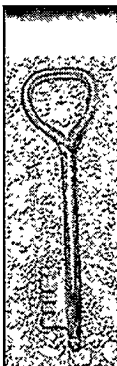
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Recent Case – May 2008 - Unclassified

- ◆ Former Defense Dept official – Gregg Bergersen, Defense Security Cooperation Agency
- ◆ New Orleans Businessman – Tai Kuo (58)
- ◆ Kuo led Bergersen to believe that after Bergersen retired from the government, he would make Bergersen part-owner of a company Kuo was establishing (to sell US defense technology)
- ◆ Kuo also gave Bergersen gifts, cash, dinners
- ◆ Met over past 2 years in Alexandria, South Carolina, and Las Vegas
- ◆ Unbeknownst to Bergersen, Kuo passed the information to a PRC official



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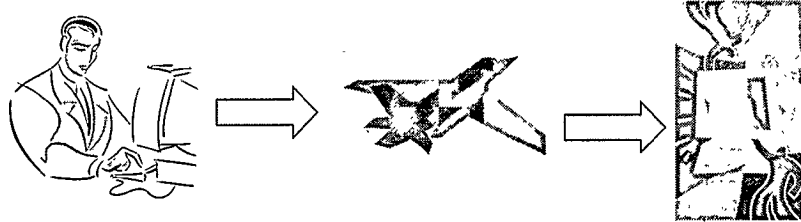
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Recent Case

- Material included all projected military sales to Taiwan for the next 5 years
- Kuo paid \$50,000 to pass material to Chinese contact through e-mail and telephone calls
- Kuo pleaded guilty May 13, 2008
- Conspiracy to deliver national defense information to a foreign government
- Kuo faces up to life in prison – sentencing Aug 8, 2008
- Bergersen faces up to 10 years in prison





FBI Authorities

EO 12333 As a Counterintelligence Service the FBI is authorized under E.O. 12333 et al to prevent and defeat...

- intelligence activities and terrorist activities conducted by adversarial organizations against U.S. interests.
- ◆ FBI responsibility to conduct and coordinate all CI activity in US
- ◆ Activities of FIS and their officers in the US
- ◆ CIA conducts and coordinates all CI activities outside the US

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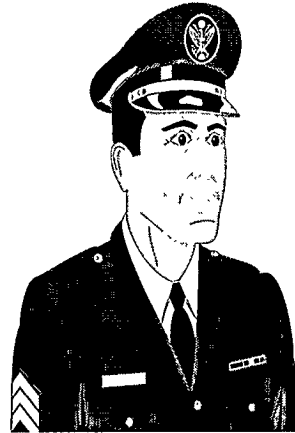
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Authorities - Extraterritorial

Intelligence Authorization Act of 1990

- Gives the FBI jurisdiction over espionage investigations in all US diplomatic missions abroad
- Including active duty military
- Foreign nationals
- State Department
- CIA personnel
- USG employees including military



Section 811 Referrals

- ◆ Intelligence Authorization Act of 1995
- ◆ S811 referrals:
- ◆ Head of each agency in the Executive Branch shall ensure FBI is advised immediately of any information indicating classified information has/is being disclosed to a foreign government without authorization

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Brian P. Regan: "If I commit espionage (sic) I will be putting my self and family at great risk. If I am caught I will be enprisoned (sic) for the rest of my life, if not executed for this deed."

➤ Working as an analyst at National Recon. Office (NRO)

➤ Browsing on Intelink, looking for information he could sell Iraq, Libya, or China

➤ Letters to Saddam Hussein and Muammar Gaddafi offering to provide satellite intelligence about Iraq or Libya for \$13 million

➤ In letters, stated he was angry about pension amount (was in debt)

2000 – Retired and returned as a contractor

➤ Arrested boarding flight to Switzerland, where he intended to make contact with embassy personnel



(U) In the past Cold War era, Brian Regan sought to sell secrets to those countries he deemed to be our chief adversaries Iran, China, and Libya.

