



**United States Department of State**

*Washington, D.C. 20520*

January 16, 2020

Case No. F-2018-03781  
Segments: AQM, VO-007,  
VO-008

Hugh Handeyside  
American Civil Liberties Union  
Foundation  
125 Broad Street – 18<sup>th</sup> Floor  
New York, NY 10004

Dear Mr. Handeyside:

I refer to our letter dated December 6, 2019, regarding the release of certain Department of State material under the Freedom of Information Act (the “FOIA”), 5 U.S.C. § 552. The Department has reviewed 43 additional documents responsive to your request. We have determined that 2 documents may be released in full, 18 documents may be released in part, and 23 documents must be withheld in full. The processing of your request is ongoing.

An enclosure explains the FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable FOIA exemptions are marked on each document. All non-exempt material that is reasonably segregable from the exempt material has been released and is enclosed. The documents that were withheld in full were withheld pursuant to Exemptions 3, 5, 6, and 7, 5 U.S.C. §§ 552(b)(3), (b)(5), (b)(6), and (b)(7)(E).

We will keep you informed as your case progresses. If you have any questions, your attorney may contact Elizabeth Tulis, Trial Attorney, at [elizabeth.tulis@usdoj.gov](mailto:elizabeth.tulis@usdoj.gov) or (202) 514-9237. Please refer to the case number, F-2018-03781, and the civil action number, 19-cv-00290, in all correspondence about this request.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Susan C. Weetman'.

Susan C. Weetman  
Deputy Director  
Office of Information Programs and Services

Enclosures: As stated.

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

- (b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:
- 1.4(a) Military plans, systems, or operations
  - 1.4(b) Foreign government information
  - 1.4(c) Intelligence activities, sources or methods, or cryptology
  - 1.4(d) Foreign relations or foreign activities of the US, including confidential sources
  - 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
  - 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
  - 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
  - 1.4(h) Weapons of mass destruction
- (b)(2) Related solely to the internal personnel rules and practices of an agency
- (b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:
- |                |   |
|----------------|---|
| ARMSEXP        | Arms Export Control Act, 50a USC 2411(c)                    |
| CIA PERS/ORG   | Central Intelligence Agency Act of 1949, 50 USC 403(g)      |
| EXPORT CONTROL | Export Administration Act of 1979, 50 USC App. Sec. 2411(c) |
| FS ACT         | Foreign Service Act of 1980, 22 USC 4004                    |
| INA            | Immigration and Nationality Act, 8 USC 1202(f), Sec. 222(f) |
| IRAN           | Iran Claims Settlement Act, Public Law 99-99, Sec. 505      |
- (b)(4) Trade secrets and confidential commercial or financial information
- (b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product
- (b)(6) Personal privacy information
- (b)(7) Law enforcement information whose disclosure would:
- (A) interfere with enforcement proceedings
  - (B) deprive a person of a fair trial
  - (C) constitute an unwarranted invasion of personal privacy
  - (D) disclose confidential sources
  - (E) disclose investigation techniques
  - (F) endanger life or physical safety of an individual
- (b)(8) Prepared by or for a government agency regulating or supervising financial institutions
- (b)(9) Geological and geophysical information and data, including maps, concerning wells

**Other Grounds for Withholding**

- NR Material not responsive to a FOIA request excised with the agreement of the requester

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. <b>M004</b>		3. EFFECTIVE DATE <b>04/07/2016</b>	4. REQUISITION/PURCHASE REQ. NO. <b>See Lines</b>
5. PROJECT NO. (If applicable)			
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219		7. ADMINISTERED BY (If other than Item 6) NAME Matthew Mclaughlin TEL. 703-875-6015 EMAIL mclaughlinmj@state.gov	CODE
		<b>RELEASE IN PART B4</b>	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR USG, INC. 635 WAVERLEY ST PALO ALTO, CA 94301-0000		(X)	9A. AMENDMENT OF SOLICITATION NO.
			9B. DATED (SEE ITEM 11)
		X	10A. MODIFICATION OF CONTRACT/ORDER NO. <b>SAQMMA15F2800</b>
			10B. DATED (SEE ITEM 11) <b>09/14/2015</b>
CONTACT CODE <b>825284321</b>		DUNS <b>825284321</b>	
FACILITY CODE <b>99100</b>			

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
 See Line Item Detail

**B4**

**13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<b>X</b>	D. OTHER (Specify type of modification and authority) Mutual Agreement of Parties

E. IMPORTANT: Contractor  is not,  is required to sign this document and return   1   copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)  
 The purpose of this modification is to:

1. Exercise Option Year 1
2. Revise the SOW to reduce the scope of work as described in Attachment 1

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) <b>Gilbert D. Maalouf</b>	
15B. CONTRACTOR/OFFEROR  _____ (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)	16C. DATE SIGNED <b>04/07/2016</b>

B4

Line Item Summary	Contract Number:	Order Number:	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change:	Date of Order:
	GS35F0086U	SAQMMA15F2800			04/07/2016
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
	<b>In Accordance with FedBid Buy #746136_01 Bid #31966724 and all of its terms and conditions.</b>				
001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Doc Ref No: 10515A0423 <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 09/10/2015 to 04/09/2016 Destination <b>Funding Information:</b> 1. Accounting Ref: 10515A0423 1900 - 2014 - 2015 - 19__4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014 Original Total: [redacted] Change Total: [redacted]	1.00 <del>0.00</del> <b>1.00</b>	LT	[redacted]	[redacted]
1001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 1  See Attachment 1 for Progress Payment Schedule  This order will be incrementally funded in the amount of [redacted] in accordance with the attached progress payment schedule.  Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 04/10/2016 to 04/09/2017 Destination <b>Funding Information:</b> 1. Accounting Ref: 10516A0414 1900 - 2016 - 19__601130003 - DRL - 1051 - 018000 - 2762 - 2501 Original Total: [redacted] Change Total: [redacted]	0.00 <del>1.00</del> <b>1.00</b>	LT	[redacted]	[redacted]
2001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 2  Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 03/10/2017 to 03/09/2018 Destination <b>Funding Information:</b>	0.00 <del>0.00</del> <b>0.00</b>	LT	[redacted]	[redacted]
3001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 3  Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 03/10/2018 to 03/09/2019 Destination	0.00 <del>0.00</del> <b>0.00</b>	LT	[redacted]	[redacted]

Line Item Summary	Contract Number: GS35F0086U	Order Number: SAQMMA15F2800	Title: Modifying rq. Data Management Analysis Platform		Total Funding Change: <input type="text"/>	Date of Order: 04/07/2016
Line Item No.	Description		Quantity	Unit	Unit Price	Total Cost
4001	<b>Funding Information:</b> PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 4 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 03/10/2019 to 03/09/2020 Destination  <b>Funding Information:</b>		0.00 0.00 0.00	LT	<input type="text"/>	<input type="text"/>
<b>POC: Daniel Mahanty</b> <b>Phone:202-663-2513</b> <b>Email: MahantyDR@state.gov</b>						
<b>Previous Total:</b> <b>Modification Total:</b> <b>Grand Total:</b>					<input type="text"/>	

B4

Identifier	Title	Date	Number of Pages
5	Attachment 1 Option Year 1 SOW	04/06/2016	
6	Palantir Option Year 1 Quote	04/06/2016	

01INV DEPARTMENT OF STATE INVOICE INSTRUCTIONS

Invoice submission is only via the Office of Claims' Commercial Claims Operations fax server, toll-free number: 866-483-3436, or DOSPayments@state.gov, unless otherwise indicated. Each invoice must be transmitted separately.

To constitute a proper invoice, the invoice must include the following information and/or attached documentation:

- (1) Name and Address of the Contractor
- (2) Dun and Bradstreet Universal Number System (DUNS)
- (3) Date of invoice
- (4) Unique Vendor Invoice Number - Our Financial System cannot accept the following characters: @ (at symbol), ~ (Tilde), & (Ampersand), ' (Apostrophe), " (Quotation) and spaces. Please do not include any of these characters as part of your invoice number.
- (5) Remittance Contact Information
- (6) Shipping Terms, Ship to Address
- (7) Payment Terms
- (8) Total Quantity of Items
- (9) Total Invoice Amount
- (10) Requisition Number, Contract Number and Order/Award Number, with modification number if applicable.
- (11) Order line item number and information (see below instructions)

The name and DUNS of the contractor on the invoice must match the information indicated on the order/award for proper payment.

**IMPORTANT: For proper payment, the invoice must detail products and/or services delivered on a line**

**item basis in direct accordance with the corresponding order/award/contract. Each line item must contain the following information:**

- (1) Description of the services rendered for each line item**
- (2) Line Item Quantity**
- (3) Line Item Unit Price**
- (4) Total Line Item Invoicing Amount**
- (5) Delivery Date**
- (6) Contract Line Item Number (CLIN)**
- (7) Order/Award Line Item Number if invoicing against a task or delivery order or Blanket Purchase Agreement (BPA)**

**Please note that many task or delivery orders against Department of State or GSA contracts or blanket purchase agreements may have a separate and unique line item number in addition to the umbrella Contract Line Item Number (CLIN). The order line item number as well as the umbrella award CLIN must be referenced at each invoice line item level in such cases.**

**All payment to domestic claims will be disbursed by electronic funds transfer EFT. Vendors who are registered in the System for Award Management (SAM) should verify and re-confirm their financial information in the database prior to invoicing. Vendors who wish to request a waiver of SAM or payment by check must submit their justification to their assigned contracting officer for consideration at least 30 days prior to billing. For vendors who are granted an EFT exception, the payment address on the invoice must match the remittance address in the vendor record cited in the award.**

Additional correspondence should be addressed to:

Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

Mailing Address:

Post Office Box 150008  
Charleston, SC 29415-5008  
Telephone Numbers:  
Director's Secretary Voice 843-202-3761  
Fax 843-746-0749  
Official Office Hours: 8:00 am – 5:00 pm

To request Payment Status on a Past Due Invoice contact:

Office of Claims Customer Service  
Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)  
Phone: 877-704-9473 Toll Free

Person to Contact: Supervisor, Vendor Claims

Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)  
(End of clause)

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. <b>M005</b>		3. EFFECTIVE DATE <b>09/14/2016</b>	4. REQUISITION/PURCHASE REQ. NO. <b>See Lines</b>
5. PROJECT NO. (If applicable)			
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219		7. ADMINISTERED BY (If other than Item 6) NAME Matthew Mclaughlin TEL. 703-875-6015 EMAIL mclaughlinmj@state.gov	CODE
		<b>RELEASE IN PART B4</b>	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR USG, INC. 635 WAVERLEY ST PALO ALTO, CA 94301-0000		(X)	9A. AMENDMENT OF SOLICITATION NO.
			9B. DATED (SEE ITEM 11)
		X	10A. MODIFICATION OF CONTRACT/ORDER NO. <b>SAQMMA15F2800</b>
			10B. DATED (SEE ITEM 11) <b>09/14/2015</b>
CONTACT CODE <b>825284321</b>		DUNS <b>825284321</b>	
FACILITY CODE <b>99100</b>			

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
 See Line Item Detail

**B4**

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CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<b>X</b>	D. OTHER (Specify type of modification and authority) Mutual Agreement of Parties

E. IMPORTANT: Contractor  is not,  is required to sign this document and return \_\_1\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)  
 The purpose of this modification is to:

1. Revise the Statement of Work.
2. Provide incremental funding on CLIN 1001

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) <b>Gilbert D. Maalouf</b>	
15B. CONTRACTOR/OFFEROR  _____ (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)	16C. DATE SIGNED <b>09/16/2016</b>

Line Item Summary	Contract Number: GS35F0086U	Order Number: SAQMMA15F2800	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change: <input type="text"/>	Date of Order: 09/14/2016
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Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
<b>In Accordance with FedBid Buy #746136_01 Bid #31966724 and all of its terms and conditions.</b>					
001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Doc Ref No: 10515A0423 <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 09/10/2015 to 04/09/2016 Destination <b>Funding Information:</b> 1. Accounting Ref: 10515A0423 1900 - 2014 - 2015 - 19__4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014 Original Total: <input type="text"/> Change Total: <input type="text"/> <input type="text"/>	1.00 <u>0.00</u> 1.00	LT	<input type="text"/>	<input type="text"/>
1001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 1  See Attachment 1 for Progress Payment Schedule  This order will be incrementally funded in the amount of <input type="text"/> in accordance with the attached progress payment schedule which was revised as part of M005.  Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 04/10/2016 to 04/09/2017 Destination <b>Funding Information:</b> 1. Accounting Ref: 10516A0414 1900 - 2016 - 19__601130003 - DRL - 1051 - 018000 - 2762 - 2501 Original Total: <input type="text"/> Change Total: <input type="text"/> <input type="text"/> 2. Accounting Ref: 10516A0439 1900 - 2016 - 19__601130003 - DRL - 1051 - 018000 - 2762 - 2501 - 2016 Original Total: <input type="text"/> Change Total: <input type="text"/> <input type="text"/>	1.00 <u>0.00</u> 1.00	LT	<input type="text"/>	<input type="text"/>
2001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 2  Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: 03/10/2017 to 03/09/2018 Destination <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT	<input type="text"/>	<input type="text"/>
3001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.	0.00 <u>0.00</u>		<input type="text"/>	<input type="text"/>

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Line Item Summary	Contract Number: GS35F0086U	Order Number: SAQMMA15F2800	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change: <input type="text"/>	Date of Order: 09/14/2016
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
4001	Option Year 3 Doc Ref No: Taxes included: Delivery Date (Start to End) Date FOB: 03/10/2018 to 03/09/2019 Destination Funding Information: PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Option Year 4 Doc Ref No: Taxes included: Delivery Date (Start to End) Date FOB: 03/10/2019 to 03/09/2020 Destination Funding Information:	0.00  0.00 0.00	LT  LT	<input type="text"/>  <input type="text"/>	<input type="text"/>
POC: Daniel Mahanty Phone:202-663-2513 Email: MahantyDR@state.gov					
				Previous Total: Modification Total: Grand Total:	<input type="text"/>

B4  
B4

Identifier	Title	Date	Number of Pages
5	Attachment 1 Option Year 1 SOW	04/06/2016	
6	Palantir Option Year 1 Quote	04/06/2016	
8	Palantir SOW Revised 9/12/16	09/13/2016	

**01INV DEPARTMENT OF STATE INVOICE INSTRUCTIONS**

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Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

Mailing Address:

Post Office Box 150008  
Charleston, SC 29415-5008  
Telephone Numbers:  
Director's Secretary Voice 843-202-3761  
Fax 843-746-0749  
Official Office Hours: 8:00 am – 5:00 pm

To request Payment Status on a Past Due Invoice contact:

Office of Claims Customer Service  
Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)  
Phone: 877-704-9473 Toll Free

Person to Contact: Supervisor, Vendor Claims  
Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)

*(End of clause)*

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. <b>M006</b>		3. EFFECTIVE DATE <b>04/13/2017</b>	4. REQUISITION/PURCHASE REQ. NO. <b>See Lines</b>
5. PROJECT NO. (If applicable)			
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219		7. ADMINISTERED BY (If other than Item 6) NAME: Matthew Mclaughlin TEL: 703-875-6015 EMAIL: mclaughlinmj@state.gov	CODE
		<b>RELEASE IN PART B4</b>	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR USG, INC. 635 WAVERLEY ST PALO ALTO, CA 94301-2550		(X)	9A. AMENDMENT OF SOLICITATION NO.
			9B. DATED (SEE ITEM 11)
		X	10A. MODIFICATION OF CONTRACT/ORDER NO. <b>SAQMMA15F2800</b>
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CONTACT CODE <b>825284321</b>	DUNS <b>825284321</b>		
FACILITY CODE <b>99100</b>			

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12. ACCOUNTING AND APPROPRIATION DATA (If required)  
 See Line Item Detail B4

**13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Mutual Agreement of Parties

E. IMPORTANT: Contractor  is not,  is required to sign this document and return \_\_1\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to:

1. Deobligate all remaining funds in the amount of
2. Close out the Task Order

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) <b>Gilbert D. Maalouf</b>	
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)		15C. DATE SIGNED	16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)
			16C. DATE SIGNED <b>04/18/2017</b>



B4

Line Item Summary	Contract Number: GS35F0086U	Order Number: SAQMMA15F2800	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change:	Date of Order: 04/13/2017
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
4001	Doc Ref No: Taxes included: Delivery Date (Start to End) Date FOB: 03/10/2018 to 03/09/2019 Destination Funding Information: UNEXERCISED OPTION PERIOD PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. 0.00 0.00 0.00 LT Option Year 4 Doc Ref No: Taxes included: Delivery Date (Start to End) Date FOB: 03/10/2019 to 03/09/2020 Destination Funding Information: UNEXERCISED OPTION PERIOD				
	POC: Daniel Mahanty Phone:202-663-2513 Email: MahantyDR@state.gov				
				Previous Total: Modification Total: Grand Total:	

Identifier	Title	Date	Number of Pages
5	Attachment 1 Option Year 1 SOW	04/06/2016	
6	Palantir Option Year 1 Quote	04/06/2016	
8	Palantir SOW Revised 9/12/16	09/13/2016	

01INV DEPARTMENT OF STATE INVOICE INSTRUCTIONS

Invoice submission is only via the Office of Claims' Commercial Claims Operations fax server, toll-free number: 866-483-3436, or DOSPayments@state.gov, unless otherwise indicated. Each invoice must be transmitted separately.

To constitute a proper invoice, the invoice must include the following information and/or attached documentation:

- (1) Name and Address of the Contractor
- (2) Dun and Bradstreet Universal Number System (DUNS)
- (3) Date of invoice
- (4) Unique Vendor Invoice Number - Our Financial System cannot accept the following characters: @ (at symbol), ~ (Tilde), & (Ampersand), ' (Apostrophe), " (Quotation) and spaces. Please do not include any of these characters as part of your invoice number.
- (5) Remittance Contact Information
- (6) Shipping Terms, Ship to Address
- (7) Payment Terms
- (8) Total Quantity of Items
- (9) Total Invoice Amount
- (10) Requisition Number, Contract Number and Order/Award Number, with modification number if applicable.
- (11) Order line item number and information (see below instructions)

The name and DUNS of the contractor on the invoice must match the information indicated on the order/award for proper payment.

**IMPORTANT: For proper payment, the invoice must detail products and/or services delivered on a line item basis in direct accordance with the corresponding order/award/contract. Each line item must contain the following information:**

- (1) Description of the services rendered for each line item**
- (2) Line Item Quantity**
- (3) Line Item Unit Price**
- (4) Total Line Item Invoicing Amount**
- (5) Delivery Date**
- (6) Contract Line Item Number (CLIN)**
- (7) Order/Award Line Item Number if invoicing against a task or delivery order or Blanket Purchase Agreement (BPA)**

**Please note that many task or delivery orders against Department of State or GSA contracts or blanket purchase agreements may have a separate and unique line item number in addition to the umbrella Contract Line Item Number (CLIN). The order line item number as well as the umbrella award CLIN must be referenced at each invoice line item level in such cases.**

**All payment to domestic claims will be disbursed by electronic funds transfer EFT. Vendors who are registered in the System for Award Management (SAM) should verify and re-confirm their financial information in the database prior to invoicing. Vendors who wish to request a waiver of SAM or payment by check must submit their justification to their assigned contracting officer for consideration at least 30 days prior to billing. For vendors who are granted an EFT exception, the payment address on the invoice must match the remittance address in the vendor record cited in the award.**

Additional correspondence should be addressed to:

Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

Mailing Address:

Post Office Box 150008  
Charleston, SC 29415-5008  
Telephone Numbers:  
Director's Secretary Voice 843-202-3761  
Fax 843-746-0749  
Official Office Hours: 8:00 am – 5:00 pm

To request Payment Status on a Past Due Invoice contact:

Office of Claims Customer Service  
Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)  
Phone: 877-704-9473 Toll Free

Person to Contact: Supervisor, Vendor Claims

Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)

*(End of clause)*

**ORDER FOR SUPPLIES OR SERVICES**

RELEASE IN PART  
B4

IMPORTANT: Mark all packages and papers with contract and/or order numbers.

1. DATE OF ORDER 09/14/2015		2. CONTRACT NO. (If any) GS35F0086U		6. SHIP TO:		
3. ORDER NO. SAQMMA15F2800		4. REQUISITION/REFERENCE NO. 10515A0423		a. NAME OF CONSIGNEE Edna Thompson-Easterlin, 202-647-7906		
5. ISSUING OFFICE (Address correspondence to) OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219				b. STREET ADDRESS FINANCIAL MGMT DIV (OES/EX/FMD) 2201 C STREET, NW OES-DRL/EX, RM 2331 ATTN: Earl Johnson, OES-DRL/EX, RM 2880		
CONTACT NAME: Gilbert D. Maalouf		PHONE: 703-284-1926 EMAIL: maaloufgd@state.gov		c. CITY WASHINGTON	d. STATE DC	e. ZIP CODE 20520
7. TO:				f. SHIP VIA		
a. NAME OF CONTRACTOR		DUNS NUMBER 362130952		8. TYPE OF ORDER		
b. COMPANY NAME PALANTIR TECHNOLOGIES INC.				<input type="checkbox"/> a. PURCHASE <input checked="" type="checkbox"/> b. DELIVERY - Except for billing instructions on the reverse, this delivery order is subject to instructions contained on this side only of this form and is issued subject to the terms and conditions of the above-numbered contract.		
c. STREET ADDRESS 100 HAMILTON AVE STE 300				REFERENCE YOUR: Please furnish the following on the terms and conditions specified on both sides of this order and on the attached sheet, if any, including delivery as indicated.		
d. CITY PALO ALTO	e. STATE CA	f. ZIP CODE 94301-1651		10. REQUISITIONING OFFICE FINANCIAL MGMT DIV (OES/EX/FMD), 2201 C STREET, NW OES-DRL/EX, RM 2331, ATTN: Earl Johnson, OES-DRL/EX, RM 2880, WASHINGTON, DC 20520		
9. ACCOUNTING AND APPROPRIATION DATA 1900 - 2014 - 2015 - 19_4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014				12. F.O.B. POINT		
11. BUSINESS CLASSIFICATION (Check appropriate box(es))						
<input type="checkbox"/> a. SMALL <input type="checkbox"/> b. OTHER THAN SMALL <input type="checkbox"/> c. DISADVANTAGED <input type="checkbox"/> d. WOMEN-OWNED <input type="checkbox"/> e. HUBZone <input type="checkbox"/> f. SERVICE-DISABLED <input type="checkbox"/> g. WOMEN-OWNED SMALL BUSINESS (WOSB) ELIGIBLE UNDER THE WOMEN-OWNED SMALL BUSINESS PROGRAM <input type="checkbox"/> h. EDWOSB						
13. PLACE OF		14. GOVERNMENT B/L NO.		15. DELIVER TO F.O.B. POINT ON OR BEFORE (Date)		16. DISCOUNT TERMS
a. INSPECTION	b. ACCEPTANCE			09/15/2015		

**17. SCHEDULE (See reverse for Rejections)**

**SEE LINE ITEMS SECTION**

SEE BILLING INSTRUCTIONS ON REVERSE	18. SHIPPING POINT		19. GROSS SHIPPING WEIGHT		20. INVOICE NO.		17(h) TOT. (Cont. pages)
	21. MAIL INVOICE TO:						
	a. NAME GLOBAL FINANCIAL SERVICES CENTER, CHARLESTON, SOUTH CAROLINA						17(i) GRAND TOTAL
	b. STREET ADDRESS(or P.O. Box) PO BOX 150008; Fax To: 1-866-483-3436, ATTN: OFFICE OF CLAIMS						
c. CITY CHARLESTON		d. STATE SC	e. ZIP CODE 29415-5008				
22. UNITED STATES OF AMERICA BY (Signature) 					23. NAME (Typed) Gilbert D. Maalouf TITLE: CONTRACTING/ORDERING OFFICER		



Line Item Summary	Contract Number:	Order Number:	Title: Modifying rq. Data Management Analysis Platform	Total Funding:	Date of Order:
	GS35F0086U	SAQMMA15F2800			09/14/2015
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
	<b>In Accordance with FedBid Buy #746136_01 Bid #31966724 and all of its terms and conditions.</b>				
001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Doc Ref No: 10515A0423 Taxes Included: Delivery Date (Start to End) Date FOB: 09/10/2015 to 03/09/2016 Destination Funding Information: 1. Accounting Ref: 10515A0423	1.00	LT		
1001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Option Year 1 Doc Ref No: Taxes Included: Delivery Date (Start to End) Date FOB: 03/10/2016 to 03/09/2017 Destination Funding Information:	0.00	LT		
2001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Option Year 2 Doc Ref No: Taxes Included: Delivery Date (Start to End) Date FOB: 03/10/2017 to 03/09/2018 Destination Funding Information:	0.00	LT		
3001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Option Year 3 Doc Ref No: Taxes Included: Delivery Date (Start to End) Date FOB: 03/10/2018 to 03/09/2019 Destination Funding Information:	0.00	LT		
4001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core. Option Year 4 Doc Ref No: Taxes Included: Delivery Date (Start to End) Date FOB: 03/10/2019 to 03/09/2020 Destination Funding Information:	0.00	LT		
POC: Daniel Mahanty Phone:202-663-2513 Email: MahantyDR@state.gov					
<b>Grand Total:</b>					

B4

**0IINV DEPARTMENT OF STATE INVOICE INSTRUCTIONS**

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Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

**Mailing Address:**

Post Office Box 150008  
Charleston, SC 29415-5008

**Telephone Numbers:**

Director's Secretary Voice 843-202-3761

Fax 843-746-0749

Official Office Hours: 8:00 am – 5:00 pm

**To request Payment Status on a Past Due Invoice contact:**

Office of Claims Customer Service

Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)

Phone: 877-704-9473 Toll Free

**Person to Contact: Supervisor, Vendor Claims**

Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)

*(End of clause)*

RELEASE IN PART  
B4

**US Department of State  
Bureau of Democracy, Human Rights, and Labor  
Solicitation Package/Statement of Work for  
An Advanced Data Management and Analysis Platform**

**Background:**

The U.S. Department of State, Bureau of Democracy, Human Rights, and Labor leads the U.S. efforts to promote democracy, protect human rights and international religious freedom, and advance labor rights globally. Credible and timely human rights information and reporting is DRL's greatest asset in fulfilling this mission. However, DRL does not currently have any existing database or data management mechanism to store, share, or analyze human rights information, essential capabilities for a bureau whose mission is to effectively inform U.S. foreign policy with real time analysis of human rights conditions globally, including the identification of gross violations of human rights (GVHR) by security forces to comply with the Leahy law.

**Purpose of Tasks:**

DRL requires an information management system and database to allow for the collection, organization, and advanced analytics of unclassified, open source human rights information, including capabilities such as open source searching and data mining; real-time social media analysis; the collection and storage of multimedia files; the ability to tag and sort information by type, source, location, level of confidence, etc.; geographic visualization/mapping of data; the integration of geospatial imagery; trend analysis; and information sharing. These capabilities will support the implementation of the Leahy law as well as the advancement of security and human rights policy objectives more broadly.

DRL will also be partnering with the Bureau of Political-Military Affairs (PM) to provide these analytical capabilities for their unique informational requirements, and to enable better information sharing and collaboration among these and other partner bureaus.

**Deliverables:**

The vendor will deploy an advanced data management and analytics software to DRL and partners for a 12 month Option Year 1, and provide:

- Term software licenses for the duration of Option Year 1 and any subsequent Option Years;
- Engineering support, including installation, configuration of servers, system configuration, deployment of patches, and product upgrades;
- Implementation support, including integration of mutually agreed upon data, data modeling guidance, deployment and configuration of the software, and workflow development provided by engineers and product experts;
- Training, including in-person support for initial testing and deployment of each identified deliverable, and training documentation for deliverables as requested by operational POCs;
- Support and maintenance, software updates, technical support (both telephone and online), 24/7/365 support in the event of software failures, and publishing of

- bug/defect fixes via patches and updates/upgrades in function and technology to maintain the operability, usability, and security of the software product;
- Privacy and civil liberties engineering assistance to evaluate and address privacy risks associated with the use of the system and any related data sources and user workflows, and in configuring and deploying appropriate risk mitigation strategies and technology features.

