

Department of Homeland Security
United States Citizenship and Immigration Services
Budget Overview



Fiscal Year 2019
Congressional Justification

Table of Contents

<i>United States Citizenship and Immigration Services</i>	1
Appropriation Organization Structure	3
Strategic Context	4
Budget Comparison and Adjustments	8
Personnel Compensation and Benefits	11
Non Pay Budget Exhibits	12
Supplemental Budget Justification Exhibits	14

United States Citizenship and Immigration Services

Appropriation Organization Structure

Organization Name	Level	Fund Type (* Includes Defense Funding)
United States Citizenship and Immigration Services	Component	
Operations and Support	Appropriation	
Employment Status Verification	PPA	Discretionary - Appropriation
Procurement, Construction, and Improvements	Appropriation	Discretionary - Appropriation
Immigration Examinations Fee Account	Appropriation	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum, Refugee and International Operations	PPA	Mandatory - Fee
Records Operations	PPA	Mandatory - Fee
Premium Processing (Including Transformation)	PPA	Mandatory - Fee
Information and Customer Services	PPA	Mandatory - Fee
Administration	PPA	Mandatory - Fee
Systematic Alien Verification for Entitlements (SAVE)	PPA	Mandatory - Fee
H-1B Nonimmigrant Petitioner Account	Appropriation	
Service Center Operations	PPA	Mandatory - Fee
Fraud Prevention and Detection Account	Appropriation	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum, Refugee and International Operations	PPA	Mandatory - Fee

**United States Citizenship and Immigration Services
Strategic Context**

Component Overview

The strategic context presents the performance budget by tying together strategy, budget resource requests, programs, or PPAs, and performance measures that gauge the delivery of results to our stakeholders. The Common Appropriation Structure (CAS) allows DHS to integrate the strategic programmatic view with our budget view of resources. With this structure, a significant portion of the Level 1 PPAs represent what DHS refers to as our mission programs. A mission program is a group of activities acting together to accomplish a specific high-level outcome external to DHS and include operational processes, skills, technology, human capital, and other resources. USCIS’s mission programs are presented below. Performance measures associated with our mission programs are presented in two measure sets, strategic and management measures. Strategic measures communicate results delivered for our agency goals by our mission programs and are considered our Government Performance and Results Act Modernization Act of 2010 (GPRAMA) measures. Additional management measures are displayed to provide a more thorough context of expected mission program performance for the Component related to its budgetary plans.

Employment Status Verification: The Employment Status Verification (E-verify) program enables authorized employers to quickly and easily verify the work authorization of their newly hired employees. E-Verify is an Internet-based system that compares information from an employee's Form I-9, Employment Eligibility Verification, to data from U.S. Department of Homeland Security and Social Security Administration records to confirm employment eligibility within seconds.

Strategic Measures

Measure: Percent of workers determined to be "Employment Authorized" after an initial mismatch						
Description: This measure assesses the accuracy of the E-verify process by assessing the percent of employment verification requests that are not positively resolved at time of initial review.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	<=1.00%	<=0.90%	<=0.80%	<=0.70%	<=0.60%	<=0.50%
Result:	0.19%	0.17%	0.16%	.15%	TBD	TBD

Fraud Prevention and Detection Account: The Fraud Prevention and Detection Account supports activities related to preventing and detecting fraud in the delivery of all immigration benefit types. The program leads efforts to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systemic and other vulnerabilities.

Strategic Measures

Measure: Percent of applications for citizenship and immigration benefits not approved following a potential finding of fraud						
Description: This measure reflects the agency's capacity to prevent fraud, abuse, and exploitation of the immigration system, and address systemic vulnerabilities that threaten its integrity. By not approving (denial, abandonment, withdrawal, etc.) benefits to individuals potentially attempting to commit fraud and who were not eligible for a waiver or exemptions, USCIS is actively eliminating vulnerabilities, and identifying ways to continue to deter and prevent fraud in the future. As a result, those instances where benefits are approved should be very low.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	---	---	90.0%	90.0%	90.0%	90.0%
Result:	---	---	91.3%	91.7%	TBD	TBD

Immigration Examinations Fee Account: The Immigration Examinations Fee Account (IEFA) is the primary funding source for USCIS. Fees collected from immigration benefit applications and petitions are deposited into IEFA and are used to fund the cost of processing immigration benefit applications and associated support benefits, as well as to cover the cost of processing similar benefit requests for applicants without charge, such as refugee and asylum applicants.

Strategic Measures

Measure: Average of processing cycle time (in months) for adjustment of status to permanent resident applications (I-485)						
Description: An I-485, Application to Register for Permanent Residence or Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications and petitions by Center or Field Office.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0
Result:	6.0	6.4	6.9	9.3	TBD	TBD

Measure: Average of processing cycle time (in months) for naturalization applications (N-400)						
Description: An N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications by Center or Field Office.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0
Result:	5.5	5.0	5.8	8.6	TBD	TBD

Measure: Percent of customers satisfied with the citizenship and immigration-related support received from the National Customer Service Center						
Description: This measure gauges the overall customer rating of the support received from the National Customer Service Center. This measure is based on the results from the following areas: 1) Accuracy of information; 2) Responsiveness to customer inquiries; 3) Accessibility to information; and 4) Customer satisfaction.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	85%	85%	85%	85%	85%	85%
Result:	86%	88%	85%	84%	TBD	TBD

Measure: Percent of students enrolled in classes under the Citizenship and Integration Grant Program that show educational gains						
Description: This measure reports on the success of grant recipients to increase knowledge of English necessary for students receiving services under the program to pass the naturalization test. Under the Citizenship and Integration Grant Program, grant recipients are required to use a nationally normed standardized test of English language proficiency for student placement and assessment of progress. This measure evaluates the percentage of students receiving these services who demonstrate an increase in score						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	---	80%	80%	80%	80%	80%
Result:	---	75%	75%	75%	TBD	TBD

Management Measures

Measure: Accuracy rate of USCIS's processing of manual verifications for Systematic Alien Verification for Entitlements (SAVE) referrals						
Description: The measure tracks the accuracy of SAVE manual verifications using a quality review which is a monthly review of verification work performed by Status Verifiers (SV) to determine whether SAVE referrals are resolved correctly. Specifically, they determine whether the response provided to by USCIS reflects the immigration status on record for persons seeking benefits from other governmental agencies using the SAVE program.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	98.2%	98.4%	98.6%	98.8%	99.0%	99.0%
Result:	99.2%	99.1%	99.3%	99.6%	TBD	TBD

Measure: Average online Citizenship Resource Center customer satisfaction rate						
Description: This measure tracks how well customers are satisfied with the Citizenship Resource Center (CRC). The CRC is a newly developed, free and easy-to-use website that helps immigrants better understand the citizenship process and gain the necessary skills required to be successful during the naturalization interview and test. It provides citizenship applicants, educators and immigrant-serving organizations with a one-stop portal for citizenship information and resources. It was established with appropriated FY2010 Immigrant Integration dollars and is the landing portal for our appropriations-funded Citizenship Public Education and Awareness Initiative.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019

Measure: Average online Citizenship Resource Center customer satisfaction rate						
Target:	85%	85%	85%	85%	85%	85%
Result:	84%	84%	85%	84%	TBD	TBD

Measure: Percent of approved applications for naturalization that were appropriately decided						
Description: A N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final decisions on approved N-400 applications. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the N-400 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	99%	99%	99%	99%	99%	99%
Result:	99%	99%	99%	99%	TBD	TBD

Measure: Percent of approved applications for permanent residence that were appropriately decided						
Description: An I-485, Application to Register for Permanent Residence or to Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final approved decisions. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the I-485 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
Fiscal Year:	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Target:	99%	99%	99%	99%	99%	99%
Result:	99%	99%	99%	99%	TBD	TBD

**United States Citizenship and Immigration Services
Budget Comparison and Adjustments**

Budget Comparison with FY 2018 Annualized CR

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 Annualized CR	FY 2018 President's Budget	FY 2019 President's Budget
Operations and Support	\$103,912	\$103,206	\$108,856	\$109,081
Employment Status Verification	\$103,912	\$103,206	\$108,856	\$109,081
Procurement, Construction, and Improvements	\$15,227	\$15,124	\$22,657	\$22,838
Verification Modernization (VER)	\$15,227	\$15,124	\$22,657	\$22,838
Immigration Examinations Fee Account	\$3,998,497	\$3,998,497	\$4,228,339	\$4,525,350
District Operations	\$1,675,716	\$1,675,716	\$1,756,407	\$1,938,508
Service Center Operations	\$609,367	\$609,367	\$649,461	\$767,263
Asylum, Refugee and International Operations	\$358,474	\$358,474	\$399,882	\$356,206
Records Operations	\$133,509	\$133,509	\$135,477	\$150,941
Premium Processing (Including Transformation)	\$573,976	\$573,976	\$620,829	\$643,423
Information and Customer Services	\$103,625	\$103,625	\$109,445	\$112,493
Administration	\$509,420	\$509,420	\$522,010	\$519,002
Systematic Alien Verification for Entitlements (SAVE)	\$34,410	\$34,410	\$34,828	\$37,514
H-1B Nonimmigrant Petitioner Account	\$15,000	\$15,000	\$15,000	\$15,000
Service Center Operations	\$15,000	\$15,000	\$15,000	\$15,000
Fraud Prevention and Detection Account	\$46,728	\$46,728	\$67,187	\$47,301
District Operations	\$26,789	\$26,789	\$45,101	\$27,178
Service Center Operations	\$19,631	\$19,631	\$21,778	\$19,815
Asylum, Refugee and International Operations	\$308	\$308	\$308	\$308
Total	\$4,179,364	\$4,178,555	\$4,442,039	\$4,719,570

**United States Citizenship and Immigration Services
Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225
Procurement, Construction, and Improvements	-	-	\$15,227	-	-	\$22,657	-	-	\$22,838	-	-	\$181
Immigration Examinations Fee Account	17,586	16,708	\$3,998,497	17,592	16,713	\$4,228,339	18,866	17,924	\$4,525,350	1,274	1,211	\$297,011
H-1B Nonimmigrant Petitioner Account	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Fraud Prevention and Detection Account	185	185	\$46,728	185	185	\$67,187	185	176	\$47,301	-	(9)	(\$19,886)
Total	18,190	17,291	\$4,179,364	18,196	17,296	\$4,442,039	19,470	18,498	\$4,719,570	1,274	1,202	\$277,531
Subtotal Discretionary - Appropriation	419	398	\$119,139	419	398	\$131,513	419	398	\$131,919	-	-	\$406
Subtotal Mandatory - Fee	17,771	16,893	\$4,060,225	17,777	16,898	\$4,310,526	19,051	18,100	\$4,587,651	1,274	1,202	\$277,125

**United States Citizenship and Immigration Services
Comparison of Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$4,179,364	\$4,442,039	\$4,719,570
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$980,161	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$5,159,525	\$4,442,039	\$4,719,570
Collections – Reimbursable Resources	\$41,058	\$41,058	\$45,319
Total Budget Resources	\$5,200,583	\$4,483,097	\$4,764,889
Obligations (Actual/Projections/Estimates)	\$3,942,237	\$4,483,097	\$4,764,889
Personnel: Positions and FTE			
Enacted/Request Positions	18,190	18,196	19,470
Enacted/Request FTE	17,291	17,296	18,498
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	16,738	18,196	19,470
FTE (Actual/Estimates/Projections)	15,784	17,296	18,498

**United States Citizenship and Immigration Services
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Immigration Examinations Fee Account	17,586	16,708	\$1,881,861	\$112.58	17,592	16,713	\$1,988,132	\$118.9	18,866	17,924	\$2,266,693	\$126.41	1,274	1,211	\$278,561	\$7.51
Fraud Prevention and Detection Account	185	185	\$23,567	\$127.39	185	185	\$24,027	\$129.88	185	176	\$24,141	\$137.16	-	(9)	\$114	\$7.28
Total	18,190	17,291	\$1,952,625	\$112.88	18,196	17,296	\$2,059,601	\$119.03	19,470	18,498	\$2,338,501	\$126.37	1,274	1,202	\$278,900	\$7.34
Discretionary - Appropriation	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Mandatory - Fee	17,771	16,893	\$1,905,428	\$112.74	17,777	16,898	\$2,012,159	\$119.02	19,051	18,100	\$2,290,834	\$126.51	1,274	1,202	\$278,675	\$7.49

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$1,380,930	\$1,456,729	\$1,627,023	\$170,294
11.3 Other than Full-Time Permanent	\$12,999	\$13,125	\$14,101	\$976
11.5 Other Personnel Compensation	\$78,777	\$83,267	\$131,120	\$47,853
12.1 Civilian Personnel Benefits	\$479,026	\$505,537	\$565,270	\$59,733
13.0 Benefits for Former Personnel	\$893	\$943	\$987	\$44
Total - Personnel Compensation and Benefits	\$1,952,625	\$2,059,601	\$2,338,501	\$278,900
Positions and FTE				
Positions - Civilian	18,190	18,196	19,470	1,274
FTE - Civilian	17,291	17,296	18,498	1,202

**United States Citizenship and Immigration Services
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Operations and Support	\$56,715	\$61,414	\$61,414	-
Procurement, Construction, and Improvements	\$15,227	\$22,657	\$22,838	\$181
Immigration Examinations Fee Account	\$2,116,636	\$2,240,207	\$2,258,657	\$18,450
H-1B Nonimmigrant Petitioner Account	\$15,000	\$15,000	\$15,000	-
Fraud Prevention and Detection Account	\$23,161	\$43,160	\$23,160	(\$20,000)
Total	\$2,226,739	\$2,382,438	\$2,381,069	(\$1,369)
Discretionary - Appropriation	\$71,942	\$84,071	\$84,252	\$181
Mandatory - Fee	\$2,154,797	\$2,298,367	\$2,296,817	(\$1,550)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$29,912	\$58,767	\$35,918	(\$22,849)
22.0 Transportation of Things	\$16,594	\$16,768	\$15,160	(\$1,608)
23.1 Rental Payments to GSA	\$243,104	\$248,394	\$262,681	\$14,287
23.2 Rental Payments to Others	\$7,420	\$7,480	\$5,165	(\$2,315)
23.3 Communications, Utilities, and Misc. Charges	\$107,500	\$111,633	\$110,269	(\$1,364)
24.0 Printing and Reproduction	\$10,326	\$10,863	\$11,019	\$156
25.1 Advisory and Assistance Services	\$894,625	\$964,396	\$978,430	\$14,034
25.2 Other Services from Non-Federal Sources	\$250,129	\$256,960	\$255,842	(\$1,118)
25.3 Other Goods and Services from Federal Sources	\$308,591	\$320,992	\$318,135	(\$2,857)
25.4 Operation and Maintenance of Facilities	\$762	\$777	\$793	\$16
25.7 Operation and Maintenance of Equipment	\$138,773	\$148,446	\$152,867	\$4,421
26.0 Supplies and Materials	\$34,483	\$37,779	\$37,363	(\$416)
31.0 Equipment	\$127,951	\$134,163	\$138,310	\$4,147
32.0 Land and Structures	\$33,554	\$34,657	\$35,002	\$345
41.0 Grants, Subsidies, and Contributions	\$20,805	\$28,150	\$22,000	(\$6,150)
42.0 Insurance Claims and Indemnities	\$2,210	\$2,213	\$2,115	(\$98)
Total - Non Pay Object Classes	\$2,226,739	\$2,382,438	\$2,381,069	(\$1,369)

**United States Citizenship and Immigration Services
Supplemental Budget Justification Exhibits**

Working Capital Fund

Appropriation and PPA <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget
Operations and Support	\$618	\$4,475	\$1,211
Employment Status Verification	\$618	\$4,475	\$1,211
Immigration Examinations Fee Account	\$21,268	\$24,381	\$13,621
District Operations	\$3,890	\$4,460	\$2,492
Service Center Operations	\$5,797	\$6,646	\$3,713
Asylum, Refugee and International Operations	\$5,676	\$6,506	\$3,635
Information and Customer Services	\$2,575	\$2,952	\$1,649
Administration	\$2,993	\$3,430	\$1,916
Systematic Alien Verification for Entitlements (SAVE)	\$337	\$387	\$216
Total Working Capital Fund	\$21,886	\$28,856	\$14,832

United States Citizenship and Immigration Services
Status of Congressionally Requested Studies, Reports and Evaluations

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2017	8/3/2017	Senate Report 114-264, pg. 125	Report on the policies and provide data on the use of fee waivers during the last four fiscal years within 90 days of the date of enactment of the act.	Submitted
2017	8/3/2017	Senate Report 114-264, pg. 123	Continue reporting on compliance review site visits on an annual basis, as described in Senate Report 144-68. The committee expressed disappointment that USCIS has not submitted the required H-1B and L reports, which were required in the FY 2016 appropriation report.	Submitted
2017	9/5/2017	Senate Report 114-264, pg. 124	Submit a report within 120 days of the date of enactment of the act that identifies since 2001: (1) the total number of individuals who were admitted to the United States as refugees, or who were granted asylee status, who were subsequently identified as having an affiliation with terrorism in any manner; (2) the total number of children of these individuals who were subsequently identified as having an affiliation with terrorism in any manner; (3) the total number of individuals who were admitted to the United States as refugees, or who were granted asylee status who were subsequently arrested or convicted for any criminal offense in the United States; (4) the total number of individuals who were admitted to the United States as refugees, or who were granted asylee status who subsequently adjusted to lawful permanent resident status with a waiver granted under section 209(c)	Under Evaluation

Department of Homeland Security

United States Citizenship and Immigration Services

			of the Immigration and Nationality Act (8 U.S.C. 1109(c)); and (5) the total number of individuals who were admitted to the United States as refugees, or who were granted asylee status who were subsequently removed from the United States for any reason.	
2017	9/29/2017	Senate Report 114-264, pg. 125	Report on the number of individuals receiving benefits under temporary protected status (TPS) annually from fiscal year 2012 through fiscal year 2015, the cost of providing the benefits granted to those individuals, as well as the validity period of benefits provided, and on the policies governing TPS determinations.	Submitted
2017	9/29/2017	Senate Report 114-264, pg. 125	Report on the number of employment authorization documents (EADs) issued annually from fiscal year 2012 through fiscal year 2015, including the validity period of those EADs broken down by any associated benefit type, and on the policies governing the validity period of the EADs.	In Clearance
2017	11/1/2017	Senate Report 114-264, pg. 125	In conjunction with Department of State's Bureau of Population, Refugees and Migration and Department of Health and Human Services' Office of Refugee Resettlement, report to the Congress on the direct costs associated with the U.S. Refugee Admissions Program, broken down by agency and activity for each of fiscal years 2013, 2014, 2015, and 2016. Such report shall also include the corresponding data on refugee applicants in process during those years for context regarding the cost per refugee. Such report shall be submitted not later than 180 days after the date of enactment of the act.	In Clearance

**United States Citizenship and Immigration Services
Authorized/Unauthorized Appropriations**

Budget Activity <i>Dollars in Thousands</i>	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2019 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support		\$631,745	\$707,392	\$109,081
Employment Status Verification	2002	\$631,745	\$707,392	\$109,081
Procurement, Construction, and Improvements		\$631,745	\$707,392	\$22,838
Employment Status Verification - Verification Modernization Investment	2002	\$631,745	\$707,392	\$22,838
Total Direct Authorization/Appropriation		\$631,745	\$707,392	\$131,919
Immigration Examinations Fee	1988	N/A	N/A	N/A
H1-B Nonimmigrant Petitioner	1998	N/A	N/A	N/A
Fraud Prevention and Detection	2004	N/A	N/A	N/A

Note: The Immigration Examinations Fee Account was established by the 1989 DOJ Appropriations Act, Pub. L. No. 100-459 (1988); the H-1B Non-Immigrant Petitioner Fee Account was established by section 414 of the Omnibus Consolidated and Emergency Supplemental Appropriations Act, Pub. L. No. 105-277 (1998); and the Fraud Prevention and Detection Fee Account was authorized by Pub. L. No. 108-447, div. J, title IV § 426 (2004).

