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# National Security Investigations: The FBI's Investigative Priority

DATE: 03-07-2012  
CLASSIFIED BY 65179 DMH/CLS  
REASON: 1.4 (c)  
DECLASSIFY ON: 03-07-2037

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

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# Top Ten FBI priorities

- 1. Protect the United States from terrorist attack.**
- 2. Protect the United States against foreign intelligence operations and espionage.**
3. Protect the United States against cyber-based attacks and high-technology crimes.
4. Combat public corruption at all levels.
5. Protect civil rights.
6. Combat transnational and national criminal organizations and enterprises.
7. Combat major white-collar crime.
8. Combat significant violent crime.
9. Support federal, state, county, municipal, and international partners.
10. Upgrade technology to successfully perform the FBI's mission

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# Objectives

- Describe the types of NSIs conducted by FBI
- Describe the components of the National Security Branch
- Describe three levels of investigation

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# FBI Authorities

FBI has primary jurisdiction over operations in the US

- Executive Order 12333: FBI is the primary for CI in the US (includes counterterrorism)
- Presidential Decision Directive 39: FBI is lead in response to DT/WMD

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# National Security Branch

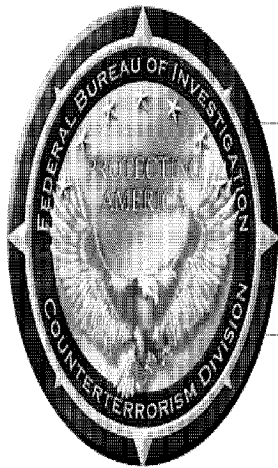
- Counterterrorism Division (CTD)
- Counterintelligence Division (CD)
- Directorate of Intelligence (DI)
- Weapons of Mass Destruction Division (WMDD)



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# Types of NS Investigations

- Foreign Counterintelligence
  - Foreign intelligence collection
  - Espionage Criminal Investigations
- Terrorism
  - Domestic Terrorism
  - International Terrorism
- Foreign Intelligence Collection

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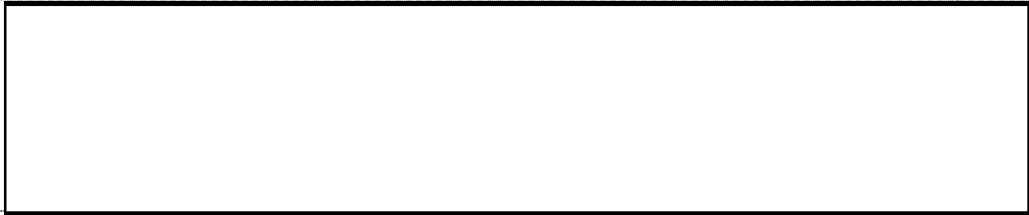


# Counter vs. Foreign

- **Counterintelligence** - Information gathered and activities conducted to protect against espionage, sabotage, and other intelligence activities conducted on behalf of foreign powers or by international terrorists
- **Foreign Intelligence** - Information related to the capabilities, intentions, and activities of foreign powers, organizations or persons, that does not include counterintelligence except for information on international terrorism matters.