The Option Year 1 deliverables, to be further defined by DRL and the project team in a mutually agreed upon Project Plan at the outset of each outcome implementation, include:

**Deliverables for Option Year 1:**

**Bureau of Democracy, Human Rights, and Labor**

The Period of Performance for Option Year One shall begin on 15 April 2016 and end on 14 April 2017.

For Option Year 1, the vendor will deploy an advanced data management and analytics software platform to DRL, and provide the following Option Year 1 deliverables reflecting mutually agreed upon outcomes and implementation schedule for the applicable period.

During the first six-month period, in addition to the work outlined below, the Contractor will also work with relevant employees at the Department of State to enable access to and integration of Leahy vetting database INVEST into the Palantir Gotham platform to facilitate the pursuit of the following objectives in the second six-month period, which shall begin on 15 October (pending access to the INVEST dataset). No later than October 1, 2016, The Department of State shall designate a staff member with organizational and technical expertise to facilitate the FISMA accreditation process and subsequent access to INVEST.

**Outcome 1: Leahy Vetting**

DRL's congressional mandate to vet recipients of U.S.-sponsored training and equipment is hampered by insufficient knowledge management and does not currently allow for search and discovery of links to past human rights abuses or proactive tracking of current human rights abuses.

The Contractor will implement Palantir Gotham to support Leahy Vetting in two phases:

**Phase 1:** During the first six-month phase of Option Year 1, beginning on 15 April, the Contractor will deploy and install Palantir Gotham, a data integration, search, and analysis platform to support proactive Leahy Vetting workflows and the mapping of foreign security force structures.

To support these outcomes in the first 6-month phase, the Contractor will conduct work which is not reliant on the INVEST data set, to include the following:

- Work with users in the Office of Security and Human Rights in support of Leahy Vetting to deploy, document, and model proactive vetting workflows and identify and integrate mutually agreed upon priority data sources in service of designated workflows.
- Offer training to vetters on properly utilizing Palantir Gotham for designated proactive vetting and security force mapping workflows, including but not limited to proactive research on human rights abuses by security forces in Kenya, Nigeria, Mexico, and Afghanistan, building on and expanding work completed during the base period.
- Enable users to proactively capture reports of human rights abuses perpetrated by likely recipients of future U.S. foreign assistance with configured data ingest tools:
  - Web-based forms for structured entry of instances of abuse and security force structures.
  - Web Clipper Chrome extension for capturing supporting webpage text and metadata.
  - Front-end import of structured and unstructured data.
- Provide search and analysis of integrated data for proactive vetting workflows via Palantir Gotham capabilities, including the following (reference the attached supplement for full enumeration):
  - Feeds to track entities and reports of interest.
  - Discovery of geospatial relationships between entities and incidents of interest.
- Configure report export templates to capture:
  - Geo-referenced entities reportedly linked to human rights abuses.
  - Organizational charts of security units and government entities to delineate between reported entities.

**Phase 2:** In the second six-month phase, starting on 15 October, the Contractor will:

- Work with users in DRL functional and regional offices to gather data for analysis and mapping of country trends in human rights abuses, particularly abuses by security forces, in support of Leahy Vetting and security and human rights policy.
- Offer training to vetters and other DRL personnel on properly utilizing Palantir Gotham as a search and analysis platform to be used in policy and vetting research, planning security assistance priorities, our interactions with other bureaus and agencies, and in preparing our senior leadership for meetings and foreign travel.
- Enable DRL personnel to proactively research and map human rights violations by security forces within priority countries, including but not limited to: Kenya, Nigeria, Mexico, Honduras, Pakistan, Philippines, North Korea/DPRK, Belarus, Azerbaijan, and Afghanistan, including building on and expanding work completed during the base period. At a minimum, research and mapping should utilize the sources listed in Outcome 2 (coded conflict events databases; annual, country, and special reports; and foreign assistance information) in addition to other sources identified by DRL experts.

- Work with DRL officers to design and refine research.
- Work with DRL Leahy Vettors to complete projects initiated during Phase 1 of the base year, focused on India, Afghanistan, and Kazakhstan. Continue to work with DRL and partners to track alleged incidents of civilian casualties and other conflict events in Syria, Iraq, and other potential expansion countries to be identified by DRL officers.
- **If INVEST becomes available:**
  - Work with users in the Office of Security and Human Rights in support of Leahy Vetting to model INVEST data, when authorized, and integrate it with vetting workflows.
  - enable search and analysis of integrated data for Leahy Vetting workflows via Palantir Gotham capabilities (reference the attached supplement for full enumeration):
    - Provide a web-based analytics platform to derive and present key insights from the Leahy Vetting process, as identified in collaboration with target user groups.
    - Facilitate relationship discovery and the vetting of multiple units/individuals at one time using all integrated data, including historical INVEST data.
    - Boolean searches of all integrated data and documents, including structured and unstructured reporting.
    - Mapping of historical INVEST vetting data on units and individuals, and integrating this historical information into the vetting workflow for current and future searches.
    - Ability to customize and merge individual/unit names uploaded into INVEST to de-duplicate entries and reconcile name variations/aliases.
    - Configure report export templates to capture and visualize vetting decisions, analysis, and supporting documentation.
  - Enable multiple users to view, share, and collaborate on integrated vetting data and related workflows.
  - Create Slate dashboards of aggregated Leahy vetting data and trends to include GVHR “hits” by unit, geographic location, and security assistance funding source, with export templates to share with larger audiences.

## **Outcome 2: Institutional Knowledge Management**

Throughout Option Year 1, the Contractor will create an enduring Knowledge Management workflow for DRL that encompasses both user-maintained repositories and new data integrations to ensure the retention of organizational expertise that compounds over time. The Contractor will prioritize the following:

- Enable analysis of integrated data via Palantir Gotham capabilities.
- Offer training to staff on properly utilizing Palantir Gotham, including searching across all relevant, integrated data sets and analyzing documents, events, and entities.
- Work with target users to identify and integrate mutually agreed upon priority data sets, in addition to:
  - Coded conflict events data from the following data sources:

- Integrated Crisis and Early Warning System (ICEWS)
    - Armed Conflict Location & Event Data Project (ACLED)
    - Political Instability Task Force (PITF)
    - START Global Terrorism Database (START)
  - Annual, Country, and Special Reports from 2005 – current, from the following Human Rights Organizations:
    - Amnesty International
    - Human Rights Watch
    - International Federation of Human Rights
    - UN Office of the High Commissioner for Human Rights
    - International Crisis Group
    - Department of State
  - Foreign assistance information from 2005 – current, from the following data sources:
    - [Foreignassistance.gov](http://Foreignassistance.gov)
    - DoD-DoS Joint Reports to Congress on Foreign Military Training
- Configure Forwarded Email Capture tool for user-driven data ingestion to facilitate future search and discovery.
- Capture user-maintained knowledge repositories through training and implementation of data ingest tools:
  - Web-based forms for structured data entry
  - Web Clipper Chrome extension for capturing webpage text and metadata
  - Front-end import of structured and unstructured data
- Provide a suite of reporting and export capabilities, including:
  - Spreadsheets
  - Interactive, configurable maps
  - Templated report exports modeled specifically for each use case, as appropriate
  - Configured web-based analytic interface to support high-profile reports, as appropriate
- Configure, as appropriate, dashboards for the visualization and exploration of aggregate data on select topics, including civilian casualties and conflict events data in Yemen and Syria, building on and expanding work completed during the base period.

### **Outcomes 3: Pilot Projects**

As mutually agreed to by the parties, the Contractor may deliver one or more new pilot projects (“Pilots”) in support of priority workflows that impact the Department of State. Pilots shall be at no additional cost for the extent of the Pilot period of performance, which will be defined for each pilot. Thereafter, the Government may elect to negotiate the inclusion of one or more successful Pilots as additional, funded deliverables under the effective Task Order. For each Pilot, the parties will agree to specific user groups, training, workflow(s), and discrete tasks. Each Pilot will be assigned a senior mission-side Business Owner and Technical Owner to oversee effective implementation.

### **Option Years:**



The Rough Order of Magnitude (ROM) provides a schematic of potential outcomes for Option Years. Upon the exercise of an Option Year, the vendor and the State Department will draft a revised Statement of Work reflecting a mutually agreed upon proposal of outcomes and implementation schedule for the applicable period.

**Evaluation Factors for Award:**

The evaluation factors determining vendors qualified for consideration include:

**Data Integration**

Data integration capabilities for data of any size or format that support the provision of a single, secure point of access. Data integration functionality must include:

- Data-agnostic data integration, including structured, unstructured, and semi-structured data integration capabilities
- Automated testing and verification of integrated data to ensure that data is flowing accurately and continuously into the software
- Automatic data processing that leaves the original data source unchanged, providing data stewards with control over their data and legacy systems
- Full indexing of unstructured data, such as documents and emails
- Structured information within the unstructured data (e.g., geographic coordinates) can be automatically extracted
- Integration of unique data sources, including web services, media (e.g., video, images, and audio), reference data (e.g., postal codes or time frameworks), and geospatial data
- Ingestion of large, static data repositories regardless of structure and scaling to tens of millions of records
- Federated search mechanisms for searching against external sources that cannot be directly ingested
- Data synchronization mechanisms to stay in sync with changing data sources and push the changes to the main repository
- Graphical interfaces for importing and structuring of small data sources, such as an Excel spreadsheet or .pdf file, through the front-end user interface with “drag and drop” functionality

**Dynamic Data Modeling**

Adaptable data modeling must include:

- A dynamic and generic universal data model that is object-oriented, e.g., consisting of objects (entities, events, documents, and media), their properties, and the relationships between them
- A fully adaptable data model that can accommodate additional data sources or new workflows, even after the initial data model has been defined and deployed, with the flexibility to add new data sets as needed
- A universal data model that can be configured to represent all information, such as databases, spreadsheets, text documents, XML, phone data, media, images, video, etc.

**Data Entry and Curation**

- Once ingested, unstructured text from documents such as .doc or .pdf files can be structured by “tagging” information to identify profiles, events, and their relationships
- Data residing in the system can be quickly and intuitively bulk-edited by users to make on-the-fly changes to data modeling decisions

### Search & Discovery

Search capabilities for searching all integrated data at rapid speed. Search capabilities must include:

- Searches that query the entire repository of integrated data
- Searches are security-aware and limit the results to only the information the individual user is authorized to access
- Text-based searches including keyword, Boolean, wildcard, metaphone, and faceted searches
- Structured searches including semantic searches, temporal searches, and searches by specified fields or specific objects
- Related searches for locating all related entities, such as linked entities or entities that share a common property like phone number or last name
- Geospatial searches including radius, route, and polygon searches
- Combined searches that blend different kinds of searches into a single query
- Persistent searches that provide real-time alerting through algorithmic searching. Users enter a single search query or “watch” a specific object and receive real-time alerts whenever content that meets their criteria is added or changed
- Federated searches for simultaneously searching across multiple external data stores
- A search API for searching external data sources and a REST API to allow external sources to search against the main repository

### Advanced Analytics

Embedded and integrated analysis tools for multi-faceted analysis. Analysis tools must include:

- A graph interface to visualize and analyze relationships and networks, including link, relational, and social network analysis. Entities can be connected by events, relationships, and derived connections
- A comprehensive dossier of information for a specific entity that aggregates data from all integrated sources. A “notes” component of the dossier should allow users to annotate and share work
- An interface for in-depth filtering according to a specific set of rules to analyze arbitrary datasets (e.g., search results or an entire dataset) from the top down
- A dynamic geospatial interface to understand the data through time and space and including radius, route, and polygon search functionality
  - The geospatial interface supports custom tile sets, map layer formats, and geospatial overlays, including SHP and KMZ files, that can be refreshed as new information is integrated
  - The geospatial interface provides embedded tools for temporal and frequency analysis and heatmap capabilities

- A histogram to display the frequency of objects and properties for pattern recognition
- A timeline to view objects over time for temporal analysis
- A tool to identify and visualize the flow of objects, such as payments
- A tool to drill down on a group of objects according to specific criteria
- A tabular interface for sorting and exporting relevant information as a spreadsheet (each row represents an object and each column represents a property across objects)

#### Ease of Use

Applications and helpers are accessible through intuitive interfaces for easy movement between tools. Interfaces must include:

- Intuitive buttons, radio buttons, and dropdown menus
- Drag-and-drop functionality between all applications (i.e., an object on the graph may be dragged into the geospatial interface)
- Incorporation of all common Windows shortcut keys
- Configurable limitations on input fields to protect against user error

#### Knowledge Management

Integrated knowledge management capabilities that track the pedigree, lineage, and history of all integrated data, specific features must include:

- A dynamic database for global knowledge management and complete, up-to-date awareness on how data is used, accessed, and changed over time
- Tracking of the full history of every object and all associated metadata, including properties like time of creation, contributing user, and data source
- A comprehensive and configurable audit log for granular information on every read, write, and other user interaction. The audit log stores comprehensive metadata (e.g., source, creator, creation date, modifier, modification date, viewer, view data, search history, analytical action history)
- Configurable data cleansing tools include validators (e.g., string, alphanumeric, numeric, enumeration, custom); approxes, parsers, and makers; analyst-driven data and entity resolution rules; unmerge capabilities for previously resolved data; and index parameters
- Complete information sourcing (tethered sourcing)
- Maintenance of a canonical enterprise version of data so multiple analysts can use the same data simultaneously
- Capture and retention of analytic history to allow users to recreate their thought process and analysis methods and explore and evaluate different hypotheses

#### Security & Access Controls

Compliance with ICD 501 and the following security features:

- Access controls to secure each and every piece of information individually in addition to traditional blanket permissions across entire data sources
- Specific degrees of access assignable to individual users and including a number of permissions (ownership, write, read, discovery, and no access)

- Role-based access controls are assignable to individual users or group of users that are stored in lists
- Access and role-based access controls that can be assigned by administrators and updated at any time
- Multi-source authentication (enterprise LDAP, PKI, ActiveDirectory, etc.) as well as Single Sign-On (SSO) capabilities

#### Enterprise-Wide Collaboration

Enterprise-wide collaboration tools that enable sharing while securing data must include:

- A version control model for broad collaboration and individual control of data
- Virtual “sandboxes” for investigation where users can explore data without affecting the canonical record, including a “publish” feature to share results with the enterprise when desired and without duplication
- Integration of collaboration features with the security capabilities to ensure data and analysis shared between users, teams, and organizations is governed by strict access controls without inhibiting collaboration (i.e., different roles can work on the same investigation without exposing data to unauthorized users)
- Ability to share analysis or finished products with specific users or groups, with automatic redactions based on the target audience’s permissions
- A global usage metrics application to view the productivity of analysis and collaboration across the enterprise
- An automatic report generator that enables the use of configurable templates for tailored report generation
- A collaboration interface with message space for planning and coordination across analysts, including communication tools to create teams, exchange messages, and share data
  - Administrators can create “teams” around arbitrary criteria, e.g., a data type, case, or area of interest. Individual team members can join or leave teams as desired
  - Team members can search and filter their feeds by message, text, user, time, date, and message type
  - Team members can directly interact by sending and receiving messages, graphs, and links

#### Flexibility, Extensibility, and Scalability

An open and extensible back-end architecture for a configurable data environment and scalability; specific features must include:

- Use of open and non-proprietary data and file formats for easy export and accessibility to other software systems. Export formats include Microsoft Office (.ppt, .doc, .xls), HTML, ArcGIS (.shp), Google Earth (.kmz and .kml), and XML
- Designed with cross-platform programming languages, including Java and HTML5, for operation on any platform
- Public, open, and documented application programming interfaces (APIs) for extensibility and interfacing with other software products (e.g., specialized analytical software, entity extractors, natural language processing toolkits, and web services)

- A “pluggable” system architecture designed to support the addition of plugins
- Interoperability with a range of existing tools, including but not limited to any databases supporting JDBC connection (examples: Oracle, MS-SQL-SVR, MySQL, DB2, Sybase, PostgreSQL); entity extraction tools; search engines (e.g., Google, Microsoft FAST); email systems (e.g., Microsoft Exchange Server); document management systems and data providers; social networking sites; and NLP toolkits
- Server architecture designed to be clustered and scale horizontally across a commercial cloud environment
- Ability to support “mirroring” or replication of the main servers across n servers to enable increased query throughput. Multiple mirrors can be used for increased user scale and seamless failover
- Ability to support “sharding” or partitioning the main servers across n servers. Sharding supports large data scales by spreading indexes across machines. Multiple shards can be used for increased data scale

**Vendor Price**

Price or cost to the Government is evaluated in every source selection and is a primary evaluation factor in this solicitation, with the Department requiring compliance with the deliverables and selective factors in seeking the best quality at the most appropriate cost. Lowest price proposals not meeting the specified requirements will not be considered qualified for award.

**Period of Performance:**

Option Year 1 is 12 months. There are 3 (three) additional option years starting at the end of Option Year 1

**Payment Schedule:**

- [ ] on 15 April 2016 for Phase 1 of Deliverable 1 (Leahy Vetting) and Deliverable 2 (Institutional Knowledge Management), as described above.
- Remaining [ ] on 15 September 2016, for the addition of Phase 2 of Deliverable 1..

B4

**Place of Delivery:**

Delivery of finished products will take place to the State Department's Harry S. Truman Washington, D.C. headquarters.

**Place of Performance:**

The primary place of performance is the vendor’s facility. Onsite badging and access to the State Department’s Harry S. Truman building is required.

**Security Requirements:**

There is no security requirement for the Option Year 1.

**Contracting Officer's Representative:**

Manmeet Thind will serve as the Contracting Officer's Representative on this task.



RELEASE IN PART  
B4

**US Department of State  
Bureau of Democracy, Human Rights, and Labor  
Solicitation Package/Statement of Work for  
An Advanced Data Management and Analysis Platform**

**Background:**

The U.S. Department of State, Bureau of Democracy, Human Rights, and Labor leads the U.S. efforts to promote democracy, protect human rights and international religious freedom, and advance labor rights globally. Credible and timely human rights information and reporting is DRL's greatest asset in fulfilling this mission. However, DRL does not currently have any existing database or data management mechanism to store, share, or analyze human rights information, essential capabilities for a bureau whose mission is to effectively inform U.S. foreign policy with real time analysis of human rights conditions globally, including the identification of gross violations of human rights (GVHR) by security forces to comply with the Leahy law.

**Purpose of Tasks:**

DRL requires an information management system and database to allow for the collection, organization, and advanced analytics of unclassified, open source human rights information, including capabilities such as open source searching and data mining; real-time social media analysis; the collection and storage of multimedia files; the ability to tag and sort information by type, source, location, level of confidence, etc.; geographic visualization/mapping of data; the integration of geospatial imagery; trend analysis; and information sharing. These capabilities will support the implementation of the Leahy law as well as the advancement of security and human rights policy objectives more broadly.

DRL will also be partnering with the Bureau of Political-Military Affairs (PM) to provide these analytical capabilities for their unique informational requirements, and to enable better information sharing and collaboration among these and other partner bureaus.

**Deliverables:**

The vendor will deploy an advanced data management and analytics software to DRL and partners for a 12 month Option Year 1, and provide:

- Term software licenses for the duration of Option Year 1 and any subsequent Option Years;
- Engineering support, including installation, configuration of servers, system configuration, deployment of patches, and product upgrades;
- Implementation support, including integration of mutually agreed upon data, data modeling guidance, deployment and configuration of the software, and workflow development provided by engineers and product experts;
- Training, including in-person support for initial testing and deployment of each identified deliverable, and training documentation for deliverables as requested by operational POCs;
- Support and maintenance, software updates, technical support (both telephone and online), 24/7/365 support in the event of software failures, and publishing of

bug/defect fixes via patches and updates/upgrades in function and technology to maintain the operability, usability, and security of the software product;

- Privacy and civil liberties engineering assistance to evaluate and address privacy risks associated with the use of the system and any related data sources and user workflows, and in configuring and deploying appropriate risk mitigation strategies and technology features.

The Option Year 1 deliverables, to be further defined by DRL and the project team in a mutually agreed upon Project Plan at the outset of each outcome implementation, include:

### **Deliverables for Option Year 1:**

#### **Bureau of Democracy, Human Rights, and Labor**

The Period of Performance for Option Year One shall begin on 15 April 2016 and end on 14 April 2017.

For Option Year 1, the vendor will deploy an advanced data management and analytics software platform to DRL, and provide the following Option Year 1 deliverables reflecting mutually agreed upon outcomes and implementation schedule for the applicable period.

During the first six-month period, in addition to the work outlined below, the Contractor will also work with relevant employees at the Department of State to enable access to and integration of Leahy vetting database INVEST into the Palantir Gotham platform to facilitate the pursuit of the following objectives in the second six-month period, which shall begin on 15 October (or, if sooner, upon access to the INVEST dataset).

#### **Outcome 1: Leahy Vetting**

DRL's congressional mandate to vet recipients of U.S. sponsored training and equipment under the Leahy Law is hampered by lack of a comprehensive repository of institutional knowledge, and does not currently allow for complex search and discovery of links between units and abuse events or proactive tracking gross violations of human rights by security forces.

The Contractor will implement Palantir Gotham to support Leahy Vetting in two phases:

**Phase 1:** During the first six-month phase of Option Year 1, beginning on 15 April, the Contractor will deploy and install Palantir Gotham, a data integration, search, and analysis platform to support proactive Leahy Vetting workflows and the mapping of foreign security force structures.

To support these outcomes in the first 6-month phase, the Contractor will conduct work which is not reliant on the INVEST data set, to include the following:

- Work with users in the Office of Security and Human Rights in support of Leahy Vetting to deploy, document, and model proactive vetting workflows and identify



and integrate mutually agreed upon priority data sources in service of designated workflows.

- Offer training to vetters on properly utilizing Palantir Gotham for designated proactive vetting and security force mapping workflows, including but not limited to proactive research on human rights abuses by security forces in Kenya, Nigeria, Mexico, and Afghanistan, building on and expanding work completed during the base period.
- Enable users to proactively capture reports of human rights abuses perpetrated by likely recipients of future U.S. foreign assistance with configured data ingest tools:
  - Web-based forms for structured entry of instances of abuse and security force structures.
  - Web Clipper Chrome extension for capturing supporting webpage text and metadata.
  - Front-end import of structured and unstructured data.
- Provide search and analysis of integrated data for proactive vetting workflows via Palantir Gotham capabilities, including the following (reference the attached supplement for full enumeration):
  - Feeds to track entities and reports of interest.
  - Discovery of geospatial relationships between entities and incidents of interest.
- Configure report export templates to capture:
  - Geo-referenced entities reportedly linked to human rights abuses.
  - Organizational charts of security units and government entities to delineate between reported entities.

**Phase 2:** In the second six-month phase, starting on 15 October (or, if sooner, upon access to INVEST database), the Contractor will:

- Work with users in the Office of Security and Human Rights in support of Leahy Vetting to model INVEST data and integrate it with vetting workflows.
- Offer training to vetters on properly utilizing Palantir Gotham as a search and analysis platform to be integrated into the Leahy Vetting workflow.
- Enable search and analysis of integrated data for Leahy vetting workflows via Palantir Gotham capabilities (reference the attached supplement for full enumeration):
  - Provide a web-based analytics platform to derive and present key insights from the Leahy Vetting process, as identified in collaboration with target user groups.
  - Facilitate relationship discovery and the vetting of multiple units/individuals at one time using all integrated data, including historical INVEST data.
  - Boolean searches of all integrated data and documents, including structured and unstructured reporting.
  - Mapping of historical INVEST vetting data on units and individuals, and integrating this historical information into the vetting workflow for current and future searches.

- Ability to customize and merge individual/unit names uploaded into INVEST to de-duplicate entries and reconcile name variations/aliases.
- Configure report export templates to capture and visualize vetting decisions, analysis, and supporting documentation.
- Enable multiple users to view, share, and collaborate on integrated vetting data and related workflows.
- Create dashboards of aggregated Leahy vetting data and trends to include GVHR “hits” by unit, geographic location, and security assistance funding source, with export templates to share with larger audiences.

## **Outcome 2: Institutional Knowledge Management**

Throughout Option Year 1, the Contractor will create an enduring Knowledge Management workflow for DRL that encompasses both user-maintained repositories and new data integrations to ensure the retention of organizational expertise that compounds over time. The Contractor will prioritize the following:

- Enable analysis of integrated data via Palantir Gotham capabilities.
- Offer training to staff on properly utilizing Palantir Gotham, including searching across all relevant, integrated data sets and analyzing documents, events, and entities.
- Work with target users to identify and integrate mutually agreed upon priority data sets, in addition to:
  - Coded conflict events data from the following data sources:
    - Integrated Crisis and Early Warning System (ICEWS)
    - Armed Conflict Location & Event Data Project (ACLED)
    - Political Instability Task Force (PITF)
    - START Global Terrorism Database (START)
  - Annual, Country, and Special Reports from 2005 – current, from the following Human Rights Organizations:
    - Amnesty International
    - Human Rights Watch
    - International Federation of Human Rights
    - UN Office of the High Commissioner for Human Rights
    - International Crisis Group
    - Department of State
  - Foreign assistance information from 2005 – current, from the following data sources:
    - [Foreignassistance.gov](https://www.foreignassistance.gov)
    - DoD-DoS Joint Reports to Congress on Foreign Military Training
- Configure Forwarded Email Capture tool for user-driven data ingestion to facilitate future search and discovery.
- Capture user-maintained knowledge repositories through training and implementation of data ingest tools:
  - Web-based forms for structured data entry
  - Web Clipper Chrome extension for capturing webpage text and metadata
  - Front-end import of structured and unstructured data
- Provide a suite of reporting and export capabilities, including:

- Spreadsheets
- Interactive, configurable maps
- Templated report exports modeled specifically for each use case, as appropriate
- Configured web-based analytic interface to support high-profile reports, as appropriate
- Configure, as appropriate, dashboards for the visualization and exploration of aggregate data on select topics, including civilian casualties and conflict events data in Yemen and Syria, building on and expanding work completed during the base period.

### **Outcomes 3: Pilot Projects**

As mutually agreed to by the parties, the Contractor may deliver one or more new pilot projects (“Pilots”) in support of priority workflows that impact the Department of State. Pilots shall be at no additional cost for the extent of the Pilot period of performance, which will be defined for each pilot. Thereafter, the Government may elect to negotiate the inclusion of one or more successful Pilots as additional, funded deliverables under the effective Task Order. For each Pilot, the parties will agree to specific user groups, training, workflow(s), and discrete tasks. Each Pilot will be assigned a senior mission-side Business Owner and Technical Owner to oversee effective implementation.

### **Option Years:**

The Rough Order of Magnitude (ROM) provides a schematic of potential outcomes for Option Years. Upon the exercise of an Option Year, the vendor and the State Department will draft a revised Statement of Work reflecting a mutually agreed upon proposal of outcomes and implementation schedule for the applicable period.

### **Evaluation Factors for Award:**

The evaluation factors determining vendors qualified for consideration include:

#### **Data Integration**

Data integration capabilities for data of any size or format that support the provision of a single, secure point of access. Data integration functionality must include:

- Data-agnostic data integration, including structured, unstructured, and semi-structured data integration capabilities
- Automated testing and verification of integrated data to ensure that data is flowing accurately and continuously into the software
- Automatic data processing that leaves the original data source unchanged, providing data stewards with control over their data and legacy systems
- Full indexing of unstructured data, such as documents and emails
- Structured information within the unstructured data (e.g., geographic coordinates) can be automatically extracted
- Integration of unique data sources, including web services, media (e.g., video, images, and audio), reference data (e.g., postal codes or time frameworks), and geospatial data

- Ingestion of large, static data repositories regardless of structure and scaling to tens of millions of records
- Federated search mechanisms for searching against external sources that cannot be directly ingested
- Data synchronization mechanisms to stay in sync with changing data sources and push the changes to the main repository
- Graphical interfaces for importing and structuring of small data sources, such as an Excel spreadsheet or .pdf file, through the front-end user interface with “drag and drop” functionality

### Dynamic Data Modeling

Adaptable data modeling must include:

- A dynamic and generic universal data model that is object-oriented, e.g., consisting of objects (entities, events, documents, and media), their properties, and the relationships between them
- A fully adaptable data model that can accommodate additional data sources or new workflows, even after the initial data model has been defined and deployed, with the flexibility to add new data sets as needed
- A universal data model that can be configured to represent all information, such as databases, spreadsheets, text documents, XML, phone data, media, images, video, etc.