**United States Citizenship and Immigration Services
Proposed Legislative Language**

Operations and Support

For necessary expenses of United States Citizenship and Immigration Services for operations and support of the E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), [\$108,856,000] *\$109,081,000*.

Language Provision	Explanation
OPERATIONS AND SUPPORT	
[\$108,856,000] <i>\$109,081,000</i>	Dollar change only. No substantial change proposed.

Procurement, Construction, and Improvements

For necessary expenses of United States Citizenship and Immigration Services for the E-Verify Program for procurement, construction, and improvements, [\$22,657,000] \$22,838,000.

Language Provision	Explanation
PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS	
[22,657,000] <i>\$22,838,000</i>	Dollar change only. No substantial change proposed.

**United States Citizenship and Immigration Services
Collections - Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>		FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
District Operations	Location	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
Department of Homeland Security - U.S. Immigration and Customs Enforcement	Source	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046	-	-	-
District Operations	Location	-	-	\$9,637	-	-	\$9,637	-	-	\$12,046	-	-	\$2,409
Records Operations	Location	-	-	\$2,409	-	-	\$2,409	-	-	-	-	-	(\$2,409)
Independent Agency - Social Security Administration	Source	-	-	\$7	-	-	\$7	-	-	\$7	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7	-	-	\$7	-	-	\$7	-	-	-
District Operations	Location	-	-	-	-	-	-	-	-	\$7	-	-	\$7
Records Operations	Location	-	-	\$7	-	-	\$7	-	-	-	-	-	(\$7)
Department of Homeland Security - U.S. Customs and Border Protection	Source	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914	-	-	-
District Operations	Location	-	-	\$4,731	-	-	\$4,731	-	-	\$5,914	-	-	\$1,183
Records Operations	Location	-	-	\$1,183	-	-	\$1,183	-	-	-	-	-	(\$1,183)
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
District Operations	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
SAVE Collections	Source	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261	-	-	\$4,261
Immigration Examinations Fee Account	Location	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261	-	-	\$4,261
Systematic Alien Verification for Entitlements (SAVE)	Location	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261	-	-	\$4,261
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-

Department of Homeland Security

United States Citizenship and Immigration Services

Collections <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Change		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-
Total Collections	-	-	\$41,058	-	-	\$41,058	-	-	\$45,319	-	-	\$4,261

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support



Fiscal Year 2019
Congressional Submission

Table of Contents

Operations and Support **1**

 Budget Comparison and Adjustments..... 3

 Personnel Compensation and Benefits..... 7

 Non Pay Budget Exhibits..... 9

Employment Status Verification – PPA 10

 Budget Comparison and Adjustments..... 10

 Personnel Compensation and Benefits..... 16

 Non Pay Budget Exhibits..... 18

Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225
Total	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225
Subtotal Discretionary - Appropriation	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225

The U.S. Citizenship and Immigration Services (USCIS) Operations and Support (O&S) appropriation provides funding for ongoing mission operations, mission support, and associated management and administration (M&A) costs for the E-Verify program. The appropriation includes one Program, Project, and Activity (PPA), Employment Status Verification (ESV).

E-Verify is one part of USCIS's verification program. The other is the Systematic Alien Verification for Entitlements (SAVE) program, which is funded from mandatory fee funding within USCIS's Immigration Examinations Fee Account. The requirements for both programs are included in the Verification Modernization (VER MOD) investment. Due to the similarities between E-Verify and SAVE, both programs use the Verification Information System (VIS) and ancillary IT systems and services. Therefore, the shared costs are distributed between the two programs.

Operations and Support Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$103,912	\$108,856	\$109,081
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$103,912	\$108,856	\$109,081
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$103,912	\$108,856	\$109,081
Obligations (Actual/Projections/Estimates)	\$103,659	\$108,856	\$109,081
Personnel: Positions and FTE			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	347	419	419
FTE (Actual/Estimates/Projections)	351	398	398

USCIS response to comment MPJE1: below is attachment that is referenced in the comment bubble.

United States Citizenship and Immigration Services

Operations and Support

	2016	2016	2017	2017	2018	2018	2018 Passback Less	
	<u>Enacted</u>	<u>Rescissions</u>	<u>Across-The-Board Rescissions</u>	<u>Annualized CR</u>	<u>Additional PC&I Funding</u>	<u>Passback</u>	<u>2016 Enacted</u>	<u>2017 Annualized CR</u>
Operations and Support.....	104.560	3.086	0.193	101.281	-	101.281	-3.279	-
Procurement, Construction, and Improvements.....	15.111	-	0.029	15.082	15.150	30.232	15.121	15.150
Total, USCIS.....	119.671	3.086	0.222	116.363	15.150	131.513	11.842	15.150

**Operations and Support
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	419	398	\$103,912
FY 2018 President's Budget	419	398	\$108,856
FY 2019 Base Budget	419	398	\$108,856
Annualization of 2018 Pay Raise	-	-	\$225
Total, Pricing Increases	-	-	\$225
Total Adjustments-to-Base	-	-	\$225
FY 2019 Current Services	419	398	\$109,081
FY 2019 Request	419	398	\$109,081
FY 2018 TO FY 2019 Change	-	-	\$225

**Operations and Support
Justification of Pricing Changes**

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2019 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Annualization of 2018 Pay Raise	-	-	\$225
Employment Status Verification	-	-	\$225
Total Pricing Changes	-	-	\$225

SUMMARY OF PRICING CHANGES

Pricing Change 1 – Annualization of 2018 Pay Raise: Increase of \$225,000 to annualize the 2018 pay raise.

**Operations and Support
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Total	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Discretionary - Appropriation	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$35,172	\$35,460	\$35,628	\$168
11.3 Other than Full-Time Permanent	\$549	-	-	-
11.5 Other Personnel Compensation	\$471	\$625	\$628	\$3
12.1 Civilian Personnel Benefits	\$11,005	\$11,357	\$11,411	\$54
Total - Personnel Compensation and Benefits	\$47,197	\$47,442	\$47,667	\$225
Positions and FTE				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

**Operations and Support
Permanent Positions by Grade – Appropriation**

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
Total, SES	1	1	1	-
GS-15	32	32	32	-
GS-14	108	108	108	-
GS-13	69	69	69	-
GS-12	39	39	39	-
GS-11	47	47	47	-
GS-10	1	1	1	-
GS-9	73	73	73	-
GS-7	42	42	42	-
GS-6	2	2	2	-
GS-5	5	5	5	-
Total Permanent Positions	419	419	419	-
Unfilled Positions EOY	72	-	-	-
Total Perm. Employment (Filled Positions) EOY	347	419	419	-
Position Locations				
Headquarters	181	181	181	-
U.S. Field	238	238	238	-
Averages				
Average Personnel Costs, ES Positions	160,797	163,852	164,616	764
Average Personnel Costs, GS Positions	92,194	93,946	94,384	438
Average Grade, GS Positions	12	12	12	-

**Operations and Support
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Employment Status Verification	\$56,715	\$61,414	\$61,414	-
Total	\$56,715	\$61,414	\$61,414	-
Discretionary - Appropriation	\$56,715	\$61,414	\$61,414	-

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$118	\$133	\$135	\$2
22.0 Transportation of Things	\$10	\$6	\$6	-
23.1 Rental Payments to GSA	\$4,801	\$4,382	\$3,411	(\$971)
23.2 Rental Payments to Others	\$31	\$53	\$54	\$1
23.3 Communications, Utilities, and Misc. Charges	\$2,170	\$43	\$44	\$1
24.0 Printing and Reproduction	\$13	\$10	\$10	-
25.1 Advisory and Assistance Services	\$33,182	\$36,359	\$36,979	\$620
25.2 Other Services from Non-Federal Sources	\$639	\$710	\$722	\$12
25.3 Other Goods and Services from Federal Sources	\$8,841	\$10,038	\$10,209	\$171
25.7 Operation and Maintenance of Equipment	\$5,137	\$8,407	\$8,550	\$143
26.0 Supplies and Materials	\$87	\$71	\$72	\$1
31.0 Equipment	\$1,542	\$1,202	\$1,222	\$20
32.0 Land and Structures	\$144	-	-	-
Total - Non Pay Object Classes	\$56,715	\$61,414	\$61,414	-

Employment Status Verification – PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225
Total	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225
Subtotal Discretionary - Appropriation	419	398	\$103,912	419	398	\$108,856	419	398	\$109,081	-	-	\$225

The Employment Status Verification PPA provides funds for the operations, mission support, and associated management and administration costs of E-Verify – the program that provides employment authorization status verification to employers. E-Verify is an internet-based program that enables an employer to determine a newly-hired employee’s eligibility to work in the United States by verifying information reported on an employee’s Form I-9 against Department of Homeland Security, Social Security Administration, Department of State, and Departments of Motor Vehicles data from participating states.

As of September 30, 2017, there were approximately 745,000 employers enrolled in E-Verify. Of those enrolled, approximately 244,000 ran more than 36 million queries in FY 2017. The program continues to grow by approximately 1,400 new employers per week.

This PPA also includes funding for USCIS’s E-Verify Monitoring and Compliance Branch.

The table below provides a detailed breakout of the planned expenditure of FY 2019 funding.

Description	Funding (in Thousands)
Pay and Benefits	\$47,667
General Operating Expenditures	\$8,404
Total Contracts (includes VER MOD)	\$53,010
Secondary Reimbursement to the Social Security Administration	\$7,547
Marketing (Outreach and Education)	\$9,330
Other Contracts / Technical Contract Support	\$11,872
VIS Operations and Maintenance	\$12,893
VER MOD	\$11,368
<i>Self Check (my E-Verify)</i>	\$2,022
<i>Customer Relationship Management</i>	\$3,500
<i>Telephony – Contact Center</i>	\$4,236
<i>Records and Information from DMVs for E-Verify (RIDE)</i>	\$1,610
TOTAL	\$109,081

The FY 2019 Budget will support the projected level of activity outlined in the table shown below, which includes FY 2017 actual data and FY 2018 and FY 2019 projected data.

Activity	FY 2017 Actuals ⁴	FY 2018 Projection	FY 2019 Projection	Change from FYs 2018-2019
E-Verify				
Cases	36,355,149	37,600,361	40,522,394	2,922,033
Cases requiring secondary review by USCIS staff ¹	492,386	56,401	60,784	4,383
Cases requiring additional review by USCIS staff ²	36,711	41,360	44,575	3,215
Records and information for DMVs for E-Verify Queries ³	3,483,580	3,642,692	3,942,692	300,000
Employers (Cumulative)	745,080	814,666	880,811	66,145
E-Verify Monitoring and Compliance Staffing				
On-Board	58	74	74	-
Vacancies	14	-	-	-
Payroll Expenditures	\$6,159	\$6,733	\$6,861	128
Monitoring and Compliance				
Emails	125,503	170,761	185,000	14,239
Compliance Calls	3,250	2,842	3,500	658
Desk Reviews	15	75	90	15
Site Visits	13	40	40	-
Enhanced Compliance Assistance	74	70	90	20
Webinars	18	45	45	-
Total Employer Actions	128,873	173,833	188,765	14,932
External Actions				
Referrals to U.S. Immigration and Customs Enforcement (Fraud)	18	14	16	2
Referrals to U.S. Department of Justice (Discrimination)	508	521	550	29
Referrals from the U.S. Department of Justice (IMARR ⁵)	11	15	20	5
Locked Social Security Numbers	263	50	70	20
Law Enforcement Requests	244	236	250	14
Total External Actions	1,044	836	906	70

¹ Second step cases are resolved manually by USCIS staff checking records without employer or employee involvement.

² Cases for additional review require the employer to refer the case and the employee to contact USCIS.

³ Arizona, Maryland, and Wyoming joined the E-Verify RIDE Program in FY 2017, bringing the total number of participating states to 10.

⁴ The FY 2017 actual numbers are through September 30, 2017. The FY 2018 and FY 2019 numbers are full-year projections.

⁵ Immigration and Employee Rights Misuse and Abuse Report Referrals.

Following is a table providing planned funding amounts for the E-Verify Monitoring and Compliance Branch:

Monitoring and Compliance Branch¹

(\$ in Thousands)

FY 2017 Actuals²	FY 2018 President’s Budget	FY 2019 President’s Budget
\$6,159	\$6,733	\$6,861

¹ The amounts provided in the table only represent salaries and benefits of staff in the Monitoring and Compliance Branch.

² The FY 2017 number is through September 30, 2017.

Adjustments to Base Justification

The FY 2019 Budget includes the following adjustments to base:

- Increase of \$225,000 to annualize the 2018 pay raise

**Employment Status Verification – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$103,912	\$108,856	\$109,081
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$103,912	\$108,856	\$109,081
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$103,912	\$108,856	\$109,081
Obligations (Actual/Projections/Estimates)	\$103,659	\$108,856	\$109,081
Personnel: Positions and FTE			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	347	419	419
FTE (Actual/Estimates/Projections)	351	398	398

Employment Status Verification – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	419	398	\$103,912
FY 2018 President's Budget	419	398	\$108,856
FY 2019 Base Budget	419	398	\$108,856
Annualization of 2018 Pay Raise	-	-	\$225
Total, Pricing Increases	-	-	\$225
Total Adjustments-to-Base	-	-	\$225
FY 2019 Current Services	419	398	\$109,081
FY 2019 Request	419	398	\$109,081
FY 2018 TO FY 2019 Change	-	-	\$225

**Employment Status Verification-PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Total	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57
Discretionary - Appropriation	419	398	\$47,197	\$118.59	419	398	\$47,442	\$119.2	419	398	\$47,667	\$119.77	-	-	\$225	\$0.57

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$35,172	\$35,460	\$35,628	\$168
11.3 Other than Full-Time Permanent	\$549	-	-	-
11.5 Other Personnel Compensation	\$471	\$625	\$628	\$3
12.1 Civilian Personnel Benefits	\$11,005	\$11,357	\$11,411	\$54
Total - Personnel Compensation and Benefits	\$47,197	\$47,442	\$47,667	\$225
Positions and FTE				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	398	\$47,197	\$119	398	\$47,442	\$119	398	\$47,667	\$120	0	\$225	\$1
Total – Pay Cost Drivers	398	\$47,197	\$119	398	\$47,442	\$119	398	\$47,667	\$120	0	\$225	\$1

NARRATIVE EXPLANATION OF CHANGES

FTE Change FY 2018-2019: No Change in FTE.

PCB Change FY 2018-2019: Reflects an increase for the annualization of the 2018 pay raise.

Average Cost Change FY 2018-2019: An average cost change of \$1,000 is based on the annualization of FY 2018 costs.

