

Nationwide Suspicious Activity Reporting (SAR) Initiative



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NSI Implementation Steps

Webinar October 28, 2010
Director Tom O'Reilly



Overview



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- Review of NSI Implementation Strategy
- Five Key Steps for Implementation
 - ☐ Privacy
 - ☐ Site Plan
 - ☐ Participation Agreement
 - ☐ Training
 - ☐ Technology Solution
- NSI Myth busters
- NSI PMO support to your center

Lessons Learned from the EE



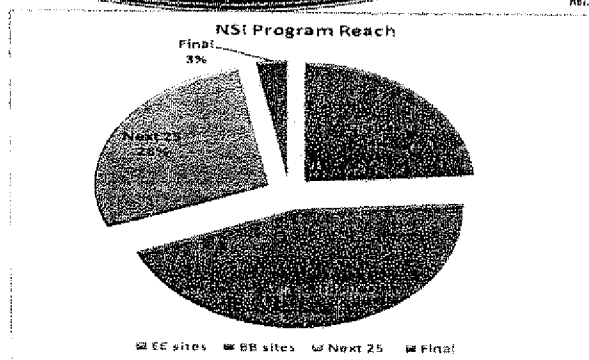
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- The first 12 sites included Major Cities and State Fusion Centers. All encountered some difficulties and also experienced significant successes:
 - Privacy policy development issues
 - Training
 - Marketing
 - Buy-in and governance from Executive Leadership
- The collective lessons from this have been captured to benefit other sites coming on board the NSI - known as the Site Plan
- Each plan is driven by the unique needs and requirements of the jurisdiction

NSI Progression



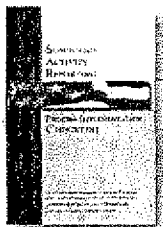
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Getting Involved With the NSI— Five Key Steps



1. **Privacy Policy** – A review team will provide assistance with drafting and completion of a policy
2. **State/Site Plan** – Continuous support from a mentor assigned to help the site work through the issues of NSI implementation
3. **Participation Agreement** – Simple acknowledgement of services to be provided, no formal MOU is required
4. **Training** – Material for all levels of training are provided along with an in-person delivery of analyst training
5. **Technology Solution** – A support team is provided to implement the technical solution of your choosing



Privacy Policy



- All fusion centers and other sites participating in the NSI are required to have an approved NSI-specific or comprehensive privacy policy that is "at least as comprehensive" as the ISE Privacy Guidelines (<http://www.ise.gov/docs/privacy/PrivacyGuidelines20061204.pdf>)
- For further information, contact Mr. John Wilson at (202) 429-2712 or johnwilson@iir.com.
- Once you have drafted your privacy policy, it should be submitted to the Bureau of Justice Assistance (BJA)-sponsored Privacy Policy Review Team for review, c/o Ms. Christina Abernathy at cabernathy@iir.com.

The Site Plan



- The purpose of the plan is the identification of the technical, functional, management, and fiscal resources needed to fully implement the SAR process in a state or region.
- The plan is a way to memorialize the strategy by which your agency will implement the workflow associated with the SAR process
- It should leverage your current policies regarding SAR and fill gaps where they exist
- A template and guiding questions will be provided

What should your Site Plan include?



- Description of the buy-in and support from the agency leadership.
- Description of how the state and regional fusion centers will interact with local law enforcement agencies. In states with multiple fusion centers, this issue should be addressed.
- How the SAR process will be governed.
- Training plan to address SAR training to fusion staff/ILO/TLOS as well as local agencies - SAR Line Officer Training efforts
- Outreach efforts to the stakeholders.
- How the information will be analyzed and reviewed.
- An explanation of the technology to be used in SAR processing

Participation Agreement



- The participation agreement is in the form of a letter, which is sent to the fusion center director and requires a response to Mr. Tom O'Reilly, Director of the NSI PMO.
- It will be provided to you by your mentor during a site visit or sent to you electronically.
- The agreement specifies the roles and responsibilities for the NSI PMO in support of your agency, as well as the specific obligations and responsibilities of the agency participating in NSI.