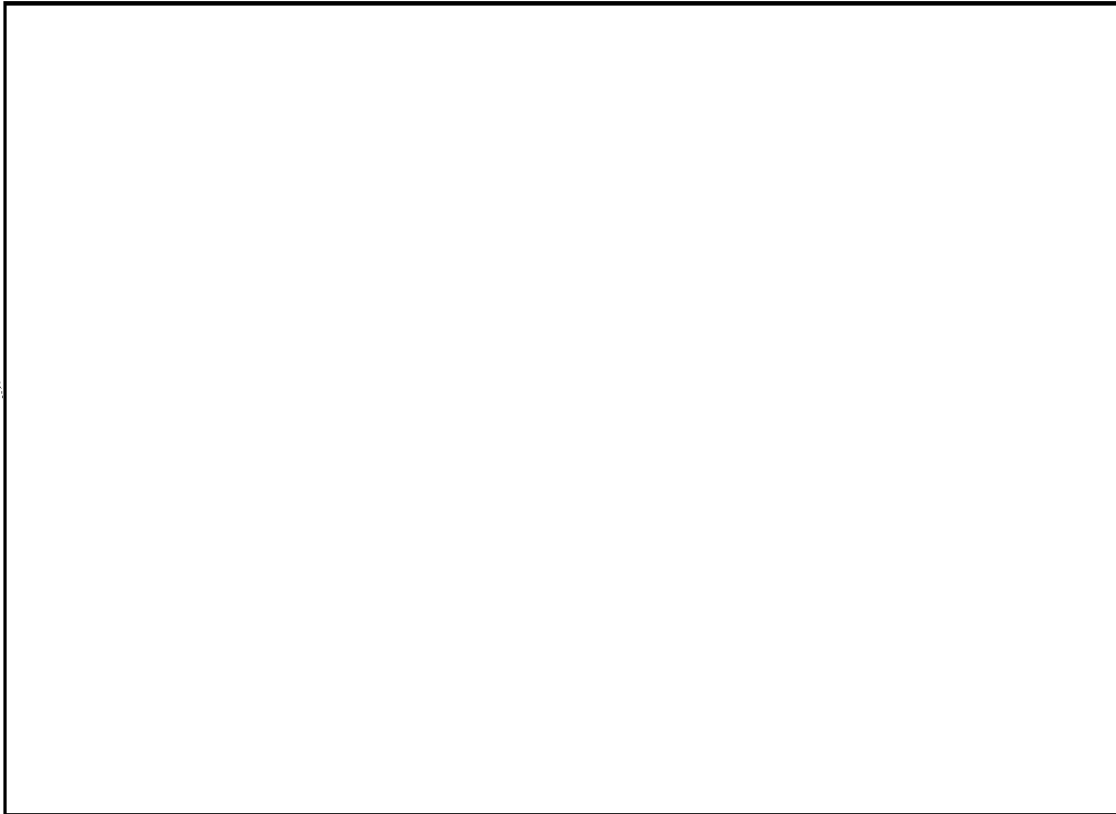
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## Counterintelligence Division Priorities

- Prevent or neutralize the foreign acquisition of weapons of mass destruction (WMD) technology or equipment;
- Prevent the penetration of the U.S.  Community;
- Prevent the penetration of U.S. Government agencies or contractors; and
- Prevent the compromise of U.S. Critical

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# Counterintelligence Sections

[Redacted] Section

Global Section

[Redacted] Section

Counterespionage Section

Administrative Section

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[Redacted] Section

[Redacted] Section

[Redacted] Section

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# Identify, Penetrate, Neutralize

- Personnel
- Method of Operation
- Targets

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# Identify, **Penetrate**, Neutralize

- Technical Penetration



– Bugs

- Human



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# Identify, Penetrate, Neutralize

- Persona non Grata (PNG)
- Criminal Prosecution
  - Espionage Statute – Title 18, USC S794
  - Economic Espionage Act
  - Terrorism Statutes
  - Any possible federal statute, including Title 18, USC S 1001

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## CI Major Case: Robert Hanssen

- Volunteered to USSR
- Long term investigation
- In 2000, a suspicious package identified
- 02/18/2001 Identified making dead drop and arrested
- 07/06/2001 Pled guilty to 15 espionage charges.



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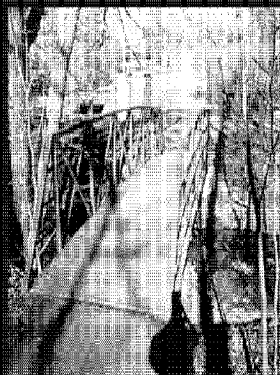
FBI

## ROBERT P. HANSSEN (FBI)

Robert Hanssen



A 56 year old veteran FBI employee who received more than \$1.4 million in cash and jewels while spying for 17 years for the KGB/SVR. He pled guilty to 15 counts of espionage-related charges. Sentence: life



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# Counterterrorism Division

- Lead law enforcement and domestic intelligence efforts to defeat terrorism.
- Eliminate terrorists' ability to operate so people live without fear of terrorism

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# CTD Objectives

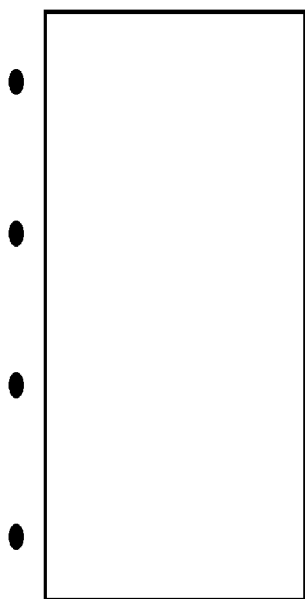
- To detect, disrupt, and dismantle terrorist sleeper cells in the United States before they act;
- To identify and prevent acts of terrorism by individuals with a terrorist agenda acting alone;
  - To detect, disrupt, and dismantle terrorist support networks, including financial support networks;
  - To enhance our capability to quickly ascertain the reliability, implications and details of terrorist threats and to improve our capacity to disseminate threat-related information to local, state, and federal agencies, and to the private sector as needed; and
  - To enhance our overall contribution to the USIC and to senior policy makers in government by providing timely and accurate in-depth analysis of the terrorist threat and other information of value on an on-going basis.