**Employment Status Verification – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Employment Status Verification	\$56,715	\$61,414	\$61,414	-
Total	\$56,715	\$61,414	\$61,414	-
Discretionary - Appropriation	\$56,715	\$61,414	\$61,414	-

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$118	\$133	\$135	\$2
22.0 Transportation of Things	\$10	\$6	\$6	-
23.1 Rental Payments to GSA	\$4,801	\$4,382	\$3,411	(\$971)
23.2 Rental Payments to Others	\$31	\$53	\$54	\$1
23.3 Communications, Utilities, and Misc. Charges	\$2,170	\$43	\$44	\$1
24.0 Printing and Reproduction	\$13	\$10	\$10	-
25.1 Advisory and Assistance Services	\$33,182	\$36,359	\$36,979	\$620
25.2 Other Services from Non-Federal Sources	\$639	\$710	\$722	\$12
25.3 Other Goods and Services from Federal Sources	\$8,841	\$10,038	\$10,209	\$171
25.7 Operation and Maintenance of Equipment	\$5,137	\$8,407	\$8,550	\$143
26.0 Supplies and Materials	\$87	\$71	\$72	\$1
31.0 Equipment	\$1,542	\$1,202	\$1,222	\$20
32.0 Land and Structures	\$144	-	-	-
Total - Non Pay Object Classes	\$56,715	\$61,414	\$61,414	-

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Verification Information System (VIS) Contract - sustainment of system	\$12,893	\$12,893	\$12,893	\$0
E-Verify Marketing and Employee Self Check	\$6,513	\$6,513	\$11,352	\$4,839
Social Security Administration Reimbursements and Technology Enhancements	\$7,893	\$7,893	\$7,547	(\$346)
Rental Payments to General Services Administration (GSA)	\$4,801	\$4,382	\$3,411	(\$971)
Other Costs	\$24,615	\$29,733	\$26,211	(\$3,522)
Total – Non Pay Cost Drivers	\$56,715	\$61,414	\$61,414	\$0

NON PAY NARRATIVE:

VIS Contract: Day-to-day operations and application maintenance to fully maintain VIS, such as managing software configuration; conducting corrective, adaptive, or relevance maintenance; maintaining VIS operational readiness, system administration activities, technical service support, database administration, and ad-hoc reporting. This cost driver also includes funding to support modernization of Verification systems, including the VIS and its associated business processes and applications through a series of agile software development and releases.

E-Verify Marketing and Employee Self Check: Includes costs associated with marketing the E-Verify program as well as the employee Self Check Program. The increase from FY 2018 to FY 2019 is attributable to the anticipated implementation of mandatory E-Verify.

Social Security Administration Reimbursements and Technology Enhancements: Reimbursement to the Social Security Administration (SSA) for all E-Verify queries that cannot be resolved electronically. These costs are dependent upon query volume, these costs include technology enhancements supporting electronic query resolution through the SSA EV-STAR system. EV-STAR allows SSA personnel to query VIS for information.

Rental Payments to GSA: The FY 2019 amount is based on projections developed by USCIS' Facilities Division, using information provided by GSA and reflects projected lease adjustments.

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements



Fiscal Year 2019
Congressional Justification

Table of Contents

Procurement, Construction, and Improvements **1**

 Budget Comparison and Adjustments 3

 Non Pay Budget Exhibits 6

 Capital Investments Exhibits 7

Verification Modernization (VER) – Investment 8

 Capital Investments Exhibits 8

**Procurement, Construction, and Improvements
Budget Comparison and Adjustments**

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Verification Modernization (VER)	\$15,227	\$22,657	\$22,838	\$181
Total	\$15,227	\$22,657	\$22,838	\$181
Discretionary - Appropriation	\$15,227	\$22,657	\$22,838	\$181

The U.S. Citizenship and Immigration Services (USCIS) Procurement, Construction, and Improvements (PC&I) appropriation funds the planning and acquisition costs for the E-Verify program. The appropriation includes one Program, Project, and Activity (PPA), Employment Status Verification (ESV).

E-Verify is one part of USCIS's verification program. The other is the Systematic Alien Verification for Entitlements (SAVE) program, which is funded from mandatory fee funding within USCIS's Immigration Examinations Fee Account. The requirements for both programs are included in the Verification Modernization (VER MOD) investment. Due to the similarities between E-Verify and SAVE both programs use the Verification Information System (VIS) and ancillary IT systems and services. Therefore, the shared costs are distributed between the two programs.

PC&I encompasses the funding for planning and acquisition that is presented in the VER MOD investment business case attributable to E-Verify; funding for operations and maintenance attributable to E-Verify is included in USCIS's Operations and Support appropriation.

**Procurement, Construction, and Improvements
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$15,227	\$22,657	\$22,838
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$15,227	\$22,657	\$22,838
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$15,227	\$22,657	\$22,838
Obligations (Actual/Projections/Estimates)	\$15,227	\$22,657	\$22,838
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Procurement, Construction, and Improvements
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	-	-	\$15,227
FY 2018 President's Budget	-	-	\$22,657
FY 2019 Base Budget	-	-	\$22,657
Planned Profile Adjustment	-	-	\$181
Total Investment Elements	-	-	\$181
FY 2019 Current Services	-	-	\$22,838
FY 2019 Request	-	-	\$22,838
FY 2018 TO FY 2019 Change	-	-	\$181

Procurement, Construction, and Improvements

Non Pay Budget Exhibits

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
25.1 Advisory and Assistance Services	\$15,227	\$22,657	\$22,838	\$181
Total - Non Pay Object Classes	\$15,227	\$22,657	\$22,838	\$181

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Verification Modernization Development	\$15,227	\$22,657	\$22,838	\$181
Total – Non Pay Cost Drivers	\$15,227	\$22,657	\$22,838	\$181

**Procurement, Construction, and Improvements
Capital Investments Exhibits**

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$15,227	\$22,657	\$22,838

Verification Modernization (VER) – Investment**Capital Investments Exhibits****Procurement/Acquisition Programs**

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$15,227	\$22,657	\$22,838

Investment Description

The VER MOD investment represents the effort to modernize VIS and associated applications, which will strengthen and improve E-Verify.

The E-Verify program allows participating employers to verify the employment eligibility of newly hired employees. As of September 30, 2017, there were approximately 745,000 employers enrolled in E-Verify. Of those enrolled, approximately 244,000 employers ran more than 36 million queries in FY 2017. The program continues to grow by approximately 1,400 new employers per week. Modernization will streamline and automate business processes, strengthen the technical infrastructure of VIS, and increase the capacity of E-Verify while reducing the risk of identity fraud, data inaccuracies, and system misuse.

Justification

The FY 2019 President's Budget includes \$22.8M for the E-Verify portion of VER MOD planning and acquisition. Of this amount, \$17.6M is provided for continuous updates and enhancements to VIS through a series of agile software releases that improve system scalability and accuracy for supporting the E-Verify and the SAVE programs. An additional \$5.2M to further develop the infrastructure for mandatory use of E-Verify, for which initial funding was requested in the FY 2018 President's Budget. Funds will be used to support scale up of systems to support mandatory environment.

Funding will be used to support the VER MOD acquisition investment in accordance with the project's Acquisition Program Baseline (APB). Adhering to the established APB will enable USCIS to meet the Key Performance Parameters (KPPs) and achieve Full Operational Capability (FOC) on or before the objective of the fourth quarter of FY 2019.

Failure to fund the program will delay the modernization effort and could result in a program breach. VER MOD program is fully funded in accordance with the annually updated Life Cycle Cost Estimate.

FY 2017 Key Milestone Events

- Improved performance capabilities, security, and availability by transitioning remaining legacy VIS components to Cloud services
- Modernized algorithms and increased automation to reduce the volume of cases requiring manual review
- Continued to enhance the employer onboarding function in E-Verify to streamline enrollment and client account management functions
- Achieved Initial Operating Capability with the deployment of an enhanced Status Verification System (SVS) and decommissioned the legacy version

FY 2018 Planned Key Milestone Events

- Decouple the tightly integrated database for E-Verify enrollments & case processing, and modernize reporting capabilities
- Build modernized web Graphical User Interface and web services for E-Verify case processing, which will improve data intake, prohibit non-compliant behaviors, and enable new paperless processes
- Build modernized algorithms and micro services for improved data matching
- Launch E-Verify.gov. The new site will be easier to find with its own URL and will have improved customer usability and increase participation.
- Continue to reduce identify fraud by:
 - Monitoring and locking Social Security numbers that appear to be fraudulent, verifying driver's license data from 10 states, and providing photo matching for Permanent Resident Cards, Employment Authorization Documents, and U.S. Passports and Passport Cards
 - Developing a connection to the National Law Enforcement Tracking System (NLETS) so E-Verify can have access to all 50 states' DMV data without needing to set up separate state agreements for technical connectivity. This effort will help flag fraudulent or invalid driver's licenses and state issued identification cards
- Launch an E-Verify Redesign pilot to complement the existing E-Verify process to allow employees to create an electronic account to conduct their own employment verification and return a response to enrolled employers. E-Verify Redesign will eliminate the need for work authorized employees to go through the E-Verify process multiple times with different employers, and will offer identity validation features for enrollment, which will help prevent fraud in E-Verify.

FY 2019 Planned Key Milestone Events

- Completion of the VIS database refactoring effort
- Completion of all planned capability enhancements for VER MOD components
- Support Operational Testing to demonstrate readiness for full operational capability (FOC)

Overall Investment Funding

	Prior Years	FY 2017	FY 2018	FY 2019
Operations and Support		\$ 103,912	\$108,856	\$109,081
Procurement, Construction, and Improvements		\$15,227	\$22,657	\$22,838
Project Funding		\$119,139	\$131,513	\$131,919
Obligations ¹		\$118,887		
Expenditures		\$66,357		

¹Obligations are as of September 30, 2017

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
FY 2016				
The VIS pilot will focus on addressing the USCIS Verification program’s key capability gaps through an agile, incremental delivery approach. The pilot will introduce latest advances in software development concepts and continuous delivery techniques in rapid application development, and deploy capability in frequent iterations. This effort focuses on enhancing business process and analytic functions and automating infrastructure provisioning based on an extensible architecture that is flexible, scalable, and designed to adapt to rapidly growing workload volume and evolving user needs. This pilot initiative will play a significant role in determining the roadmap for future enhancements, assessing technical feasibility, reducing IT infrastructure costs, and mitigating risks associated with future system improvement activities.			FY 2015: Q4	FY 2016: Q4
Drive the modernization of E-Verify user enrollment via agile software development and Cloud engineering, and streamlines the company enrollment and account management functions, and provide usability enhancements of E-Verify. These improvements will result in a reduction in the time it takes employers to enroll and reduce the frequency of abandoned employer enrollments in E-Verify.			FY 2016: Q2	FY 2016: Q4
FY 2017				
Build and deploy new web applications, analyze the current database, extract, modernize data tier components, and improve algorithms for optimal systems functionality.			FY 2016: Q4	FY 2017: Q4
Continue system migration to the Cloud to improve system performance and availability			FY 2016: Q4	FY 2017: Q4
FY 2018				
Deliver E-Verify algorithm improvements to reduce cases requiring human intervention.			FY 2017: Q4 (Planned)	FY 2018: Q4 (Planned)
Continue refactoring and simplifying the system architecture to reduce system maintenance costs.			FY 2017: Q4 (Planned)	FY 2018: Q4 (Planned)
FY 2019				
Complete refactoring of VIS database			FY 2019: Q1 (Planned)	FY 2019:Q4 (Planned)
Completion of all product improvements to VER MOD VIS and components			FY 2019: Q1 (Planned)	FY 2019: Q4 (Planned)

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account



Fiscal Year 2019
Congressional Justification

Table of Contents

***Immigration Examinations Fee Account*1**

 Budget Comparison and Adjustments..... 4

 Personnel Compensation and Benefits..... 11

 Non Pay Budget Exhibits..... 13

District Operations - PPA..... 15

 Budget Comparison and Adjustments..... 15

 Personnel Compensation and Benefits..... 25

 Non Pay Budget Exhibits..... 27

Service Center Operations - PPA 29

 Budget Comparison and Adjustments..... 29

 Personnel Compensation and Benefits..... 31

 Non Pay Budget Exhibits..... 33

Asylum, Refugee and International Operations - PPA 35

 Budget Comparison and Adjustments..... 35

 Personnel Compensation and Benefits..... 38

 Non Pay Budget Exhibits..... 40

Records Operations - PPA..... 42

 Budget Comparison and Adjustments..... 42

 Personnel Compensation and Benefits..... 46

 Non Pay Budget Exhibits..... 48

Premium Processing (Including Transformation) - PPA..... 50

 Budget Comparison and Adjustments..... 50

 Personnel Compensation and Benefits..... 55

 Non Pay Budget Exhibits..... 57

Information and Customer Services - PPA 59

 Budget Comparison and Adjustments..... 59

 Personnel Compensation and Benefits..... 63

 Non Pay Budget Exhibits..... 65

United States Citizenship and Immigration Services

Immigration Examinations Fee Account

<i>Administration - PPA</i>	67
Budget Comparison and Adjustments.....	67
Personnel Compensation and Benefits.....	71
Non Pay Budget Exhibits.....	72
<i>Systematic Alien Verification for Entitlements (SAVE) –PPA</i>	74
Budget Comparison and Adjustments.....	74
Personnel Compensation and Benefits.....	79
Non Pay Budget Exhibits.....	81

Immigration Examinations Fee Account

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	8,305	7,890	\$1,675,716	8,311	7,895	\$1,756,407	9,032	8,580	\$1,938,508	721	685	\$182,101
Service Center Operations	3,556	3,378	\$609,367	3,556	3,378	\$649,461	3,806	3,616	\$767,263	250	238	\$117,802
Asylum, Refugee and International Operations	1,668	1,585	\$358,474	1,668	1,585	\$399,882	1,917	1,822	\$356,206	249	237	(\$43,676)
Records Operations	430	408	\$133,509	430	408	\$135,477	459	436	\$150,941	29	28	\$15,464
Premium Processing (Including Transformation)	1,430	1,359	\$573,976	1,430	1,359	\$620,829	1,293	1,229	\$643,423	(137)	(130)	\$22,594
Information and Customer Services	329	313	\$103,625	329	313	\$109,445	376	357	\$112,493	47	44	\$3,048
Administration	1,645	1,563	\$509,420	1,645	1,563	\$522,010	1,760	1,672	\$519,002	115	109	(\$3,008)
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$34,410	223	212	\$34,828	223	212	\$37,514	-	-	\$2,686
Total	17,586	16,708	\$3,998,497	17,592	16,713	\$4,228,339	18,866	17,924	\$4,525,350	1,274	1,211	\$297,011
Subtotal Mandatory - Fee	17,586	16,708	\$3,998,497	17,592	16,713	\$4,228,339	18,866	17,924	\$4,525,350	1,274	1,211	\$297,011

U. S. Citizenship and Immigration Services (USCIS) administers the Nation's immigration laws relating to immigrant and non-immigrant benefits that include temporary and permanent immigration to the United States. The Immigration Examinations Fee Account (IEFA) provides the resources to:

- Strengthen and effectively administer the immigration system;
- Strengthen national security safeguards and combat fraud; and
- Reinforce quality and consistency in administering immigration benefits

The IEFA, established by Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356), is the primary funding source for U.S. Citizenship and Immigration Services (USCIS). Fees collected with the submission of immigration benefit applications and petitions are deposited into the IEFA and are used to fund the cost of processing immigration benefit applications and petitions, as well as to cover the cost of processing similar benefit requests for applicants without charge, for example, for refugee and asylum applicants.

For Fiscal Year (FY) 2019, the IEFA includes the following Programs, Projects, and Activities (PPAs):

- District Operations
- Service Center Operations
- Asylum, Refugee and International Operations
- Records Operations
- Premium Processing (Including Transformation)
- Information and Customer Service
- Administration
- Systematic Alien Verification for Entitlements (SAVE)

Immigration Examinations Fee Account
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$3,998,497	\$4,228,339	\$4,525,350
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$980,161	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$4,978,658	\$4,228,339	\$4,525,350
Collections – Reimbursable Resources	\$41,058	\$41,058	\$45,319
Total Budget Resources	\$5,019,716	\$4,269,397	\$4,570,669
Obligations (Actual/Projections/Estimates)	\$3,764,108	\$4,269,397	\$4,570,669
Personnel: Positions and FTE			
Enacted/Request Positions	17,586	17,592	18,866
Enacted/Request FTE	16,708	16,713	17,924
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	16,223	17,592	18,866
FTE (Actual/Estimates/Projections)	15,246	16,713	17,924

**Immigration Examinations Fee Account
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>		FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500
Department of Homeland Security - U.S. Immigration and Customs Enforcement	Source	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046
Independent Agency - Social Security Administration	Source	-	-	\$7	-	-	\$7	-	-	\$7
Department of Homeland Security - U.S. Customs and Border Protection	Source	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250
SAVE Collections	Source	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341
Total Collections		-	-	\$41,058	-	-	\$41,058	-	-	\$45,319

Immigration Examinations Fee Account Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	17,586	16,708	\$3,998,497
FY 2018 President's Budget	17,592	16,713	\$4,228,339
FY 2019 Base Budget	17,592	16,713	\$4,228,339
Technical Adjustment	32	30	-
Law Enforcement Fraud Investigations (Transfer to ICE)	-	-	(\$207,600)
Total Transfers	-	-	(\$207,600)
Annualization of 2018 Pay Raise	-	-	\$9,445
Workload Adjustment	1,242	1,181	\$287,566
Total, Pricing Increases	1,242	1,181	\$297,011
Total Adjustments-to-Base	1,274	1,211	\$89,411
FY 2019 Current Services	18,866	17,924	\$4,317,750
Law Enforcement Fraud Investigations (Transfer to ICE)	-	-	\$207,600
Total, Program Increases	-	-	\$207,600
FY 2019 Request	18,866	17,924	\$4,525,350
FY 2018 TO FY 2019 Change	1,274	1,211	\$297,011

SUMMARY OF TECHNICAL ADJUSTMENTS AND TRANSFERS

Technical Adjustment (32 Positions, 30 FTE): This adjustment of the FY 2018 baseline reflects a reprioritization of funds at the individual PPA level without impacting the budget authority at the account level. Additionally, the 32 positions/32 FTE were deemed affordable and funded within the FY 2018 payroll baseline, so there is no corresponding dollar increase.