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ESPIONAGE

◆ Statutes/Violations

– Economic Espionage Statutes

- Title 18 Sections 1831 and 1832

– 18 USC 793-798

- 793 - Gathering, transmitting or losing defense information
- 794 – Gathering or delivering defense information
- 798 - Disclosure of Classified information

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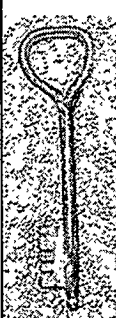
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Economic Espionage Act of 1996

- ◆ Act amended Title 18. Added the following:
- ◆ Section 1831: Economic Espionage
- ◆ Whoever.....
 - Knowingly performs targeting or acquisition of trade secrets to
 - Knowingly benefit any foreign government, foreign instrumentality, or foreign agent
 - Shall be fined up to 500,000 or
 - Imprisoned up to 15 years
 - Or both



Economic Espionage Act of 1996

- ◆ Added to title 18 (among other sections)
- ◆ Section 1832: Theft of Trade Secrets
- ◆ Similar to 1831, but no requirement to prove information is given to a foreign power
- ◆ Trade Secrets: All forms of financial business, scientific, technical, engineering or economic information
 - > Fine only, no prison time

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ESPIONAGE

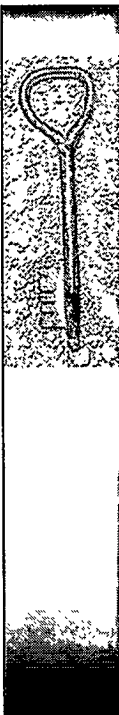
- 
- ◆ Title 18 USC 793 – Gathering, transmitting or losing defense information:
 - a) obtaining information respecting the national defense with intent or reason to believe that the information is to be used to the injury of the United States or to the advantage of any foreign power
 - b) copying national defense information with the above intent
 - c) receives or agrees or attempts to receive or obtain national defense information with the above intent
 - d) whoever with lawful access knowingly gives this material to another with intent or reason to believe that the information is or could be used to injury...
 - e) whoever without authorization possesses, maintains or transmits to another with the with intent or reason to believe that the information is or could be used to injury
 - f) whoever with lawful access loses this material

Sentence: up to 10 years and \$250,000 fine and forfeiture of proceeds

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ESPIONAGE

- 
- ◆ Title 18 USC 793 – Gathering, transmitting or losing defense information:

Intentionally providing national defense information which could injure the United States to any unauthorized person who would be expected to use it against the United States

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ESPIONAGE

- ♦ Title 18 USC 794 – Gathering or delivering national defense information to aid a foreign government:

a) Whoever, with intent or reason to believe that it is to be used to the injury of the United States or to the advantage of a foreign nation communicates...information relating to national defense....

Sentence: Life

-exception: if information results in the identification and death of an individual acting as an agent of the United States (or relating to nuclear weapons)

Sentence: Death

b) In time of war any of the above is punishable up to the Death

c) if a conspiracy all subject to same penalty

All carry forfeiture

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ESPIONAGE

- ♦ Title 18 USC 794 – Gathering or delivering national defense information to aid a foreign government:

Intentionally providing defense information to a foreign government.

When this passage results relates to nuclear weapons, the death of an agent, or occurs in war time the crime cries the death penalty

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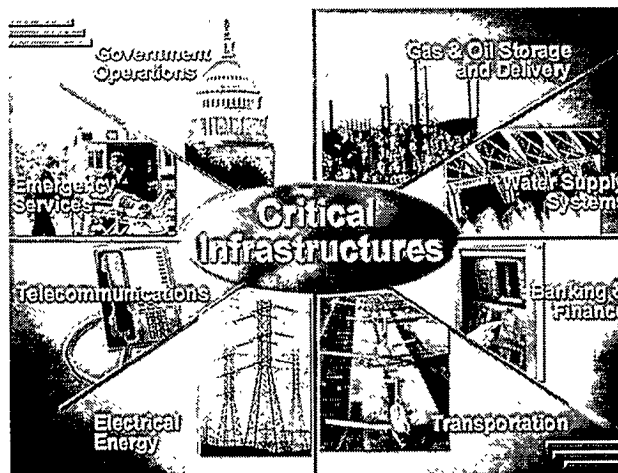
Title 18, Section 798: Disclosure of classified information:

Whoever knowingly and willfully communicates or uses in any manner prejudicial....any classified information

Sentence: 10 years
\$250,000 fine



Some information which could be considered related to the National Defense (i.e. water treatment plant facility, information on airline operations) may not be classified.