### Data Entry and Curation

- Once ingested, unstructured text from documents such as .doc or .pdf files can be structured by “tagging” information to identify profiles, events, and their relationships
- Data residing in the system can be quickly and intuitively bulk-edited by users to make on-the-fly changes to data modeling decisions

### Search & Discovery

Search capabilities for searching all integrated data at rapid speed. Search capabilities must include:

- Searches that query the entire repository of integrated data
- Searches are security-aware and limit the results to only the information the individual user is authorized to access
- Text-based searches including keyword, Boolean, wildcard, metaphone, and faceted searches
- Structured searches including semantic searches, temporal searches, and searches by specified fields or specific objects
- Related searches for locating all related entities, such as linked entities or entities that share a common property like phone number or last name
- Geospatial searches including radius, route, and polygon searches
- Combined searches that blend different kinds of searches into a single query
- Persistent searches that provide real-time alerting through algorithmic searching. Users enter a single search query or “watch” a specific object and receive real-time alerts whenever content that meets their criteria is added or changed

- Federated searches for simultaneously searching across multiple external data stores
- A search API for searching external data sources and a REST API to allow external sources to search against the main repository

#### Advanced Analytics

Embedded and integrated analysis tools for multi-faceted analysis. Analysis tools must include:

- A graph interface to visualize and analyze relationships and networks, including link, relational, and social network analysis. Entities can be connected by events, relationships, and derived connections
- A comprehensive dossier of information for a specific entity that aggregates data from all integrated sources. A “notes” component of the dossier should allow users to annotate and share work
- An interface for in-depth filtering according to a specific set of rules to analyze arbitrary datasets (e.g., search results or an entire dataset) from the top down
- A dynamic geospatial interface to understand the data through time and space and including radius, route, and polygon search functionality
  - The geospatial interface supports custom tile sets, map layer formats, and geospatial overlays, including SHP and KMZ files, that can be refreshed as new information is integrated
  - The geospatial interface provides embedded tools for temporal and frequency analysis and heatmap capabilities
- A histogram to display the frequency of objects and properties for pattern recognition
- A timeline to view objects over time for temporal analysis
- A tool to identify and visualize the flow of objects, such as payments
- A tool to drill down on a group of objects according to specific criteria
- A tabular interface for sorting and exporting relevant information as a spreadsheet (each row represents an object and each column represents a property across objects)

#### Ease of Use

Applications and helpers are accessible through intuitive interfaces for easy movement between tools. Interfaces must include:

- Intuitive buttons, radio buttons, and dropdown menus
- Drag-and-drop functionality between all applications (i.e., an object on the graph may be dragged into the geospatial interface)
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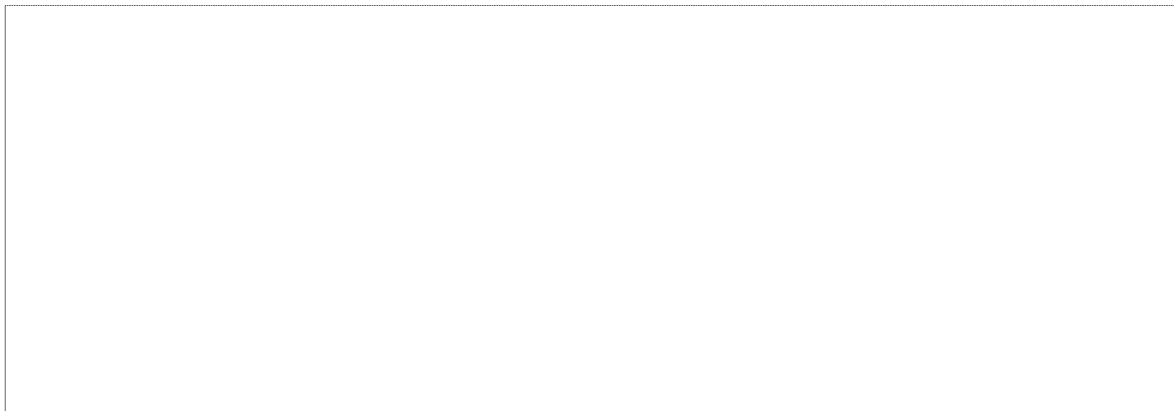
#### Vendor Price

Price or cost to the Government is evaluated in every source selection and is a primary evaluation factor in this solicitation, with the Department requiring compliance with the deliverables and selective factors in seeking the best quality at the most appropriate cost. Lowest price proposals not meeting the specified requirements will not be considered qualified for award.

**Period of Performance:**

Option Year 1 is 12 months. There are 3 (three) additional option years starting at the end of Option Year 1.

**Payment Schedule:**



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**Place of Delivery:**

Delivery of finished products will take place to the State Department's Harry S. Truman Washington, D.C. headquarters.

**Place of Performance:**

The primary place of performance is the vendor's facility. Onsite badging and access to the State Department's Harry S. Truman building is required.

**Security Requirements:**

There is no security requirement for the Option Year 1.

**Contracting Officer's Representative:**

Manmeet Thind will serve as the Contracting Officer's Representative on this task.



<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. M001	3. EFFECTIVE DATE 09/28/2015	4. REQUISITION/PURCHASE REQ. NO. 10515A0423	5. PROJECT NO. (If applicable)
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219	CODE LMAQM NAME Theous R. Rhem TEL. 703-875-5146 EMAIL rhemtr@state.gov	7. ADMINISTERED BY (If other than Item 6)	CODE
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR TECHNOLOGIES INC. 100 HAMILTON AVE STE 300 PALO ALTO, CA 94301-1651		(X)	9A. AMENDMENT OF SOLICITATION NO.
CONTACT CODE 362130952		DUNS 362130952	9B. DATED (SEE ITEM 11)
FACILITY CODE 99100		X	10A. MODIFICATION OF CONTRACT/ORDER NO. SAQMMA15F2800
			10B. DATED (SEE ITEM 11) 09/14/2015

**RELEASE IN FULL**

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
1900 - 2014 - 2015 - 19\_\_4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014 \$0.00

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
X	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor  is not,  is required to sign this document and return copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this administrative modification is to include the following insertion in the effective SOW: Government Furnished Equipment: The Government shall provide workspaces, equipment, badging and network access privileges necessary for contractor performance under the effective Statement of Work.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Gilbert D. Maalouf
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED
16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)	16C. DATE SIGNED 09/28/2015

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>			1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. M002		3. EFFECTIVE DATE 10/01/2015	4. REQUISITION/PURCHASE REQ. NO. 10515A0423	5. PROJECT NO. (If applicable)
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219		CODE LMAQM NAME Gilbert D. Maalouf TEL. 703-284-1926 EMAIL maaloufgd@state.gov	7. ADMINISTERED BY (If other than Item 6) CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR USG, INC. 635 WAVERLEY ST PALO ALTO, CA 94301-0000			(X)	9A. AMENDMENT OF SOLICITATION NO.
CONTACT CODE 825284321			DUNS 825284321	9B. DATED (SEE ITEM 11)
FACILITY CODE 99100			X	10A. MODIFICATION OF CONTRACT/ORDER NO. SAQMMA15F2800
				10B. DATED (SEE ITEM 11) 09/14/2015

**RELEASE IN PART  
B4**

## 11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

## 12. ACCOUNTING AND APPROPRIATION DATA (If required)

1900 - 2014 - 2015 - 19\_\_4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014

\$0.00

## 13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
X	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor  is not,  is required to sign this document and return copies to the issuing office.

## 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this administrative modification is correct the contractor's DUNS on this award to reflect 825284321. As a result the name will change from Palantir Technologies INC to Palantir USG, INC.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Gilbert D. Maalouf	
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)	16C. DATE SIGNED 11/24/2015

Line Item Summary	Contract Number:	Order Number:	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change:	Date of Order:
	GS35F0086U	SAQMMA15F2800		\$0.00	10/01/2015
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
	<b>In Accordance with FedBid Buy #746136_01 Bid #31966724 and all of its terms and conditions.</b>				
001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Doc Ref No: 10515A0423 <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 09/10/2015 to 03/09/2016 <b>Funding Information:</b> 1. Accounting Ref: 10515A0423 Original Total Change Total	1.00 <u>0.00</u> 1.00	LT		
1001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 1 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2016 to 03/09/2017 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
2001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 2 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2017 to 03/09/2018 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
3001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 3 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2018 to 03/09/2019 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
4001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 4 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2019 to 03/09/2020 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
	<b>POC: Daniel Mahanty Phone:202-663-2513</b>				

B4

Email: MahantyDR@state.gov				
		Previous Total:		
		Modification Total:		
		Grand Total:		

B4

01INV DEPARTMENT OF STATE INVOICE INSTRUCTIONS

Invoice submission is only via the Office of Claims' Commercial Claims Operations fax server, toll-free number: 866-483-3436, or DOSPayments@state.gov, unless otherwise indicated. Each invoice must be transmitted separately.

To constitute a proper invoice, the invoice must include the following information and/or attached documentation:

- (1) Name and Address of the Contractor
- (2) Dun and Bradstreet Universal Number System (DUNS)
- (3) Date of invoice
- (4) Unique Vendor Invoice Number - Our Financial System cannot accept the following characters: @ (at symbol), ~ (Tilde), & (Ampersand), ' (Apostrophe), " (Quotation) and spaces. Please do not include any of these characters as part of your invoice number.
- (5) Remittance Contact Information
- (6) Shipping Terms, Ship to Address
- (7) Payment Terms
- (8) Total Quantity of Items
- (9) Total Invoice Amount
- (10) Requisition Number, Contract Number and Order/Award Number, with modification number if applicable.
- (11) Order line item number and information (see below instructions)

The name and DUNS of the contractor on the invoice must match the information indicated on the order/award for proper payment.

**IMPORTANT: For proper payment, the invoice must detail products and/or services delivered on a line item basis in direct accordance with the corresponding order/award/contract. Each line item must contain the following information:**

- (1) Description of the services rendered for each line item
- (2) Line Item Quantity
- (3) Line Item Unit Price
- (4) Total Line Item Invoicing Amount
- (5) Delivery Date
- (6) Contract Line Item Number (CLIN)
- (7) Order/Award Line Item Number if invoicing against a task or delivery order or Blanket Purchase Agreement (BPA)

Please note that many task or delivery orders against Department of State or GSA contracts or blanket purchase agreements may have a separate and unique line item number in addition to the umbrella Contract Line Item Number (CLIN). The order line item number as well as the umbrella award CLIN must be referenced at each invoice line item level in such cases.

All payment to domestic claims will be disbursed by electronic funds transfer EFT. Vendors who are registered in the System for Award Management (SAM) should verify and re-confirm their financial in-

**formation in the database prior to invoicing. Vendors who wish to request a waiver of SAM or payment by check must submit their justification to their assigned contracting officer for consideration at least 30 days prior to billing. For vendors who are granted an EFT exception, the payment address on the invoice must match the remittance address in the vendor record cited in the award.**

Additional correspondence should be addressed to:

Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

Mailing Address:

Post Office Box 150008  
Charleston, SC 29415-5008  
Telephone Numbers:  
Director's Secretary Voice 843-202-3761  
Fax 843-746-0749  
Official Office Hours: 8:00 am – 5:00 pm

To request Payment Status on a Past Due Invoice contact:

Office of Claims Customer Service  
Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)  
Phone: 877-704-9473 Toll Free

Person to Contact: Supervisor, Vendor Claims

Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)

*(End of clause)*

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>			1. CONTRACT ID CODE	RATING
2. AMENDMENT/MODIFICATION NO. <b>M003</b>		3. EFFECTIVE DATE <b>03/07/2016</b>	4. REQUISITION/PURCHASE REQ. NO. <b>10515A0423</b>	5. PROJECT NO. (If applicable)
6. ISSUED BY OFFICE OF ACQUISITION MANAGEMENT (A/LM/AQM) PO BOX 9115, ROSSLYN STATION US DEPARTMENT OF STATE ARLINGTON, VA 22219		CODE <b>LMAQM</b> NAME Theous R. Rhem TEL. 703-875-5146 EMAIL rhemtr@state.gov	7. ADMINISTERED BY (If other than Item 6) CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, state and ZIP Code)  PALANTIR USG, INC. 635 WAVERLEY ST PALO ALTO, CA 94301-0000			(X)	9A. AMENDMENT OF SOLICITATION NO.
				9B. DATED (SEE ITEM 11)
CONTACT CODE <b>825284321</b>			DUNS <b>825284321</b>	X 10A. MODIFICATION OF CONTRACT/ORDER NO. <b>SAQMMMA15F2800</b> 10B. DATED (SEE ITEM 11) <b>09/14/2015</b>
FACILITY CODE <b>99100</b>				

**RELEASE IN PART  
B4**

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
 1900 - 2014 - 2015 - 19\_\_4501130003 - DRL - 1051 - 018000 - 2762 - 3151 - 2014 \$0.00

**13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
X	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor  is not,  is required to sign this document and return copies to the issuing office.

**14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)**

The purpose of this modification is to Extend Base Period for 1 Month, from March 9, 2016 to April 9th 2016.

All other terms and conditions remain the same.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) <b>Gilbert D. Maalouf</b>	
15B. CONTRACTOR/OFFEROR  _____ (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA By _____ (Signature of Contracting Officer)	16C. DATE SIGNED <b>03/08/2016</b>

Line Item Summary	Contract Number:	Order Number:	Title: Modifying rq. Data Management Analysis Platform	Total Funding Change:	Date of Order:
	GS35F0086U	SAQMMA15F2800		\$0.00	03/07/2016
Line Item No.	Description	Quantity	Unit	Unit Price	Total Cost
	<b>In Accordance with FedBid Buy #746136_01 Bid #31966724 and all of its terms and conditions.</b>				
001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Doc Ref No: 10515A0423 <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 09/10/2015 to 04/09/2016 <b>Funding Information:</b> 1. Accounting Ref: 10515A0423 Original Total: Change Total:	1.00 <u>0.00</u> 1.00	LT		
1001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 1 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2016 to 03/09/2017 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
2001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 2 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2017 to 03/09/2018 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
3001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 3 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2018 to 03/09/2019 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
4001	PT-PG-Cloud- Palantir Gotham - Monthly cloud License, per server core.  Option Year 4 Doc Ref No: <b>Taxes included:</b> Delivery Date (Start to End) Date FOB: Destination 03/10/2019 to 03/09/2020 <b>Funding Information:</b>	0.00 <u>0.00</u> 0.00	LT		
	<b>POC: Daniel Mahanty Phone:202-663-2513</b>				

B4

Email: MahantyDR@state.gov				
		Previous Total:		
		Modification Total:		
		Grand Total:		

B4

01INV DEPARTMENT OF STATE INVOICE INSTRUCTIONS

Invoice submission is only via the Office of Claims' Commercial Claims Operations fax server, toll-free number: 866-483-3436, or DOSPayments@state.gov, unless otherwise indicated. Each invoice must be transmitted separately.

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- (1) Name and Address of the Contractor
- (2) Dun and Bradstreet Universal Number System (DUNS)
- (3) Date of invoice
- (4) Unique Vendor Invoice Number - Our Financial System cannot accept the following characters: @ (at symbol), ~ (Tilde), & (Ampersand), ' (Apostrophe), " (Quotation) and spaces. Please do not include any of these characters as part of your invoice number.
- (5) Remittance Contact Information
- (6) Shipping Terms, Ship to Address
- (7) Payment Terms
- (8) Total Quantity of Items
- (9) Total Invoice Amount
- (10) Requisition Number, Contract Number and Order/Award Number, with modification number if applicable.
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- (4) Total Line Item Invoicing Amount
- (5) Delivery Date
- (6) Contract Line Item Number (CLIN)
- (7) Order/Award Line Item Number if invoicing against a task or delivery order or Blanket Purchase Agreement (BPA)

Please note that many task or delivery orders against Department of State or GSA contracts or blanket purchase agreements may have a separate and unique line item number in addition to the umbrella Contract Line Item Number (CLIN). The order line item number as well as the umbrella award CLIN must be referenced at each invoice line item level in such cases.

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**formation in the database prior to invoicing. Vendors who wish to request a waiver of SAM or payment by check must submit their justification to their assigned contracting officer for consideration at least 30 days prior to billing. For vendors who are granted an EFT exception, the payment address on the invoice must match the remittance address in the vendor record cited in the award.**

Additional correspondence should be addressed to:

Name:

U.S. Department of State  
Global Financial Services  
Attn: Office of Claims (RM/GFS/F/C)  
Charleston Financial Service Center

Mailing Address:

Post Office Box 150008  
Charleston, SC 29415-5008  
Telephone Numbers:  
Director's Secretary Voice 843-202-3761  
Fax 843-746-0749  
Official Office Hours: 8:00 am – 5:00 pm

To request Payment Status on a Past Due Invoice contact:

Office of Claims Customer Service  
Email: [commercialclaims@state.gov](mailto:commercialclaims@state.gov)  
Phone: 877-704-9473 Toll Free

Person to Contact: Supervisor, Vendor Claims

Email: [GFS-ChiefVC@state.gov](mailto:GFS-ChiefVC@state.gov)

*(End of clause)*

**From:** Parker, Christine D  
**Sent:** Wed, 18 May 2016 15:23:03 -0400  
**To:** Nantais, Joel D  
**Subject:** FW: Can I get your thoughts on this?

RELEASE IN PART  
B5, B6, B7(E)

Just spitballing some suggestions – please feel free to take them or leave them!

**Christine Parker**

Division Chief, Outreach and Inquiries  
Office of Field Operations, Visa Services (CA/VO/F/OI)  
U.S. Department of State  
☎ office (202) 485-7425 | ☎ mobile

B6

SBU  
This email is UNCLASSIFIED.

**From:** Nantais, Joel D  
**Sent:** Wednesday, May 18, 2016 3:15 PM  
**To:** Parker, Christine D  
**Cc:** Nantais, Joel D  
**Subject:** Can I get your thoughts on this?

**Q:**

- 
- 
- 

B5  
B7(E)

**Joel Nantais**

Division Chief  
Field Operations Division, Visa Office (CA/VO/F)  
Bureau of Consular Affairs  
U.S. Department of State

202-485-7581

This email is UNCLASSIFIED.

**From:** Nantais, Joel D  
**Sent:** Thu, 26 May 2016 11:42:11 -0400  
**To:** Parker, Morgan A  
**Cc:** Nantais, Joel D  
**Subject:** FW: CLEARANCE/INPUT (AM and Tab on Social Media Review)

RELEASE IN PART  
B5, B7(E)

---

**Attach:** Tab 2 Workload social media May 12.docx, IM on Social Media Review (2).docx,  
[redacted] Case Descriptions.docx

B7(E)

---

Morgan,

I think this looks great. [redacted]

[redacted]

B5  
B7(E)

Joel

SBU  
This email is UNCLASSIFIED.

**From:** Parker, Morgan A  
**Sent:** Thursday, May 26, 2016 10:43 AM  
**To:** Nantais, Joel D  
**Subject:** FW: CLEARANCE/INPUT (AM and Tab on Social Media Review)

We headed in the right direction?

SBU  
This email is UNCLASSIFIED.

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 25, 2016 10:54 PM  
**To:** Hoffmann, Erin R  
**Cc:** Parker, Morgan A; Granger, Amy H  
**Subject:** FW: CLEARANCE/INPUT (AM and Tab on Social Media Review)

Hi Erin

Please see the attached documents with my edits for your clearance and review. I've also attached a document with individual case details, but I'm not sure where we should put this. Open to suggestions-

Thanks!

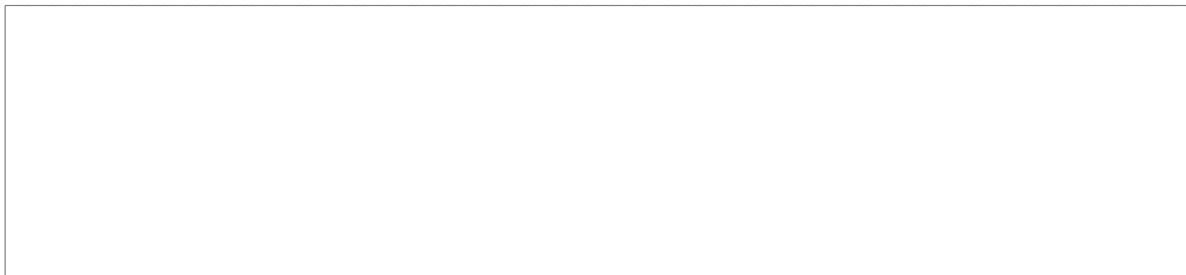
Ben

SBU

This email is UNCLASSIFIED.

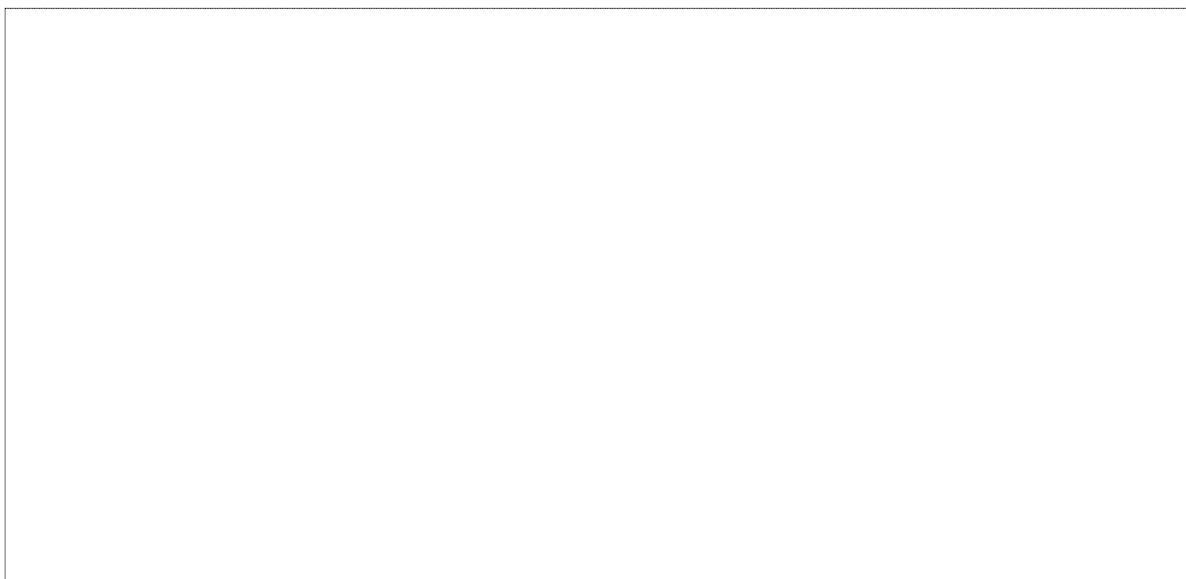
**From:** Dolce, Michael R  
**Sent:** Thursday, May 19, 2016 9:49 AM  
**To:** Snyder, Benjamin A; Granger, Amy H  
**Subject:** CLEARANCE/INPUT (AM and Tab on Social Media Review)

Hi Ben and Amy,



B5  
B7(E)

Feel free to ping me with any questions!



Mike Dolce  
Quality Support Inc.-Contractor  
Visa Policy Analyst  
CA/VO/F  
Department of State  
(t)202.485.7602  
[DolceMR@state.gov](mailto:DolceMR@state.gov)

Have you tried “Ask VO”? Find answers to your visa policy and procedure questions

**From:** Nantais, Joel D  
**Sent:** Wed, 18 May 2016 15:29:26 -0400  
**To:** McEvoy, Meredith C  
**Cc:** Parker, Christine D;Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

RELEASE IN PART  
B5, B6, B7(E)

---

**Attach:** Tab 2b - QA - March 15 PDAS Testimony - HSGAC Briefing.docx, Tab 5a - PDAS Donahue Written Testimony.docx, PDAS Donahue Oral Testimony.docx

---

Meredith,

Some [redacted] edits to Tab 2 and 5 for your review and clearance.

B7(E)

Joel

SBU  
This email is UNCLASSIFIED.

**From:** Parker, Christine D  
**Sent:** Wednesday, May 18, 2016 2:58 PM  
**To:** Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

Joel,

Ed asked for a point on the workload [redacted]

B5  
B7(E)

**Christine Parker**  
Division Chief, Outreach and Inquiries  
Office of Field Operations, Visa Services (CA/VO/F/OI)  
U.S. Department of State  
☎ office (202) 485-7425 | 📱 mobile [redacted]

B6

SBU  
This email is UNCLASSIFIED.

**From:** CA-VO-Special Assistant  
**Sent:** Monday, May 16, 2016 4:54 PM  
**To:** Newman, David S; Perry, Nicholas; McEvoy, Meredith C; Parker, Christine D; May, Katy J; Nantais, Joel D

**Cc:** CA-VO-Special Assistant

**Subject:** HSGAC hearing - May 26

Colleagues,

The Homeland Security & Governmental Affairs Committee is holding a hearing on May 26 with DHS Dep Sec Mayorkas on post Brussels attack counter-terrorism cooperation and visa security. As in previous hearings/briefings, they want to explore visa security, in particular with European partners. Either DAS Ramatowski or PDAS Donahue will be testifying. I wanted to send around the most recent HSGAC testimony from March and ask if we could take a look for anything (stats, social media facts, etc.) that needs updating. The updates should be relatively minimal. If we could ask for your clearance by **COB Wednesday 5/18** that would be perfect.

Thank you,

**Taylor Mauck**

Special Assistant

Office of Visa Services

SA-17, 202-485-7572

This email is UNCLASSIFIED.