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# State Sponsors of Terrorism



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- (U) (S) Intelligence Community (IC)

recognizes [REDACTED] terrorist groups  
operating worldwide

(U)

• (S) [REDACTED]

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# Ranking Criteria

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- (U) • (S) [redacted] ranking system based on the following factors:

[redacted]

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- Updated quarterly
- Two levels [redacted]

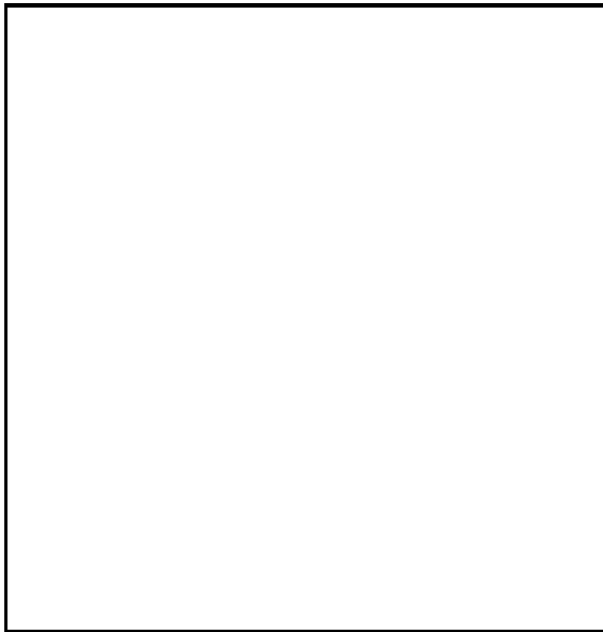
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# CTD Top Threats

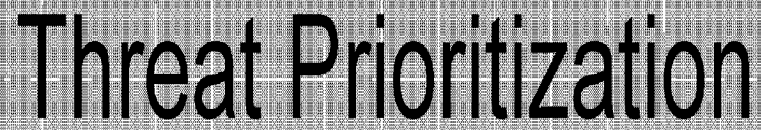


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- Domestic Terrorism

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Case	Case description		Case outcome
	Case description	Case description	
1	1.1. A 25-year-old male with a history of alcohol abuse and a recent diagnosis of bipolar disorder. He presented with symptoms of mania, including elevated mood, increased energy, and decreased need for sleep.	1.2. The patient was admitted to the hospital and started on a combination of mood stabilizers and antipsychotics. He was also given a course of psychotherapy.	1.3. The patient's symptoms improved significantly, and he was discharged on a maintenance regimen of mood stabilizers and antipsychotics. He was also referred to a community mental health center for ongoing support.
2	2.1. A 35-year-old female with a history of bipolar disorder and a recent diagnosis of major depressive disorder. She presented with symptoms of depression, including low mood, loss of interest, and weight loss.	2.2. The patient was admitted to the hospital and started on a combination of mood stabilizers and antidepressants. She was also given a course of psychotherapy.	2.3. The patient's symptoms improved significantly, and she was discharged on a maintenance regimen of mood stabilizers and antidepressants. She was also referred to a community mental health center for ongoing support.
3	3.1. A 45-year-old male with a history of bipolar disorder and a recent diagnosis of schizophrenia. He presented with symptoms of schizophrenia, including hallucinations, delusions, and disorganized thinking.	3.2. The patient was admitted to the hospital and started on a combination of mood stabilizers and antipsychotics. He was also given a course of psychotherapy.	3.3. The patient's symptoms improved significantly, and he was discharged on a maintenance regimen of mood stabilizers and antipsychotics. He was also referred to a community mental health center for ongoing support.
4	4.1. A 55-year-old female with a history of bipolar disorder and a recent diagnosis of anxiety disorder. She presented with symptoms of anxiety, including excessive worry, panic attacks, and insomnia.	4.2. The patient was admitted to the hospital and started on a combination of mood stabilizers and anxiolytics. She was also given a course of psychotherapy.	4.3. The patient's symptoms improved significantly, and she was discharged on a maintenance regimen of mood stabilizers and anxiolytics. She was also referred to a community mental health center for ongoing support.
5	5.1. A 65-year-old male with a history of bipolar disorder and a recent diagnosis of dementia. He presented with symptoms of dementia, including memory loss, confusion, and disorientation.	5.2. The patient was admitted to the hospital and started on a combination of mood stabilizers and cholinesterase inhibitors. He was also given a course of psychotherapy.	5.3. The patient's symptoms improved significantly, and he was discharged on a maintenance regimen of mood stabilizers and cholinesterase inhibitors. He was also referred to a community mental health center for ongoing support.