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ESPIONAGE

◆ National Defense Information

- Information that is appropriately, actually, and accurately classified:
 - Confidential
 - Secret
 - Top Secret
- And relates to the National Defense

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NATIONAL DEFENSE INFORMATION

- ◆ Examples of NDI
- ◆ Statute provides death penalty only if:
- ◆ ID by a foreign power of:
 - Of individual acting as a US agent and consequently in the death of that individual
 - Directly concerned nuclear weaponry
 - Military spacecraft or satellites
 - Early warning systems
 - Other means of defense or retaliation against large-scale attack
 - War plans
 - Communication intelligence or cryptographic information
 - Any other major weapon systems or major element of the defense strategy

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ESPIONAGE

- 
- ◆ With intent to injure the US or aid the foreign power
 - ◆ Delivery to Agent of a Foreign Power
 - Foreign Intelligence Service
 - Intelligence Officer
 - or Agent

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ESPIONAGE

- 
- ◆ Espionage and espionage-related investigations differ from other criminal investigations conducted by the FBI in that prosecution is not and cannot always be the ultimate goal

WHY??

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ESPIONAGE

- ◆ The short-range benefits of prosecution must always be weighed against the potential danger to the long-range CI efforts of the U.S. Government

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ESPIONAGE

- ◆ Worst Case Scenario
 - No physical evidence
 - No witnesses
 - Sources and techniques to protect
 - Learn of violation years later
 - Unsure crime has been committed

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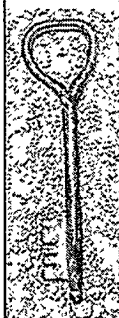


ESPIONAGE INVESTIGATION ISSUES

- ◆ Evidence
 - Classified information passed is seldom if ever returned to the agent
- ◆ Duration of activity
 - Activity undetected for years
 - Activity have may ceased prior to investigation
- ◆ USIC may be unaware of activity

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ESPIONAGE

- ◆ Prosecutions
 - Department of Justice Internal Security Section authorization
 - Will drop investigation if not certain
 - Will not prosecute if national interests are best served by other course of action

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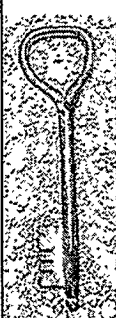
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ESPIONAGE

- ◆ Prosecutorial Chain of Command
 - FBI Field Office
 - FBIHQ Desk
 - FBIHQ NSLU
 - DOJ - OIPR
 - DOJ – Internal Security Section (ISS)
 - Local US Attorney
 - Local Aggrieved Agency

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ESPIONAGE

- ◆ Protection of Classified Information at Trial
 - Classified Information Procedures Act
 - Security clearances for necessary court personnel for review of classified information in camera with the judge

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ESPIONAGE MOTIVATORS

- Can be one or a combination of several factors

◆ Money

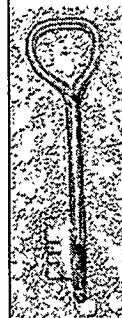
- Richard Miller (FBI)
- Aldrich Ames (CIA)
- Brian Regan (NRO, USAF retired)
- George Von Trofimoff (USA, retired)

◆ Ideology

- Kurt Stand and Theresa Squillicoate (Pentagon)
- Ana Montes (DIA)
- Jonathan Pollard (NCIS analyst)

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ESPIONAGE MOTIVATORS

◆ Compromise/Blackmail

- Roy Rhoades (USA)
- Philip Raymonville (USA)

◆ Ego

- Robert Hanssen (FBI)
 - Primarily money

◆ Ingratiation

- Sharon Scrannage (CIA)

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ESPIONAGE MOTIVATORS – Changes since 1990

- Defense Personnel Security Research Center (PERSEREC)
- Database on 173 individuals
- Looks at trends in espionage
- 3 Groups
 - 1947 – 1979 (pre 1980's)
 - 1980 – 1989 (1980's)
 - 1990 – 2007 (Post 1990)

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Changes since 1990

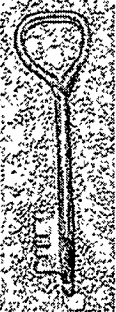
- ◆ Since 1990, 83% 30 years or older, and 46% over 40
- ◆ Previously, native born offenders were 80% of the pool, now 65%
- ◆ 58% American spies had personal foreign attachments
- ◆ 50% American spies had business related foreign connections
- ◆ **57% were motivated solely by divided loyalties**
- ◆ Asian and Southeast Asian countries are 26% (previously 5% and then 12% in the 1980's)
- ◆ Although greed figured less often, roughly 1/3 of group in each time period committed espionage because of debt
- ◆ Recent espionage by Americans relies more often on the internet and sophisticated use of information retrieval and storage