Law Enforcement Fraud Investigations (Transfer to ICE) (-\$207.6M): In FY 2019, the IEFA Domestic Operations PPA includes a \$207.6M program increase and a corresponding transfer out of \$207.6M to Immigration and Customs Enforcement (ICE) for law enforcement fraud investigations. This has no effect on USCIS's FY 2019 spending authority for IEFA. ICE will use \$92.7M of the funds transferred from IEFA for the costs associated with the hiring of 300 new Homeland Security Investigations (HSI) Law Enforcement Officers (LEOs) and \$114.9M for costs within ICE's Domestic Investigations PPA Level II that supports the immigration benefit fraud prevention and detection and the investigatory work necessary to adjudicate immigration benefit applications and petitions, including visa overstay and worksite enforcement. ICE will also support the Operation Janus requirements associated with enrolling historical fingerprint cards into automated systems and associated investigatory work.

Immigration Examinations Fee Account Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2019 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Annualization of 2018 Pay Raise	-	-	\$9,445
District Operations	-	-	\$4,540
Service Center Operations	-	-	\$1,720
Asylum, Refugee and International Operations	-	-	\$890
Records Operations	-	-	\$196
Premium Processing (Including Transformation)	-	-	\$677
Information and Customer Services	-	-	\$169
Administration	-	-	\$1,149
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$104
Pricing Change 2 - Workload Adjustment	1,242	1,181	\$287,566
District Operations	600	570	\$148,027
Service Center Operations	250	238	\$98,052
Asylum, Refugee and International Operations	250	238	\$10,216
Records Operations	26	25	\$13,219
Information and Customer Services	41	39	\$4,178
Administration	75	71	\$13,238
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$636
Total Pricing Changes	1,242	1,181	\$297,011

SUMMARY OF PRICING CHANGES

Pricing Change 1 - Annualization of 2018 Pay Raise (\$9.4M): Total increase for all PPAs of \$9.4M for annualization of 2018 pay raise.

Pricing Change 2 - Workload Adjustment (1,242 positions, 1,181 FTE, \$287.6M): Total increase for all PPAs of \$287.5M, 1,242 positions and 1,181 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work, including implementing executive orders.

**Immigration Examinations Fee Account
Justification of Program Changes**

Program Changes <i>(Dollars in Thousands)</i>	FY 2019 President's Budget		
	Positions	FTE	Amount
Program Change 1 - Law Enforcement Fraud Investigations (Transfer to ICE)	-	-	\$207,600
District Operations	-	-	\$207,600
Total Program Changes	-	-	\$207,600

Program Change 1 - Law Enforcement Fraud Investigations (Transfer to ICE) (\$207.6M): In FY 2019, the IEFA Domestic Operations PPA includes a \$207.6M program increase and a corresponding transfer out of \$207.6M to Immigration and Customs Enforcement (ICE) for law enforcement fraud investigations. This has no effect on USCIS's FY 2019 spending authority for IEFA. ICE will use \$92.7M of the funds transferred from IEFA for the costs associated with the hiring of 300 new Homeland Security Investigations (HSI) Law Enforcement Officers (LEOs) and \$114.9M for costs within ICE's Domestic Investigations PPA Level II that supports the immigration benefit fraud prevention and detection and the investigatory work necessary to adjudicate immigration benefit applications and petitions, including visa overstay and worksite enforcement. ICE will also support the Operation Janus requirements associated with enrolling historical fingerprint cards into automated systems and associated investigatory work.

Immigration Examinations Fee Account Personnel Compensation and Benefits

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	8,305	7,890	\$898,107	\$113.83	8,311	7,895	\$955,811	\$121.07	9,032	8,580	\$1,102,624	\$128.51	721	685	\$146,813	\$7.44
Service Center Operations	3,556	3,378	\$354,919	\$105.07	3,556	3,378	\$362,144	\$107.21	3,806	3,616	\$479,784	\$132.68	250	238	\$117,640	\$25.47
Asylum, Refugee and International Operations	1,668	1,585	\$177,658	\$111.81	1,668	1,585	\$187,281	\$117.87	1,917	1,822	\$195,039	\$106.78	249	237	\$7,758	(\$11.09)
Records Operations	430	408	\$40,826	\$100.06	430	408	\$41,222	\$101.03	459	436	\$47,741	\$109.5	29	28	\$6,519	\$8.47
Premium Processing (Including Transformation)	1,430	1,359	\$125,454	\$92.31	1,430	1,359	\$142,460	\$104.83	1,293	1,229	\$119,419	\$97.17	(137)	(130)	(\$23,041)	(\$7.66)
Information and Customer Services	329	313	\$34,714	\$110.91	329	313	\$35,484	\$113.37	376	357	\$44,168	\$123.72	47	44	\$8,684	\$10.35
Administration	1,645	1,563	\$228,765	\$146.07	1,645	1,563	\$241,869	\$154.44	1,760	1,672	\$254,681	\$152.02	115	109	\$12,812	(\$2.42)
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$21,418	\$101.03	223	212	\$21,861	\$103.12	223	212	\$23,237	\$109.61	-	-	\$1,376	\$6.49
Total	17,586	16,708	\$1,881,861	\$112.58	17,592	16,713	\$1,988,132	\$118.9	18,866	17,924	\$2,266,693	\$126.41	1,274	1,211	\$278,561	\$7.51
Mandatory - Fee	17,586	16,708	\$1,881,861	\$112.58	17,592	16,713	\$1,988,132	\$118.9	18,866	17,924	\$2,266,693	\$126.41	1,274	1,211	\$278,561	\$7.51

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$1,328,999	\$1,404,183	\$1,574,228	\$170,045
11.3 Other than Full-Time Permanent	\$12,393	\$13,067	\$14,043	\$976
11.5 Other Personnel Compensation	\$77,306	\$81,623	\$129,468	\$47,845
12.1 Civilian Personnel Benefits	\$462,270	\$488,316	\$547,967	\$59,651
13.0 Benefits for Former Personnel	\$893	\$943	\$987	\$44
Total - Personnel Compensation and Benefits	\$1,881,861	\$1,988,132	\$2,266,693	\$278,561
Positions and FTE				
Positions - Civilian	17,586	17,592	18,866	1,274
FTE - Civilian	16,708	16,713	17,924	1,211

Immigration Examinations Fee Account Permanent Positions by Grade Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
Total, SES	73	73	73	-
Total, EX	1	1	1	-
GS-15	956	956	1,025	69
GS-14	2,097	2,098	2,250	152
GS-13	3,303	3,304	3,543	239
GS-12	4,656	4,656	4,999	343
GS-11	1,236	1,237	1,327	90
GS-10	18	18	19	1
GS-9	2,654	2,655	2,847	192
GS-8	29	29	31	2
GS-7	1,646	1,647	1,766	119
GS-6	349	349	374	25
GS-5	560	561	602	41
GS-4	7	7	8	1
GS-3	1	1	1	-
Total Permanent Positions	17,586	17,592	18,866	1,274
Total Perm. Employment (Filled Positions) EOY	15,271	-	-	-
Position Locations				
Headquarters	2,560	2,561	2,746	185
U.S. Field	14,953	14,958	16,042	1,084
Foreign Field	73	73	78	5
Averages				
Average Personnel Costs, ES Positions	176,889	180,250	181,090	840
Average Personnel Costs, GS Positions	84,784	86,395	86,798	403
Average Grade, GS Positions	11	11	11	-

Immigration Examinations Fee Account

Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
District Operations	\$777,609	\$800,596	\$835,884	\$35,288
Service Center Operations	\$254,448	\$287,317	\$287,479	\$162
Asylum, Refugee and International Operations	\$180,816	\$212,601	\$161,167	(\$51,434)
Records Operations	\$92,683	\$94,255	\$103,200	\$8,945
Premium Processing (Including Transformation)	\$448,522	\$478,369	\$524,004	\$45,635
Information and Customer Services	\$68,911	\$73,961	\$68,325	(\$5,636)
Administration	\$280,655	\$280,141	\$264,321	(\$15,820)
Systematic Alien Verification for Entitlements (SAVE)	\$12,992	\$12,967	\$14,277	\$1,310
Total	\$2,116,636	\$2,240,207	\$2,258,657	\$18,450
Mandatory - Fee	\$2,116,636	\$2,240,207	\$2,258,657	\$18,450

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$29,480	\$57,963	\$35,543	(\$22,420)
22.0 Transportation of Things	\$16,552	\$16,668	\$15,120	(\$1,548)
23.1 Rental Payments to GSA	\$234,518	\$240,195	\$255,513	\$15,318
23.2 Rental Payments to Others	\$7,355	\$7,329	\$5,076	(\$2,253)
23.3 Communications, Utilities, and Misc. Charges	\$105,324	\$111,573	\$110,219	(\$1,354)
24.0 Printing and Reproduction	\$10,311	\$10,849	\$11,008	\$159
25.1 Advisory and Assistance Services	\$816,907	\$860,383	\$889,027	\$28,644
25.2 Other Services from Non-Federal Sources	\$246,313	\$250,776	\$251,907	\$1,131
25.3 Other Goods and Services from Federal Sources	\$299,199	\$309,477	\$307,356	(\$2,121)
25.4 Operation and Maintenance of Facilities	\$762	\$777	\$793	\$16
25.7 Operation and Maintenance of Equipment	\$133,636	\$140,039	\$144,317	\$4,278
26.0 Supplies and Materials	\$33,591	\$36,599	\$36,586	(\$13)

United States Citizenship and Immigration Services

Immigration Examinations Fee Account

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
31.0 Equipment	\$126,263	\$132,559	\$137,075	\$4,516
32.0 Land and Structures	\$33,410	\$34,657	\$35,002	\$345
41.0 Grants, Subsidies, and Contributions	\$20,805	\$28,150	\$22,000	(\$6,150)
42.0 Insurance Claims and Indemnities	\$2,210	\$2,213	\$2,115	(\$98)
Total - Non Pay Object Classes	\$2,116,636	\$2,240,207	\$2,258,657	\$18,450

*District Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	8,305	7,890	\$1,675,716	8,311	7,895	\$1,756,407	9,032	8,580	\$1,938,508	721	685	\$182,101
Total	8,305	7,890	\$1,675,716	8,311	7,895	\$1,756,407	9,032	8,580	\$1,938,508	721	685	\$182,101
Subtotal Mandatory - Fee	8,305	7,890	\$1,675,716	8,311	7,895	\$1,756,407	9,032	8,580	\$1,938,508	721	685	\$182,101

PPA Description

The FY 2019 Budget includes \$1.9B; 9,032 Positions; and 8,580 FTE to sustain mission critical services in this PPA. The FY 2019 Budget Request for IEFA includes a \$207.6M program increase and a corresponding transfer out of \$207.6M to Immigration and Customs Enforcement (ICE) for law enforcement fraud investigations. This has no effect on USCIS's FY 2019 spending authority for IEFA. ICE will use \$92.7M of the funds transferred from IEFA for the costs associated with the hiring of 300 new Homeland Security Investigations (HSI) Law Enforcement Officers (LEOs) and \$114.9M for costs within ICE's Domestic Investigations PPA Level II that support the immigration benefit fraud prevention and detection and the investigatory work necessary to adjudicate immigration benefit applications and petitions, including visa overstay and worksite enforcement. ICE will also use the funding to support Operation Janus requirements associated with enrolling historical fingerprint cards into automated systems and associated investigatory work.

The District Operations PPA includes the following offices that help process immigration benefit applications while ensuring the security and integrity of the immigration system.

Field Operations: The Field Operations Directorate conducts in-person interviews for all immigration and naturalization benefit types that require an interview. The most significant benefits are adjustment of status applications to become a permanent resident and naturalization applications to become a U.S. citizen.

Fraud Detection and National Security: The Fraud Detection and National Security Directorate (FDNS) leads USCIS efforts to determine whether individuals or organizations requesting immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system. They do this by detecting, deterring, and administratively investigating immigration-related fraud, and working to eliminate systemic vulnerabilities that present a threat to national security and public safety.

The FDNS Data System (FDNS-DS) records, tracks, and manages immigration inquiries, investigative referrals, law enforcement requests, and case determinations involving benefit fraud, criminal activity, public safety and national security concerns. FDNS received nearly 132,000 referrals in FY 2017, projects to receive nearly 146,000 referrals in FY 2018 and more than 159,000 referrals in FY 2019. This increase is partly attributable to the increase in receipts received by USCIS over time as well as the implementation of new screening processes, such as the historical fingerprint enrollment project. The projections are conservative as they do not take into account all of the potential impacts of the Executive Orders, “Implementing the President’s Border Security and Immigration Enforcement Policies” and “Protecting the Nation from Foreign Terrorist Entry into the United States.”

Fraud Detection Referrals Processed¹

FY 2017 (Actual)²	Projected FY 2018	Projected FY 2019
131,697	145,506	159,319

Source: Fraud Detection and National Security Data System.

Of the 131,697 referrals FDNS received in FY 2017 through September 30, 2017³:

- 7,079 were national security concerns.
- 2,940 were public safety leads and 10,311 were public safety cases.
- 26,464 were fraud leads and 18,616 were fraud cases.
- 53,826 were requests for assistance.
- 1,377 were requests for overseas verification.
- 11,084 were requests for benefit fraud assessments.

Office of Information Technology: The Office of Information Technology (OIT) provides the information technology, equipment, software, services, expertise, and the strategic vision to enable USCIS to deliver effective, efficient, and secure immigration services and products. OIT leads USCIS in the design, development, delivery, and deployment of a wide range of IT services and solutions that are collectively improving the Nation's immigration system.

Biometrics: The Biometrics Division is responsible for collecting biometric and biographic data from applicants that are required to provide this data when they request immigration benefits in the United States.

The following table depicts FY 2017 actual workload volumes and projected FY 2018 and FY 2019 workload volumes for the USCIS Biometrics program, which entails applicant/petitioner processing at the Application Support Centers (ASCs) nationwide, as well as fingerprint checks and name checks with the Federal Bureau of Investigation (FBI). When required by USCIS, applicants and petitioners appear at an ASC to have their

¹ For the purpose of this document, the term “referral” indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS “referral” that does not include requests to FDNS to conduct administrative investigations of fraud.

² The FY 2017 Actual number is through September 30, 2017, extracted from FDNS-DS on December 13, 2017; the FY 2018 and FY 2019 numbers are full year projections.

³Case type and subtype data is provided as of December 13, 2017. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

biometrics (fingerprints, photographs, and signatures) collected. The biometrics are used for identity verification purposes, as well as for performing the FBI checks for security purposes. USCIS reimburses the FBI for the costs of these security checks. The biometrics workload is a derivative of immigration benefit application and petition receipts.

	FY 2017 Actual⁴	FY 2018 Projected	FY 2019 Projected
Individuals Processed at an ASC	3,962,263	4,331,337	4,427,234
FBI Fingerprint Checks	3,977,949	4,149,228	4,091,708
FBI Name Checks	2,274,004	2,285,647	2,409,929

Office of Citizenship: The Office of Citizenship promotes the rights, responsibilities, and importance of U.S. citizenship and provides educational tools and resources for immigrants, organizations, and other stakeholders. From FY 2009 through FY 2017, USCIS has awarded 353 competitive grants totaling approximately \$73M. Since the inception of the program, grant recipients have provided citizenship preparation services to more than 195,000 permanent residents in 37 states and the District of Columbia. In FY 2018 through FY 2019, services will be provided to an additional 30,000 permanent residents per year.

The table depicts actual FY 2017 Citizenship and Assimilation Grant Program output and outcome data along with projected FY 2018 and FY 2019 program outputs and outcomes.

Accomplishments	FY 2017 Actual⁵	FY 2018 Projected	FY 2019 Projected
Grants awarded	45	46	46
Monitoring visits conducted	20	20	20
Total permanent residents served	30,658	30,000	30,000
Total students enrolled in citizenship classes	14,573	13,000	13,000
Total clients provided with naturalization eligibility screenings	20,457	20,000	20,000
Total N-400 applications for naturalization submitted to USCIS	13,465	14,000	14,000
Total permanent residents that became naturalized citizens	6,724	7,000	7,000

Office of Administrative Appeals: The Office of Administrative Appeals issues immigration appeals decisions, including precedent decisions to guide officers and the public, and provides the public with clear and accessible information about the immigration appeals process.

⁴ The FY 2017 Actual number is through September 30, 2017; the FY 2018 and FY 2019 numbers are annual projections.

⁵ The FY 2017 Actual number is through September 30, 2017; the FY 2018 and FY 2019 numbers are annual projections.

Office of Performance and Quality: The Office of Performance and Quality (OPQ) seeks to preserve and enhance the quality of USCIS data by serving as diligent stewards of the data. Through the use of sound data collection, validation, and management techniques, and by ensuring a high degree of data quality, OPQ provides relevant and actionable datasets to USCIS.

Application and Petition Workload:

Application and petition workload (i.e., the number of immigration benefit requests received) and completions (i.e., adjudication and issuance of a decision in response to the request) are the single largest drivers of USCIS’s operating costs, affecting staffing and contractual requirements across the agency. The following table depicts FY 2017 immigration benefit application and petition workload, including requests received, requests approved, requests not approved, and total completions. It also provides receipt projections for FY 2018 and FY 2019.