UNCLASSIFIED

RELEASE IN PART  
B5, B7(E)

**Q: Describe in more detail the training consular officers undergo prior to heading out into the field?**

B5  
B7(E)

**Q: How does the Department of States use technology to keep our borders safe?**

UNCLASSIFIED

UNCLASSIFIED

- 2 -

B5  
B7(E)

***Q: Explain the concept behind recurrent vetting and how that keeps our borders secure?***

UNCLASSIFIED

UNCLASSIFIED

- 3 -

B5  
B7(E)

Q: *How are you incorporating social media vetting into your work?*

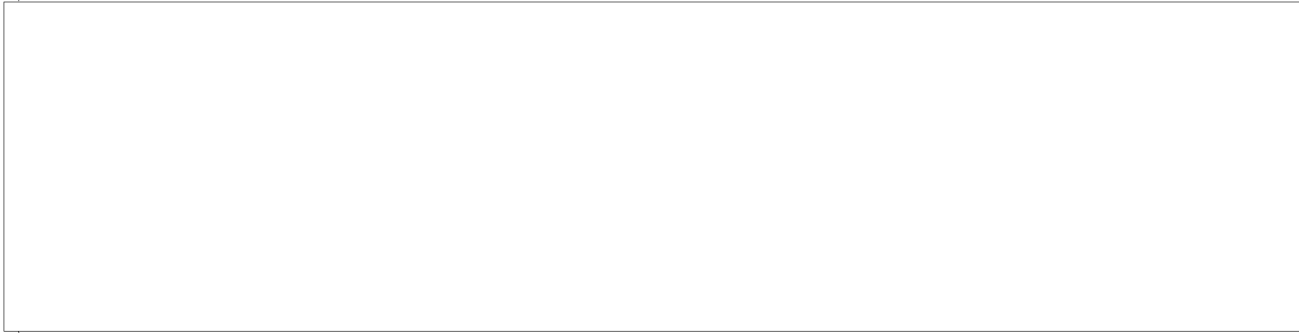
Q: *What are you doing to ensure terrorists don't use student visas [and the EB-5 immigrant visa category] to infiltrate the United States?*

UNCLASSIFIED

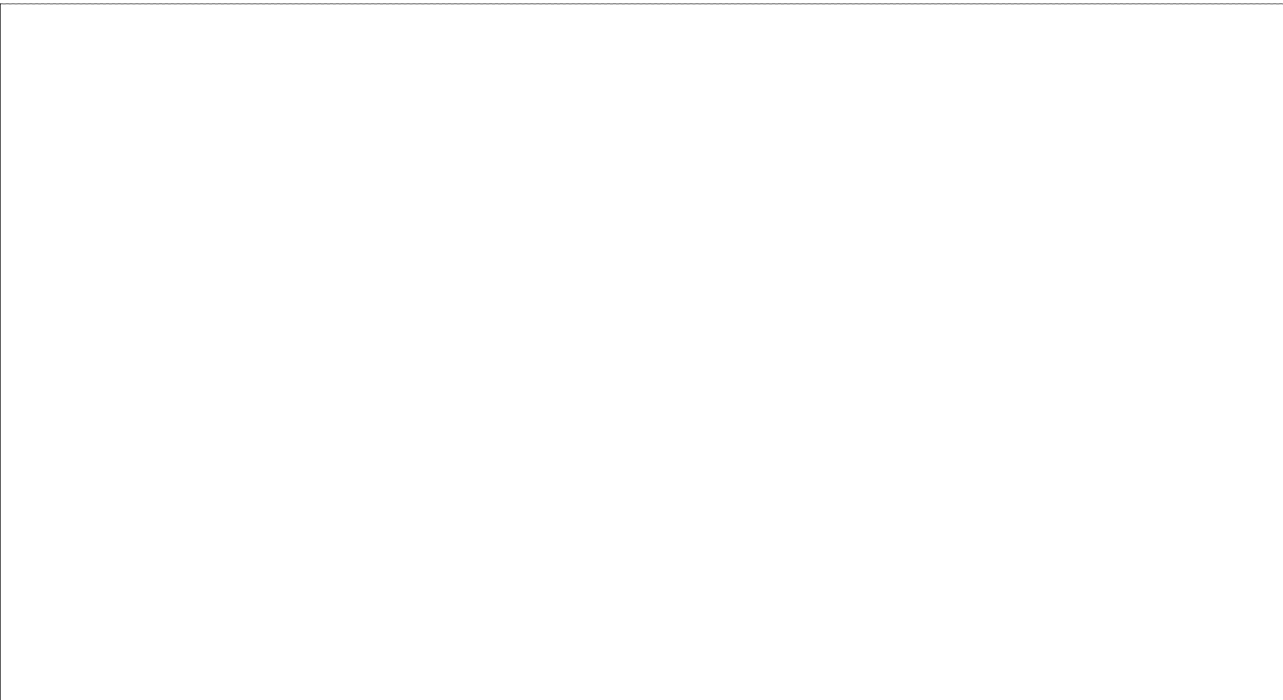
UNCLASSIFIED

- 4 -

B5  
B7(E)



**Interview Waiver Program**



UNCLASSIFIED

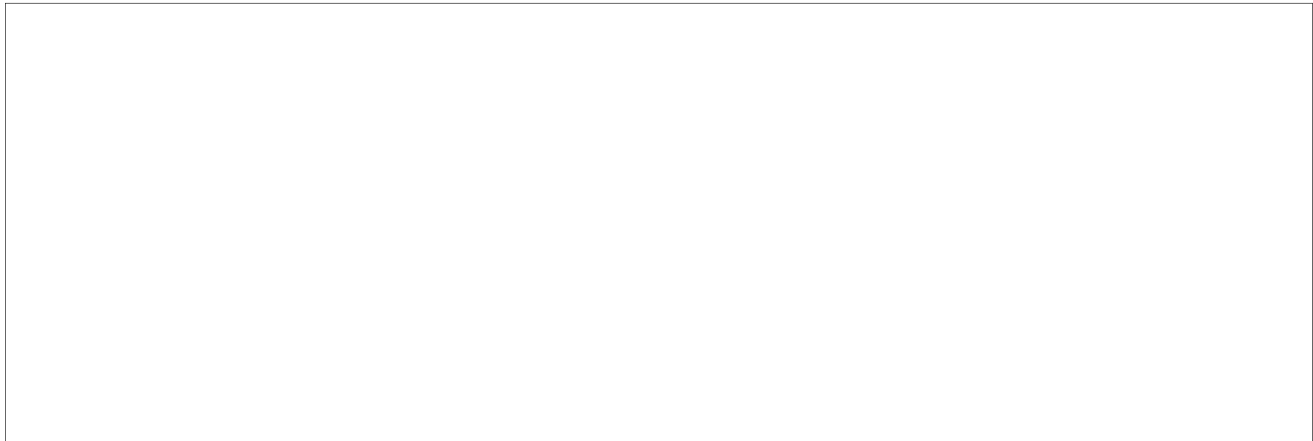
UNCLASSIFIED

- 5 -

B5  
B7(E)



**Consular Duties Overseas**



**Consular Workload Expectations**



UNCLASSIFIED

UNCLASSIFIED

- 6 -



B5  
B7(E)

UNCLASSIFIED

Approved: CA/VO: KKing (ok)

Drafted: CA/VO/F: Christine Parker  
CA/VO/SAC: Morgan Parker

Cleared: CA: LPetrush (ok)  
CA/VO/F: MMcEvoy (ok)  
CA/VO/SAC: TSmith (ok)  
CA/EX: MAMES \_\_\_\_\_ (ok)  
CA/EX/PAS: LBiedeback \_\_\_\_\_ (ok)



RELEASE IN PART  
B5

# DEPARTMENT OF STATE

WRITTEN STATEMENT

OF

DAVID T. DONAHUE

PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS

DEPARTMENT OF STATE

BEFORE THE

UNITED STATES SENATE

COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS

HEARING

ON

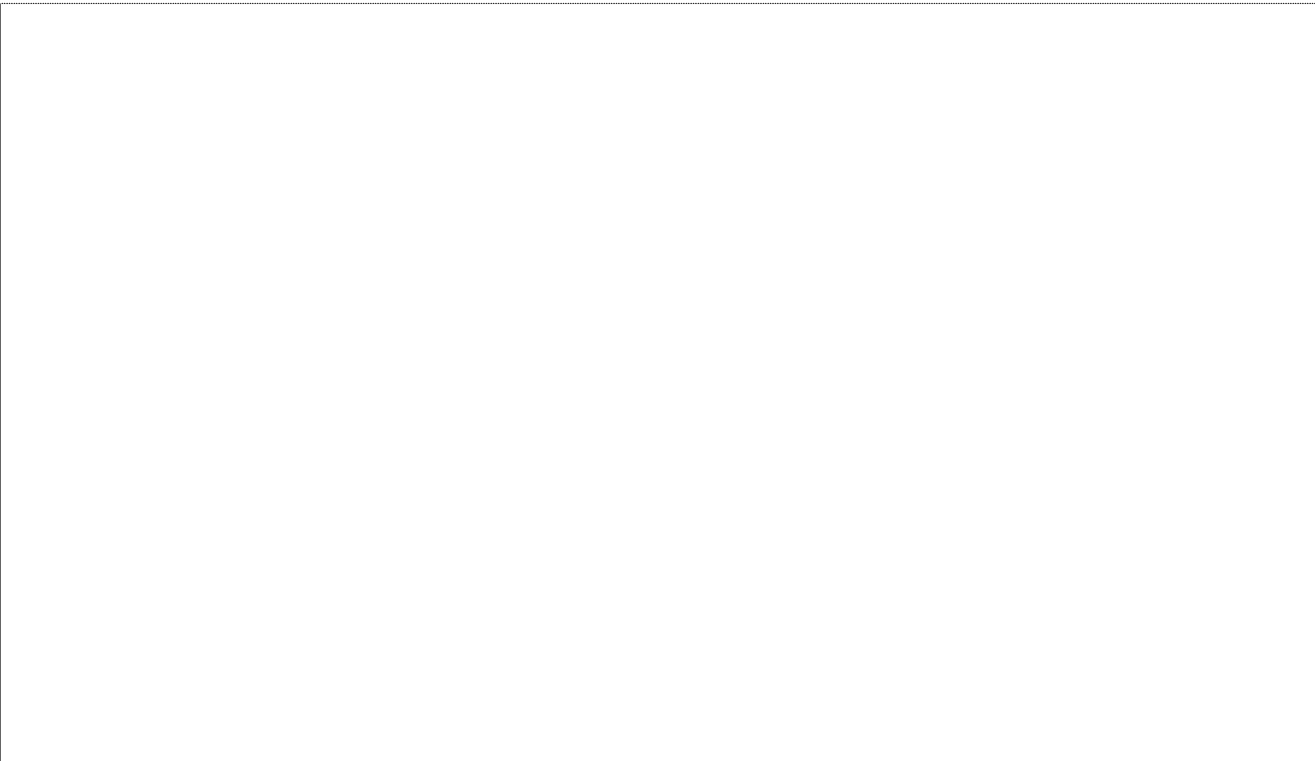
THE SECURITY OF U.S. VISA PROGRAMS

MARCH 15, 2016





**A Layered Approach to Visa Security**



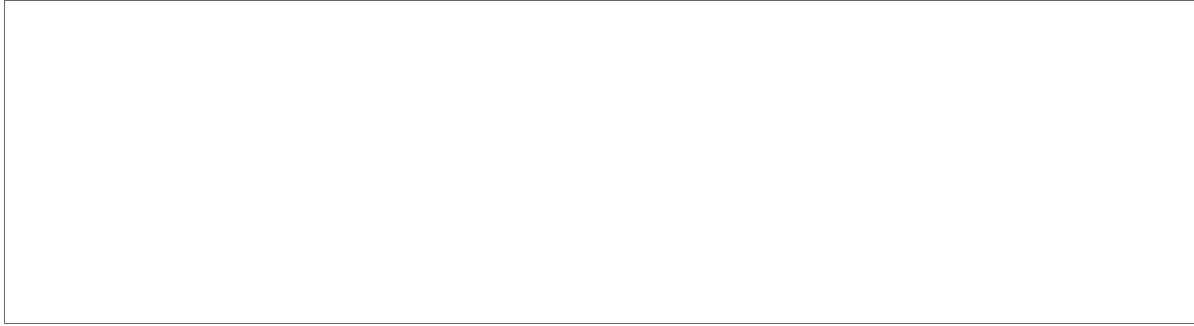
**Page Denied**

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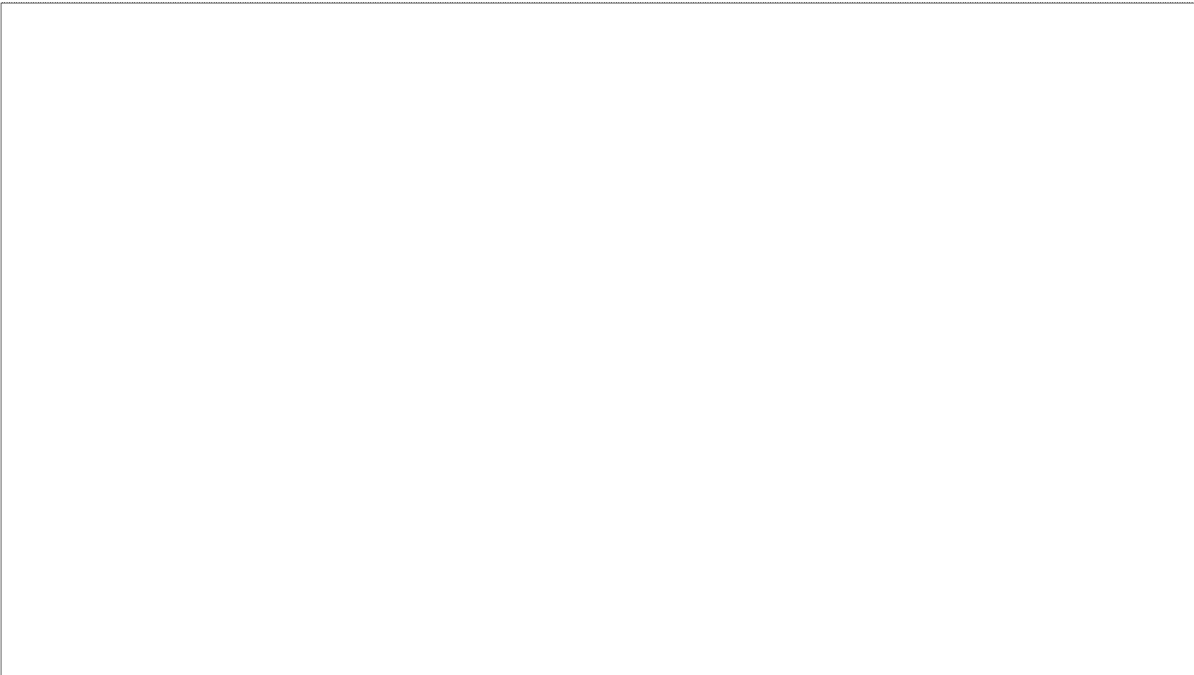


**Training**

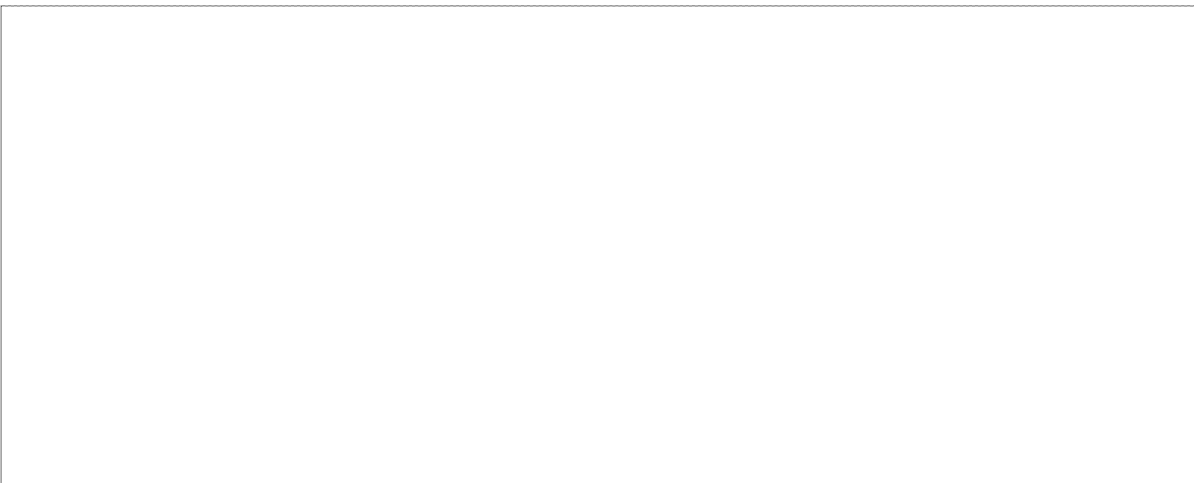




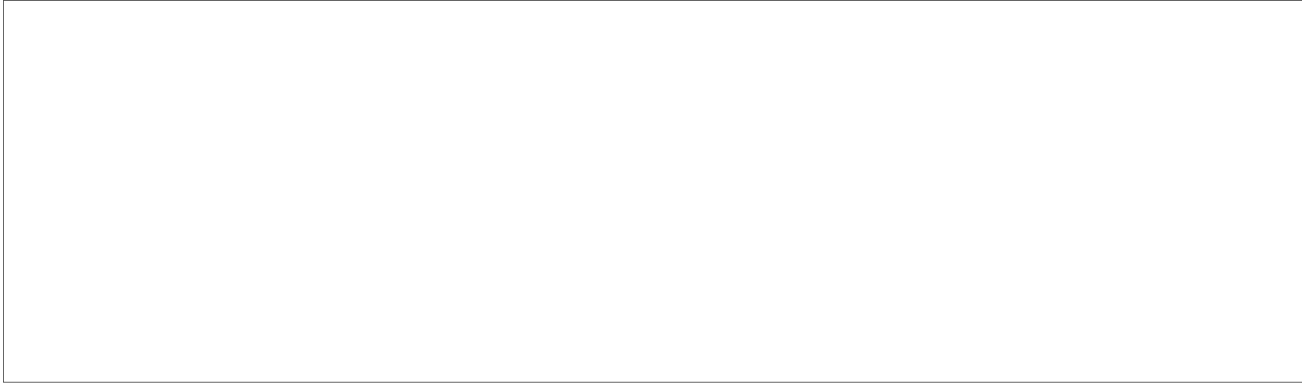
**Visas Viper Program**



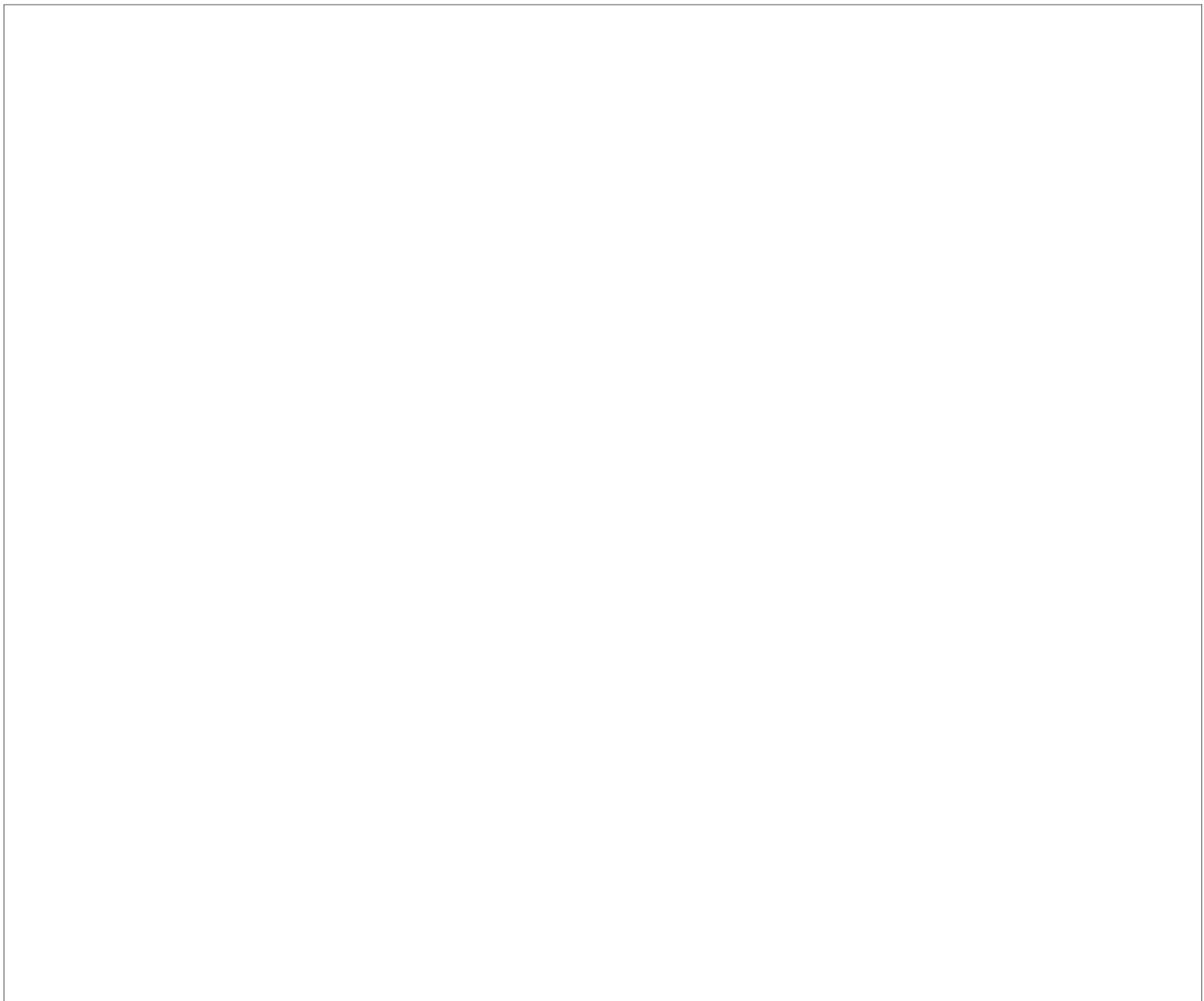
**Continuous Vetting and Visa Revocation**



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Approved: David T. Donahue ( )

Drafted: \_\_\_CA/VO/SAC – Morgan Parker, exst 5-7418

Cleared: CA: LPetrush (ok)  
CA/VO: EJRamotowski (ok)  
CA/VO: KMKing (ok)  
CA/VO/F: CParker (ok)  
CA/P: TMazin (ok)  
H: JDeMaria (info)  
CA/VO/L:DNewman (ok)  
CA/VO/L/R: MHerndon (ok)  
CA/VO/L/R: ALage (ok)  
CA/VO/SAC: TSmith (ok)  
CA/FPP: SAshby (ok)



RELEASE IN PART  
B5

# DEPARTMENT OF STATE

ORAL STATEMENT  
OF  
DAVID T. DONAHUE  
PRINCIPAL DUPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS  
DEPARTMENT OF STATE  
BEFORE THE  
UNITED STATES SENATE  
COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS  
HEARING  
ON  
THE SECURITY OF U.S. VISA PROGRAMS  
MARCH 15, 2016  
10:00 A.M.  
SD 342 DIRKSEN SENATE OFFICE BUILDING  
WASHINGTON, D.C.

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Approved: CA:

(ok—)

Drafted: CA/VO/SAC – Morgan Parker, 202-485-7418

Cleared:

	<u>ok</u>
CA/VO: EJRamotowski	(ok)
CA/VO: KMKing	(ok)
CA/VO/F: CParker	(ok)
CA/P: TMazin	(ok)
H: JDeMaria	(info)
CA/VO/L:DNewman	(ok)
CA/VO/L/R: MHerndon	(ok)
CA/VO/L/R: ALage	(ok)
CA/VO/SAC:TSmith	(ok)
CA/FPP: SAshby	(ok)



**From:** Nantais, Joel D  
**Sent:** Wed, 18 May 2016 15:00:12 -0400  
**To:** Dolce, Michael R  
**Cc:** Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

RELEASE IN PART  
B5, B6, B7(E)

---

**Attach:** Tab 2b - QA - March 15 PDAS Testimony - HSGAC Briefing.docx, Tab 5a - PDAS Donahue Written Testimony.docx, PDAS Donahue Oral Testimony.docx

---

SBU  
This email is UNCLASSIFIED.

**From:** Parker, Christine D  
**Sent:** Wednesday, May 18, 2016 2:58 PM  
**To:** Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

Joel,

Ed asked for a point on the workload

[Redacted]

B5  
B7(E)

**Christine Parker**

Division Chief, Outreach and Inquiries  
Office of Field Operations, Visa Services (CA/VO/F/OI)  
U.S. Department of State  
☎ office (202) 485-7425 | ☎ mobile [Redacted]

B6

SBU  
This email is UNCLASSIFIED.

**From:** CA-VO-Special Assistant  
**Sent:** Monday, May 16, 2016 4:54 PM  
**To:** Newman, David S; Perry, Nicholas; McEvoy, Meredith C; Parker, Christine D; May, Katy J; Nantais, Joel D  
**Cc:** CA-VO-Special Assistant  
**Subject:** HSGAC hearing - May 26

Colleagues,

The Homeland Security & Governmental Affairs Committee is holding a hearing on May 26 with DHS Dep Sec Mayorkas on post Brussels attack counter-terrorism cooperation and visa security. As in previous hearings/briefings, they want to explore visa security, in particular with European partners. Either DAS Ramatowski or PDAS Donahue will be testifying. I wanted to send around the most recent HSGAC testimony from March and ask if we could take a look for anything (stats, social media facts, etc.) that needs updating. The updates should be relatively minimal. If we could ask for your clearance by **COB Wednesday 5/18** that would be perfect.

Thank you,

**Taylor Mauck**

Special Assistant

Office of Visa Services

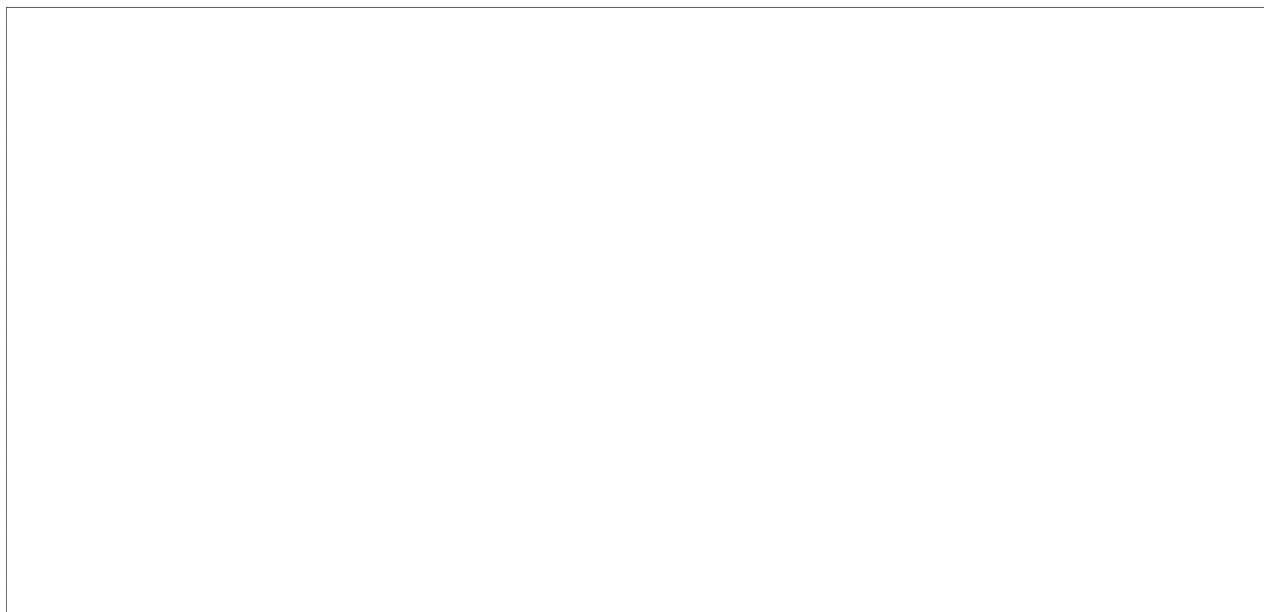
SA-17, 202-485-7572

This email is UNCLASSIFIED.