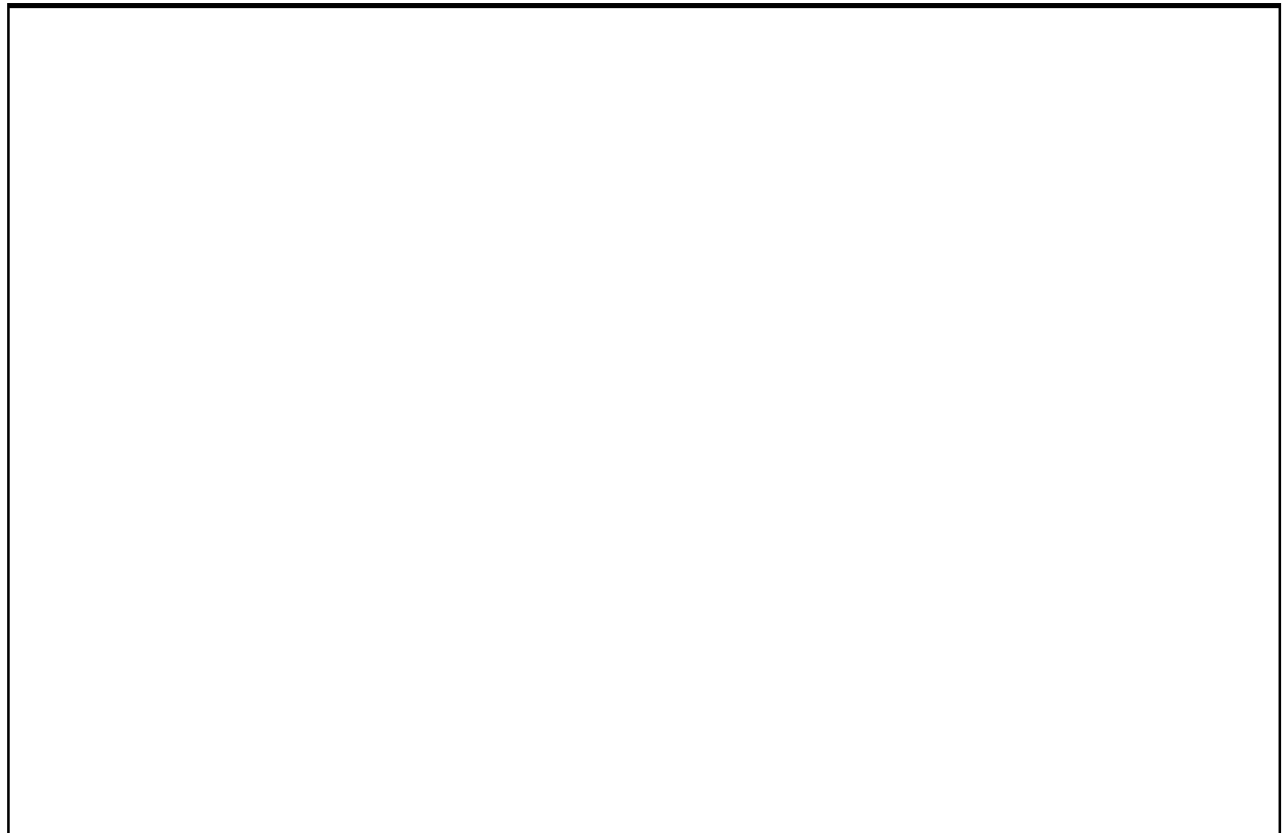
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# Countering Terrorist Groups