		Fiscal Year 2017 (October 2016 to September 2017)				Fiscal Year 2018		Fiscal Year 2019
		Requests Received ¹	Requests Approved ²	Requests Not Approved ³	Total Completions ⁴	Receipt Projection ⁵	Completion Target ⁶	Receipt Projection ⁵
Grand Total		9,118,395	7,452,987	626,756	8,079,743	9,247,491	11,138,000	10,041,484
Family-Based								
I-129F	Fiancé(e) Petitions	49,831	32,998	9,220	42,218	52,000	54,000	52,000
I-130	Immediate and Preference Relatives	914,484	540,810	57,562	598,372	954,000	1,021,000	974,000
I-485	Family-Based Adjustments	365,716	275,931	37,047	312,978	354,000	517,000	361,000
I-600/I-600A	Orphan Petitions	2,922	2,430	340	2,770	3,960	3,500	3,960
I-601A	Provisional Waivers	65,729	68,636	3,331	71,967	63,000	69,000	67,000
I-751	Remove Conditions on Residence	166,431	89,001	6,382	95,383	154,000	267,000	156,000
I-800/I-800A	Convention Country Adoptions	7,577	7,379	156	7,535	8,200	7,100	8,200
Employment Based								
I-129	Nonimmigrant Worker Petitions	526,435	498,055	102,400	600,455	552,000	548,000	552,000
I-140	Immigrant Petitions for Workers	139,566	126,173	9,455	135,628	154,000	156,000	161,000
I-485	Employment-Based Adjustments	139,555	114,480	7,345	121,825	130,000	196,000	130,000
I-526	Petitions by Entrepreneurs	12,165	11,321	922	12,243	14,820	32,500	14,000
I-765 ⁷	Employment Authorization Documents	2,349,604	2,141,019	122,309	2,263,328	2,417,500	2,472,000	3,141,500

United States Citizenship and Immigration Services

Immigration Examinations Fee Account

		Fiscal Year 2017 (October 2016 to September 2017)				Fiscal Year 2018		Fiscal Year 2019
		Requests Received ₁	Requests Approved ₂	Requests Not Approved ₃	Total Completions ₄	Receipt Projection ⁵	Completion Target ⁶	Receipt Projection ⁵
I-829	Remove Conditions on Entrepreneur	2,625	2,589	41	2,630	3,300	8,000	3,500
I-924/I-924A	Regional Center Applications	1122	309	177	486	1,320	1,500	1,470
Humanitarian								
I-485	Asylum Adjustments	33,235	25,888	1,462	27,350	38,000	48,000	38,500
I-485	Refugee Adjustments	77,416	63,593	1,426	65,019	64,000	88,000	41,000
I-485	Indo Chinese Adjustments	3	0	0	0	0	20	0
I-485	Cuban Adjustment Act	82,213	54,234	2,050	56,284	41,000	85,000	20,000
I-589	Asylum Application	142,760	13,105	116	13,221	146,000	363,000	163,000
Legalization ⁸	Legalization/ SAW	81	26	98	124	50	300	40
I-730	Refugee/Asylee Relative Petitions	13,031	8,823	1,809	10,632	13,100	17,000	13,100
I-817	Family Unity Applications	1,356	472	94	566	1400	1800	1,400
I-821 ⁹	Temporary Protected Status	61,566	163,093	6,200	169,293	268,000	229,000	255,000
I-821D ¹⁰	Deferred Action for Childhood Arrivals	472,873	462,713	13,193	475,906	317,000	324,000	439,000
I-867	Credible Fear Referral	78,564	60,566	19,144	79,710	70,000	69,000	70,000
I-881	NACARA 203 Applications	560	546	66	612	450	700	340
I-889	Reasonable Fear	10,273	3,018	6,547	9,565	12,000	12,000	14,000
I-914/I-914A	T Nonimmigrant Status Applications	2,259	1,362	348	1,710	2,400	3,700	2,600
I-918/I-918A	U Nonimmigrant Status Applications	61,686	17,726	3,770	21,496	72,000	223,000	79,000
I-929	Petitions for Family of U Nonimmigrants	1,511	962	162	1,124	1,900	2,600	2,200
Citizenship and Nationality								
N-300	Declaration of Intent	22	44	6	50	11	100	4
N-336	Requests for Hearing	4,398	2,115	1,698	3,813	4,700	8,000	4,700
N-400	Military Naturalization	11,199	7,219	831	8,050	9,600	11,300	9,500
N-400	Non-Military Naturalization	975,261	707,806	82,345	790,151	962,000	1,266,000	904,000
N-470	Preserve Residence for Naturalization	200	116	83	199	150	200	110
N-565	Replace Naturalization/Citizenship Certificates	27,226	21,754	3,152	24,906	28,000	28,000	28,000

United States Citizenship and Immigration Services

Immigration Examinations Fee Account

		Fiscal Year 2017 (October 2016 to September 2017)				Fiscal Year 2018		Fiscal Year 2019
		Requests Received ¹	Requests Approved ²	Requests Not Approved ³	Total Completions ⁴	Receipt Projection ⁵	Completion Target ⁶	Receipt Projection ⁵
N600/600K	Recognition of Citizenship Applications	67,711	62,375	5,182	67,557	70,000	82,000	64,000
N-648 ¹¹	Disability Exception Applications	4,138	8,346	4,133	12,479	-	1,300	-
Other								
I-90	Renew/Replace Permanent Resident Cards	782,967	471,557	28,558	500,115	771,040	1,237,000	767,020
I-102	Replace I-94s	7,358	5,995	1,918	7,913	7,700	8,600	7,700
I-131	Reentry Permit/Refugee Travel Document	82,722	95,808	6,306	102,114	84,000	83,000	84,000
I-131 ¹²	Advance Parole	416,417	354,549	30,840	385,389	392,200	403,000	392,200
I-131	Parole in Place	6,410	13,754	1,042	14,796	2,400	3,500	2,900
I-360	Immigrant Petitions	38,927	22,179	4,441	26,620	42,670	61,000	42,670
I-485	Other Adjustments of Status	34,470	27,794	4,269	32,063	40,000	54,000	42,000
I-539	Applications to Extend/ Change Nonimmigrant Status	233,430	180,899	28,366	209,265	231,000	265,000	231,000
I-824	Action on Approved Applications or Petitions	11,494	8,055	1,572	9,627	11,200	13,600	11,200
I-910	Application for Civil Surgeon	538	499	105	604	530	400	530
Waivers ¹³	Waivers, excluding I-601A	76,158	35,457	8,737	44,194	86,890	220,000	91,140
Immigrant Visas ^{12/14}	Immigrant Visas	554,200	639,408	-	639,408	594,000	572,000	594,000

Table Footnotes:

¹The number of new applications/petitions received and entered into a case-tracking system during the reporting period

² The number of applications/petitions processed during the reporting period. Immigrant Visa approvals equal the number of cases processed as USCIS does not approve this benefit type

³ The number of applications/petitions that were denied, terminated, or withdrawn during the reporting period

⁴ The sum of requests approved and not approved during the reporting period

⁵ FY 2018- 2019 Volume Projection Committee estimates

⁶ Rounded estimates based on the September 2017 National Performance Report. Totals may not add due to rounding.

⁷ Includes DACA/TPS Employment Authorization.

⁸ Includes the following applications for persons applying for benefits under the Immigration Reform and Control Act of 1986: Forms I-687, I-700, I-698, I-690, I-694, and I-695.

⁹ At the time of the development of the FY 2019 President’s Budget, DHS announced the termination of the Temporary Protective Status for El Salvador on 1/8/2018. The FY 2019 receipt projection may be reduced by ~200,000 pending new estimates by USCIS.

¹⁰ DACA is scheduled to terminate as of March 5, 2018. For transparency purposes, the data provided in the table reflects estimated DACA receipts absent program termination.

Pending legislative or Administration action USCIS will update the FY 2018 and FY 2019 projections.

¹¹ Forecast not provided by Volume Projection Committee.

¹² I-131 Advance Parole includes DACA advance parole.

¹³ Includes the following applications filed to waive exclusionary grounds: Forms I-191, I-192, I-212, I-601, I-602, and I-612.

¹⁴ The number of applications/petitions processed during the reporting period. Immigrant Visa approvals equal the number of cases processed as USCIS does not approve this benefit type

District Operations - PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$1,675,716	\$1,756,407	\$1,938,508
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$1,675,716	\$1,756,407	\$1,938,508
Collections – Reimbursable Resources	\$30,459	\$30,459	\$34,058
Total Budget Resources	\$1,706,175	\$1,786,866	\$1,972,566
Obligations (Actual/Projections/Estimates)	\$1,663,823	\$1,786,866	\$1,972,566
Personnel: Positions and FTE			
Enacted/Request Positions	8,305	8,311	9,032
Enacted/Request FTE	7,890	7,895	8,580
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	7,993	8,311	9,032
FTE (Actual/Estimates/Projections)	7,521	7,895	8,580

**District Operations - PPA
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500
Department of Homeland Security - U.S. Immigration and Customs Enforcement Source	-	-	\$9,637	-	-	\$9,637	-	-	\$12,046
Independent Agency - Social Security Administration Source	-	-	-	-	-	-	-	-	\$7
Department of Homeland Security - U.S. Customs and Border Protection Source	-	-	\$4,731	-	-	\$4,731	-	-	\$5,914
Department of Justice - Department of Justice Source	-	-	\$250	-	-	\$250	-	-	\$250
Canada/UK Visa Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341
Total Collections	-	-	\$30,459	-	-	\$30,459	-	-	\$34,058

District Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	8,305	7,890	\$1,675,716
FY 2018 President's Budget	8,311	7,895	\$1,756,407
FY 2019 Base Budget	8,311	7,895	\$1,756,407
Technical Adjustment	121	115	\$29,534
Law Enforcement Fraud Investigations (Transfer to ICE)	-	-	(\$207,600)
Total Transfers	-	-	(\$207,600)
Annualization of 2018 Pay Raise	-	-	\$4,540
Workload Adjustment	600	570	\$148,027
Total, Pricing Increases	600	570	\$152,567
Total Adjustments-to-Base	721	685	(\$25,499)
FY 2019 Current Services	9,032	8,580	\$1,730,908
Law Enforcement Fraud Investigations (Transfer to ICE)	-	-	\$207,600
Total, Program Increases	-	-	\$207,600
FY 2019 Request	9,032	8,580	\$1,938,508
FY 2018 TO FY 2019 Change	721	685	\$182,101

**District Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	8,305	7,890	\$898,107	\$113.83	8,311	7,895	\$955,811	\$121.07	9,032	8,580	\$1,102,624	\$128.51	721	685	\$146,813	\$7.44
Total	8,305	7,890	\$898,107	\$113.83	8,311	7,895	\$955,811	\$121.07	9,032	8,580	\$1,102,624	\$128.51	721	685	\$146,813	\$7.44
Mandatory - Fee	8,305	7,890	\$898,107	\$113.83	8,311	7,895	\$955,811	\$121.07	9,032	8,580	\$1,102,624	\$128.51	721	685	\$146,813	\$7.44

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$642,467	\$683,746	\$788,770	\$105,024
11.3 Other than Full-Time Permanent	\$2,739	\$2,915	\$3,363	\$448
11.5 Other Personnel Compensation	\$34,138	\$36,331	\$41,911	\$5,580
12.1 Civilian Personnel Benefits	\$218,763	\$232,819	\$268,580	\$35,761
Total - Personnel Compensation and Benefits	\$898,107	\$955,811	\$1,102,624	\$146,813
Positions and FTE				
Positions - Civilian	8,305	8,311	9,032	721
FTE - Civilian	7,890	7,895	8,580	685

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	7,890	\$898,107	\$114	7,895	\$955,811	\$121	8,580	\$1,102,624	\$129	685	\$146,813	\$8
Total – Pay Cost Drivers	7,890	\$898,107	\$114	7,895	\$955,811	\$121	8,695	\$1,102,624	\$129	685	\$146,813	\$8

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** An increase of 685 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work.
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise and an additional 685 FTE.
- **Average Cost Change FY 2018-2019:** An average cost change of \$8,000 is based on the annualization of the 2018 pay raise and additional 685 FTE.

**District Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
District Operations	\$777,609	\$800,596	\$835,884	\$35,288
Total	\$777,609	\$800,596	\$835,884	\$35,288
Mandatory - Fee	\$777,609	\$800,596	\$835,884	\$35,288

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$6,208	\$6,402	\$6,688	\$286
22.0 Transportation of Things	\$3,135	\$3,228	\$3,372	\$144
23.1 Rental Payments to GSA	\$133,276	\$137,432	\$143,518	\$6,086
23.2 Rental Payments to Others	\$275	\$284	\$297	\$13
23.3 Communications, Utilities, and Misc. Charges	\$26,399	\$27,182	\$28,397	\$1,215
24.0 Printing and Reproduction	\$4,473	\$4,606	\$4,812	\$206
25.1 Advisory and Assistance Services	\$250,971	\$258,417	\$269,969	\$11,552
25.2 Other Services from Non-Federal Sources	\$127,045	\$130,815	\$136,664	\$5,849
25.3 Other Goods and Services from Federal Sources	\$115,780	\$119,215	\$124,546	\$5,331
25.4 Operation and Maintenance of Facilities	\$37	\$38	\$40	\$2
25.7 Operation and Maintenance of Equipment	\$53,337	\$54,919	\$57,374	\$2,455
26.0 Supplies and Materials	\$6,845	\$7,048	\$7,363	\$315
31.0 Equipment	\$33,637	\$34,635	\$36,184	\$1,549
32.0 Land and Structures	\$5,467	\$5,629	\$5,881	\$252
41.0 Grants, Subsidies, and Contributions	\$10,000	\$10,000	\$10,000	-
42.0 Insurance Claims and Indemnities	\$724	\$746	\$779	\$33
Total - Non Pay Object Classes	\$777,609	\$800,596	\$835,884	\$35,288

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Information Technology Platforms	\$74,875	\$74,875	\$74,875	\$ 0
USCIS Contract Support for Adjudication Services	\$148,994	\$145,992	\$145,992	\$0
FBI Reimbursement for Fingerprint and Name Checks	\$72,114	\$72,114	\$77,532	\$ 5,418
Rental Payments to the General Services Administration (GSA)	\$133,276	\$137,432	\$143,518	\$ 6,086
Other Costs	\$347,350	\$370,183	\$393,967	\$23,784
Total – Non Pay Cost Drivers	\$776,609	\$800,596	\$835,884	\$ 35,288

NON PAY NARRATIVE

- **Information Technology Platforms:** This cost driver includes three IT platforms that support USCIS adjudications. The first is the USCIS enterprise technology infrastructure engineering services to sustain and strengthen the hardware platforms upon which USCIS software applications reside. Second is the Naturalization Benefits System portfolio which supports USCIS naturalization IT systems. Third, is the USCIS Customer Service portfolio which provides development and support to the customer service platforms throughout USCIS.
- **USCIS Contract Support for Adjudication Services:** This cost driver includes contracts that provide support for facilities for and the operations of USCIS Application Support Centers where applicants and petitioners must appear (when required by USCIS) for biometrics collection. Costs drivers include USCIS application/petition volumes for those benefit types that require biometrics collection. This cost driver also includes contract support for clerical and records management in USCIS's domestic field offices and the National Benefits Center.
- **FBI Reimbursement for Fingerprint and Name Checks:** These reimbursements are for the FBI to run fingerprint and name checks for USCIS as part of the background check process for those seeking to obtain immigration benefits in the United States. Change reflects increase in reimbursement costs due to increase in the FBI's unit costs.
- **Rental Payments to GSA:** The FY 2019 amount is based on projections developed by USCIS' Facilities Division, using information provided by the GSA and the change from FY 2018 to FY 2019 reflects projected rent increases, termination of leases, and new leases.

*Service Center Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	3,556	3,378	\$609,367	3,556	3,378	\$649,461	3,806	3,616	\$767,263	250	238	\$117,802
Total	3,556	3,378	\$609,367	3,556	3,378	\$649,461	3,806	3,616	\$767,263	250	238	\$117,802
Subtotal Mandatory - Fee	3,556	3,378	\$609,367	3,556	3,378	\$649,461	3,806	3,616	\$767,263	250	238	\$117,802

PPA Description

The FY 2019 Budget includes \$767.3M; 3,806 positions; and 3,616 FTE for USCIS Service Center Operations to adjudicate applications while delivering the right benefit, to the right person, without undue delay.

This PPA supports the Service Center Operations (SCOPS) directorate and Office of Intake and Document Production (OIDP).

- SCOPS is one of the key operational components of USCIS and is responsible for adjudicating the largest volume of applications across the broadest and most diverse cross-section of immigration benefits, which do not require an in-person interview.
- OIDP is responsible for the beginning and end of the process for individuals who are seeking immigration benefits. It creates and distributes USCIS forms, secure certificates and informational materials; receives applications and petitions; processes filing fees; generates and distributes USCIS appointment notices; and provides approved individuals with secure documents such as permanent resident (green) cards, employment authorization documents and travel booklets.