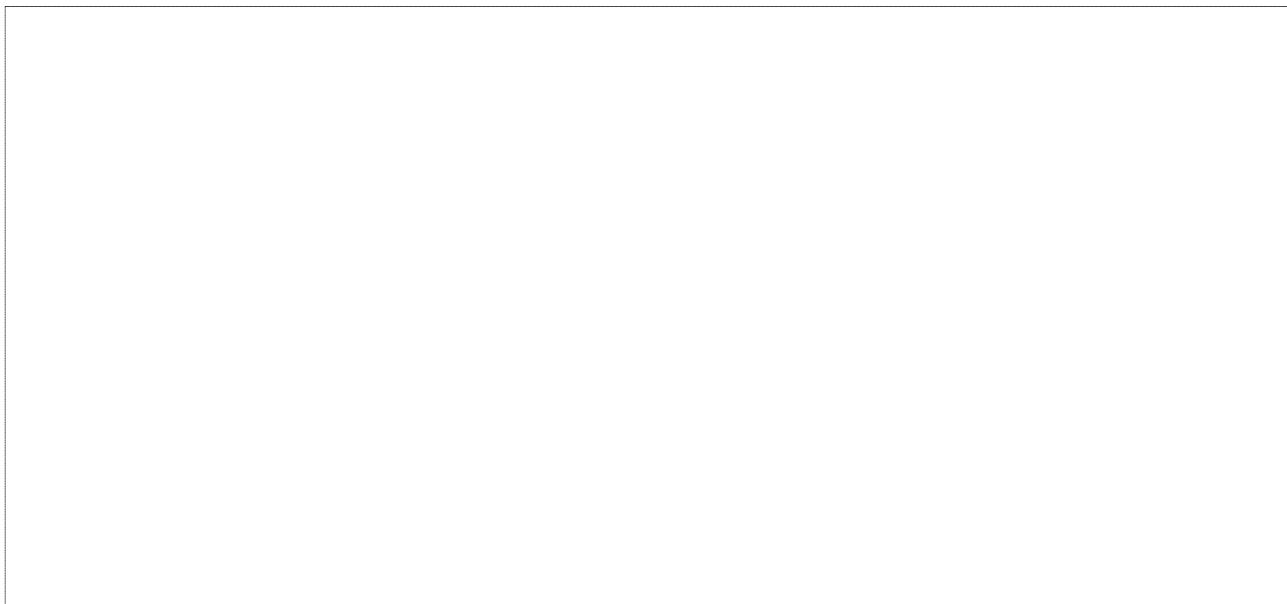
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RELEASE IN PART  
B5, B7(E)

**Q: Describe in more detail the training consular officers undergo prior to heading out into the field?**



B5  
B7(E)



**Q: How does the Department of States use technology to keep our borders safe?**



UNCLASSIFIED

UNCLASSIFIED

- 2 -

B5  
B7(E)

***Q: Explain the concept behind recurrent vetting and how that keeps our borders secure?***

UNCLASSIFIED

UNCLASSIFIED

- 3 -

B5  
B7(E)

Q: *How are you incorporating social media vetting into your work?*

Q: *What are you doing to ensure terrorists don't use student visas [and the EB-5 immigrant visa category] to infiltrate the United States?*

UNCLASSIFIED

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- 4 -

B5  
B7(E)

**Interview Waiver Program**

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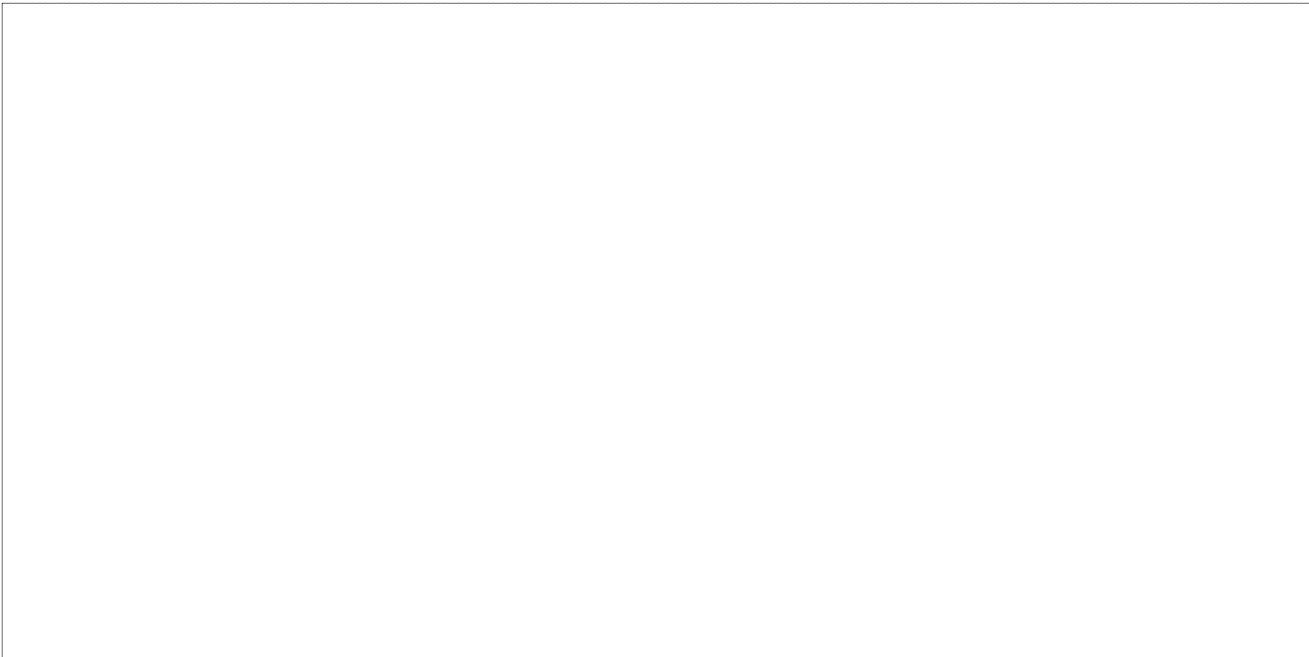
- 5 -

B5  
B7(E)

**Consular Duties Overseas**



**Consular Workload Expectations**



UNCLASSIFIED

Approved: CA/VO: KKing (ok)

Drafted: CA/VO/F: Christine Parker  
CA/VO/SAC: Morgan Parker

Cleared: CA: LPetrush (ok)  
CA/VO/F: MMcEvoy (ok)  
CA/VO/SAC: TSmith (ok)  
CA/EX: MAMES \_\_\_\_\_ (ok)  
CA/EX/PAS: LBiedeback \_\_\_\_\_ (ok)





RELEASE IN PART  
B5

# DEPARTMENT OF STATE

**ORAL STATEMENT**  
**OF**  
**DAVID T. DONAHUE**  
**PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR CONSULAR**  
**AFFAIRS**  
**DEPARTMENT OF STATE**  
**BEFORE THE**  
**UNITED STATES SENATE**  
**COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL**  
**AFFAIRS**  
**HEARING**  
**ON**  
**THE SECURITY OF U.S. VISA PROGRAMS**  
**MARCH 15, 2016**  
**10:00 A.M.**  
**SD 342 DIRKSEN SENATE OFFICE BUILDING**  
**WASHINGTON, D.C.**

UNCLASSIFIED

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Approved: CA:

(ok—)

Drafted: CA/VO/SAC – Morgan Parker, 202-485-7418

Cleared:

	<u>ok</u>
CA/VO: EJRamotowski	(ok)
CA/VO: KMKing	(ok)
CA/VO/F: CParker	(ok)
CA/P: TMazin	(ok)
H: JDeMaria	(info)
CA/VO/L:DNewman	(ok)
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CA/VO/L/R: ALage	(ok)
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RELEASE IN PART  
B5

# DEPARTMENT OF STATE

WRITTEN STATEMENT

OF

DAVID T. DONAHUE

PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS

DEPARTMENT OF STATE

BEFORE THE

UNITED STATES SENATE

COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS

HEARING

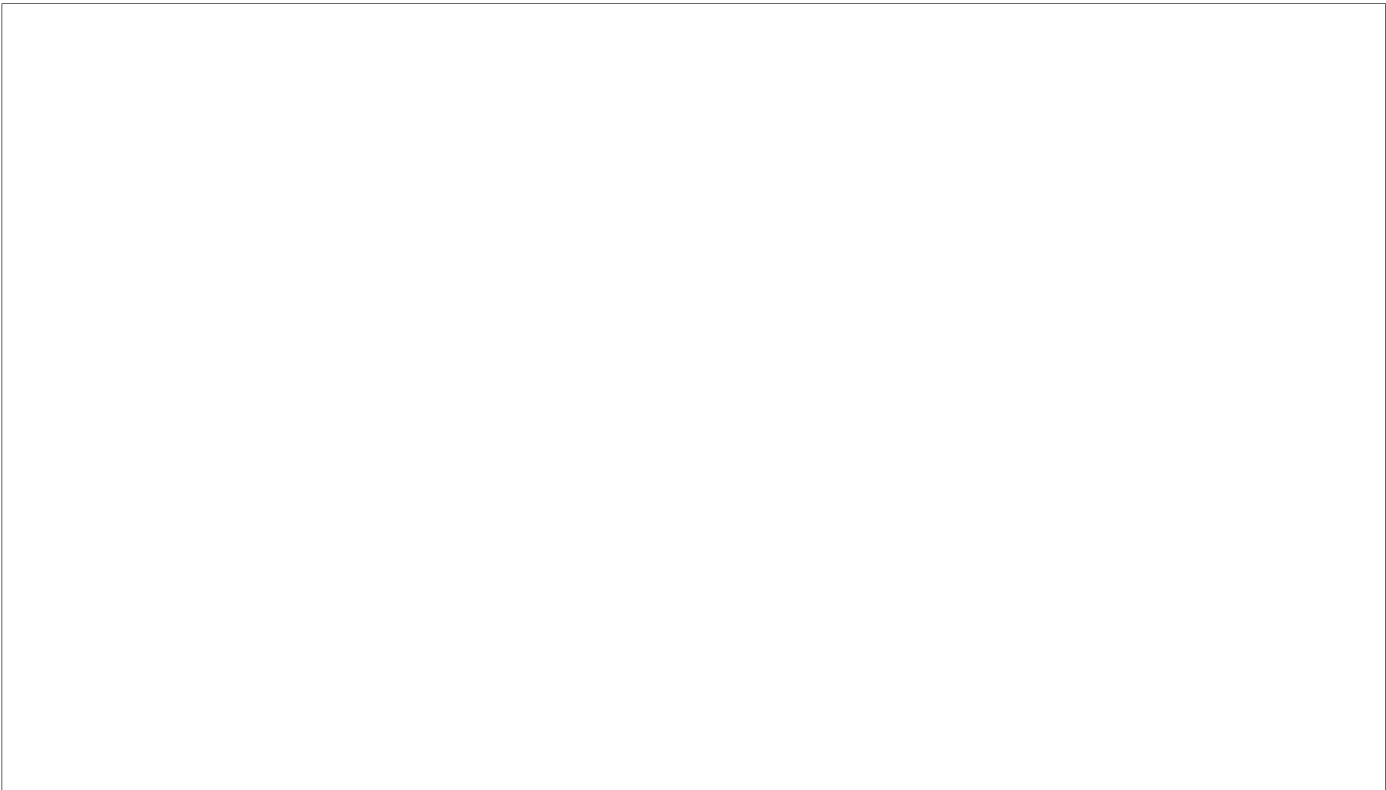
ON

THE SECURITY OF U.S. VISA PROGRAMS

MARCH 15, 2016



**A Layered Approach to Visa Security**





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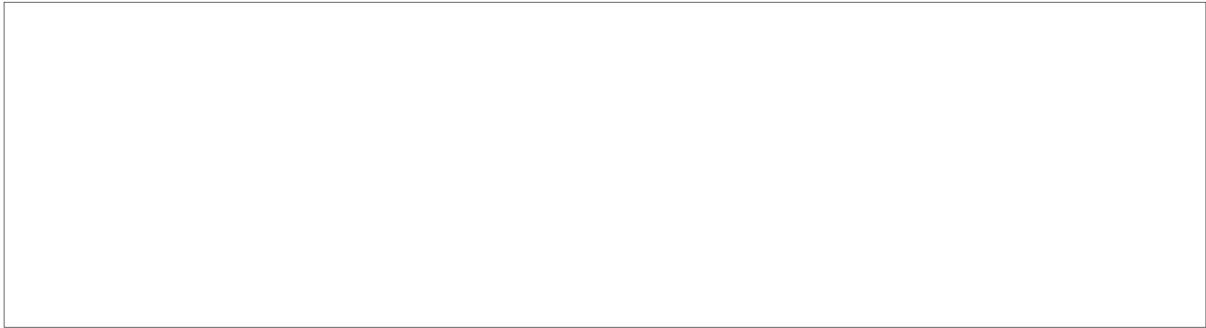
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B5

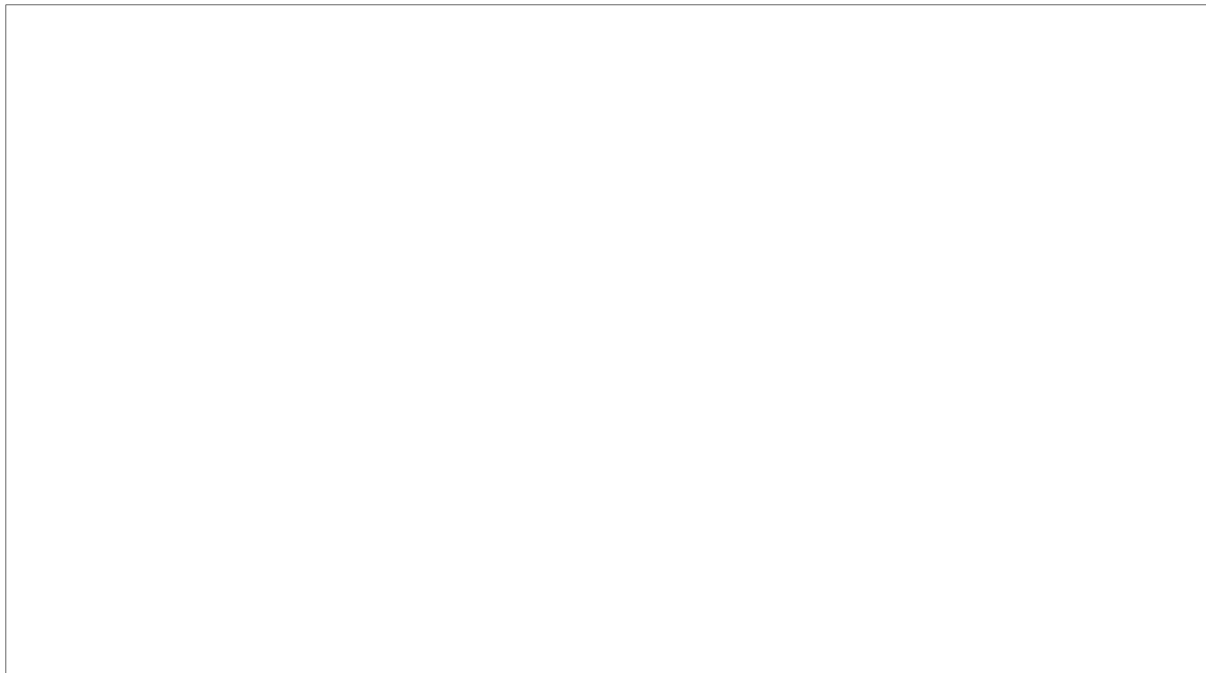


**Training**

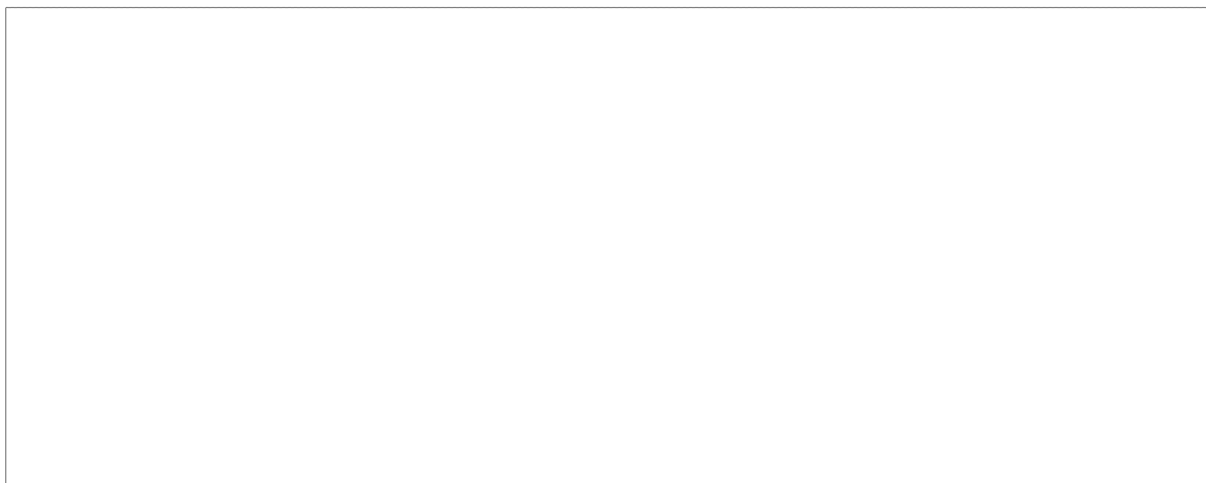




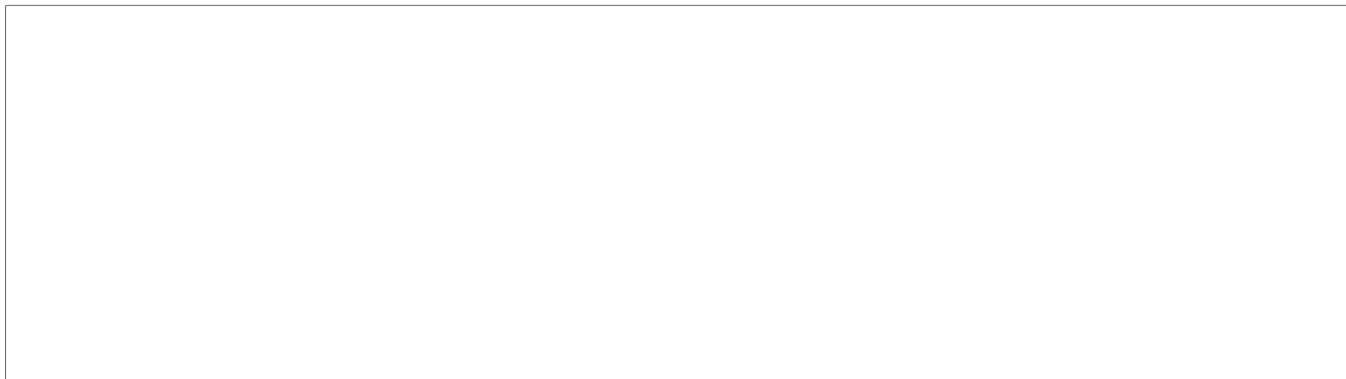
**Visas Viper Program**



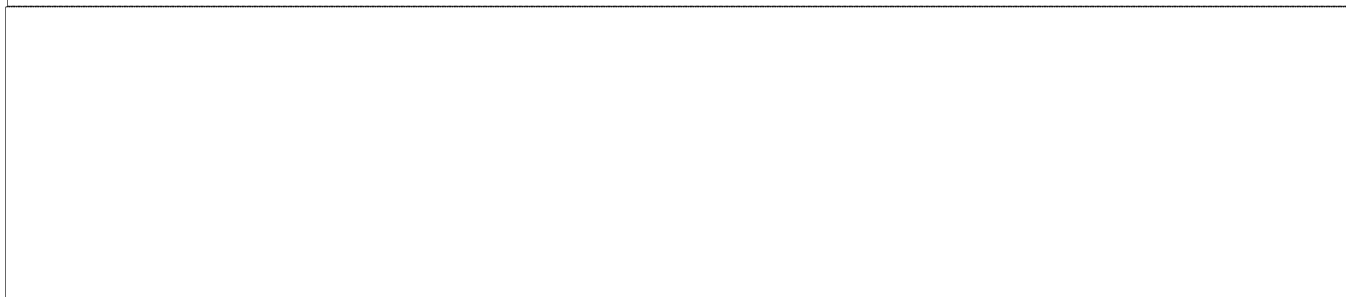
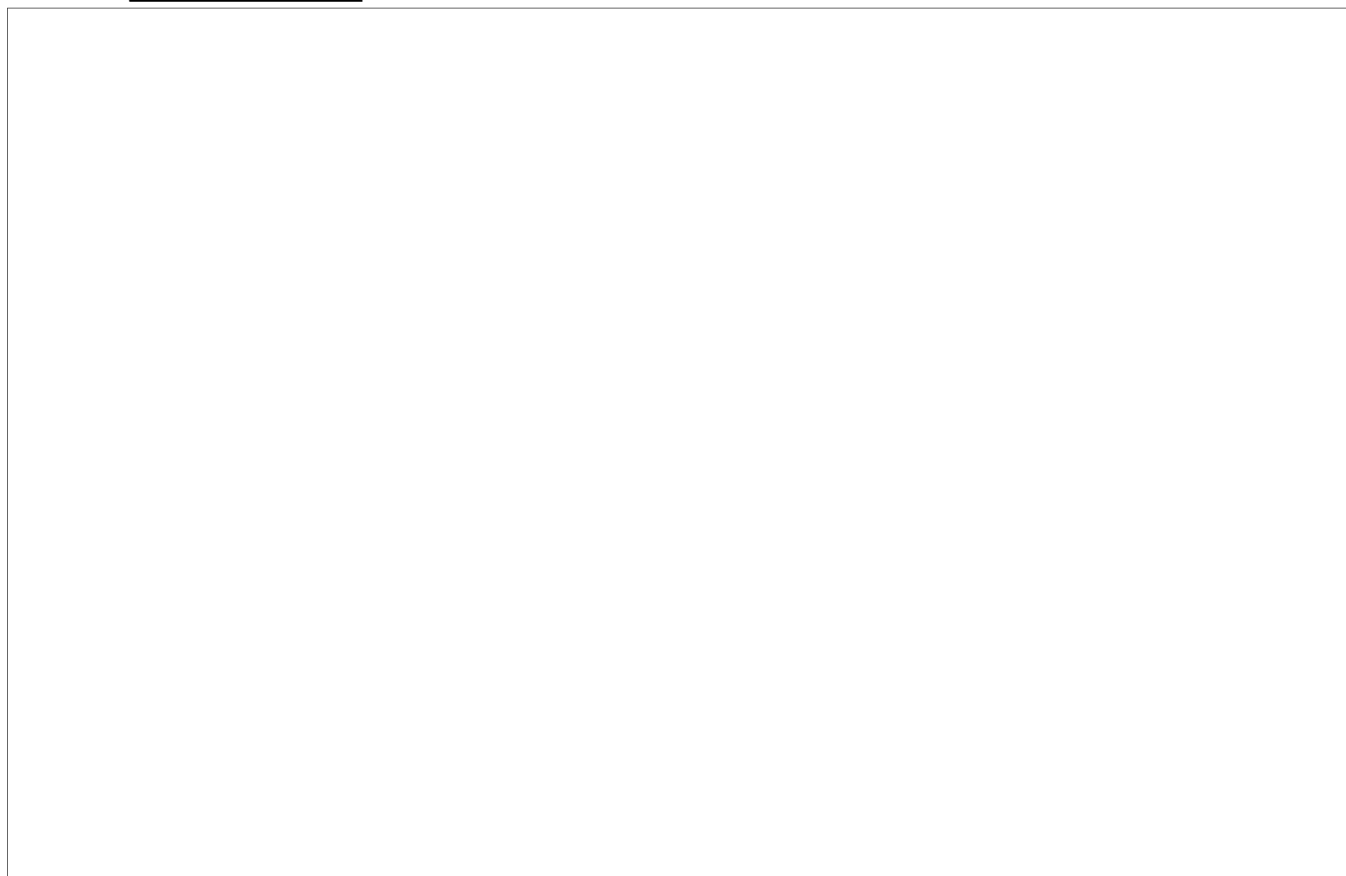
**Continuous Vetting and Visa Revocation**



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Approved: David T. Donahue ( )

Drafted: \_\_\_CA/VO/SAC – Morgan Parker, exst 5-7418

Cleared: CA: LPetrush (ok)  
CA/VO: EJRamotowski (ok)  
CA/VO: KMKing (ok)  
CA/VO/F: CParker (ok)  
CA/P: TMazin (ok)  
H: JDeMaria (info)  
CA/VO/L:DNewman (ok)  
CA/VO/L/R: MHerndon (ok)  
CA/VO/L/R: ALage (ok)  
CA/VO/SAC:TSmith (ok)  
CA/FPP: SAshby (ok)

**From:** Dolce, Michael R <DolceMR@state.gov>  
**Sent:** Thursday, April 21, 2016 1:12 PM  
**To:** Nantais, Joel D <NantaisJD@state.gov>  
**Subject:** FW: IM on Social Media Pilot  
**Attach:** IM on Social Media Review.docx; Tab 2 Workload social media.docx

---

RELEASE IN PART  
B5, B7(E)

Just a FYI (what I sent along to others today on the Pilot). I'll discuss this some more during the meeting today.

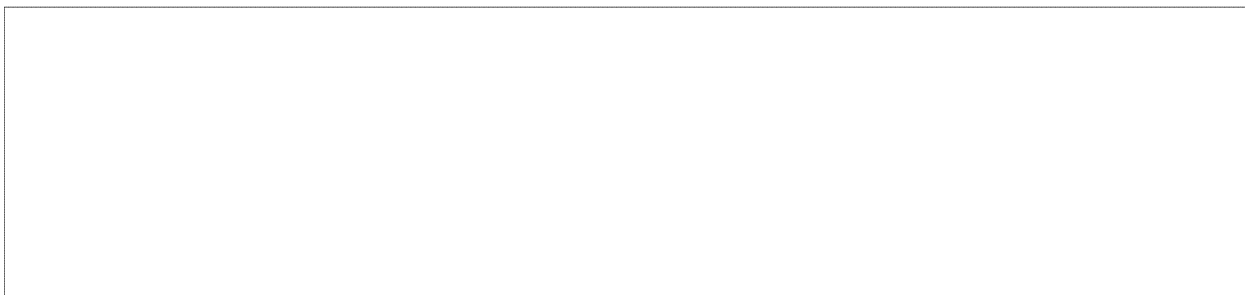
Mike Dolce  
Quality Support Inc.-Contractor  
Visa Policy Analyst  
CA/VO/F  
Department of State  
(t)202.485.7602  
DolceMR@state.gov

Have you tried "Ask VO"? Find answers to your visa policy and procedure questions

---

**From:** Dolce, Michael R  
**Sent:** Thursday, April 21, 2016 1:06 PM  
**To:** Miller-Little, Catherine T; Dixon, Amanda W  
**Cc:** Snyder, Benjamin A  
**Subject:** IM on Social Media Pilot

Hi Everyone,



B5  
B7(E)

Mike Dolce  
Quality Support Inc.-Contractor  
Visa Policy Analyst  
CA/VO/F  
Department of State  
(t)202.485.7602  
[DolceMR@state.gov](mailto:DolceMR@state.gov)

Have you tried "Ask VO"? Find answers to your visa policy and procedure questions

**From:** Nantais, Joel D <NantaisJD@state.gov>  
**Sent:** Thursday, May 5, 2016 2:39 PM  
**To:** Dolce, Michael R <DolceMR@state.gov>  
**Cc:** Nantais, Joel D <NantaisJD@state.gov>  
**Subject:** FW: Social Media Pilot Briefing Paper [redacted]  
**Attach:** Social Media Pilot Review [redacted]

RELEASE IN PART  
B5, B7(E)

B7(E)

FYSA

SBU  
This email is UNCLASSIFIED.

---

**From:** Nantais, Joel D  
**Sent:** Thursday, May 05, 2016 8:26 AM  
**To:** McEvoy, Meredith C  
**Cc:** Nantais, Joel D  
**Subject:** FW: Social Media Pilot Briefing Paper [redacted]

Meredith,

For your review and clearance please.

Joel

SBU  
This email is UNCLASSIFIED.

---

**From:** Granger, Amy H  
**Sent:** Wednesday, May 04, 2016 4:38 PM  
**To:** Nantais, Joel D  
**Cc:** Snyder, Benjamin A; Newman, David S  
**Subject:** Social Media Pilot Briefing Paper [redacted]

Joel,

[redacted]

B5  
B7(E)

Please let me know if you need anything from me.

Thanks,

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.

**From:** Nantais, Joel D <NantaisJD@state.gov>

RELEASE IN PART  
B5, B7(E)

**Sent:** Wednesday, May 4, 2016 12:21 PM

**To:** King, Karin M <KingKM@state.gov>

**Cc:** Nantais, Joel D <NantaisJD@state.gov>

**Subject:** FW: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for [redacted]

B7(E)

**Attach:** Social Media Pilot Review for [redacted]

---

Karin, here is the bootleg. Let me know if you want anything additional and we will clean this up and get it to yo.

SBU  
This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 04, 2016 12:14 PM  
**To:** Nantais, Joel D  
**Subject:** FW: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for [redacted]

Joel, sorry for the delay on this. Tim's out, but Morgan and Amy Granger have cleared on this, [redacted]  
[redacted]

B5  
B7(E)

Please let us know if you have any questions.

Thanks  
Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Granger, Amy H  
**Sent:** Wednesday, May 04, 2016 12:06 PM  
**To:** Snyder, Benjamin A  
**Cc:** Parker, Morgan A; Smith, Timothy W  
**Subject:** RE: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for [redacted]

B7(E)

Ben,

Attached please find VO/L's additions, [redacted]

B5  
B7(E)

I will be developing a clearance page so that I can circulate [redacted]  
[redacted] Should I list any other clearers?

Apologies for the delay.

Thanks,

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 04, 2016 9:14 AM  
**To:** Granger, Amy H; Smith, Timothy W  
**Subject:** RE: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for

B7(E)

Excellent, thanks Amy.

Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Granger, Amy H  
**Sent:** Wednesday, May 04, 2016 9:13 AM  
**To:** Snyder, Benjamin A; Smith, Timothy W  
**Subject:** Re: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for

I'll review and respond within the hour.

Sent from my BlackBerry 10 smartphone.