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- Other support entities

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# Substantive Resource

## Subject Matter Expert (SME)

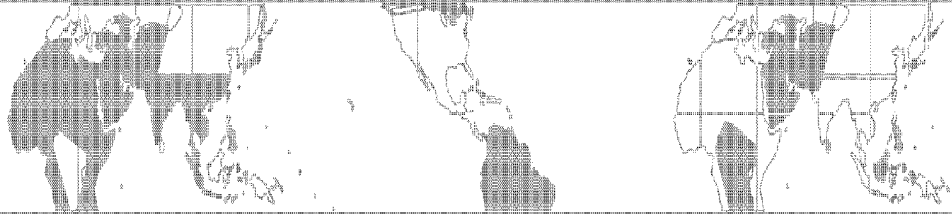
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# Case Predication and Levels of Investigation

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# Levels of Investigation

- Assessments
- Preliminary Investigations
- Full Investigations

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# Assessments

- Need to have an authorized purpose
- Cannot be based solely on First Amendment Rights

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# Six Assessment Types

- Type 1: Cover Investigative

- Type 2: Cover investigative

- Type 3: Identify

- Type 4: Conduct

- Type 5:

- Type 6:

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# Assessments

- Scenarios to use

-

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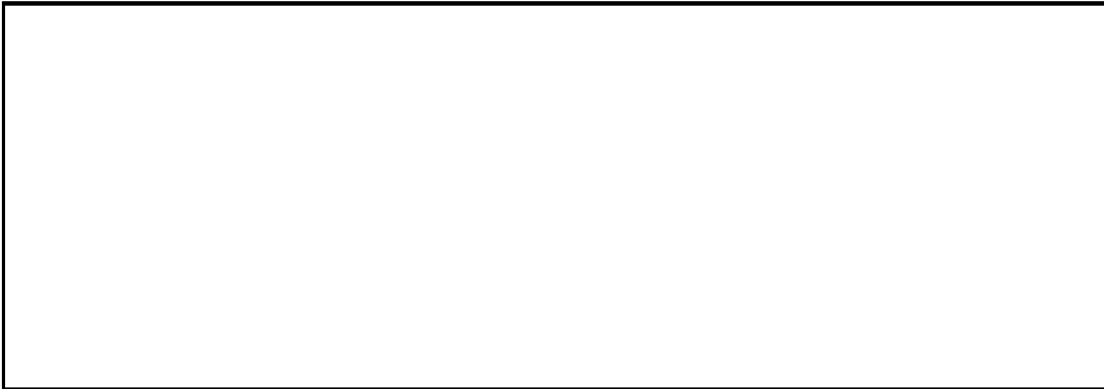
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# Preliminary Investigations

- Predication: information or allegation indicating a federal crime or threat to



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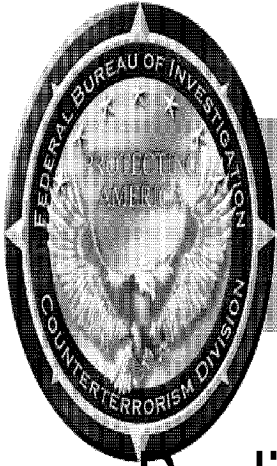


# NSI PI predications

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# Full Investigations

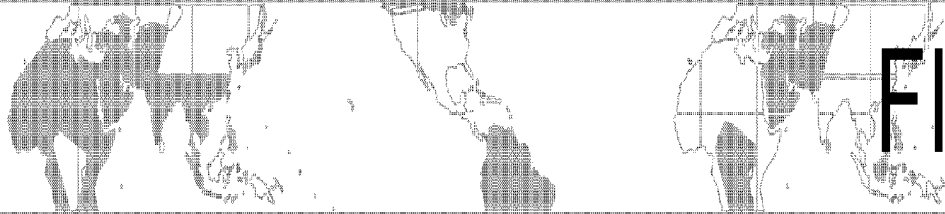
- Predication: **Articulate factual information** indicating a threat