**Service Center Operations – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$609,367	\$649,461	\$767,263
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$609,367	\$649,461	\$767,263
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$609,367	\$649,461	\$767,263
Obligations (Actual/Projections/Estimates)	\$602,936	\$649,461	\$767,263
Personnel: Positions and FTE			
Enacted/Request Positions	3,556	3,556	3,806
Enacted/Request FTE	3,378	3,378	3,616
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	3,235	3,556	3,806
FTE (Actual/Estimates/Projections)	3,236	3,378	3,616

**Service Center Operations – PPA
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	3,556	3,378	\$609,367
FY 2018 President's Budget	3,556	3,378	\$649,461
FY 2019 Base Budget	3,556	3,378	\$649,461
Technical Adjustment	-	-	\$18,030
Annualization of 2018 Pay Raise	-	-	\$1,720
Workload Adjustment	250	238	\$98,052
Total, Pricing Increases	250	238	\$99,772
Total Adjustments-to-Base	250	238	\$117,802
FY 2019 Current Services	3,806	3,616	\$767,263
FY 2019 Request	3,806	3,616	\$767,263
FY 2018 TO FY 2019 Change	250	238	\$117,802

**Service Center Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	3,556	3,378	\$354,919	\$105.07	3,556	3,378	\$362,144	\$107.21	3,806	3,616	\$479,784	\$132.68	250	238	\$117,640	\$25.47
Total	3,556	3,378	\$354,919	\$105.07	3,556	3,378	\$362,144	\$107.21	3,806	3,616	\$479,784	\$132.68	250	238	\$117,640	\$25.47
Mandatory - Fee	3,556	3,378	\$354,919	\$105.07	3,556	3,378	\$362,144	\$107.21	3,806	3,616	\$479,784	\$132.68	250	238	\$117,640	\$25.47

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$246,293	\$251,307	\$332,942	\$81,635
11.3 Other than Full-Time Permanent	\$973	\$993	\$1,316	\$323
11.5 Other Personnel Compensation	\$20,738	\$21,160	\$28,034	\$6,874
12.1 Civilian Personnel Benefits	\$86,915	\$88,684	\$117,492	\$28,808
Total - Personnel Compensation and Benefits	\$354,919	\$362,144	\$479,784	\$117,640
Positions and FTE				
Positions - Civilian	3,556	3,556	3,806	250
FTE - Civilian	3,378	3,378	3,616	238

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	3,378	\$354,919	\$105	3,378	\$362,144	\$107	3,616	\$ 479,784	\$133	238	\$ 117,640	\$ 26
Total – Pay Cost Drivers	3,378	\$354,919	\$105	3,378	\$362,144	\$107	3,616	\$ 479,784	\$133	238	\$ 117,640	\$ 26

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** An increase of 238 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work.
- **PC&B Change FY 2018-2019:** Reflects the annualization of the 2018 pay raise and an additional 238 FTE.
- **Average Cost Change FY 2018-2019:** An average cost change of \$26,000 is based on the annualization of the 2018 pay raise and additional 238 FTE hired at the increased FY 2018 pay rates.

**Service Center Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Service Center Operations	\$254,448	\$287,317	\$287,479	\$162
Total	\$254,448	\$287,317	\$287,479	\$162
Mandatory - Fee	\$254,448	\$287,317	\$287,479	\$162

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$459	\$589	\$614	\$25
22.0 Transportation of Things	\$1,215	\$1,394	\$1,452	\$58
23.1 Rental Payments to GSA	\$36,185	\$36,910	\$26,579	(\$10,331)
23.2 Rental Payments to Others	\$32	\$36	\$38	\$2
23.3 Communications, Utilities, and Misc. Charges	\$32,243	\$36,983	\$38,533	\$1,550
24.0 Printing and Reproduction	\$2,169	\$2,488	\$2,592	\$104
25.1 Advisory and Assistance Services	\$91,348	\$104,775	\$109,166	\$4,391
25.2 Other Services from Non-Federal Sources	\$6,770	\$7,765	\$8,090	\$325
25.3 Other Goods and Services from Federal Sources	\$48,368	\$55,478	\$57,803	\$2,325
25.4 Operation and Maintenance of Facilities	\$64	\$73	\$76	\$3
25.7 Operation and Maintenance of Equipment	\$6,186	\$7,095	\$7,392	\$297
26.0 Supplies and Materials	\$18,298	\$20,987	\$21,866	\$879
31.0 Equipment	\$10,176	\$11,672	\$12,161	\$489
32.0 Land and Structures	\$922	\$1,057	\$1,101	\$44
42.0 Insurance Claims and Indemnities	\$13	\$15	\$16	\$1
Total - Non Pay Object Classes	\$254,448	\$287,317	\$287,479	\$162

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
USCIS Secure Mail Initiative	\$17,116	\$17,116	\$58,300	\$40,689
Rental Payments to GSA	\$36,185	\$36,910	\$26,579	(\$10,331)
Treasury Lockbox Reimbursement	\$49,154	\$49,154	\$53,727	\$4,573
Service Center Operations Support Services (SCOSS) Contract	\$85,809	\$85,809	\$85,809	\$0
Other Costs	\$66,184	\$98,328	\$63,064	(\$35,264)
Total – Non Pay Cost Drivers	\$254,448	\$287,317	\$287,479	\$162

NON PAY NARRATIVE

- **USCIS Secure Mail Initiative:** Funds priority mail postage for delivery of secure identification documents, which require delivery to be tracked and signed. Change reflects increased cost of service for signature confirmation restricted delivery.
- **Rental Payments to GSA:** The FY 2019 amount is based on projections developed by USCIS' Facilities Division, using information provided by GSA and the change from FY 2018 reflects projected lease adjustments.
- **Treasury Lockbox Reimbursement:** USCIS maintains a reimbursable agreement with the Department of the Treasury for services provided by its fiscal agent JP Morgan Chase to collection and deposit revenue from immigration fees. The lockbox performs the initial processing of applications, scanning of materials, transmission of data to USCIS case management systems, and depositing of checks into USCIS's Treasury accounts. Change reflects increased cost of service.
- **SCOSS Contract:** Provides administrative and technical functions in support of records management at all five Service Centers. Includes file operations, data gathering, fee collection, and mail operations.

Asylum, Refugee and International Operations - PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum, Refugee and International Operations	1,668	1,585	\$358,474	1,668	1,585	\$399,882	1,917	1,822	\$356,206	249	237	(\$43,676)
Total	1,668	1,585	\$358,474	1,668	1,585	\$399,882	1,917	1,822	\$356,206	249	237	(\$43,676)
Subtotal Mandatory - Fee	1,668	1,585	\$358,474	1,668	1,585	\$399,882	1,917	1,822	\$356,206	249	237	(\$43,676)

PPA Description

The FY 2019 Budget includes \$356.2M; 1,917 positions; and 1,822 FTE for the Asylum, Refugee and International Operations PPA. Included in this PPA is USCIS' Refugee, Asylum, and International Operations (RAIO) Directorate, which extends humanitarian protection, citizenship, and other immigration benefits to eligible individuals. In addition, RAIO adjudicates asylum and refugee status applications for individuals seeking protection from persecution and facilitates the process for close relatives of approved refugees and asylees to immigrate to the United States.

**Asylum Refugee and International Operations – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$358,474	\$399,882	\$356,206
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$358,474	\$399,882	\$356,206
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$358,474	\$399,882	\$356,206
Obligations (Actual/Projections/Estimates)	\$311,763	\$399,882	\$356,206
Personnel: Positions and FTE			
Enacted/Request Positions	1,668	1,668	1,917
Enacted/Request FTE	1,585	1,585	1,822
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,415	1,668	1,917
FTE (Actual/Estimates/Projections)	1,413	1,585	1,822

Asylum Refugee and International Operations – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	1,668	1,585	\$358,474
FY 2018 President's Budget	1,668	1,585	\$399,882
FY 2019 Base Budget	1,668	1,585	\$399,882
Technical Adjustment	(1)	(1)	(\$54,782)
Annualization of 2018 Pay Raise	-	-	\$890
Workload Adjustment	250	238	\$10,216
Total, Pricing Increases	250	238	\$11,106
Total Adjustments-to-Base	249	237	(\$43,676)
FY 2019 Current Services	1,917	1,822	\$356,206
FY 2019 Request	1,917	1,822	\$356,206
FY 2018 TO FY 2019 Change	249	237	(\$43,676)

**Asylum Refugee and International Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization																

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$120,539	\$127,069	\$132,332	\$5,263
11.3 Other than Full-Time Permanent	\$5,051	\$5,324	\$5,545	\$221
11.5 Other Personnel Compensation	\$6,774	\$7,140	\$7,436	\$296
12.1 Civilian Personnel Benefits	\$44,856	\$47,286	\$49,245	\$1,959
13.0 Benefits for Former Personnel	\$438	\$462	\$481	\$19
Total - Personnel Compensation and Benefits	\$177,658	\$187,281	\$195,039	\$7,758
Positions and FTE				
Positions - Civilian	1,668	1,668	1,917	249
FTE - Civilian	1,585	1,585	1,822	237

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	1,585	\$177,658	\$112	1,585	\$187,281	\$118	1,822	\$ 195,039	\$ 107	237	\$ 7,758	\$ (11)
Total – Pay Cost Drivers	1,585	\$177,658	\$112	1,585	\$187,281	\$118	1,822	\$ 195,039	\$ 107	237	\$ 7,758	\$ (11)

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** An increase of 237 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work.
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise and increase of 237 FTE.
- **Average Cost Change FY 2018-2019:** An average cost change of negative \$11,000 is based on the annualization of the 2018 pay raise and additional 237 FTE.

**Asylum Refugee and International Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Asylum, Refugee and International Operations	\$180,816	\$212,601	\$161,167	(\$51,434)
Total	\$180,816	\$212,601	\$161,167	(\$51,434)
Mandatory - Fee	\$180,816	\$212,601	\$161,167	(\$51,434)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$17,129	\$42,025	\$20,000	(\$22,025)
22.0 Transportation of Things	\$2,605	\$2,596	\$1,677	(\$919)
23.1 Rental Payments to GSA	\$18,662	\$18,716	\$21,688	\$2,972
23.2 Rental Payments to Others	\$6,224	\$6,199	\$4,001	(\$2,198)
23.3 Communications, Utilities, and Misc. Charges	\$10,133	\$10,095	\$6,516	(\$3,579)
24.0 Printing and Reproduction	\$16	\$16	\$10	(\$6)
25.1 Advisory and Assistance Services	\$27,243	\$27,139	\$17,516	(\$9,623)
25.2 Other Services from Non-Federal Sources	\$66,873	\$66,618	\$64,174	(\$2,444)
25.3 Other Goods and Services from Federal Sources	\$5,464	\$5,442	\$3,512	(\$1,930)
25.7 Operation and Maintenance of Equipment	\$8,488	\$8,455	\$5,458	(\$2,997)
26.0 Supplies and Materials	\$2,411	\$2,403	\$1,551	(\$852)
31.0 Equipment	\$3,434	\$3,422	\$2,209	(\$1,213)
32.0 Land and Structures	\$1,320	\$1,315	\$849	(\$466)
41.0 Grants, Subsidies, and Contributions	\$10,805	\$18,150	\$12,000	(\$6,150)
42.0 Insurance Claims and Indemnities	\$9	\$10	\$6	(\$4)
Total - Non Pay Object Classes	\$180,816	\$212,601	\$161,167	(\$51,434)

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
DHS Working Capital Fund Contributions	\$10,490	\$13,623	\$6,372	(\$7,251)
USCIS Cuban and Haitian Entrant Program (CHEP) Grants	\$19,823	\$19,823	\$12,000	(\$7,823)
Dept. of State Reimbursement for Services Performed Overseas on USCIS' Behalf	\$25,000	\$25,000	\$25,000	\$0
USCIS Refugee, Asylum, and International Operations (RAIO) Travel and Overseas Costs	\$47,502	\$47,855	\$27,855	(\$20,000)
Other Costs	\$78,001	\$106,300	\$89,940	(\$16,360)
Total – Non Pay Cost Drivers	\$180,816	\$212,601	\$161,167	(\$51,434)

NON PAY NARRATIVE

- **DHS Working Capital Fund Contributions:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Change from FY 2018 to FY 2019 reflects reduction in Working Capital Fund assessments.
- **CHEP Grants:** Provides funding through cooperative agreements to organizations that serve Cubans and Haitians paroled into the United States. The projected decrease from FY 2018 to FY 2019 is due to a reduction in the projected number of individuals eligible for services due a projected reduction in Cuban arrivals.
- **DOS Reimbursement for Services Performed Overseas on USCIS' Behalf:** This interagency agreement is for services that DOS provides for USCIS at overseas consular locations.
- **RAIO Travel and Overseas Costs:** This cost driver includes travel for refugee and asylum applicant interviews and includes travel to the Southwest Border to interview detainees as well as costs for International Cooperative Administrative Support Services (ICASS) and Capital Cost Sharing (CSCS) with the Department of State. Additionally, the cost driver includes general operating expenses for the USCIS International Operations Division. Change from FY 2018 reflects reduced travel in FY 2019.

Records Operations - PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Records Operations	430	408	\$133,509	430	408	\$135,477	459	436	\$150,941	29	28	\$15,464
Total	430	408	\$133,509	430	408	\$135,477	459	436	\$150,941	29	28	\$15,464
Subtotal Mandatory - Fee	430	408	\$133,509	430	408	\$135,477	459	436	\$150,941	29	28	\$15,464

PPA Description

The FY 2019 Budget includes \$150.9M; 459 positions; and 436 FTE for USCIS management of U.S. immigration records.

This PPA supports the Records Division and the National Records Center (NRC) within the Immigration Records and Identity Services Directorate (IRIS). The Records Division administers immigration records created by USCIS and enforcement records created by other DHS entities, including alien registration files (A-files). The NRC stores and accesses over 18 million A-files, providing customers with timely and appropriate access to requested records and information in an efficient manner. In addition, NRC is responsible for the largest Freedom of Information Act (FOIA) program within the Federal Government.

Records Operations – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$133,509	\$135,477	\$150,941
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$133,509	\$135,477	\$150,941
Collections – Reimbursable Resources	\$3,599	\$3,599	-
Total Budget Resources	\$137,108	\$139,076	\$150,941
Obligations (Actual/Projections/Estimates)	\$109,019	\$139,076	\$150,941
Personnel: Positions and FTE			
Enacted/Request Positions	430	430	459
Enacted/Request FTE	408	408	436
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	376	430	459
FTE (Actual/Estimates/Projections)	345	408	436

**Records Operations – PPA
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - U.S. Immigration and Customs Enforcement Source	-	-	\$2,409	-	-	\$2,409	-	-	-
Independent Agency - Social Security Administration Source	-	-	\$7	-	-	\$7	-	-	-
Department of Homeland Security - U.S. Customs and Border Protection Source	-	-	\$1,183	-	-	\$1,183	-	-	-
Total Collections	-	-	\$3,599	-	-	\$3,599	-	-	-

**Records Operations – PPA
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	430	408	\$133,509
FY 2018 President's Budget	430	408	\$135,477
FY 2019 Base Budget	430	408	\$135,477
Technical Adjustment	3	3	\$2,049
Annualization of 2018 Pay Raise	-	-	\$196
Workload Adjustment	26	25	\$13,219
Total, Pricing Increases	26	25	\$13,415
Total Adjustments-to-Base	29	28	\$15,464
FY 2019 Current Services	459	436	\$150,941
FY 2019 Request	459	436	\$150,941
FY 2018 TO FY 2019 Change	29	28	\$15,464

**Records Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Records Operations	430	408	\$40,826	\$100.06	430	408	\$41,222	\$101.03	459	436	\$47,741	\$109.5	29	28	\$6,519	\$8.47
Total	430	408	\$40,826	\$100.06	430	408	\$41,222	\$101.03	459	436	\$47,741	\$109.5	29	28	\$6,519	\$8.47
Mandatory - Fee	430	408	\$40,826	\$100.06	430	408	\$41,222	\$101.03	459	436	\$47,741	\$109.5	29	28	\$6,519	\$8.47

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$27,796	\$28,064	\$32,502	\$4,438
11.3 Other than Full-Time Permanent	\$491	\$496	\$574	\$78
11.5 Other Personnel Compensation	\$2,877	\$2,906	\$3,366	\$460
12.1 Civilian Personnel Benefits	\$9,662	\$9,756	\$11,299	\$1,543
Total - Personnel Compensation and Benefits	\$40,826	\$41,222	\$47,741	\$6,519
Positions and FTE				
Positions - Civilian	430	430	459	29
FTE - Civilian	408	408	436	28

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	408	\$40,826	\$100	408	\$41,222	\$101	436	\$47,741	\$109	28	\$6,519	\$8
Total – Pay Cost Drivers	408	\$40,826	\$100	408	\$41,222	\$101	436	\$47,741	\$109	28	\$6,519	\$8

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** An increase of 28 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work.
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise and an additional 28 FTE.
- **Average Cost Change FY 2018-2019:** An average cost change of \$8,000 is based on the annualization of the 2018 pay raise and additional 28 FTE.

**Records Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Records Operations	\$92,683	\$94,255	\$103,200	\$8,945
Total	\$92,683	\$94,255	\$103,200	\$8,945
Mandatory - Fee	\$92,683	\$94,255	\$103,200	\$8,945

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$214	\$225	\$247	\$22
22.0 Transportation of Things	\$104	\$105	\$115	\$10
23.1 Rental Payments to GSA	\$7,902	\$8,052	\$8,458	\$406
23.3 Communications, Utilities, and Misc. Charges	\$4,839	\$4,919	\$5,406	\$487
24.0 Printing and Reproduction	\$934	\$950	\$1,044	\$94
25.1 Advisory and Assistance Services	\$70,328	\$71,502	\$78,584	\$7,082
25.2 Other Services from Non-Federal Sources	\$939	\$955	\$1,050	\$95
25.3 Other Goods and Services from Federal Sources	\$1,777	\$1,807	\$1,986	\$179
25.4 Operation and Maintenance of Facilities	\$363	\$369	\$406	\$37
25.7 Operation and Maintenance of Equipment	\$166	\$169	\$186	\$17
26.0 Supplies and Materials	\$504	\$512	\$563	\$51
31.0 Equipment	\$4,240	\$4,310	\$4,737	\$427
32.0 Land and Structures	\$373	\$380	\$418	\$38
Total - Non Pay Object Classes	\$92,683	\$94,255	\$103,200	\$8,945

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
National Benefits Center (NBC) Records Contract	\$20,573	\$20,573	\$20,573	\$0
National Records Center (NRC) Records Operations Support Contract	\$22,281	\$22,281	\$22,281	\$0
Retired Records Storage - National Archives and Records Admin. (NARA) Reimbursement	\$12,918	\$12,918	\$12,933	\$15
Records Compaction - USCIS Record Audit and Retention	\$7,854	\$7,854	\$7,906	\$52
Other Costs	\$29,057	\$30,629	\$39,507	\$8,878
Total – Non Pay Cost Drivers	\$92,683	\$94,255	\$103,200	\$8,945

NON PAY NARRATIVE

- **NBC Records Contract:** This contract provides records management, file operations, quality control/business process improvement, and reporting services at the National Benefits Center. Contract levels fluctuate based on application/petition volume receipts.
- **NRC Records Contract Records Operations Support Contract:** This funds centralized storage and maintenance of immigration records.
- **Retired Records Storage:** This funds the storage of inactive immigration records as USCIS must move inactive records to other storage facilities to free up space for active A-Files. Change from FY 2018 to FY 2019 reflects increased reimbursement costs.
- **Records Compaction:** This cost is to compact older A-Files stored at the Federal Records Center to improve data integrity and reduce the number of lost files. Change from FY 2018 to FY 2019 reflects increase in contract costs.