---

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 4, 2016 8:58 AM  
**To:** Smith, Timothy W  
**Cc:** Granger, Amy H  
**Subject:** FW: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for

Tim,

Sorry for such a quick update- Morgan has cleared on it now.

Looping Amy G in for her clearance as well-

Thanks all!  
Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 04, 2016 8:46 AM  
**To:** Smith, Timothy W ([SmithTW@state.gov](mailto:SmithTW@state.gov))  
**Subject:** FW: TIME SENSITIVE CLEARANCE: Social Media Pilot Review for   
**Importance:** High

B7(E)

Hi Tim,

Sorry for the short turnaround on this. Forwarding this on to you in Morgan's absence.

Thanks!  
Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Wednesday, May 04, 2016 8:45 AM  
**To:** Parker, Morgan A  
**Subject:** TIME SENSITIVE FW: Social Media Pilot Review for   
**Importance:** High

Hi Morgan,

Sorry to press on this. Joel requested that Karin see it this morning. Please let me know if you have any comments or questions with the edits. Thanks!

Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Tuesday, May 03, 2016 10:01 AM  
**To:** Parker, Morgan A  
**Subject:** FW: Social Media Pilot Review for

Morgan, please see my edits for the briefing paper for [redacted] Please let me know if you have any comments/questions.

B7(E)

Thanks  
Ben

SBU  
This email is UNCLASSIFIED.

---

**From:** Nantais, Joel D  
**Sent:** Tuesday, May 03, 2016 8:46 AM  
**To:** Snyder, Benjamin A  
**Cc:** Parker, Morgan A; Smith, Timothy W; Nantais, Joel D  
**Subject:** Social Media Pilot Review for [redacted]

Ben,

I know you were in touch with Mike on the pilot review, [redacted]  
[redacted] Can you please take a look and clear on the  
attached. [redacted]  
[redacted]

B5  
B7(E)

Joel

This email is UNCLASSIFIED.



**From:** Nantais, Joel D  
**Sent:** Wed, 18 May 2016 08:04:00 -0400  
**To:** Dolce, Michael R  
**Cc:** Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

**RELEASE IN FULL**

---

**Attach:** Tab 2b - QA - March 15 PDAS Testimony - HSGAC Briefing.docx, Tab 5a - PDAS Donahue Written Testimony.docx, PDAS Donahue Oral Testimony.docx

---

Mike,

Can you take a shot at updating the social media piece?

Joel

This email is UNCLASSIFIED.

**From:** CA-VO-Special Assistant  
**Sent:** Monday, May 16, 2016 4:54 PM  
**To:** Newman, David S; Perry, Nicholas; McEvoy, Meredith C; Parker, Christine D; May, Katy J; Nantais, Joel D  
**Cc:** CA-VO-Special Assistant  
**Subject:** HSGAC hearing - May 26

Colleagues,

The Homeland Security & Governmental Affairs Committee is holding a hearing on May 26 with DHS Dep Sec Mayorkas on post Brussels attack counter-terrorism cooperation and visa security. As in previous hearings/briefings, they want to explore visa security, in particular with European partners. Either DAS Ramatowski or PDAS Donahue will be testifying. I wanted to send around the most recent HSGAC testimony from March and ask if we could take a look for anything (stats, social media facts, etc.) that needs updating. The updates should be relatively minimal. If we could ask for your clearance by **COB Wednesday 5/18** that would be perfect.

Thank you,

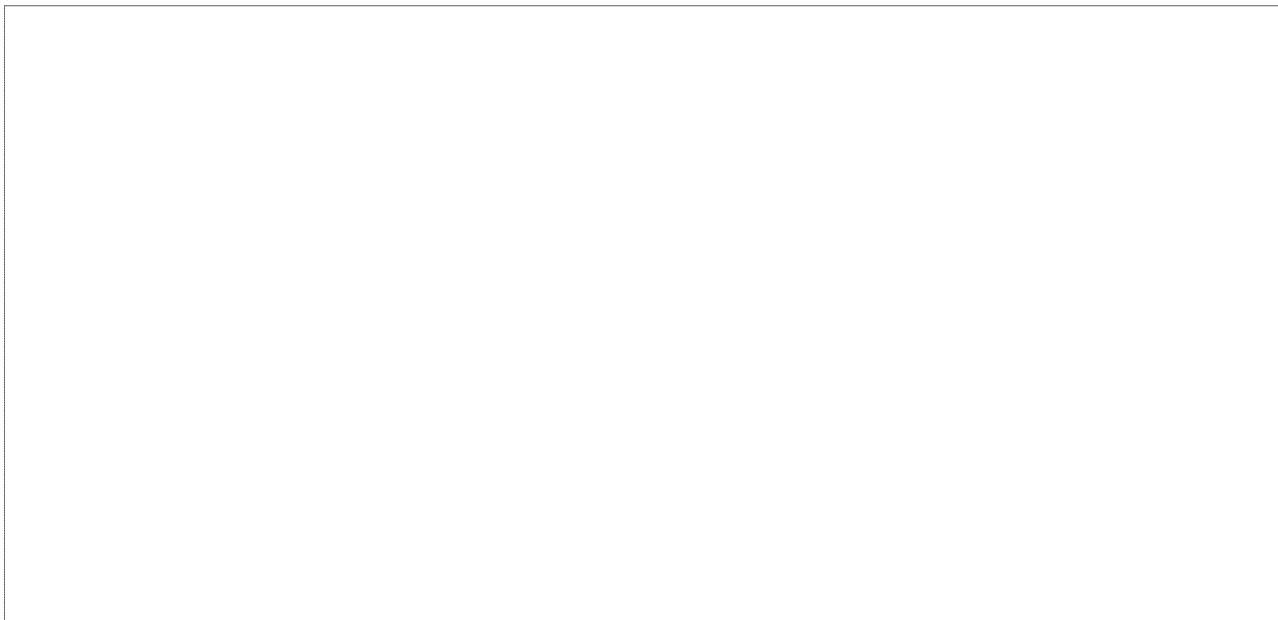
**Taylor Mauck**  
Special Assistant  
Office of Visa Services  
SA-17, 202-485-7572

This email is UNCLASSIFIED.

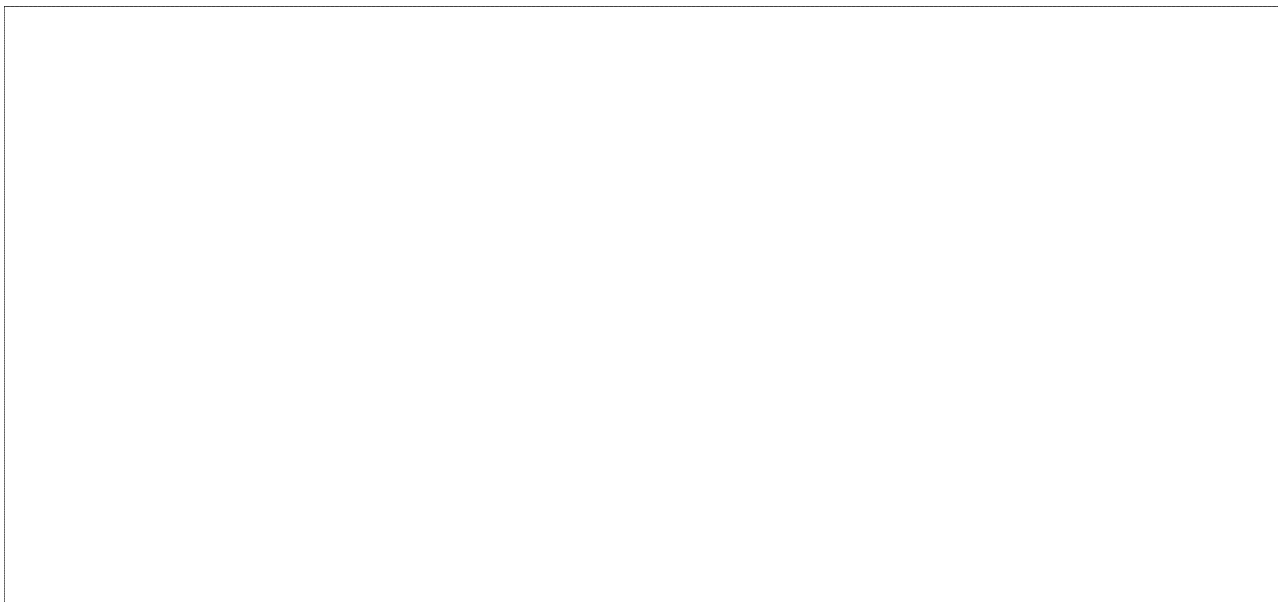
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RELEASE IN PART  
B5, B7(E)

**Q: Describe in more detail the training consular officers undergo prior to heading out into the field?**



B5  
B7(E)



**Q: How does the Department of States use technology to keep our borders safe?**



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UNCLASSIFIED

- 2 -

B5  
B7(E)

***Q: Explain the concept behind recurrent vetting and how that keeps our borders secure?***

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- 3 -

B5  
B7(E)

Q: *How are you incorporating social media vetting into your work?*

Q: *What are you doing to ensure terrorists don't use student visas [and the EB-5 immigrant visa category] to infiltrate the United States?*

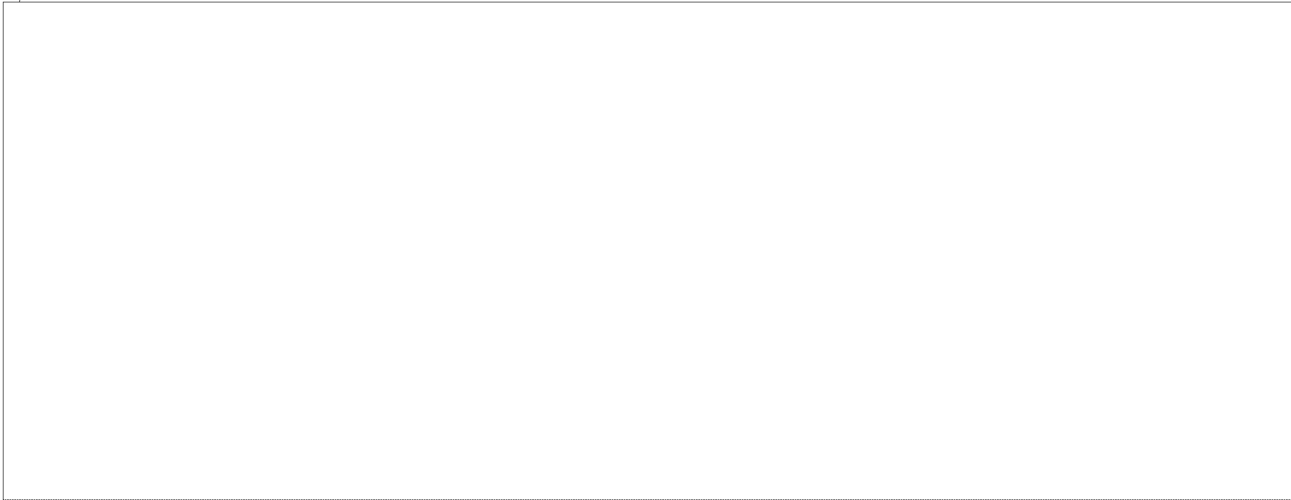
Interview Waiver Program

UNCLASSIFIED

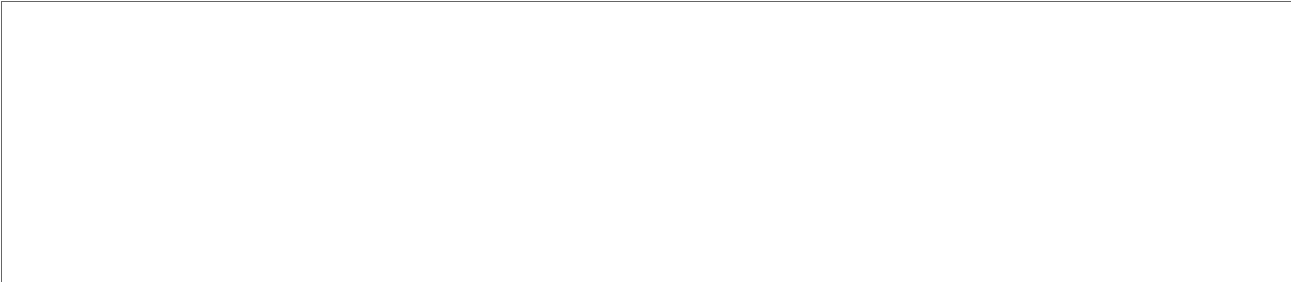
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- 4 -

B5  
B7(E)



**Consular Duties Overseas**



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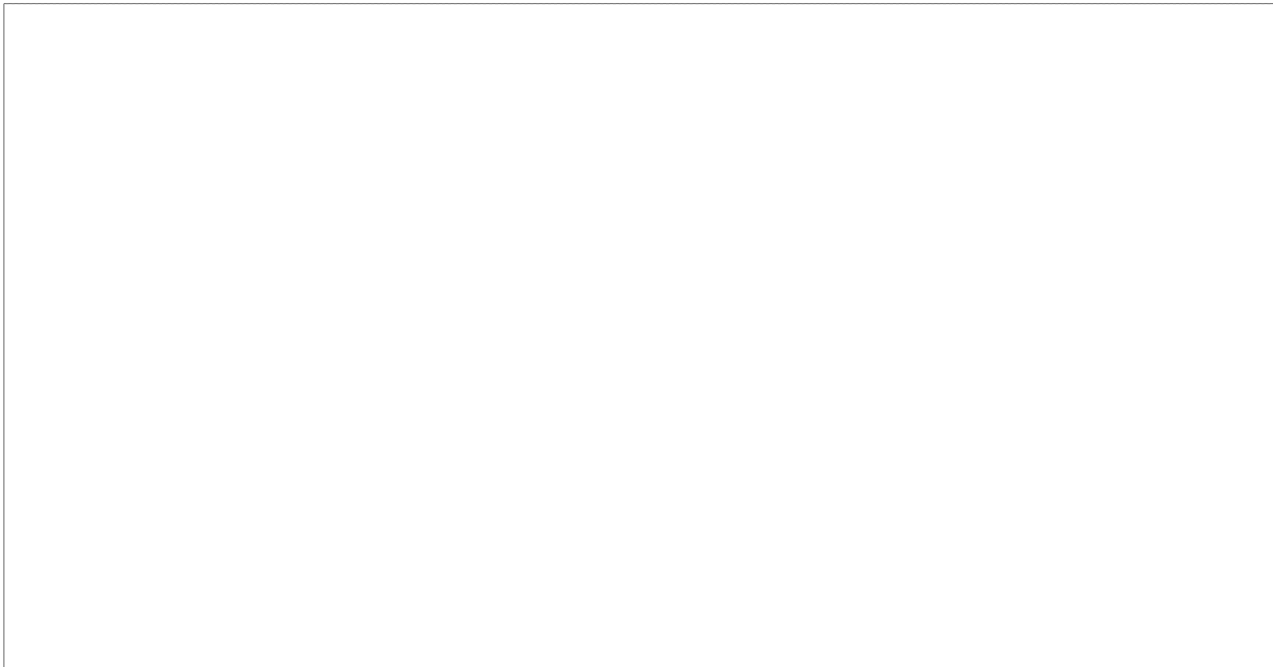
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- 5 -



B5  
B7(E)

**Consular Workload Expectations**



UNCLASSIFIED

Approved: CA/VO: KKing (ok)

Drafted: CA/VO/F: Christine Parker  
CA/VO/SAC: Morgan Parker

Cleared: CA: LPetrush (ok)  
CA/VO/F: MMcEvoy (ok)  
CA/VO/SAC: TSmith (ok)  
CA/EX: MAMES \_\_\_\_\_ (ok)  
CA/EX/PAS: LBiedeback \_\_\_\_\_ (ok)





RELEASE IN PART  
B5

# DEPARTMENT OF STATE

WRITTEN STATEMENT

OF

DAVID T. DONAHUE

PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS

DEPARTMENT OF STATE

BEFORE THE

UNITED STATES SENATE

COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS

HEARING

ON

THE SECURITY OF U.S. VISA PROGRAMS

MARCH 15, 2016



**A Layered Approach to Visa Security**

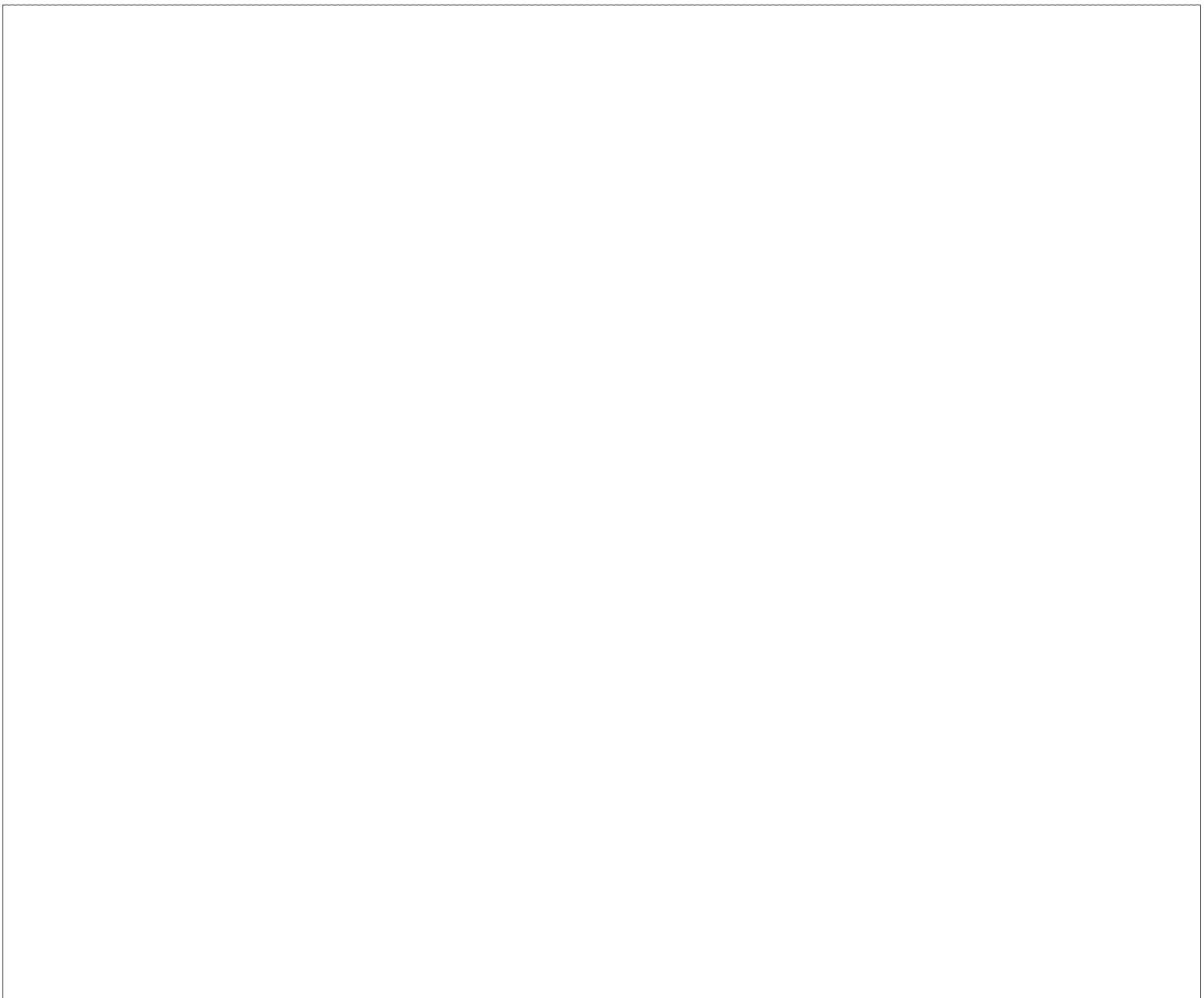


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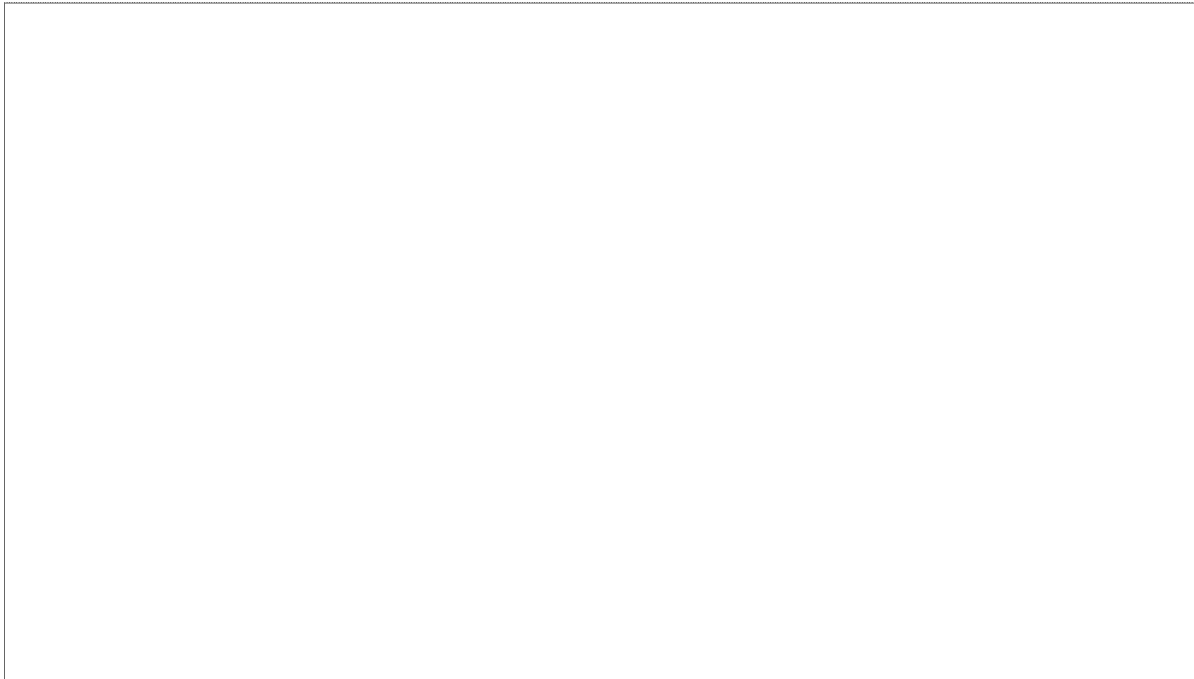


**Training**

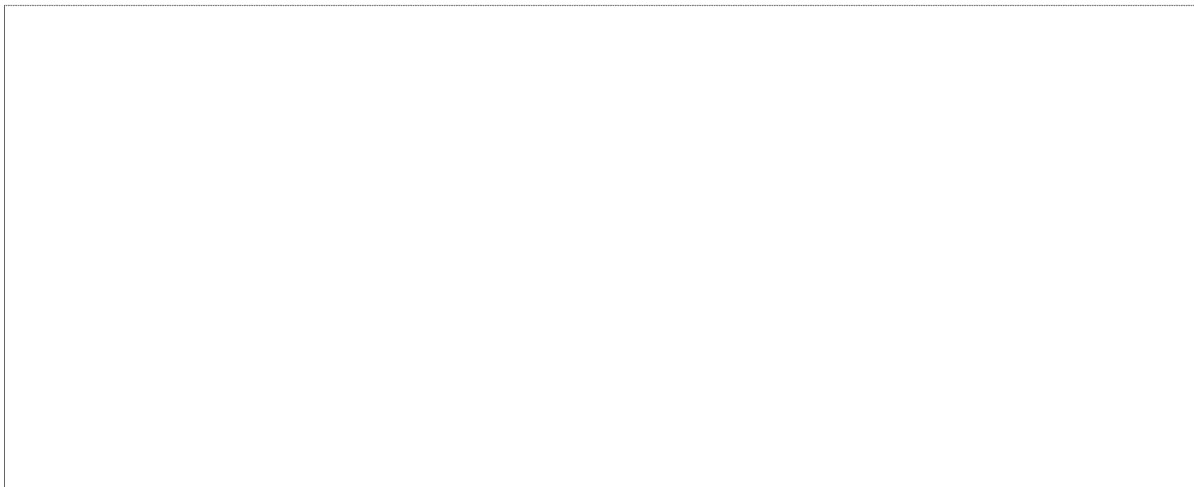




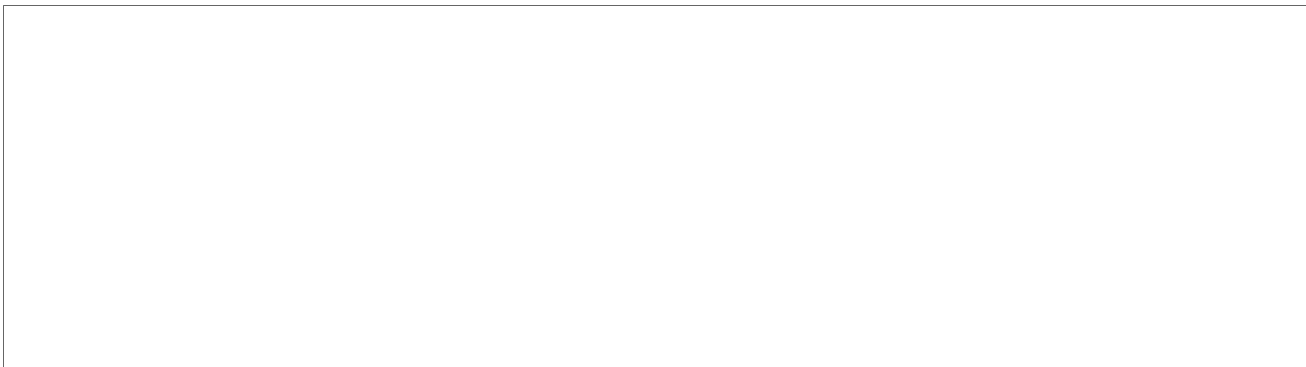
**Visas Viper Program**



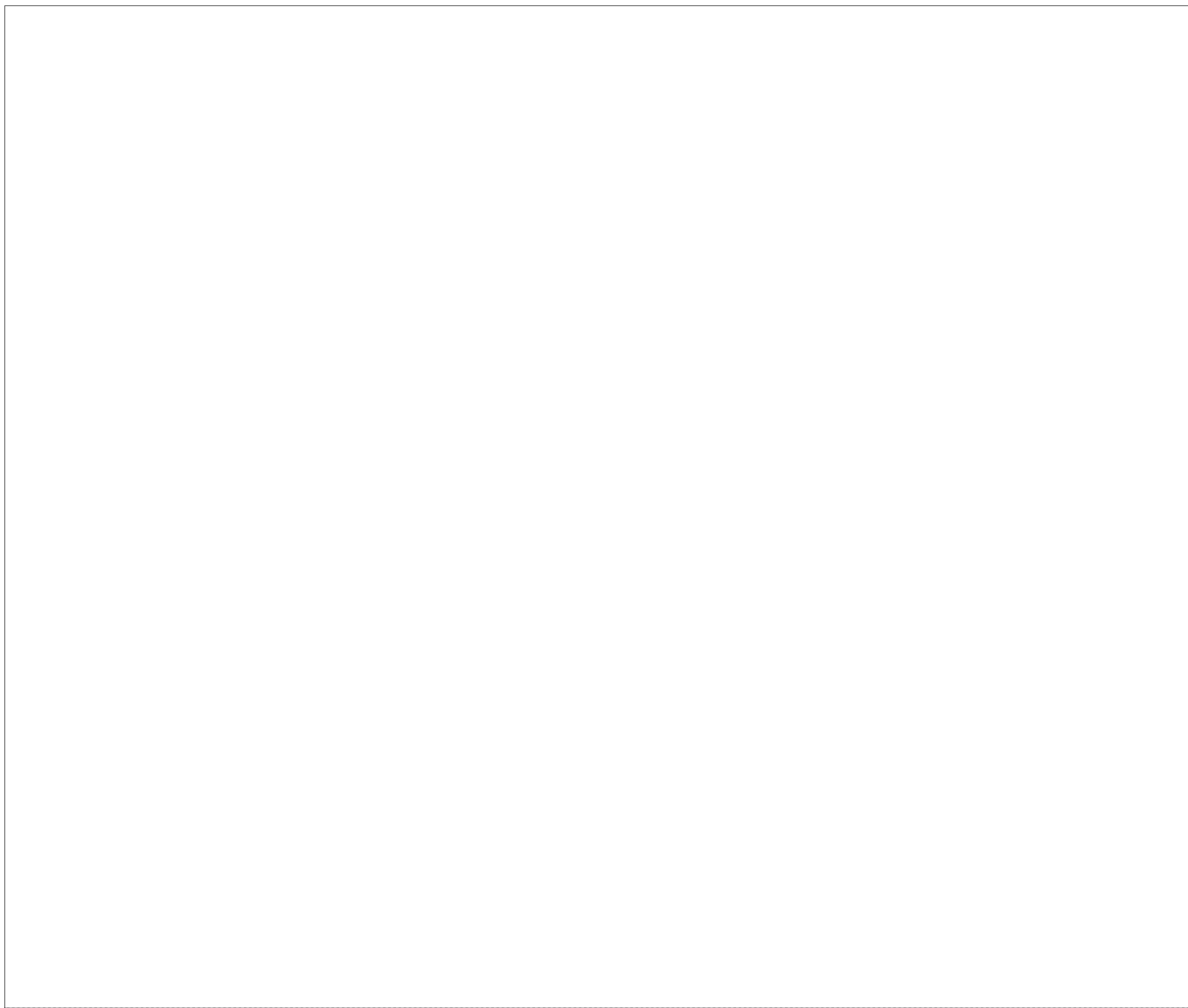
**Continuous Vetting and Visa Revocation**



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Approved: David T. Donahue ( )

Drafted: \_\_\_CA/VO/SAC – Morgan Parker, exst 5-7418

Cleared: CA: LPetrush (ok)  
CA/VO: EJRamotowski (ok)  
CA/VO: KMKing (ok)  
CA/VO/F: CParker (ok)  
CA/P: TMazin (ok)  
H: JDeMaria (info)  
CA/VO/L:DNewman (ok)  
CA/VO/L/R: MHerndon (ok)  
CA/VO/L/R: ALage (ok)  
CA/VO/SAC:TSmith (ok)  
CA/FPP: SAshby (ok)

**From:** Nantais, Joel D <NantaisJD@state.gov>  
**Sent:** Thursday, May 5, 2016 2:37 PM  
**To:** Dolce, Michael R <DolceMR@state.gov>  
**Cc:** Nantais, Joel D <NantaisJD@state.gov>  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Attach:** Social Media Review Pilot - [redacted]

RELEASE IN PART  
B5, B7(E)

B7(E)

FYSA

This email is UNCLASSIFIED.