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Hanssen and Ames

♦ Robert Phillip Hanssen ♦ ♦ Agency: FBI ♦ Dates of service 1/12/76 to 2/18/01 ♦ Espionage Period: - GRU 79-81 - KGB 85-91 - SVR 91-01 ♦ Methodology: - Dead Drops - Signal Sites - NO meetings with handlers ♦ Compensation: - .6 million in direct payment - .8 million in escrow	♦ Aldrich Ames ♦ ♦ Agency: CIA ♦ Dates of service: 6/17/62- 2/21/94 ♦ Espionage Period: - KGB/SVR 85-94 ♦ Methodology - Dead Drops - Signal Sites - Meeting with handler overseas ♦ Compensation: - 1.8 million USD direct payments - .9 million in escrow
---	--

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Hanssen and Ames

(Con't)

Hanssen	Ames
Results: ♦ Valery Mertynov-exposed and executed ♦ Sergi Motorin- exposed and executed ♦ Boris Yuzhin-exposed	Results: ♦ Valery Mertynov-exposed and executed ♦ Sergi Motorin- exposed and executed ♦ Dmitri Polyakov-exposed and executed ♦ Oleg Gordievsky-exposed ♦ Leonid Polishchuk-exposed ♦ SergeyFedorenko-exposed

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DATE: 12-07-2011

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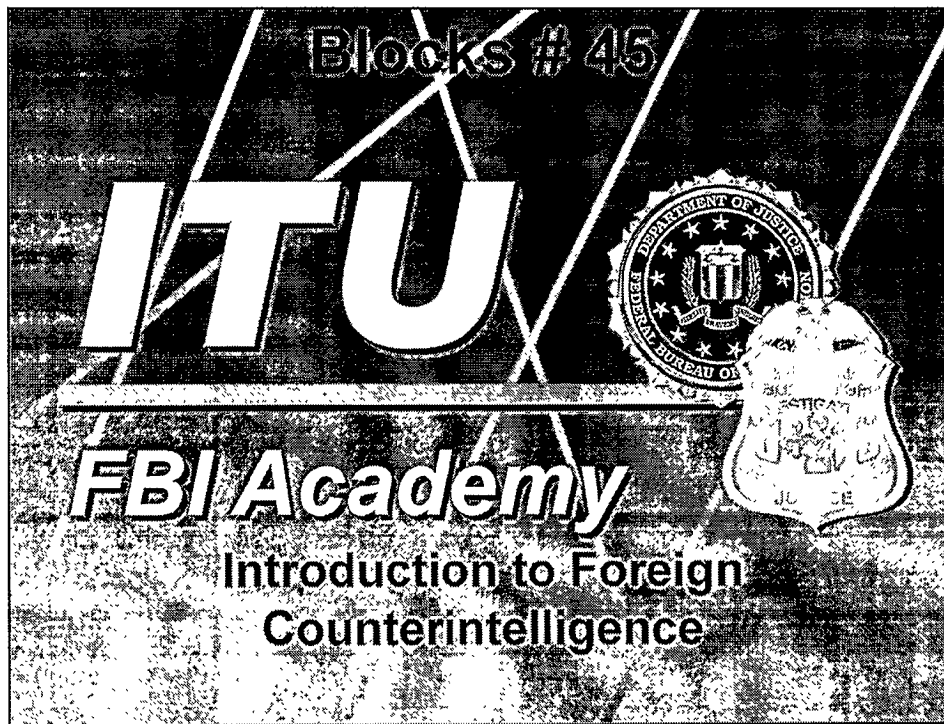
REASON: 1.4 (c)

DECLASSIFY ON: 12-07-2036

ALL INFORMATION CONTAINED

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WHERE SHOWN OTHERWISE



This Presentation is classified
"~~SECRET~~" in its entirety

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"There is one evil I dread, and that is their spies. I could wish, therefore, the most attentive watch be kept....I wish a dozen or more honest, sensible and diligent men, were employ...in order to question, cross-question, etc., all persons as are unknown, and can not give an account of themselves in a straight and satisfactory line....I think it is a matter of importance to prevent them from obtaining intelligence of our situation

General George Washington, March 24, 1776

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Counterintelligence

- (U) Information gathered and activities conducted to protect against espionage and other intelligence activities, sabotage, or assassinations, conducted by, for or on behalf of foreign powers, organizations or persons, or international terrorist activities, but not including personnel, physical, document or communications security programs