Premium Processing (Including Transformation) - PPA

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Premium Processing (Including Transformation)	1,430	1,359	\$573,976	1,430	1,359	\$620,829	1,293	1,229	\$643,423	(137)	(130)	\$22,594
Total	1,430	1,359	\$573,976	1,430	1,359	\$620,829	1,293	1,229	\$643,423	(137)	(130)	\$22,594
Subtotal Mandatory - Fee	1,430	1,359	\$573,976	1,430	1,359	\$620,829	1,293	1,229	\$643,423	(137)	(130)	\$22,594

PPA Description

The FY 2019 Budget includes \$643.4M; 1,293 positions; and 1,229 FTE for USCIS to fund USCIS Premium Processing, which includes but is not limited to the Transformation program. The Immigration and Nationality Act §286(u) authorizes USCIS to charge business customers a premium processing fee and to use revenue from this fee to provide the premium processing service, as well as to make infrastructure improvements in the adjudications and customer service processes.

Funding is used to accomplish the following:

- 1) adjudicate cases for business customers that have requested premium processing service;
- 2) support the operations, maintenance, and acquisition costs for USCIS’ business transformation investment, the Electronic Immigration System (ELIS);
- 3) facilitate additional infrastructure improvements in the adjudications and customer service processes; and
- 4) maintain staffing to manage application/petition processing backlogs.

In FY 2017, the Transformation program was realigned to the Office of Information Technology (OIT) and is focusing on completing the following business objectives:

Transformation Business Objectives (FY 2017 through FY 2019)

Business Objectives (FY 2017)
<p>4th Quarter</p> <ul style="list-style-type: none"> • Improve flow of I-90 (Application to Replace Permanent Resident Card) and N-400 (Application for Naturalization) • Modernize Immigrant Visa (IV) Fee and improve process flow • Improve security posture by adding enterprise level error handling and validation • Re-baseline program by completing Remediation Plan & Acquisition Decision Memorandum Actions • Improve system reliability by remediating technical/defect debt
Business Objectives (FY 2018)
<p>1st Quarter</p> <ul style="list-style-type: none"> • Streamline I-90 Processing • Pivot N-400 to ELIS • Modernize Immigrant Visa (IV) Fee and improve process flow • Prepare for program re-baseline by completing Remediation Plan & ADM Actions • Re-baseline Program • Improve system scalability and maintainability by refactoring application and database into microservices
<p>2nd Quarter</p> <ul style="list-style-type: none"> • Add features for Military Naturalizations and Homebound processing • Improve flow of Employment Authorization Documents (EADs) • Add features to support Family-based Adjustment of Status (FB-AOS) processing • Improve system scalability and maintainability by refactoring application and database into microservices • Improve security posture by conducting DHS High Value Asset (HVA) assessment
<p>3rd Quarter</p> <ul style="list-style-type: none"> • Improve Flow of EADs • Add features to support Family-based Adjustment of Status processing • Open new e-filing channel by adding 3rd Party Application Integration (3PI) features

<ul style="list-style-type: none"> • Systems Integration & Security Enhancements
<p>4th Quarter</p> <ul style="list-style-type: none"> • Add features to support Employment-based Adjustment of Status (EB-AOS) processing • 3rd Party Application Integration (3PI) • Systems Integration & Data Quality Enhancements • Security Enhancements
<p>Business Objectives (FY 2019)</p>
<p>1st Quarter</p> <ul style="list-style-type: none"> • Add Immigrant Features • 3rd Party Application Integration (3PI) • Systems Integration & Data Quality Enhancements • Security Enhancements
<p>2nd Quarter</p> <ul style="list-style-type: none"> • Add Immigrant Features • Systems Integration & Data Quality Enhancements • Security Enhancements

Premium Processing (Including Transformation) – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$573,976	\$620,829	\$643,423
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$573,976	\$620,829	\$643,423
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$573,976	\$620,829	\$643,423
Obligations (Actual/Projections/Estimates)	\$463,252	\$620,829	\$643,423
Personnel: Positions and FTE			
Enacted/Request Positions	1,430	1,430	1,293
Enacted/Request FTE	1,359	1,359	1,229
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,121	1,430	1,293
FTE (Actual/Estimates/Projections)	749	1,359	1,229

Premium Processing (Including Transformation) – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	1,430	1,359	\$573,976
FY 2018 President's Budget	1,430	1,359	\$620,829
FY 2019 Base Budget	1,430	1,359	\$620,829
Technical Adjustment	(137)	(130)	\$21,917
Annualization of 2018 Pay Raise	-	-	\$677
Total, Pricing Increases	-	-	\$677
Total Adjustments-to-Base	(137)	(130)	\$22,594
FY 2019 Current Services	1,293	1,229	\$643,423
FY 2019 Request	1,293	1,229	\$643,423
FY 2018 TO FY 2019 Change	(137)	(130)	\$22,594

**Premium Processing (Including Transformation) – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Premium Processing (Including Transformation)	1,430	1,359	\$125,454	\$92.31	1,430	1,359	\$142,460	\$104.83	1,293	1,229	\$119,419	\$97.17	(137)	(130)	(\$23,041)	(\$7.66)
Total	1,430	1,359	\$125,454	\$92.31	1,430	1,359	\$142,460	\$104.83	1,293	1,229	\$119,419	\$97.17	(137)	(130)	(\$23,041)	(\$7.66)
Mandatory - Fee	1,430	1,359	\$125,454	\$92.31	1,430	1,359	\$142,460	\$104.83	1,293	1,229	\$119,419	\$97.17	(137)	(130)	(\$23,041)	(\$7.66)

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$87,057	\$98,858	\$56,042	(\$42,816)
11.3 Other than Full-Time Permanent	\$328	\$373	\$89	(\$284)
11.5 Other Personnel Compensation	\$8,200	\$9,312	\$43,519	\$34,207
12.1 Civilian Personnel Benefits	\$29,869	\$33,917	\$19,769	(\$14,148)
Total - Personnel Compensation and Benefits	\$125,454	\$142,460	\$119,419	(\$23,041)
Positions and FTE				
Positions - Civilian	1,430	1,430	1,293	(137)
FTE - Civilian	1,359	1,359	1,229	(130)

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	1,359	\$125,454	\$92	1,359	\$142,460	\$105	1,229	\$ 119,419	\$ 97	-130	\$ (23,041)	\$ (8)
Total – Pay Cost Drivers	1,359	\$125,454	\$92	1,359	\$142,460	\$105	1,229	\$ 119,419	\$ 97	-130	\$ (23,041)	\$ (8)

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** A decrease of 130 FTE to this PPA, which have been reallocated to other PPAs with the account.
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise.
- **Average Cost Change FY 2018-2019:** An average cost change of \$8,000 is based on the annualization of the 2018 pay raise and a net reduction of 130 FTE in this PPA.

**Premium Processing (Including Transformation) – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Premium Processing (Including Transformation)	\$448,522	\$478,369	\$524,004	\$45,635
Total	\$448,522	\$478,369	\$524,004	\$45,635
Mandatory - Fee	\$448,522	\$478,369	\$524,004	\$45,635

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$123	\$264	\$285	\$21
22.0 Transportation of Things	\$21	\$21	\$23	\$2
23.1 Rental Payments to GSA	\$5,948	\$6,061	\$14,694	\$8,633
23.3 Communications, Utilities, and Misc. Charges	\$10,173	\$10,853	\$11,703	\$850
25.1 Advisory and Assistance Services	\$272,826	\$291,076	\$313,879	\$22,803
25.2 Other Services from Non-Federal Sources	\$7,072	\$7,544	\$8,135	\$591
25.3 Other Goods and Services from Federal Sources	\$18,845	\$20,104	\$21,679	\$1,575
25.7 Operation and Maintenance of Equipment	\$59,846	\$63,849	\$68,851	\$5,002
26.0 Supplies and Materials	\$443	\$474	\$511	\$37
31.0 Equipment	\$57,389	\$61,228	\$66,025	\$4,797
32.0 Land and Structures	\$15,823	\$16,882	\$18,205	\$1,323
42.0 Insurance Claims and Indemnities	\$13	\$13	\$14	\$1
Total - Non Pay Object Classes	\$448,522	\$478,369	\$524,004	\$45,635

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
USCIS ELIS Development and Support	\$94,672	\$94,672	\$94,672	\$0
Flexible Agile Development Services (FADS)	\$43,957	\$43,957	\$53,414	\$9,457
USCIS Lease Acquisition Program	\$28,183	\$28,183	\$28,183	\$0
Information Technology Platforms	\$73,870	\$73,870	\$77,991	\$4,121
Other Costs	\$207,840	\$237,687	\$269,744	\$32,057
Total Non Pay Cost Drivers	\$448,522	\$478,369	\$524,004	\$45,635

NON PAY NARRATIVE

- ELIS Development and Support:** This cost driver includes development and support for the USCIS ELIS system including Independent Verification and Validation, Applied Integration and Support, Information Technology Platform Engineering and Operations, and Asset and License Management. Additionally, this cost driver also includes contracted costs for USCIS call center contracts in support of ELIS, USCIS contracted support for Service Center data entry in support of ELIS, and contract support for ELIS provided through the National Benefits Center records operations contract.
- Flexible Agile Development Services (FADS):** This item consists of contracts for agile software development of ELIS. Change from FY 2018 reflects increased contract costs in FY 2019.
- USCIS Lease Acquisition Program:** This item represents minor facilities renovation/upgrade projects that improve customer service and adjudication processes.
- Information Technology Platforms:** This cost driver includes amounts for USCIS Records Digitization Services; Data, Voice, and Video Infrastructure Support; the USCIS Customer Service Portfolio; and the USCIS Naturalization Benefits Systems Portfolio. Change from FY 2018 reflects increased contract costs in FY 2019.

Information and Customer Services - PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Information and Customer Services	329	313	\$103,625	329	313	\$109,445	376	357	\$112,493	47	44	\$3,048
Total	329	313	\$103,625	329	313	\$109,445	376	357	\$112,493	47	44	\$3,048
Subtotal Mandatory - Fee	329	313	\$103,625	329	313	\$109,445	376	357	\$112,493	47	44	\$3,048

PPA Description

The FY 2019 Budget includes \$112.5M; 376 positions; and 357 FTE for USCIS to support the Customer Service and Public Engagement Directorate (CSPED).

CSPED manages more than 14 million engagements with applicants/petitioners annually over multiple channels and various tiers of service, designs and develops enterprise online tools and resources, and leads agency-wide dialogue with external stakeholders. The Directorate maintains the National Customer Service Center (NCSC) 1-800 number for USCIS, and conducts engagements so USCIS applicants/petitioners and stakeholders can receive accurate, timely, consistent information and effective resolution of their specific issues during their first contact with the agency. Additionally, CSPED supports online tools such as Case Status Online and e-Request as well as serves as an agency leader in transformation and innovation to build a unified digital experience for applicants/petitioners.

Call volume is the single largest factor in determining costs of the Information and Customer Service PPA. Tier 1 calls are managed by contractors, and the more complicated calls are routed to Tiers 2 and 3 and are handled by experienced USCIS Federal staff. As the following table details, Tier 1 and Tier 2 call volume is anticipated to increase 5 percent annually in FY 2018 and FY 2019. Tier 3 call volume, which represents calls escalated from Tier 2 to a supervisor or Immigration Services Officer (ISO) Level 2, is anticipated to grow 10 percent in FY 2018 and 20 percent in FY 2019. The growth is partially driven by an anticipated increase in workload related to the national INFOPASS implementation that will re-direct applicants/petitioners to the call center to schedule an appointment.

Call Volume			
Call Centers	FY 2017 Actual ⁶	FY 2018 Projected	FY 2019 Projected
Tier 1	7,332,243	7,698,855	8,083,798
Tier 2	1,288,291	1,352,706	1,420,341
Tier 3	128,829 ⁷	141,712	170,054

⁶ The FY 2017 Actual numbers are through September 30, 2017; the FY 2018 and FY 2019 numbers are full year projections

⁷ Estimated as 10% of the Tier 2 FY17 call volume.

Information and Customer Services – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$103,625	\$109,445	\$112,493
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$103,625	\$109,445	\$112,493
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$103,625	\$109,445	\$112,493
Obligations (Actual/Projections/Estimates)	\$100,779	\$109,445	\$112,493
Personnel: Positions and FTE			
Enacted/Request Positions	329	329	376
Enacted/Request FTE	313	313	357
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	335	329	376
FTE (Actual/Estimates/Projections)	324	313	357

Information and Customer Services – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	329	313	\$103,625
FY 2018 President's Budget	329	313	\$109,445
FY 2019 Base Budget	329	313	\$109,445
Technical Adjustment	6	5	(\$1,299)
Annualization of 2018 Pay Raise	-	-	\$169
Workload Adjustment	41	39	\$4,178
Total, Pricing Increases	41	39	\$4,347
Total Adjustments-to-Base	47	44	\$3,048
FY 2019 Current Services	376	357	\$112,493
FY 2019 Request	376	357	\$112,493
FY 2018 TO FY 2019 Change	47	44	\$3,048

**Information and Customer Services – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Information and Customer Services	329	313	\$34,714	\$110.91	329	313	\$35,484	\$113.37	376	357	\$44,168	\$123.72	47	44	\$8,684	\$10.35
Total	329	313	\$34,714	\$110.91	329	313	\$35,484	\$113.37	376	357	\$44,168	\$123.72	47	44	\$8,684	\$10.35
Mandatory - Fee	329	313	\$34,714	\$110.91	329	313	\$35,484	\$113.37	376	357	\$44,168	\$123.72	47	44	\$8,684	\$10.35

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$25,256	\$25,816	\$32,134	\$6,318
11.3 Other than Full-Time Permanent	\$167	\$171	\$213	\$42
11.5 Other Personnel Compensation	\$839	\$858	\$1,068	\$210
12.1 Civilian Personnel Benefits	\$8,452	\$8,639	\$10,753	\$2,114
Total - Personnel Compensation and Benefits	\$34,714	\$35,484	\$44,168	\$8,684
Positions and FTE				
Positions - Civilian	329	329	376	47
FTE - Civilian	313	313	357	44

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	313	\$34,714	\$111	313	\$35,484	\$113	357	\$44,168	\$124	44	\$8,684	\$11
Total – Pay Cost Drivers	313	\$34,714	\$111	313	\$35,484	\$113	357	\$44,168	\$124	44	\$8,684	\$11

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** An increase of 44 FTE to support changes in operational requirements driven by changes to benefit request receipt volumes and complexity of work
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise and an increase of 44 FTE.
- **Average Cost Change FY 2018-2019:** An average cost change of \$11,000 is based on the annualization of the 2018 pay raise and additional 44 FTE.

**Information and Customer Services – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Information and Customer Services	\$68,911	\$73,961	\$68,325	(\$5,636)
Total	\$68,911	\$73,961	\$68,325	(\$5,636)
Mandatory - Fee	\$68,911	\$73,961	\$68,325	(\$5,636)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$289	\$240	\$221	(\$19)
22.0 Transportation of Things	\$38	\$41	\$38	(\$3)
23.1 Rental Payments to GSA	\$3,589	\$3,657	\$3,726	\$69
23.3 Communications, Utilities, and Misc. Charges	\$3,740	\$4,029	\$3,702	(\$327)
24.0 Printing and Reproduction	\$1,187	\$1,279	\$1,175	(\$104)
25.1 Advisory and Assistance Services	\$52,735	\$56,816	\$52,205	(\$4,611)
25.2 Other Services from Non-Federal Sources	\$694	\$748	\$687	(\$61)
25.3 Other Goods and Services from Federal Sources	\$2,105	\$2,268	\$2,084	(\$184)
25.4 Operation and Maintenance of Facilities	\$56	\$60	\$55	(\$5)
25.7 Operation and Maintenance of Equipment	\$320	\$344	\$316	(\$28)
26.0 Supplies and Materials	\$1,773	\$1,910	\$1,755	(\$155)
31.0 Equipment	\$1,951	\$2,101	\$1,931	(\$170)
32.0 Land and Structures	\$418	\$451	\$414	(\$37)
42.0 Insurance Claims and Indemnities	\$16	\$17	\$16	(\$1)
Total - Non Pay Object Classes	\$68,911	\$73,961	\$68,325	(\$5,636)

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
USCIS Call Center Contracts	\$43,989	\$43,989	\$58,289	\$14,300
DHS Working Capital Fund Contributions	\$4,759	\$4,759	\$2,890	(\$1,869)
End User Desktop and Mobile Services	\$3,466	\$3,466	\$3,466	\$0
Other Costs	\$16,697	\$21,747	\$3,680	(\$18,067)
Total Non Pay Cost Drivers	\$68,911	\$73,961	\$68,325	(\$5,636)

NON PAY NARRATIVE

- **USCIS Call Center Contracts:** This item funds two nationwide Tier 1 call center contracts to operate the bilingual (English/Spanish) USCIS National Customer Service Center (1-800 line). Change from FY 2018 to FY 2019 is impacted by fluctuations in applicant and petitioner volume. USCIS is moving toward a more modern, multi-channel contact center which will eventually reduce this cost item. Increased application/petition volumes will be absorbed by more applicants/petitioners moving to online service channels.
- **DHS Working Capital Fund Contributions:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Change from FY 2018 to FY 2019 reflects reduction in Working Capital Fund assessments.
- **End User Desktop and Mobile Services:** This item includes end-user IT support to USCIS employees and contractors, as well as maintenance and support of locally-housed IT equipment and wireless devices. This amount only represents a portion of the total annual contract cost attributable to Information and Customer Service.