---

**From:** King, Karin M  
**Sent:** Thursday, May 05, 2016 2:12 PM  
**To:** Nantais, Joel D  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

*Karin King*  
*Managing Director*  
*Office of Visa Services, Bureau of Consular Affairs*  
*U.S. Department of State*  
*(202) 485-7561*

---

**From:** CA-VO-Special Assistant  
**Sent:** Thursday, May 05, 2016 11:19 AM  
**To:** King, Karin M  
**Cc:** CA-VO-Special Assistant  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

Hi Karin,

[redacted] Let us know if you need anything further [redacted]

Thanks,  
Larilyn

**Larilyn Reffett**  
Special Assistant  
Office of Visa Services  
SA-17, 202-485-7572

SBU

This email is UNCLASSIFIED.

---

**From:** Granger, Amy H  
**Sent:** Thursday, May 05, 2016 11:13 AM  
**To:** CA-VO-Special Assistant  
**Subject:** URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

Special Assistants,



B5  
B7(E)

Thanks

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU

This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Thursday, May 05, 2016 10:08 AM  
**To:** Parker, Morgan A  
**Cc:** Hoffmann, Erin R; Granger, Amy H  
**Subject:** FW: URGENT Clearance request -- for EH action NLT 10 am  
**Importance:** High

Morgan, could you please take a look at this social media doc for your time-sensitive clearance. Thanks, and sorry for loading this on you with such short notice-

Ben

SBU

This email is UNCLASSIFIED.

---

**From:** Snyder, Benjamin A  
**Sent:** Thursday, May 05, 2016 9:49 AM  
**To:** Granger, Amy H; Hoffmann, Erin R  
**Subject:** FW: URGENT Clearance request -- for EH action NLT 10 am

Edits included in track changes.

B5  
B7(E)

Thanks!

Ben

---

**From:** Granger, Amy H  
**Sent:** Thursday, May 05, 2016 12:30 AM  
**To:** Hoffmann, Erin R  
**Cc:** Snyder, Benjamin A  
**Subject:** Fw: URGENT Clearance request -- for EH action NLT 10 am

Erin,

Can you assist with this urgent request

Karin asked for something on this subject by 10 am Thursday.

Would you mind sending this to the right folks, copying me, and asking them to get back to me by no later than 10:15 am, if possible? If you'll be busy, I'm happy to reach out myself--

Let me know if you have any questions.

Thanks!

- Amy

Sent from my BlackBerry 10 smartphone.

---

**From:** Granger, Amy H <[GrangerAH@state.gov](mailto:GrangerAH@state.gov)>  
**Sent:** Wednesday, May 4, 2016 10:19 PM  
**To:** Snyder, Benjamin A  
**Subject:** URGENT Clearance request -- for BS clearance NLT 10 am

Ben,

[redacted] no later than 11 am. Can you review and clear the attached first thing on Thursday?

B7(E)

Can you particularly consider two things:

[redacted]

B5  
B7(E)

Please feel free to comment/edit as you see fit.

**Could you please send to Morgan as soon as you've had a chance to review?**

Thanks,

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.



RELEASE IN PART  
B5

# DEPARTMENT OF STATE

**ORAL STATEMENT**  
**OF**  
**DAVID T. DONAHUE**  
**PRINCIPAL DUPUTY ASSISTANT SECRETARY FOR CONSULAR**  
**AFFAIRS**  
**DEPARTMENT OF STATE**  
**BEFORE THE**  
**UNITED STATES SENATE**  
**COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL**  
**AFFAIRS**  
**HEARING**  
**ON**  
**THE SECURITY OF U.S. VISA PROGRAMS**  
**MARCH 15, 2016**  
**10:00 A.M.**  
**SD 342 DIRKSEN SENATE OFFICE BUILDING**  
**WASHINGTON, D.C.**

UNCLASSIFIED



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Approved: CA: ~~Michele Thoren Bond~~ David T. Donahue ( )

Drafted: CA/VO/SAC – Morgan Parker, 202-485-7418

Cleared: CA: LPetrush (ok)  
CA/VO: EJRamotowski (ok)  
CA/VO: KMKing (ok)  
CA/VO/F: CParker (ok)  
CA/P: TMazin (ok)  
H: JDeMaria (info)  
CA/VO/L: DNewman (ok)  
CA/VO/L/R: MHerndon (ok)  
CA/VO/L/R: ALage (ok)  
CA/VO/SAC: TSmith (ok)  
CA/FPP: SAshby (ok)

**From:** Nantais, Joel D  
**Sent:** Wed, 18 May 2016 16:49:27 -0400  
**To:** CA-VO-Special Assistant  
**Cc:** Parker, Christine D; Nantais, Joel D  
**Subject:** FW: HSGAC hearing - May 26

RELEASE IN PART  
B5

---

**Attach:** Tab 2b - QA - March 15 PDAS Testimony - HSGAC Briefing.docx, Tab 5a - PDAS Donahue Written Testimony.docx, PDAS Donahue Oral Testimony.docx

---

Cleared through Meredith, some edits to  in the attached.

B5

Joel

SBU  
This email is UNCLASSIFIED.

**From:** CA-VO-Special Assistant  
**Sent:** Monday, May 16, 2016 4:54 PM  
**To:** Newman, David S; Perry, Nicholas; McEvoy, Meredith C; Parker, Christine D; May, Katy J; Nantais, Joel D  
**Cc:** CA-VO-Special Assistant  
**Subject:** HSGAC hearing - May 26

Colleagues,

The Homeland Security & Governmental Affairs Committee is holding a hearing on May 26 with DHS Dep Sec Mayorkas on post Brussels attack counter-terrorism cooperation and visa security. As in previous hearings/briefings, they want to explore visa security, in particular with European partners. Either DAS Ramatowski or PDAS Donahue will be testifying. I wanted to send around the most recent HSGAC testimony from March and ask if we could take a look for anything (stats, social media facts, etc.) that needs updating. The updates should be relatively minimal. If we could ask for your clearance by **COB Wednesday 5/18** that would be perfect.

Thank you,

**Taylor Mauck**  
Special Assistant  
Office of Visa Services  
SA-17, 202-485-7572

This email is UNCLASSIFIED.

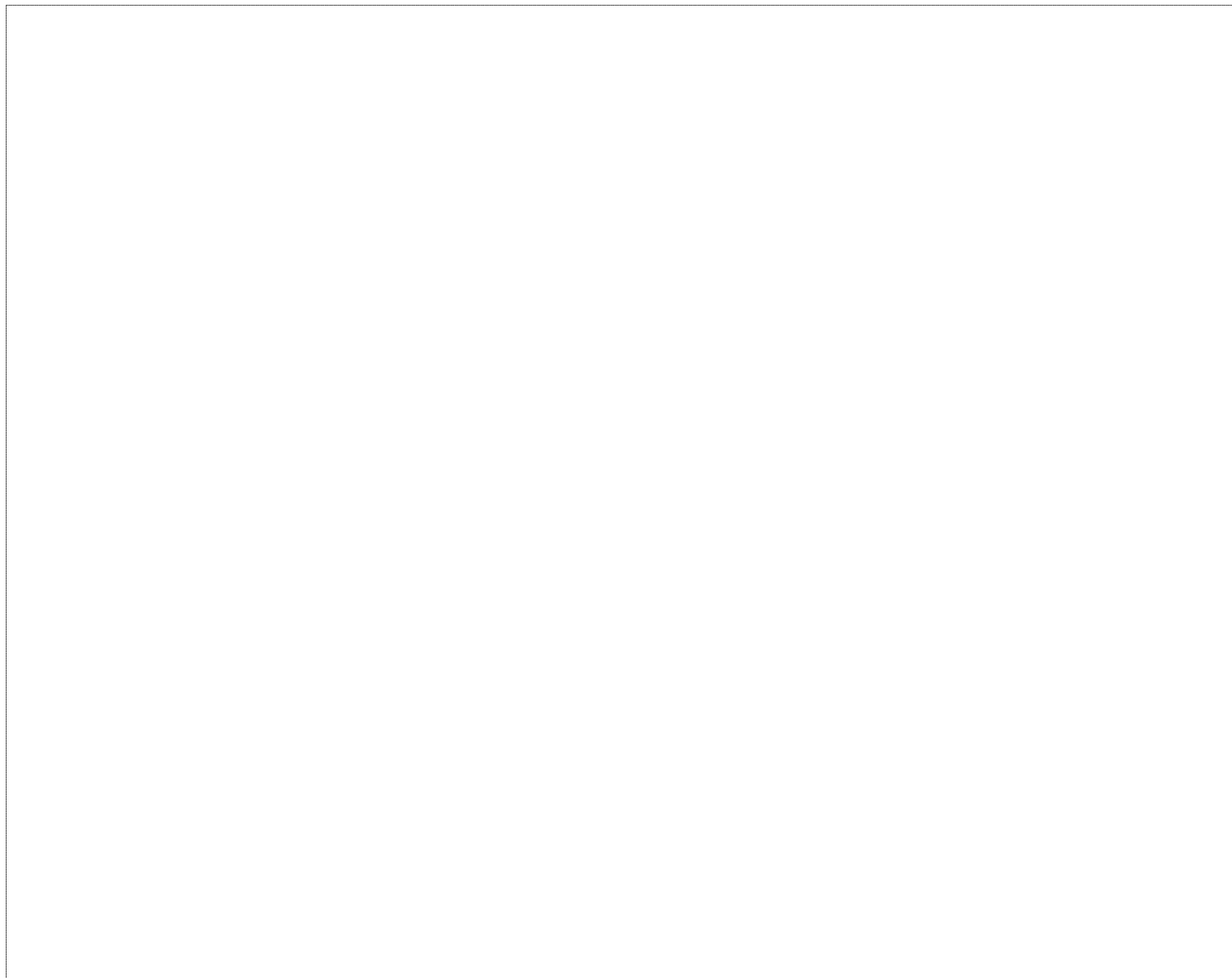


UNCLASSIFIED

RELEASE IN PART  
B5, B7(E)

**Q: Describe in more detail the training consular officers undergo prior to heading out into the field?**

B5  
B7(E)



**Q: How does the Department of States use technology to keep our borders safe?**



UNCLASSIFIED



UNCLASSIFIED

- 2 -

B5  
B7(E)

**Q: Explain the concept behind recurrent vetting and how that keeps our borders secure?**

UNCLASSIFIED

UNCLASSIFIED

- 3 -

B5  
B7(E)

Q: *How are you incorporating social media vetting into your work?*

Q: *What are you doing to ensure terrorists don't use student visas [and the EB-5 immigrant visa category] to infiltrate the United States?*

UNCLASSIFIED

UNCLASSIFIED

- 4 -

B5  
B7(E)

**Interview Waiver Program**

UNCLASSIFIED

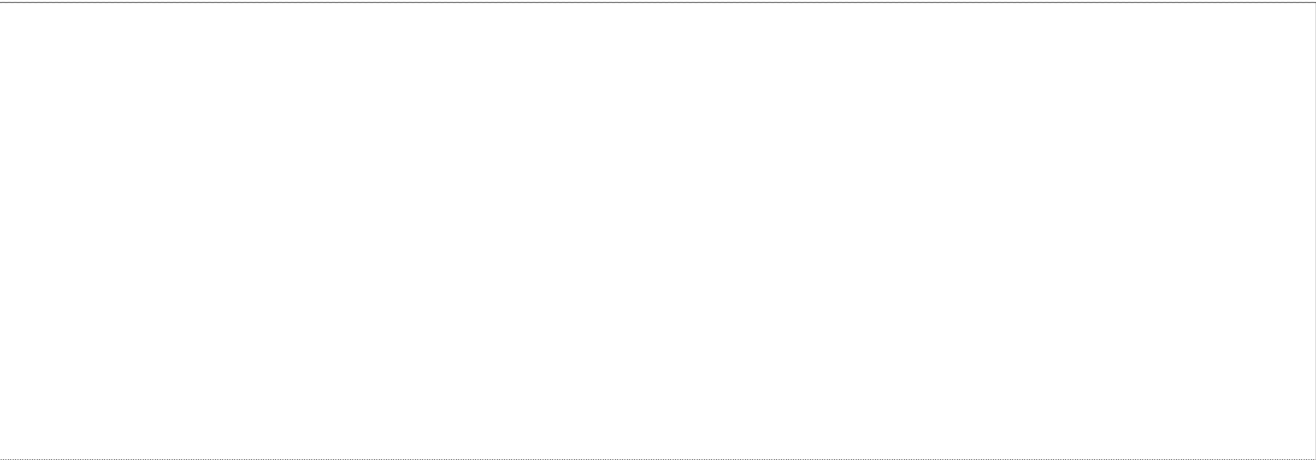
UNCLASSIFIED

- 5 -

B5  
B7(E)



**Consular Duties Overseas**



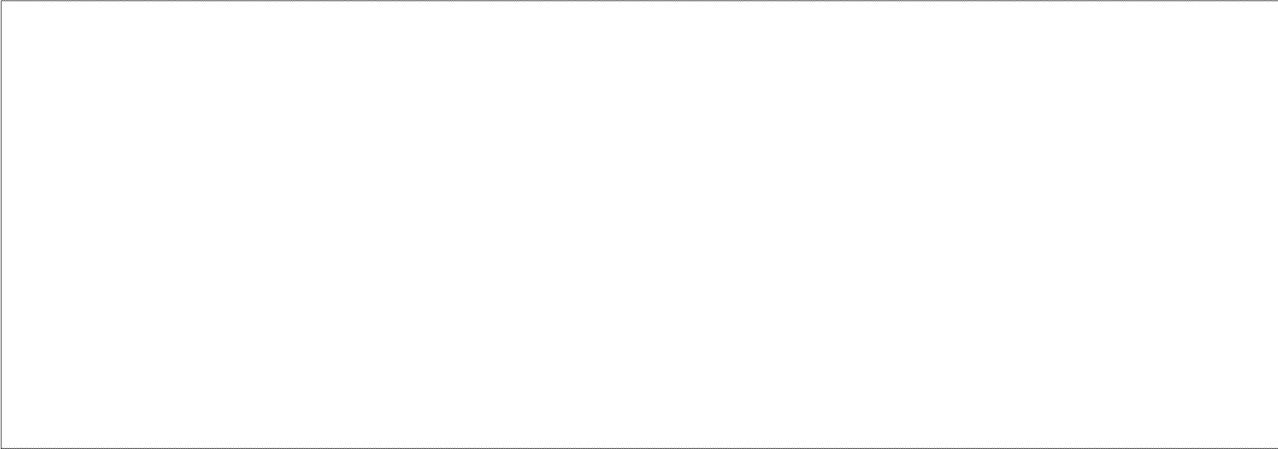
**Consular Workload Expectations**



UNCLASSIFIED

UNCLASSIFIED

- 6 -



B5  
B7(E)

UNCLASSIFIED

Approved: CA/VO: KKing (ok)

Drafted: CA/VO/F: Christine Parker  
CA/VO/SAC: Morgan Parker

Cleared: CA: LPetrush (ok)  
CA/VO/F: MMcEvoy (ok)  
CA/VO/SAC: TSmith (ok)  
CA/EX: MAMES \_\_\_\_\_ (ok)  
CA/EX/PAS: LBiedeback \_\_\_\_\_ (ok)



RELEASE IN PART  
B5

# DEPARTMENT OF STATE

WRITTEN STATEMENT

OF

DAVID T. DONAHUE

PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS

DEPARTMENT OF STATE

BEFORE THE

UNITED STATES SENATE

COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS

HEARING

ON

THE SECURITY OF U.S. VISA PROGRAMS

MARCH 15, 2016



**A Layered Approach to Visa Security**





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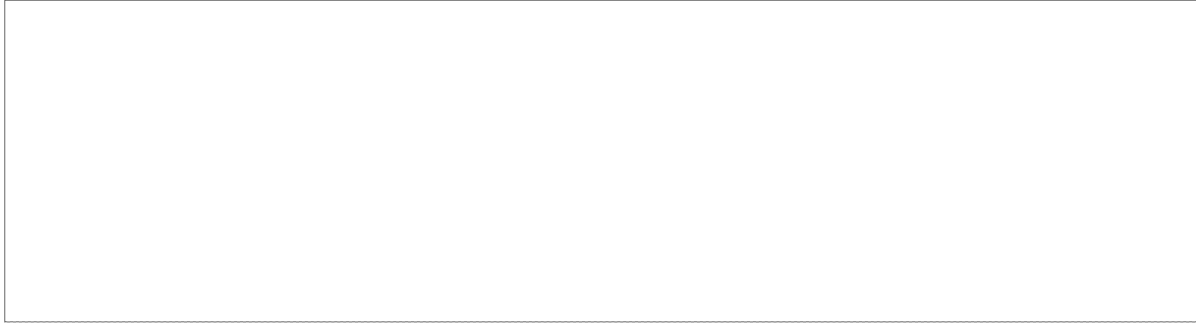
**Page Denied**

B5

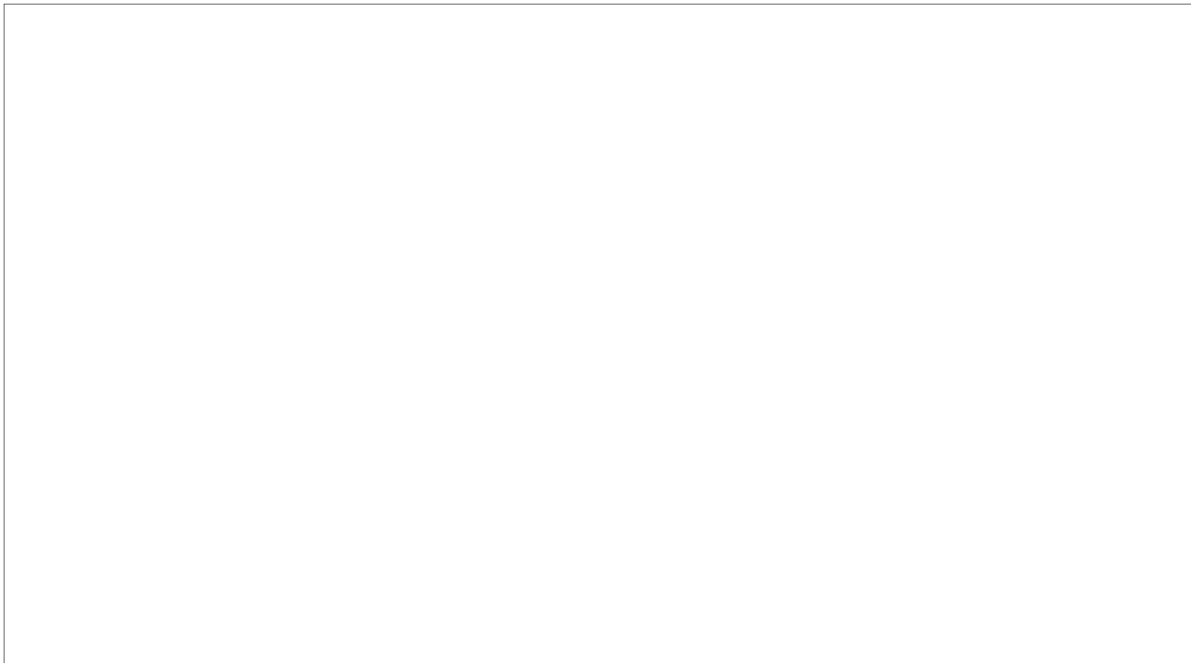


**Training**

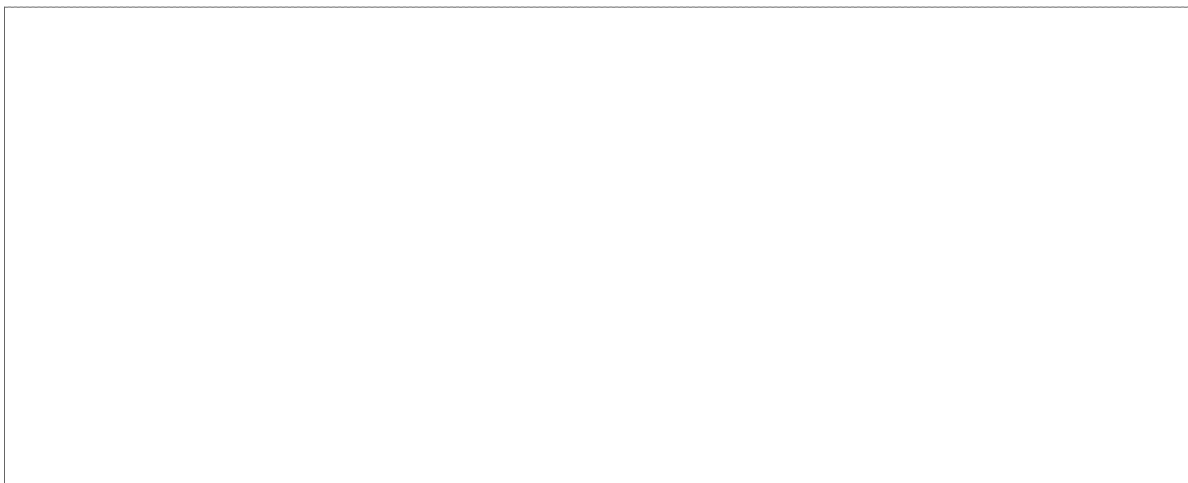




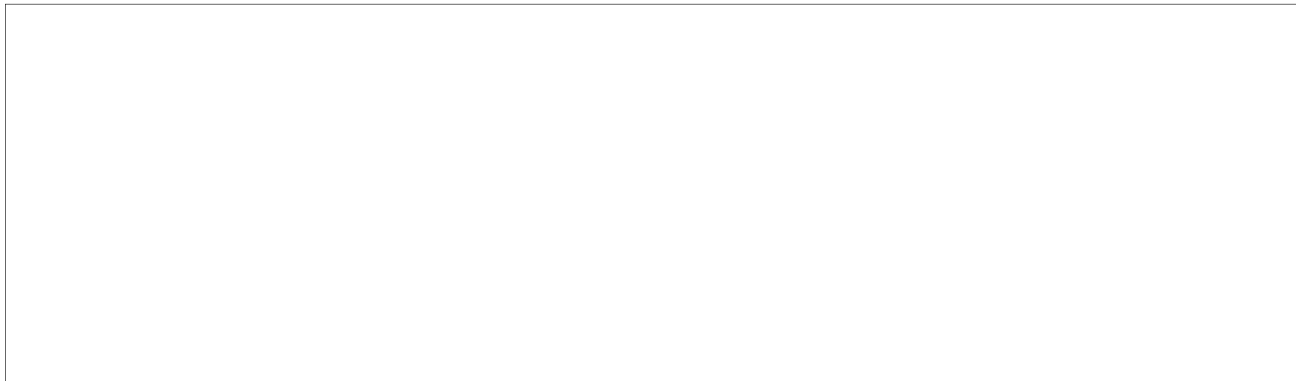
**Visas Viper Program**



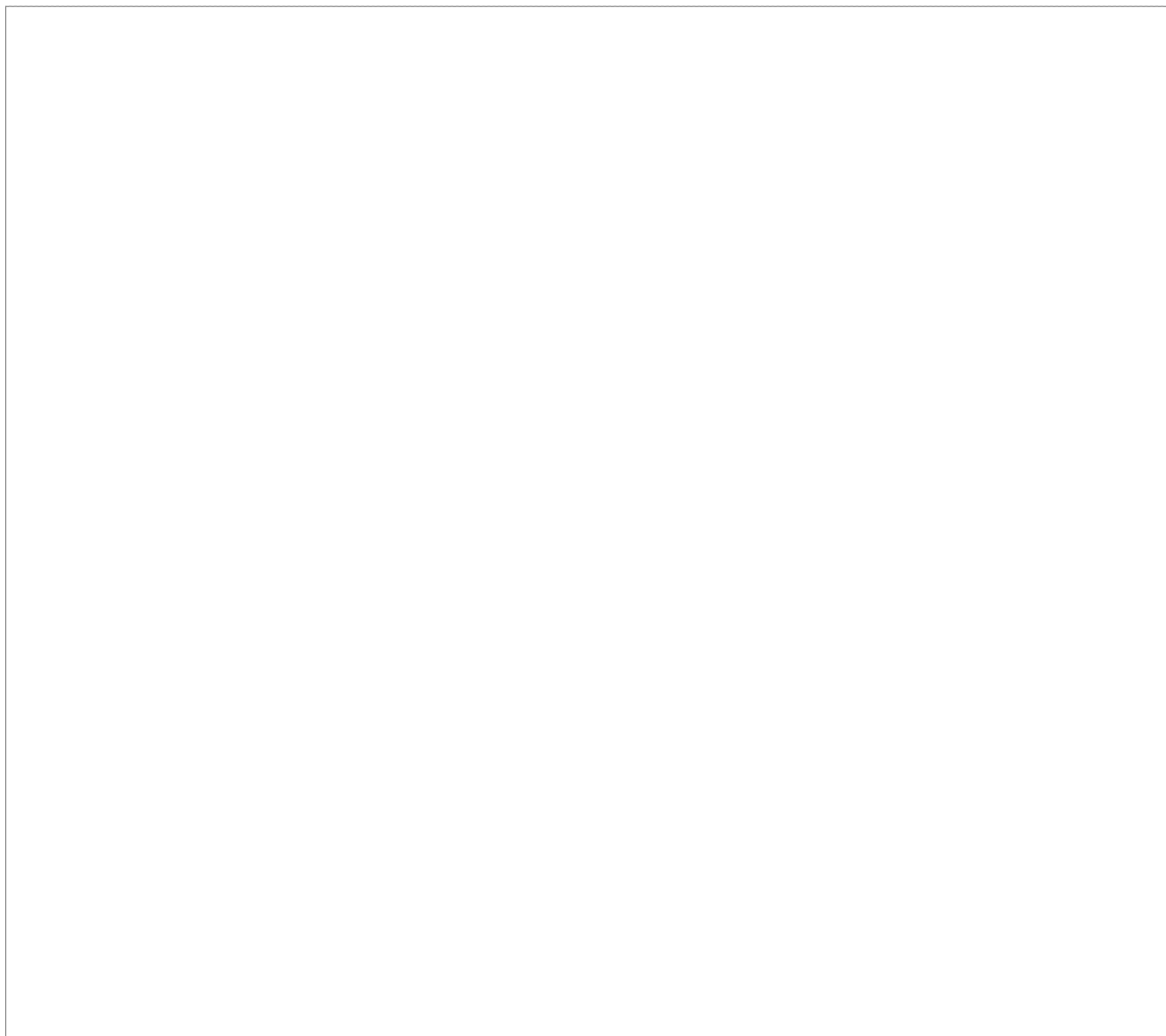
**Continuous Vetting and Visa Revocation**



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**Going Forward**



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**Page Denied**



Approved: David T. Donahue ( )

Drafted: \_\_\_CA/VO/SAC – Morgan Parker, exst 5-7418

Cleared: CA: LPetrush (ok)  
CA/VO: EJRamotowski (ok)  
CA/VO: KMKing (ok)  
CA/VO/F: CParker (ok)  
CA/P: TMazin (ok)  
H: JDeMaria (info)  
CA/VO/L:DNewman (ok)  
CA/VO/L/R: MHerndon (ok)  
CA/VO/L/R: ALage (ok)  
CA/VO/SAC: TSmith (ok)  
CA/FPP: SAshby (ok)



RELEASE IN PART  
B5

# DEPARTMENT OF STATE

ORAL STATEMENT  
OF  
DAVID T. DONAHUE  
PRINCIPAL DUPUTY ASSISTANT SECRETARY FOR CONSULAR  
AFFAIRS  
DEPARTMENT OF STATE  
BEFORE THE  
UNITED STATES SENATE  
COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL  
AFFAIRS  
HEARING  
ON  
THE SECURITY OF U.S. VISA PROGRAMS  
MARCH 15, 2016  
10:00 A.M.  
SD 342 DIRKSEN SENATE OFFICE BUILDING  
WASHINGTON, D.C.