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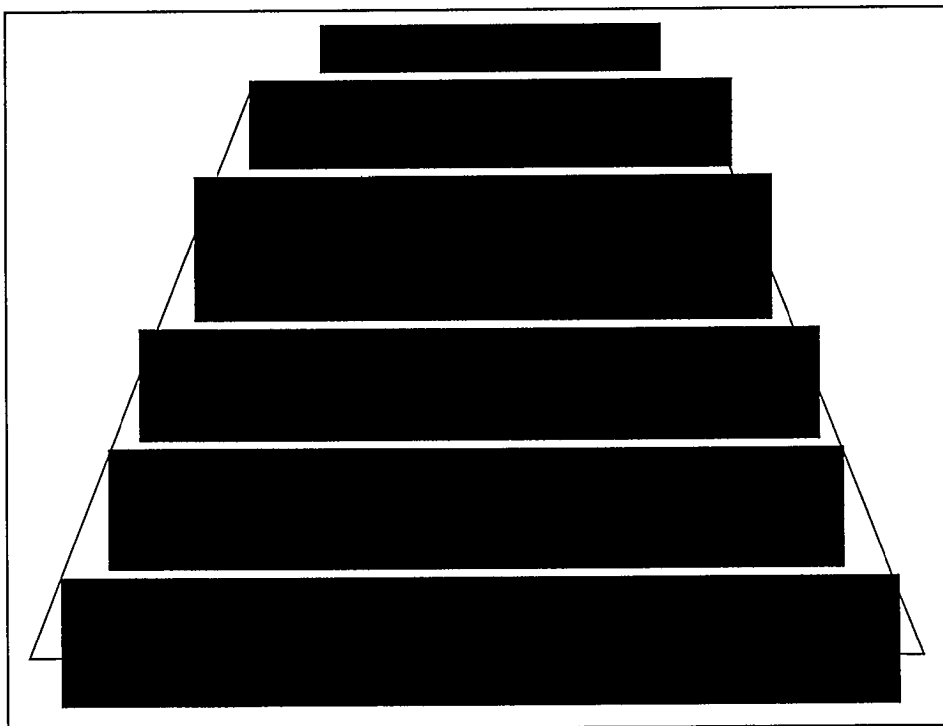
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**(U) As a Counterintelligence agency,
the FBI is authorized to prevent and
defeat intelligence activities conducted
by individuals and organizations
adversarial to U.S. interests.**

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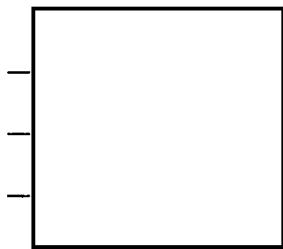
FCI Strategic Plan Strategic Objectives

(S)

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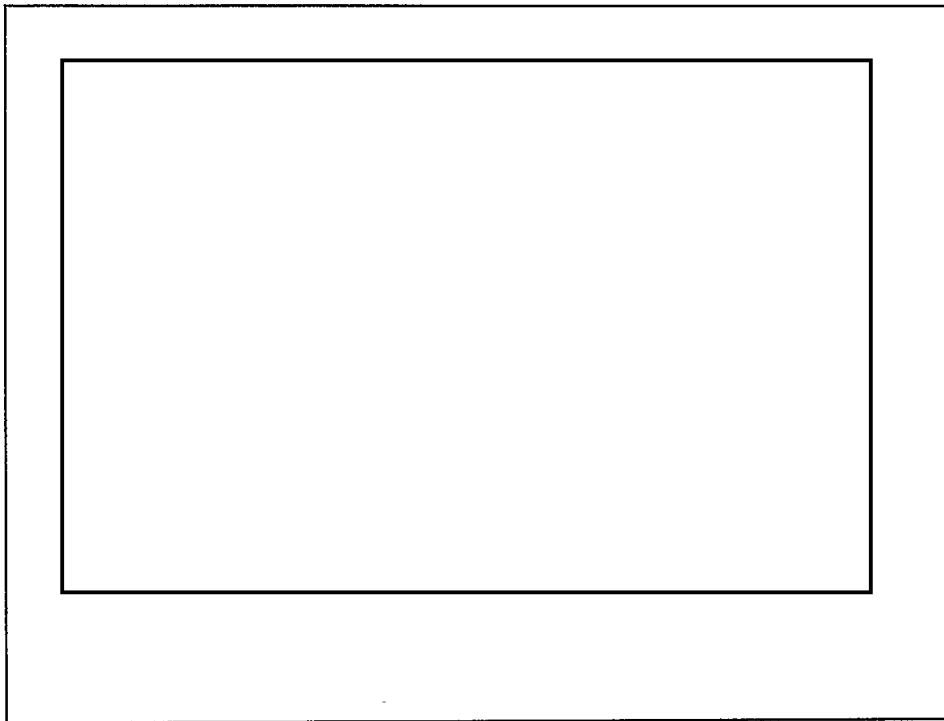