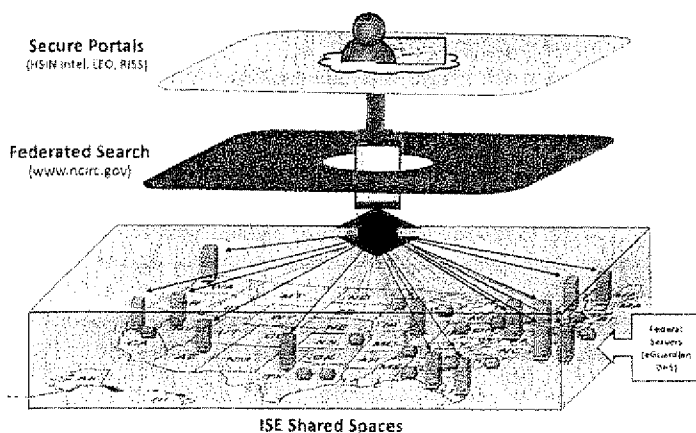
SAR Training



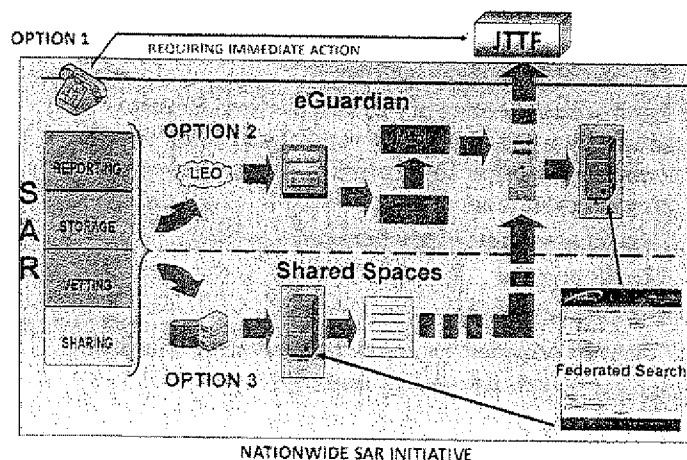
- Three Levels
 - Executive briefing – covered during the “kick off” meeting
 - Analytic/Vetting
 - Line Officer
- Line Officer materials
 - CD
 - Brochure
 - Half card/visor card
- LEAPS.TV and MIPT options
- Reporting Metrics
- NSI Web-site
<http://nsi.ncirc.gov/sartraining>



Technology Behind the NSI



Sharing SAR Information



One NSI—eGuardian and Shared Space



- Interagency staff provide support to the PMO
- Requirements for use of either system by fusion centers are the same
 - Privacy policy, vetting training, line officer training
- The FBI's eGuardian program and the ISE-SAR Shared Space servers are both components of the NSI
 - The process for gathering, assessing, and sharing the information is the same for both systems
- Users who place SAR data into their Shared Space server can tag the data to be uploaded into eGuardian



NSI Implementation Process



1. Identify your current SAR process and receive a briefing on NSI implementation with NSI mentor and staff – Orientation call and subsequent calls
2. Get input from appropriate partners
3. Seek support for draft site plan from command
4. Submit site plan to NSI
5. Ensure privacy policy with SAR provisions is completed and submitted for review/approval
6. Acknowledge by email the participation agreement

The Steps continued



7. Assist with a meeting of relevant parties: Fusion governance board, JTTF/FBI reps, state chiefs/sheriffs associations, other key leaders to get consensus on plan – Kickoff meeting
8. Technology solution implemented
9. Analyst training
10. Line Officer training
11. Materials provided for additional executive briefings
12. Follow up with NSI mentor and staff

NSI Myth Busters



- Participation in NSI should leverage existing resources – it should not cost you \$\$\$
- Participation in NSI actually provides standards and training to what you are currently doing – it will not open the door for public criticism.
- Participation in NSI will enhance your agency awareness of privacy, civil rights and civil liberties concerns – it will not provide a venue for profiling
- Participation in NSI is critical to intelligence led policing – it will not detract from your investigative capabilities.

What can you expect from NSI staff



- Continuous support from a mentor assigned to you to help work through the issues of your site plan
- NSI team members on site to provide assistance
- Technical support to help implement your technical solutions
- Privacy Policy Review Team to provide assistance with drafting and completion of privacy policy
- Training materials for all levels of training and in-person delivery of analyst training

Questions?

Visit nsi.ncirc.gov for more information