



U.S. Department of Justice

Office of Justice Programs

Office of the General Counsel

Washington, D.C. 20531

Ms. Catherine Crump
Staff Attorney
American Civil Liberties Union Foundation
125 Broad Street, 17th St. Floor
New York, NY 10004

DEC 18 2012

Re: OJP FOIA No. 12-00328

Dear Ms. Crump:

This is an interim response regarding your Freedom of Information Act/Privacy Act request pertaining to automatic license plate readers (ALPRs). In particular, you are seeking the following documents created from January 1, 2006 to the present:

"Records Requested"

1. All records regarding your policies, practices and procedures for procuring and using ALPR technology; for storing, accessing and sharing data obtained through ALPR technology; and for funding the acquisition and use of ALPR technology by other federal, state and local governmental agencies;
2. All records regarding the procurement of ALPR technology, including
 - a. Sources of funds used to pay for ALPR technology;
 - b. Invoices for the purchases of ALPR technology;
 - c. Interactions with vendors, suppliers and potential suppliers of ALPR technology, including materials and fact sheets supplied by vendors describing their products;
3. All records regarding the use of ALPR technology, including
 - a. What types of data are obtained;
 - b. Number of license plates scanned and/or read in a given time period (day, month, year, etc.);
 - c. The number of ALPR units or systems acquired;
 - d. The number of vehicles equipped with ALPR technology;
 - e. For stationary deployments, the number and physical location of ALPR units;
 - f. The technical capabilities of the ALPR units;
4. All records regarding the storage of data obtained using ALPR technology, including
 - a. What types of data are stored for any period longer than an hour;
 - b. How long data is stored;
 - c. When data must be discarded;
 - d. How many individual license plate scan records your agency currently stores;

5. All records regarding access to ALPR data, including
 - a. The legal justification required before an individual accesses ALPR data;
 - b. Purposes for which the data may be accessed;
 - c. Purposes for which the data may not be accessed;
 - d. Who may access the data, what procedures they must go through to obtain access, and who must authorize access;
 - e. The existence or non-existence of a system that records who accesses the data and when the data is accessed;

6. All records regarding the sharing of data obtained through ALPR technology, including
 - a. What type of data is shared;
 - b. Which databases your agency puts collected ALPR data into;
 - c. Third parties, governmental or private, that may access your agency's ALPR data, including what procedures third parties must go through in order to access the data and any restrictions placed on third parties regarding further sharing of your ALPR data;
 - d. Any agreements to share ALPR data with outside agencies, corporations or other entities;
 - e. Auditing mechanisms in place to govern access to ALPR data;

7. All records regarding obtaining ALPR data from third parties, including
 - a. Which databases your agency can access;
 - b. Memoranda of understanding or agreements regarding how data is to be shared
 - c. Transfer of ALPR data from state or local agencies to you or other federal agencies;

8. All records regarding the development or implementation of any federal ALPR database, or the inclusion of ALPR data in already existing ALPR databases, including information showing which federal, state and local agencies are pooling ALPR data

9. All training material used to instruct members of your agency in ALPR deployment, data management, or operation of automated records systems that contain ALPR data to which any member of your agency has access, including regional, federal or other shared ALPR databases

10. All records regarding your funding of the acquisition and use of ALPR technology or data by other federal, state and local governmental entities, including
 - a. Funding or grants to state or local agencies to purchase ALPR units, or to purchase access to ALPR data;
 - b. Funding figures broken down by recipient;
 - c. Conditions recipients must fulfill in order to receive funding for ALPR technology from your agency

11. All records describing how ALPR data are, can be or will be integrated into existing federal data-mining programs, including but not limited to N-Dex, and how the data will be or is included in the FBI's next Generation Identification database."

Pursuant to our conversation on December 13, 2012, enclosed are copies of 10 completed surveys submitted by law enforcement agencies, consisting of 100 pages, that are appropriate for release with some excisions made pursuant to exemption (b)(6) of the Freedom of Information Act, 5 U.S.C. § 552. Exemption (b)(6) protects information that, if disclosed, "would constitute a clearly unwarranted invasion of personal privacy." Upon your review of these surveys, you will contact me regarding whether or not these 10 surveys are sufficient or if you wish to pursue obtaining copies of all of the surveys.

The Office of Justice Programs has conducted a further search of its records and located two License Plate Recognition Data (LPRD) Manuals. These documents originated within the Department of Navy, Space and Naval Warfare Systems Center, Atlantic, (SPAWAR) and are being referred to SPAWAR for processing and a direct response to you. Their address is as follows:

Mr. Andy Rosenbaum
FOIA Coordinator
Space and Naval Warfare Systems
Center, Atlantic
P.O. Box 190022 N.
Charleston, SC 24919-9022
E-mail: james.a.rosenbaum@navy.mil
Telephone: (843) 218-4390

In reference to the additional grant applications, we are currently preparing the applications to be electronically forwarded to you by the end of today. Additionally, a search is continuing by the National Institute of Justice for any additional responsive documents pertaining to ALPR. You will be notified as soon as possible upon the completion of the search.

If you are dissatisfied with this response, you may administratively appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Suite 11050, 1425 New York Avenue, N.W., Washington, D.C. 20530-0001. Your appeal must be received by OIP within sixty days from the date of this letter. Both the letter and the envelope should be clearly marked: "Freedom of Information Act Appeal."

Sincerely,

Rafael A. Madan
General Counsel

Enclosures