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	Searches
Usually conducted in coordination with appropriate field and headquarters technical, laboratory, language service and surveillance elements	

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Physical

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☐ SSG

☐ LOs

☐ Remote

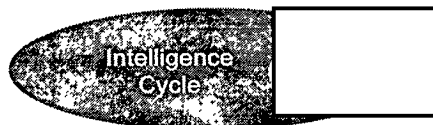
☐ Aircraft

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Program

Program is the FBI's public voice for awareness and threat warning information regarding Counterintelligence (CI) issues.

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Investigations

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Program

Examples:

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Program

Objectives in the business community:
Educate companies regarding their responsibility in protecting vital US interests against the foreign intelligence threat.

sharing between the FBI and industry.

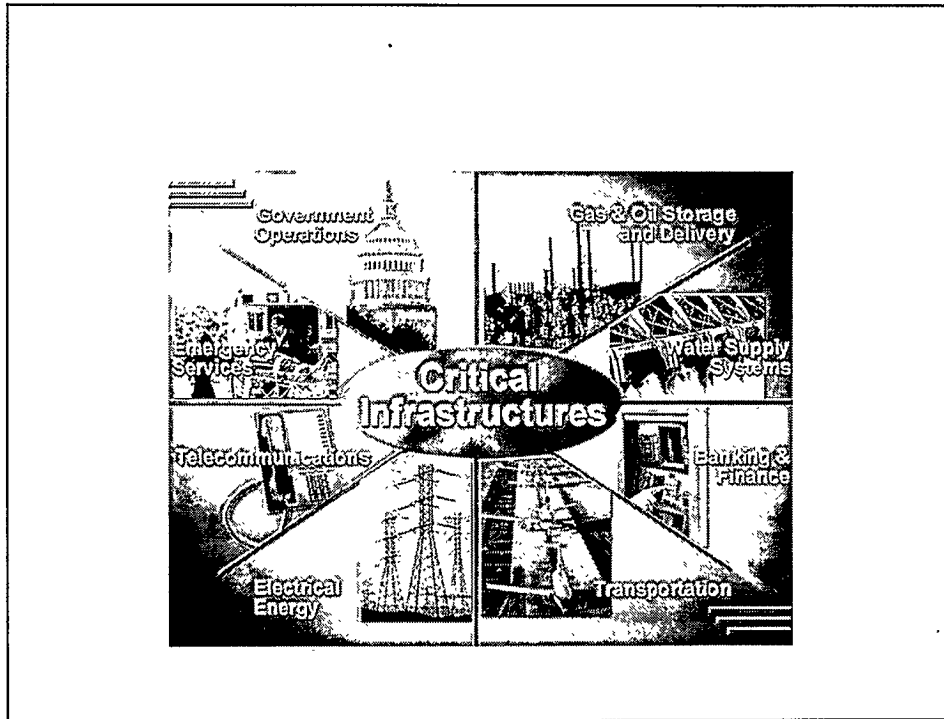
Advise businesses on how to assess their vulnerabilities to foreign intelligence service exploitation and how to adapt their internal and external behaviors to eliminate or mitigate their vulnerabilities.

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 Program

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The Academic Alliance Program:

The Academic Alliance Program's mission is to conduct national outreach efforts, share information and establish a dialogue with academic institutions to increase awareness of threat and national security issues and to foster the spirit of cooperation between academia and the FBI.

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[REDACTED] Program

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The Critical National [REDACTED] Program

Prevent the compromise of systems, processes,
technologies and/or information deemed critical
to U.S. national or economic security.

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

Serial Description ~ Unrecorded Serial

Serial	Description	Deleted Page(s)	Page(s) ~ 40
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