UNCLASSIFIED

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Approved: CA:

(ok—)

Drafted: CA/VO/SAC – Morgan Parker, 202-485-7418

Cleared:

	<u>ok</u>
CA/VO: EJRamotowski	(ok)
CA/VO: KMKing	(ok)
CA/VO/F: CParker	(ok)
CA/P: TMazin	(ok)
H: JDeMaria	(info)
CA/VO/L:DNewman	(ok)
CA/VO/L/R: MHerndon	(ok)
CA/VO/L/R: ALage	(ok)
CA/VO/SAC:TSmith	(ok)
CA/FPP: SAshby	(ok)

**From:** Nantais, Joel D <NantaisJD@state.gov>  
**Sent:** Tuesday, May 10, 2016 12:45 PM  
**To:** Hoffmann, Erin R <HoffmannER@state.gov>  
**Cc:** Nantais, Joel D <NantaisJD@state.gov>  
**Subject:** RE: URGENT Clearance request -- for EH action NLT 10 am

---

RELEASE IN PART  
B5, B7(E)

Thank you, I hadn't heard about this one either.

SBU  
This email is UNCLASSIFIED.

---

**From:** Hoffmann, Erin R  
**Sent:** Tuesday, May 10, 2016 11:47 AM  
**To:** Nantais, Joel D  
**Subject:** FW: URGENT Clearance request -- for EH action NLT 10 am

Joel,

Here's the pilot I had heard about, not sure if you'd seen this already. I think Ben mentioned a separate effort he'd heard about, too, but I didn't get the details on that one.

- Erin

Erin R. Hoffmann  
Division Chief  
Counterterrorism Division (CA/VO/SAC/CT)  
Office of Screening, Analysis, and Coordination  
202.485.7475

SBU  
This email is UNCLASSIFIED.

---

**From:** Brenneman, Lisa M  
**Sent:** Thursday, May 05, 2016 8:12 AM  
**To:** Granger, Amy H  
**Cc:** Hoffmann, Erin R  
**Subject:** RE: URGENT Clearance request -- for EH action NLT 10 am

B5  
B7(E)

Here's the DAR I drafted after our meeting on Tuesday





B5  
B7(E)

Lisa Brenneman)

**From:** Nantais, Joel D <NantaisJD@state.gov>

RELEASE IN PART B3 INA,  
B6, B7(E)

**Sent:** Wednesday, May 4, 2016 12:41 PM

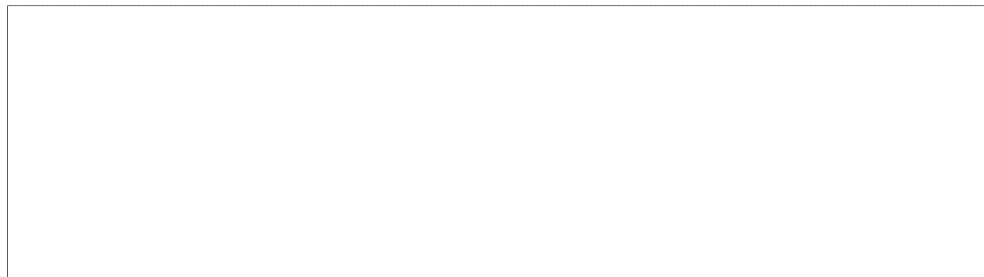
**To:** King, Karin M <KingKM@state.gov>

**Cc:** Nantais, Joel D <NantaisJD@state.gov>

B7(E)

**Subject:** SBU - Social Media Pilot

**Attach:**



B3 INA  
B6  
B7(E)

---

Karin,

Here are

B7(E)

**Joel Nantais**

Division Chief  
Field Operations Division, Visa Office (CA/VO/F)  
Bureau of Consular Affairs  
U.S. Department of State  
202-485-7581

SBU  
This email is UNCLASSIFIED.

**From:** Granger, Amy H <GrangerAH@state.gov>  
**Sent:** Wednesday, May 4, 2016 4:38 PM  
**To:** Nantais, Joel D <NantaisJD@state.gov>  
**Cc:** Snyder, Benjamin A <SnyderBA@state.gov>; Newman, David S <NewmanDS@state.gov>  
**Subject:** Social Media Pilot Briefing Paper [redacted]  
**Attach:** Social Media Pilot Review [redacted]

RELEASE IN PART  
B5, B7(E)

B7(E)

Joel,

B5  
B7(E)

[redacted]

Please let me know if you need anything from me.

Thanks,

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.

**From:** Nantais, Joel D <NantaisJD@state.gov>  
**Sent:** Tuesday, May 3, 2016 8:46 AM  
**To:** Snyder, Benjamin A <SnyderBA@state.gov>  
**Cc:** Parker, Morgan A <ParkerMA@state.gov>; Smith, Timothy W <SmithTW@state.gov>; Nantais, Joel D <NantaisJD@state.gov>  
**Subject:** Social Media Pilot Review for [redacted]  
**Attach:** Social Media Pilot Review for [redacted]

RELEASE IN PART  
B5, B7(E)

B7(E)

Ben,

I know you were in touch with Mike on the pilot review, [redacted]

[redacted] attached. [redacted]

Can you please take a look and clear on the [redacted]

B5  
B7(E)

Joel

This email is UNCLASSIFIED.

RELEASE IN PART  
B5, B7(E)

Tab 3

Review Process

B7(E)

Process:



B5  
B7(E)

**Page Denied**

RELEASE IN PART B3 INA, B5, B6, B7(E)

**From:** Hoffmann, Erin R  
**Sent:** Tue, 10 May 2016 17:23:30 -0400  
**To:** Nantais, Joel D  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King

B7(E)

**Attach:** Social Media Review Pilot - [redacted]

**Importance:** High

Here are some updates from Ben. However, there is one subsequent update - #2, [redacted]

B3 INA  
B5  
B6  
B7(E)

Erin R. Hoffmann  
Division Chief  
Counterterrorism Division (CA/VO/SAC/CT)  
Office of Screening, Analysis, and Coordination  
202.485.7475

SBU  
This email is UNCLASSIFIED.

**From:** Snyder, Benjamin A  
**Sent:** Tuesday, May 10, 2016 1:23 PM  
**To:** Hoffmann, Erin R; Brenneman, Lisa M; Nickeson, Jeffrey W; Cooperman, Emily C; Gholson, Wanda D  
**Cc:** Granger, Amy H; Snyder, Benjamin A  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

Hi Erin, edits included in track changes. Please let me know if you have any questions. [redacted]

B5  
B7(E)

Thanks

Ben

SBU  
This email is UNCLASSIFIED.

**From:** Hoffmann, Erin R  
**Sent:** Tuesday, May 10, 2016 1:14 PM  
**To:** Brenneman, Lisa M; Nickeson, Jeffrey W; Snyder, Benjamin A; Cooperman, Emily C; Gholson, Wanda D

**Cc:** Granger, Amy H  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

Update needed in the next hour: Is there any update to what Amy sent up to Karin last week (attached)?

[redacted]

B5  
B7(E)

Sorry for the short fuse request.  
- Erin

Erin R. Hoffmann  
Division Chief  
Counterterrorism Division (CA/VO/SAC/CT)  
Office of Screening, Analysis, and Coordination  
202.485.7475

This email is UNCLASSIFIED.

**From:** Nantais, Joel D  
**Sent:** Tuesday, May 10, 2016 11:42 AM  
**To:** Hoffmann, Erin R  
**Cc:** Nantais, Joel D  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

Erin,

Thank you for your help. Attached it the paper prepared last week.

[redacted]

[redacted]

B5  
B7(E)

Joel

This email is UNCLASSIFIED.

**From:** King, Karin M  
**Sent:** Thursday, May 05, 2016 2:12 PM  
**To:** Nantais, Joel D  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

*Karin King*  
*Managing Director*  
*Office of Visa Services, Bureau of Consular Affairs*



*U.S. Department of State*  
*(202) 485-7561*

**From:** CA-VO-Special Assistant  
**Sent:** Thursday, May 05, 2016 11:19 AM  
**To:** King, Karin M  
**Cc:** CA-VO-Special Assistant  
**Subject:** FW: URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

B7(E)

Hi Karin,

[redacted] Let us know if you need anything further [redacted]

Thanks,  
Larilyn

*Larilyn Reffett*  
Special Assistant  
Office of Visa Services  
SA-17, 202-485-7572

SBU  
This email is UNCLASSIFIED.

**From:** Granger, Amy H  
**Sent:** Thursday, May 05, 2016 11:13 AM  
**To:** CA-VO-Special Assistant  
**Subject:** URGENT! Social Media Pilot [redacted] -- for Karin King  
**Importance:** High

Special Assistants,

[redacted]

B5  
B7(E)

Thanks

Amy

Amy H. Granger

Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.

**From:** Snyder, Benjamin A  
**Sent:** Thursday, May 05, 2016 10:08 AM  
**To:** Parker, Morgan A  
**Cc:** Hoffmann, Erin R; Granger, Amy H  
**Subject:** FW: URGENT Clearance request -- for EH action NLT 10 am  
**Importance:** High

Morgan, could you please take a look at this social media doc for your time-sensitive clearance. Thanks, and sorry for loading this on you with such short notice-

Ben

SBU  
This email is UNCLASSIFIED.

**From:** Snyder, Benjamin A  
**Sent:** Thursday, May 05, 2016 9:49 AM  
**To:** Granger, Amy H; Hoffmann, Erin R  
**Subject:** FW: URGENT Clearance request -- for EH action NLT 10 am

Edits included in track changes.

B5  
B7(E)

Thanks!

Ben

**From:** Granger, Amy H  
**Sent:** Thursday, May 05, 2016 12:30 AM  
**To:** Hoffmann, Erin R  
**Cc:** Snyder, Benjamin A  
**Subject:** Fw: URGENT Clearance request -- for EH action NLT 10 am

Erin,

Can you assist with this urgent request [redacted]

[redacted] Karin asked for something on this subject by 10 am Thursday.

B5  
B7(E)

[redacted]

Would you mind sending this to the right folks, copying me, and asking them to get back to me by no later than 10:15 am, if possible? If you'll be busy, I'm happy to reach out myself--

Let me know is you have any questions.

Thanks!

- Amy

Sent from my BlackBerry 10 smartphone.

**From:** Granger, Amy H <[GrangerAH@state.gov](mailto:GrangerAH@state.gov)>

**Sent:** Wednesday, May 4, 2016 10:19 PM

**To:** Snyder, Benjamin A

**Subject:** URGENT Clearance request -- for BS clearance NLT 10 am

Ben,

[redacted] no later than 11 am. Can you review and clear the attached first thing on Thursday?

B7(E)

[redacted]

B5  
B7(E)

[redacted] Please feel free to comment/edit as you see fit.

**Could you please send to Morgan as soon as you've had a chance to review?**

Thanks,

Amy

Amy H. Granger  
Attorney Adviser – Screening and Coordination Division (CA/VO/SAC)  
Bureau of Consular Affairs - Visa Services  
U.S. Department of State  
Tel. (202)485-7417

SBU  
This email is UNCLASSIFIED.

**From:** Nantais, Joel D  
**Sent:** Wed, 25 May 2016 15:31:35 -0400  
**To:** Parker, Morgan A; Parker, Christine D  
**Cc:** Snyder, Benjamin A; Granger, Amy H; Nantais, Joel D  
**Subject:** RE: draft question Sen. Carper may ask tomorrow

RELEASE IN PART  
B5, B7(E)

Morgan,

We have some TPs regarding social media

[Redacted]

B5  
B7(E)

Joel

This email is UNCLASSIFIED.

**From:** Parker, Morgan A  
**Sent:** Wednesday, May 25, 2016 12:40 PM  
**To:** Nantais, Joel D; Parker, Christine D  
**Cc:** Snyder, Benjamin A; Granger, Amy H  
**Subject:** FW: draft question Sen. Carper may ask tomorrow

Amigos -

[Redacted]

**9. Social Media – Mayorkas**

**Secretary Mayorkas, we have heard from the federal law enforcement community that there is confusion over how and when federal law enforcement units can search and scrutinize publically available social media communications.**

**Does DHS have a uniform policy laying out how its law enforcement officers can search social media to help prevent against terrorist attacks?**

This email is UNCLASSIFIED.

**From:** Marsh, Denise M  
**Sent:** Wednesday, May 25, 2016 12:35 PM  
**To:** De Maria, Joseph; Parker, Morgan A  
**Subject:** FW: draft questiosn Sen. Carper may ask tomorrow

Ha! See below questions from Carper for tomorrow.

This email is UNCLASSIFIED.

**From:** Kramer, Rori A  
**Sent:** Wednesday, May 25, 2016 12:31 PM  
**To:** Marsh, Denise M; Jones, Jamal M; Wier, Anthony D  
**Subject:** Fw: draft questiosn Sen. Carper may ask tomorrow

FYI

**From:** Geer, Harlan (HSGAC) <[Harlan\\_Geer@hsgac.senate.gov](mailto:Harlan_Geer@hsgac.senate.gov)>  
**Sent:** Wednesday, May 25, 2016 12:18 PM  
**To:** Mueller, Jill; 'Ramanathan, Sue'; Kramer, Rori A  
**Subject:** draft questiosn Sen. Carper may ask tomorrow

**1. Root Causes of Terrorism – Siberell**

**Mr. Siberell, can you talk to us about what you believe to be some of the root causes of terrorism? What are the underlying factors causing people to join ISIS or Al-Qaeda and opting to carry out violence in these groups' names? What is the State Department doing to specifically address the root causes of terrorism?**

**2. Countering Violent Extremism - Mayorkas**

**Last fall, Secretary Johnson testified before our committee that the top threat to our homeland came from ISIS-inspired attacks perpetrated by self-radicalized individuals here in the United States. You reiterated that statement in your testimony.**

**The Fiscal Year 16 Omnibus Appropriations bill provided DHS with approximately \$10 million dollars for grants to counter violent extremism that is to be used over the next two fiscal years. \$10 million is not a lot of money, especially considering it's for stopping the top threat to our homeland. How is DHS going to ensure that it has ample resources to effectively deal with this threat and how can Congress help?**

**3. "Doxing" – Mayorkas**

**Secretary Mayorkas—in your testimony, you referenced a method by which homegrown extremists support ISIS's mission through online hacking. I think you called it "doxing". Can you explain to us in more detail how this works and how the Department can help counter this threat?**

#### **4. Visa Waiver Program and Fingerprints – Mayorkas**

Secretary Mayorkas, we have discussed in the past that the name of the Visa Waiver Program is potentially a misnomer. The name suggests that we are waiving security, which is not the case. The name itself has caused a lot of concern, and probably needs to be changed. In fact, the program allows us to forge stronger security partnerships with participating countries than we might otherwise have.

Another concern that I have heard voiced is the idea that the Visa Waiver program allows people to visit the United States without ever having had their finger prints collected. Is that true? Aren't we collecting the fingerprints of every foreign traveler at the U.S. port of entry?

#### **5. Foreign Fighter Surge Teams – Siberell**

Mr. Siberell, according to reports, the United States deployed a “foreign-fighter surge team” to Belgium before the Brussels terror attack to try to improve the ability of the Belgian government to effectively track terrorists. As I understand, this team is continuing to work with the Belgian authorities even after the attack.



**Can you describe for us the kinds of improvements this foreign-fighter surge team is helping the Belgians to implement? Additionally, is there a possibility for these teams to be deployed to other countries in Europe?**

**6. Pre-Checkpoint Security and Soft Targets -- Mayorkas**

**During the Brussels terror attacks, bombs killed people not on the airplane, not at the security checkpoint, but in front of several ticket counters. In every airport I've been in, the ticket counter is not part of a secured area. It's a public place.**

**Last month along with a number of my colleagues, I co-sponsored an amendment to the Senate FAA reauthorization bill that would increase the number of bomb-sniffing canines teams TSA is authorized to create, and explicitly allow grant funding to be used to improve security of "soft targets." I believe it passed 91 to 5. But it was only a first step.**

**How would we increase the security of traditionally unsecured areas of the airport and how would we do so in a way that does not further encumber the wait times of air travelers?**

**What steps has DHS taken toward this goal?**

**7. Screening of Airport Workers (both witnesses)**

**Secretary Mayorkas and Mr. Siberell: In the wake of the disappearance of the EgyptAir flight last week, reports surfaced that dozens of airport workers at the Charles De Gaulle airport in France had lost their security clearances over the past year for links to terrorist groups or evidence that the workers had engaged in extremist activities.**

**How do we help foreign airports protect against insider threats? Are DHS and the State Department actively engaged in training foreign security services on ways to counter insider threats at their airports?**

**8. American Foreign Fighters (both witnesses)**

**Last week, FBI Director Comey indicated that the rate at which Americans were leaving to join the ranks of ISIS and Al-Qaeda was dropping precipitously over the last nine months.**

**What is the cause for this drop? How much is the result of the combined efforts of DHS and the State Department to help stop American foreign fighter travel?**

**9. Social Media – Mayorkas**

**Secretary Mayorkas, we have heard from the federal law enforcement community that there is confusion over how and when federal law enforcement units can search and scrutinize publically available social media communications.**

**Does DHS have a uniform policy laying out how its law enforcement officers can search social media to help prevent against terrorist attacks?**

**10. Preclearance Operations**

**Secretary Mayorkas, another tool we have to increase our border security is preclearance programs, which allows CBP officers to conduct customs and immigration screening at certain international airports, before they set foot on a plane to the United States. This Committee recently helped pass legislation to expand DHS’s authority to set up these preclearance programs overseas. They are a convenience for many travelers but also another layer of homeland security.**

- **Can you discuss whether you think preclearance helps mitigate the risk of ISIS sympathizers or other threats from entering the United States?**
  
- **Has Congress now given you adequate tools to advance these preclearance operations programs?**

**From:** Dolce, Michael R  
**Sent:** Wed, 25 May 2016 09:32:34 -0400  
**To:** Nantais, Joel D  
**Subject:** Social Media REview

**RELEASE IN PART  
B5, B7(E)**

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**Attach:** Tab 2 Workload social media May 24.docx, IM on Social Media Review (FPP cleared).docx, Social Media IM - Tab 3 - FPP.DOCX, Copy of Social Media Pilot Tracker April 2 to April 15- twelfth and thir....xlsx

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At this point, we're missing Tab 1

B7(E)

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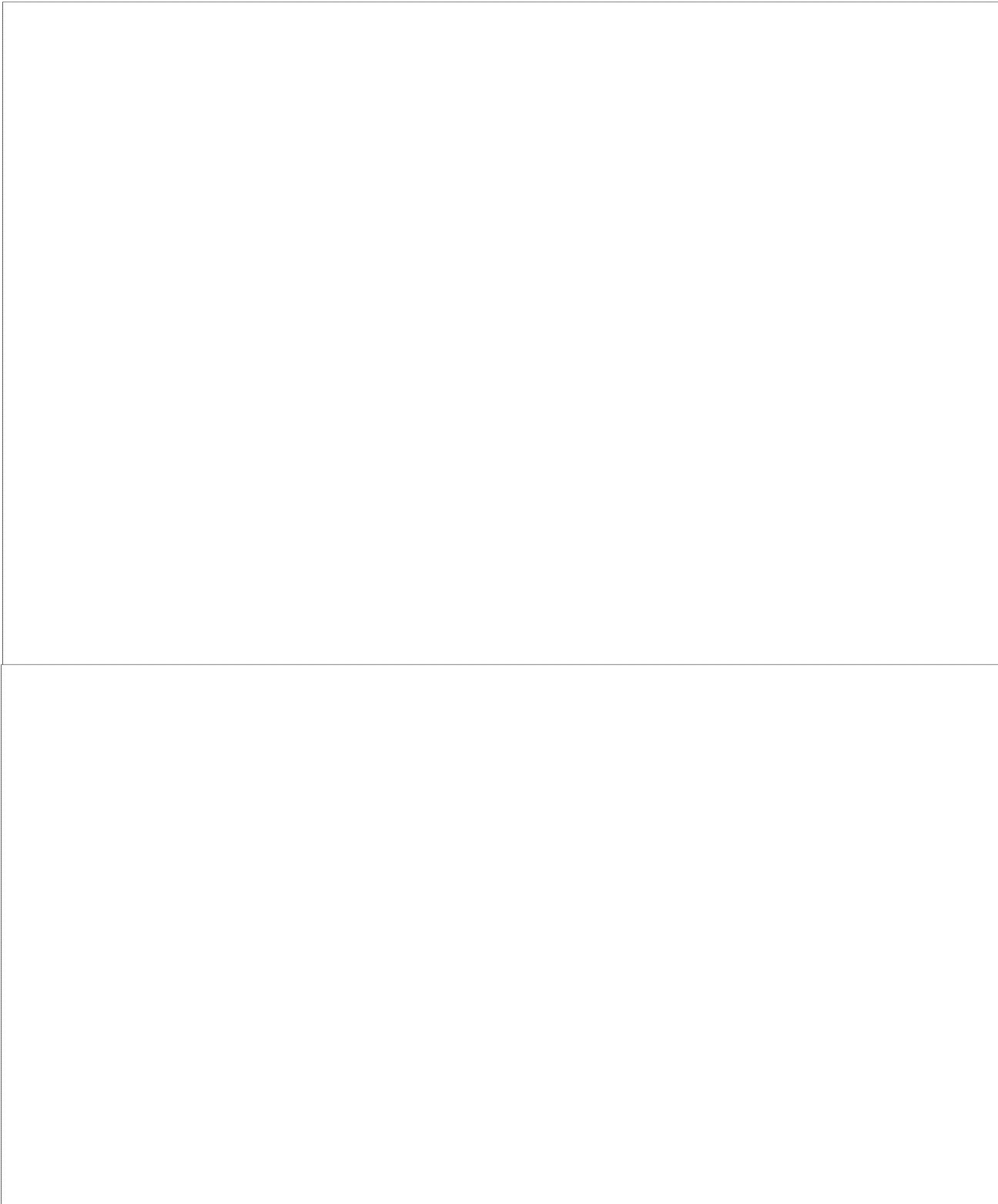
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B7(E)

Have you tried "Ask VO"? Find answers to your visa policy and procedure questions

Tab 2

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The Social Media Pilot Program's Process and Impact on Workload



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**United States Department of State**

*Washington, D.C. 20520*

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May XX, 2016

**INFO MEMO FOR ASSISTANT SECRETARY BOND – CA**

FROM: CA/VO – Edward Ramotowski  
CA/FPP – Josh Glazeroff

**RELEASE IN PART  
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Approved:

Drafted: CA/VO/F – Michael Dolce, ext. 5-7602 and cell:

B6

Cleared:

CA/EX –

CA/FPP: JGlazeroff (ok with edits)

CA/VO –

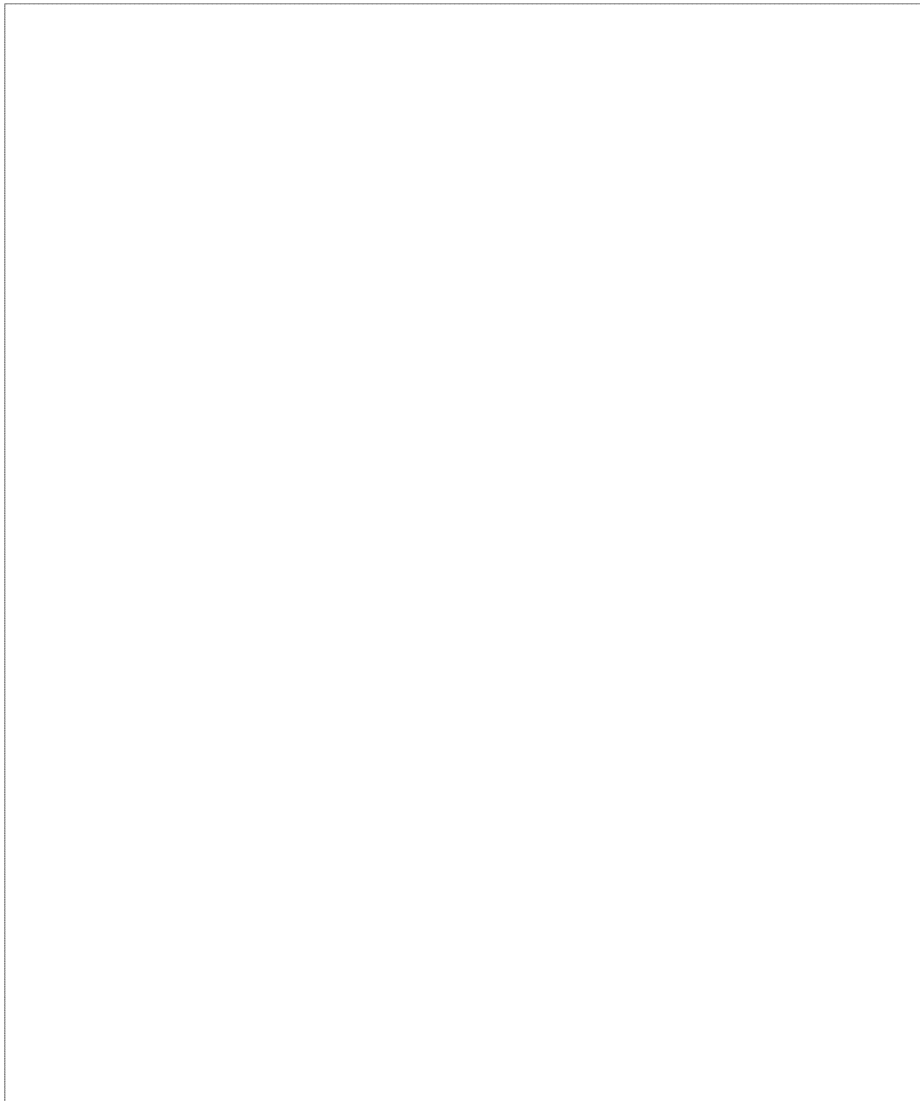
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Tab 3

– Review Process

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Process:



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