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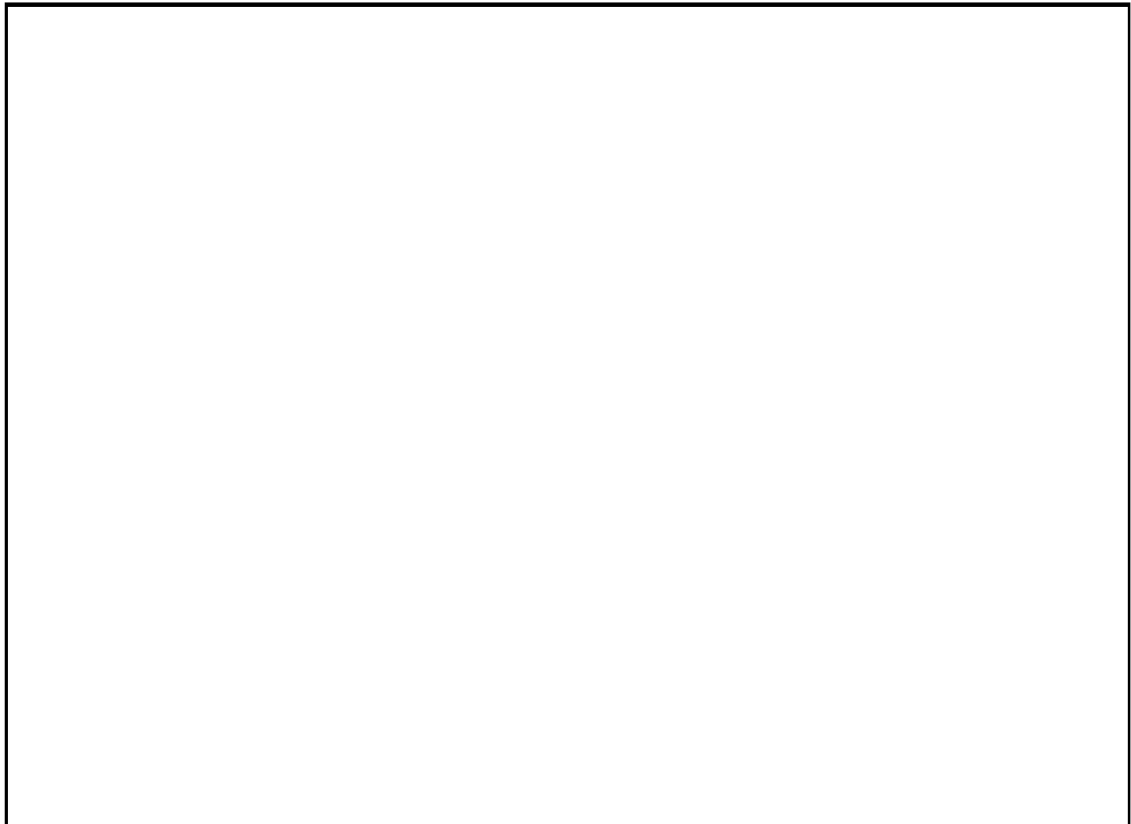


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**FIs**

- Full Investigation = PI + Corroboration
  - CT Example:



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## Two Types of Unique FIs

- Foreign Intelligence Collection



- Must be approved by SAC
- Conducted on the FIG

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- Enterprise Operation Cases

- Investigate a Group for a coordinated criminal activity
- Multiple subjects

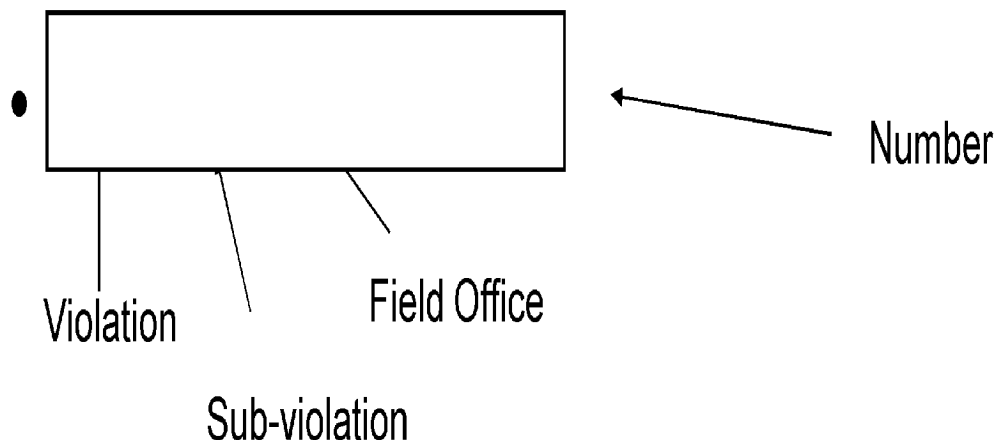
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# File Classification

- Determined by MIOG (Manual of Investigative Operations and Guidelines) and (NFIPM) National Foreign Intelligence Policy Manual



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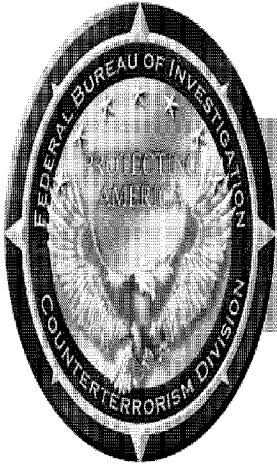


# Field Initiation

- Predication reviewed by SSA
- Opening Electronic Communication (EC) written
- Assigned Case Number by SST (Support Services Technician)
- Opening communication(s) uploaded and sent to HQ/file

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# Opening Communications

- EC required in order to open any case
- Letterhead Memorandums (LHMs) to DOJ/Office of Intelligence (OI) required for certain cases

- For CT [REDACTED]  
[REDACTED] must be completed for entry in [REDACTED]

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# Opening EC

- Required fields
  - Dates
  - Synopsis
  - Details
  - Identifiers
- Optional Fields
  - References
  - Enclosures
- Leads

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(Rev. 01-31-2003)

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**FEDERAL BUREAU OF INVESTIGATION**

Precedence. ROUTINE

Date. 12/01/2006

To. CounterTerrorism

Attn. Operational Section/Unit

Rm. 4933

From. New York

Squad sys

Contact. SA [redacted] Agent, (xxx) xxx-xxxx

Approved By

Drafted By. Agent [redacted] jqs

Case ID #. (U) [redacted] (Pending)

Title. (S) [redacted]

Synopsis. (S)

(U) Derived from. FBI HQ #3, Jan 1997  
Declassify On. [25 years from date of HQ]

[Note. Portion mark each paragraph, including subparagraphs, based on content of the portion. The portion markings shown in this example are for illustration only.]

Enclosure(s). (U) There are two enclosures: (1) The Notice of Initiation for [redacted] (example: [redacted]) (submitted via [redacted]); and (2) Electronic [redacted] for the [redacted] Unit (submitted via email only to [redacted])

[Expedited Requests: If a subject needs to [redacted] please call [redacted] to advise the [redacted] staff; email a completed [redacted] the supporting documentation to the person you spoke to, copy the [redacted] Unit mailbox at [redacted] and mark the message urgent, "Please Expedite!"]

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To:  
Division

Approval Line

Case Title

Synopsis: To open investigation

Declassification Date

Enclosures

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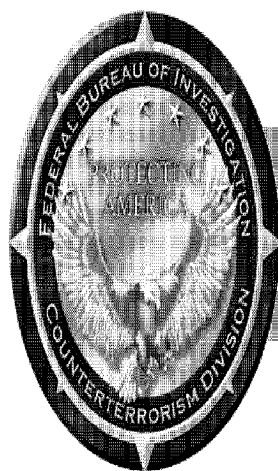
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Body of EC  
Begins here

Options for  
Predication

To: Counterterrorism From: New York  
Re: (U) [redacted] 12/01/2005

Preliminary (or Full) Investigation Initiated. 01/13/2005

Details. (S) New York is opening the captioned Preliminary (or Full) Investigation . . .

[Note: The opening EC should be rich in detail, whereas the narrative in the Notice of Initiation should be no more than a paragraph or two. [redacted] include the following in the Miscellaneous field: the case agent's name and 24/7 contact number. [redacted] and any other pertinent information.]

Predication for the Investigation

(S) This section should describe any investigative activity conducted during a Threat Assessment which led to the initiation of the case and clearly articulate the predication.

(U) Preliminary Investigations are authorized, generally speaking, when there is information or an allegation indicating that a threat [redacted]

(U) Full Investigations are authorized, generally speaking, when there are specific and articulable facts giving reason to believe that a threat [redacted]

(U) The circumstances on which the initiation of a preliminary investigation or full investigation may be based are:

[Large empty box for predication options]

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Date  
Investigation  
Initiated

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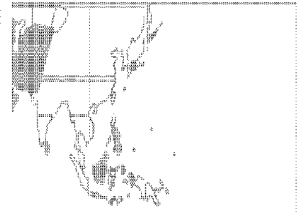


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To: Counterterrorism From: New York  
Re: (U) [redacted] 12/01/2006



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Possible Nexus to a Foreign Power

(S) This section should specify the known or suspected foreign power.

(U) A "foreign power" is defined as:

1. (U) a foreign government or any component thereof, whether or not recognized by the United States;
2. (U) a faction of a foreign nation or nations, not substantially composed of United States person;
3. (U) an entity that is openly acknowledged by a foreign government or governments to be directed and controlled by such foreign government or government;
4. (U) a group engaged in international terrorism or activities in preparation therefor;
5. (U) a foreign-based political organization, not substantially composed of United States person; or
6. (U) an entity that is directed or controlled by a foreign government or governments.

Pertinent Sensitive National Security Matters

(U) "Sensitive National Security Matter" is defined as:

A threat to the national security involving the activities of



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should be brought to the attention of FBI Headquarters and other Department of Justice officials.

(U) If there are none known, then simply state: "There are no known sensitive national security matters."

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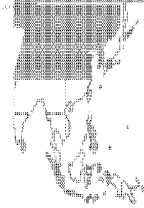
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To: Counterterrorism From: New York  
Re: (U) [redacted] 12/01/2006



Known Identifiers

(U) In addition to the data fields in the Descriptive Data portion of the BC macro, include all known identifiers about the subject in this section.

(U) It is critical that all information be indexed [redacted]

a)

[redacted]  
[redacted] Usama bin Laden's full name, for example, is Usama bin Mohammad bin Abdallah bin Laden)

b)

c)

d)

e)

f)

g)

h)

i)

j)

k)

l)

m)

n)

Descriptive Data.

(U) Main Subject

Subject identifiers

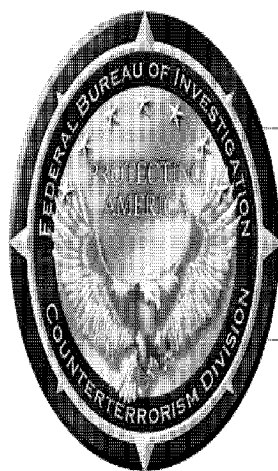
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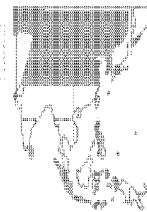
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To: Counterterrorism From: New York  
Re: (U) [redacted] 12/01/2006



LEAD(s).

Set Lead 1. (Action)

COUNTERTERRORISM

AT WASHINGTON, DC

(S) [operational section/unit] is requested to provide notice of the initiation of this investigation to the DOJ [redacted]

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Set Lead 2. (Action)

COUNTERTERRORISM

AT WASHINGTON, DC

(S) [operational section/unit] Coordinate [redacted]  
[redacted] be required based on information in Details, above.

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Set Lead 3. (Action)

COUNTERTERRORISM

AT WASHINGTON, DC

(U) [redacted]  
[redacted] is requested to process [redacted] which is being submitted via email to the [redacted] mailbox [redacted] to add the subject of this case to the [redacted] appropriate supported systems.

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Set Lead 4. (Info)

COUNTERTERRORISM

AT WASHINGTON, DC

(U) CT Analysis Section: Read and clear.

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Leads

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# Serials

- Serial 1: Opening EC
- Serial 2: for certain NSI cases, LHM
- All other serials proceed from least to more invasive
- All investigation must be documented to file
- Each document given a serial number, written on lower left corner.
- Each document has at least one unique number: combination of file number and serial number

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# Types of Serials

- ECs

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- Physical Surveillance (FISUR) log
- National Security Letters (NSLs)
- Teletypes/Cables
- Letterhead Memorandums (LHMs)
- Mail cover (request and results)
- other government agencies results
- 1A envelopes (FD-340)

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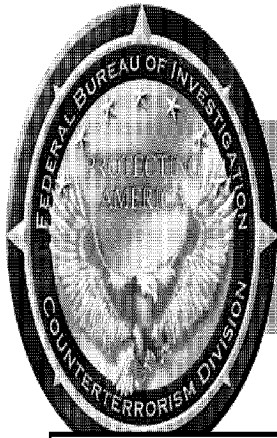


# Subfiles

- Used to group similar information together in the case file
- Documents in subfiles must be serialized
- Serialized beginning at Serial 1 for each subfile (serials are separate from main file)

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# Common Subfiles

- CRIM
- FISUR
- NSL
- GJ

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# Exercise

- Identify the proper type  and the level at which that investigation should be opened
- Don't forget that no investigation can be initiated only on activities protected by the First Amendment

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FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 4

Page 14 ~ b1, b7E

Page 15 ~ b1, b7D, b7E

Page 23 ~ b1, b7E

Page 24 ~ b1, b7D, b7E