*Administration - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Administration	1,645	1,563	\$509,420	1,645	1,563	\$522,010	1,760	1,672	\$519,002	115	109	(\$3,008)
Total	1,645	1,563	\$509,420	1,645	1,563	\$522,010	1,760	1,672	\$519,002	115	109	(\$3,008)
Subtotal Mandatory - Fee	1,645	1,563	\$509,420	1,645	1,563	\$522,010	1,760	1,672	\$519,002	115	109	(\$3,008)

PPA Description

The FY 2019 Budget includes \$519M; 1,760 positions; and 1,672 FTE in this PPA to support a wide variety of USCIS headquarters offices. These include: the Office of the Director, Administration, the Investment Management Division, the Chief Financial Officer, Chief Counsel, Privacy, Contracting, Policy and Strategy, Equal Opportunity and Inclusion, Chief Human Capital Officer, and Security and Integrity. In addition, the funding will also support USCIS' newly established External Affairs directorate, which includes the Offices of Citizenship, Communications, and Legislative Affairs.

This PPA supports the following functions: procurement operations; management of property, plant, and equipment, and other material resources; budget, planning and performance measures, strategic sourcing, financial and capital asset management; human resources and personnel recruitment, hiring, training, leadership development, employee benefits, and work-life programs, immigration forms, print services, and the management of security and emergency management operations.

The following table depicts actual and projected workloads for select functions included in the Administration PPA.

Responsible Office	Workload Measure	FY 2017 Actual ⁸	FY 2018 Projected	FY 2019 Projected
Office of Human Capital and Training	Personnel Actions	17,070	18,255	20,046
Office of Human Capital and Training	Employees Completing BASIC Immigration Adjudicator Training	944	960	960
Office of Security and Integrity	Adjudicative Determinations ⁹	6,240	6,435	6,950
Office of Security and Integrity	Entry on Duty (EOD) Determinations ¹⁰	12,950	9,557	10,321
Office of Equal Opportunity and Inclusion	Formal Complaint Filings	89	87	90
Office of Equal Opportunity and Inclusion	Disability Accommodation Requests	1023	1,075	1,125
Office of Equal Opportunity and Inclusion	Informal Complaint Filings	196	190	190

⁸ The FY 2017 Actual numbers are through September 30, 2017; the FY 2018 and FY 2019 numbers are full year projections.

⁹ Includes suitability, fitness, security, reinvestigation, and Secure Compartmented Information (SCI) eligibility case types not including contract-to-contract transfers or internal employee selections.

¹⁰ Includes new hires, transfers from other Federal agencies, internal employee selections, contract-to-contract transfers, and multiple contract support.

Administration – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$509,420	\$522,010	\$519,002
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$509,420	\$522,010	\$519,002
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$509,420	\$522,010	\$519,002
Obligations (Actual/Projections/Estimates)	\$478,269	\$522,010	\$519,002
Personnel: Positions and FTE			
Enacted/Request Positions	1,645	1,645	1,760
Enacted/Request FTE	1,563	1,563	1,672
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,549	1,645	1,760
FTE (Actual/Estimates/Projections)	1,456	1,563	1,672

Administration – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	1,645	1,563	\$509,420
FY 2018 President's Budget	1,645	1,563	\$522,010
FY 2019 Base Budget	1,645	1,563	\$522,010
Technical Adjustment	40	38	(\$17,395)
Annualization of 2018 Pay Raise	-	-	\$1,149
Workload Adjustment	75	71	\$13,238
Total, Pricing Increases	75	71	\$14,387
Total Adjustments-to-Base	115	109	(\$3,008)
FY 2019 Current Services	1,760	1,672	\$519,002
FY 2019 Request	1,760	1,672	\$519,002
FY 2018 TO FY 2019 Change	115	109	(\$3,008)

Administration – PPA Personnel Compensation and Benefits Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Administration	1,645	1,563	\$228,765	\$146.07	1,645	1,563	\$241,869	\$154.44	1,760	1,672	\$254,681	\$152.02	115	109	\$12,812	(\$2.42)
Total	1,645	1,563	\$228,765	\$146.07	1,645	1,563	\$241,869	\$154.44	1,760	1,672	\$254,681	\$152.02	115	109	\$12,812	(\$2.42)
Mandatory - Fee	1,645	1,563	\$228,765	\$146.07	1,645	1,563	\$241,869	\$154.44	1,760	1,672	\$254,681	\$152.02	115	109	\$12,812	(\$2.42)

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$164,414	\$173,833	\$183,041	\$9,208
11.3 Other than Full-Time Permanent	\$2,642	\$2,793	\$2,941	\$148
11.5 Other Personnel Compensation	\$2,694	\$2,848	\$2,999	\$151
12.1 Civilian Personnel Benefits	\$58,560	\$61,914	\$65,194	\$3,280
13.0 Benefits for Former Personnel	\$455	\$481	\$506	\$25
Total - Personnel Compensation and Benefits	\$228,765	\$241,869	\$254,681	\$12,812
Positions and FTE				
Positions - Civilian	1,645	1,645	1,760	115
FTE - Civilian	1,563	1,563	1,672	109

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	1,563	\$228,765	\$146	1,563	\$241,869	\$155	1672	\$ 254,681	\$ 152	109	\$ 12,812	\$ (3)
Total – Pay Cost Drivers	1,563	\$228,765	\$146	1,563	\$241,869	\$155	1672	\$ 254,681	\$ 152	109	\$ 12,812	\$ (3)

**Administration – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Administration	\$280,655	\$280,141	\$264,321	(\$15,820)
Total	\$280,655	\$280,141	\$264,321	(\$15,820)
Mandatory - Fee	\$280,655	\$280,141	\$264,321	(\$15,820)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$5,040	\$8,141	\$7,404	(\$737)
22.0 Transportation of Things	\$9,433	\$9,283	\$8,443	(\$840)
23.1 Rental Payments to GSA	\$26,058	\$26,413	\$33,556	\$7,143
23.2 Rental Payments to Others	\$806	\$793	\$721	(\$72)
23.3 Communications, Utilities, and Misc. Charges	\$17,609	\$17,328	\$15,760	(\$1,568)
24.0 Printing and Reproduction	\$1,525	\$1,501	\$1,365	(\$136)
25.1 Advisory and Assistance Services	\$42,611	\$41,934	\$38,140	(\$3,794)
25.2 Other Services from Non-Federal Sources	\$36,576	\$35,993	\$32,736	(\$3,257)
25.3 Other Goods and Services from Federal Sources	\$106,308	\$104,619	\$95,149	(\$9,470)
25.4 Operation and Maintenance of Facilities	\$242	\$237	\$216	(\$21)
25.7 Operation and Maintenance of Equipment	\$5,276	\$5,191	\$4,721	(\$470)
26.0 Supplies and Materials	\$3,274	\$3,222	\$2,930	(\$292)
31.0 Equipment	\$15,375	\$15,131	\$13,762	(\$1,369)
32.0 Land and Structures	\$9,087	\$8,943	\$8,134	(\$809)
42.0 Insurance Claims and Indemnities	\$1,435	\$1,412	\$1,284	(\$128)
Total - Non Pay Object Classes	\$280,655	\$280,141	\$264,321	(\$15,820)

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
USCIS Field Overheads (e.g., Utilities, Local Guard Services, etc.)	\$59,171	\$59,171	\$64,031	\$4,860
Office of Personnel Management (OPM) Background Investigation Reimbursement	\$22,220	\$22,220	\$40,292	\$18,072
Office of Security and Integrity Contract Support	\$14,840	\$14,840	\$12,340	(\$2,500)
USCIS Lease Acquisition Plan	\$64,895	\$64,895	\$64,895	\$0
Other Costs	\$119,529	\$119,015	\$82,763	(\$36,252)
Total – Non Pay Cost Drivers	\$280,655	\$280,141	\$264,321	(\$15,820)

NON PAY NARRATIVE

- **USCIS Field Overheads:** This item includes expenses at USCIS field facilities to fund local guard services, utilities, housekeeping/cleaning, and other mandatory operational costs. This cost item is impacted by where USCIS locates its field offices. Many costs are included in the GSA rent charges for federally owned buildings as opposed to leased locations. In comparison to FY 2018, costs in FY 2019 will change as USCIS opens or closes offices across the United States.
- **OPM Background Investigation Reimbursement:** This item consists of reimbursements to OPM for employee background investigations. Costs are impacted by OPM reimbursement rates as well as the number of onboard employees and the cyclic nature of 5-year reinvestigations due to past hiring surges.
- **Office of Security and Integrity Contract Support:** This item supports physical (facility) security upgrades, including program management and equipment purchases, and purchase of replacement security equipment such as secure benefit approval and denial seals/stamps.
- **USCIS Lease Acquisition Plan:** This item represents facilities renovation/upgrade projects.

Systematic Alien Verification for Entitlements (SAVE) –PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$34,410	223	212	\$34,828	223	212	\$37,514	-	-	\$2,686
Total	223	212	\$34,410	223	212	\$34,828	223	212	\$37,514	-	-	\$2,686
Subtotal Mandatory - Fee	223	212	\$34,410	223	212	\$34,828	223	212	\$37,514	-	-	\$2,686

PPA Description

The FY 2019 Budget includes \$37.5M, 223 positions, and 212 FTE in this PPA to support the SAVE program.

SAVE is an intergovernmental information-sharing program that assists Federal, State and local agencies in determining an individual's eligibility for licenses or public benefits. SAVE helps agencies ensure that only persons eligible for licenses or benefits receive them by providing relevant information on immigration or naturalized/derived citizenship status from Federal databases. SAVE is also used to verify the status of applicants for health insurance under the Patient Protection and Affordable Care Act (PPACA). As of September 30, 2017, 1,154 agencies were enrolled in SAVE, and they ran more than 20 million unique queries. Customers include Federal agencies, state departments of motor vehicles, licensing bureaus, etc. Every state and the District of Columbia are represented in SAVE's customer base. The SAVE program is funded by a combination of user charges paid by its agency customers and general fee receipts paid by USCIS immigration benefit applicants and petitioners.

The following table depicts the actual SAVE workload for FY 2017, along with projections for FY 2018 and FY 2019. Staffing is mainly driven by SAVE second and third step queries, i.e., those that cannot be processed solely through the automated process and require human intervention by a Legal Instrument Examiner to research the case and provide a response to the customer agency. The SAVE program is modernizing its system to become paperless, to increase automation, and to decrease the reliance on manual verification. These new capabilities will be implemented by the second quarter of FY 2018.

**Systematic Alien Verification for Entitlements (SAVE)
Actual and projected Workload for FY 2017 - FY 2019**

	FY 2017 Actual¹¹	FY 2018 Projected	FY 2019 Projected
SAVE Automated Queries	20,214,031	20,515,981	20,844,667
SAVE Status Verification Officer 2nd Step Queries	1,680,875	674,976	685,790
SAVE Status Verification Officer 3rd Step Queries	327,491	244,140	248,052
SAVE Customer Agencies as of September 30	1,154	1,192	1,200

¹¹ The FY 2017 Actual numbers are through September 30, 2017; the FY 2018 and FY 2019 numbers are full year projections.

Systematic Alien Verification for Entitlements (SAVE) – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$34,410	\$34,828	\$37,514
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$34,410	\$34,828	\$37,514
Collections – Reimbursable Resources	\$7,000	\$7,000	\$11,261
Total Budget Resources	\$41,410	\$41,828	\$48,775
Obligations (Actual/Projections/Estimates)	\$34,267	\$41,828	\$48,775
Personnel: Positions and FTE			
Enacted/Request Positions	223	223	223
Enacted/Request FTE	212	212	212
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	199	223	223
FTE (Actual/Estimates/Projections)	202	212	212

**Systematic Alien Verification for Entitlements (SAVE) – PPA
Collections – Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
SAVE Collections Source	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261
Total Collections	-	-	\$7,000	-	-	\$7,000	-	-	\$11,261

**Systematic Alien Verification for Entitlements (SAVE) PPA
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	223	212	\$34,410
FY 2018 President's Budget	223	212	\$34,828
FY 2019 Base Budget	223	212	\$34,828
Technical Adjustment	-	-	\$1,946
Annualization of 2018 Pay Raise	-	-	\$104
Workload Adjustment	-	-	\$636
Total, Pricing Increases	-	-	\$740
Total Adjustments-to-Base	-	-	\$2,686
FY 2019 Current Services	223	212	\$37,514
FY 2019 Request	223	212	\$37,514
FY 2018 TO FY 2019 Change	-	-	\$2,686

**Systematic Alien Verification for Entitlements (SAVE) – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$21,418	\$101.03	223	212	\$21,861	\$103.12	223	212	\$23,237	\$109.61	-	-	\$1,376	\$6.49
Total	223	212	\$21,418	\$101.03	223	212	\$21,861	\$103.12	223	212	\$23,237	\$109.61	-	-	\$1,376	\$6.49
Mandatory - Fee	223	212	\$21,418	\$101.03	223	212	\$21,861	\$103.12	223	212	\$23,237	\$109.61	-	-	\$1,376	\$6.49

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$15,177	\$15,490	\$16,465	\$975
11.3 Other than Full-Time Permanent	\$2	\$2	\$2	-
11.5 Other Personnel Compensation	\$1,046	\$1,068	\$1,135	\$67
12.1 Civilian Personnel Benefits	\$5,193	\$5,301	\$5,635	\$334
Total - Personnel Compensation and Benefits	\$21,418	\$21,861	\$23,237	\$1,376
Positions and FTE				
Positions - Civilian	223	223	223	-
FTE - Civilian	212	212	212	-

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	212	\$21,418	\$101	212	\$21,861	\$103	212	\$ 23,237	\$110	0	\$ 1,376	\$ 7
Total – Pay Cost Drivers	212	\$21,418	\$101	212	\$21,861	\$103	212	\$ 23,237	\$110	0	\$ 1,376	\$ 7

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** No changes in FTE.
- **PC&B Change FY 2018-2019:** Reflects annualization of the 2018 pay raise.
- **Average Cost Change FY 2018-2019:** An average cost change of \$7,000 is based on the annualization of the 2018 pay raise.

**Systematic Alien Verification for Entitlements (SAVE) – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Systematic Alien Verification for Entitlements (SAVE)	\$12,992	\$12,967	\$14,277	\$1,310
Total	\$12,992	\$12,967	\$14,277	\$1,310
Mandatory - Fee	\$12,992	\$12,967	\$14,277	\$1,310

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$18	\$77	\$84	\$7
22.0 Transportation of Things	\$1	-	-	-
23.1 Rental Payments to GSA	\$2,898	\$2,954	\$3,294	\$340
23.2 Rental Payments to Others	\$18	\$17	\$19	\$2
23.3 Communications, Utilities, and Misc. Charges	\$188	\$184	\$202	\$18
24.0 Printing and Reproduction	\$7	\$9	\$10	\$1
25.1 Advisory and Assistance Services	\$8,845	\$8,724	\$9,568	\$844
25.2 Other Services from Non-Federal Sources	\$344	\$338	\$371	\$33
25.3 Other Goods and Services from Federal Sources	\$552	\$544	\$597	\$53
25.7 Operation and Maintenance of Equipment	\$17	\$17	\$19	\$2
26.0 Supplies and Materials	\$43	\$43	\$47	\$4
31.0 Equipment	\$61	\$60	\$66	\$6
Total - Non Pay Object Classes	\$12,992	\$12,967	\$14,277	\$1,310

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Verification Information System (VIS) O&M	\$1,276	\$5,667	\$5,667	\$0
Rental payments to GSA	\$2,898	\$2,954	\$3,294	\$340
DHS Working Capital Fund Contributions	\$623	\$938	\$378	(\$560)
Other Costs	\$8,195	\$3,408	\$4,938	\$1,530
Total – Non Pay Cost Drivers	\$12,992	\$12,967	\$14,277	\$1,310

NON PAY NARRATIVE

- **VIS O&M:** This contract provides operations and maintenance support for VIS, the back-end platform that enables SAVE. This represents the share attributable to SAVE. The share attributable to E-Verify, which also uses VIS as its back-end, is reflected in the discretionary Operations and Support appropriation.
- **Rental Payments to GSA:** The FY 2019 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.
- **DHS Working Capital Fund Contributions:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Change from FY 2019 to FY 2019 reflects reduction in Working Capital Fund assessments.

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account



Fiscal Year 2019
Congressional Justification

Table of Contents

H-1B Nonimmigrant Petitioner Account **1**

Budget Comparison and Adjustments..... 3

Non Pay Budget Exhibits..... 5

Service Center Operations - PPA 6

 Budget Comparison and Adjustments..... 6

 Non Pay Budget Exhibits..... 9

H-1B Nonimmigrant Petitioner Account**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

U.S. Citizenship and Immigration Services (USCIS) uses the H-1B Nonimmigrant Petitioner Account to support operations that adjudicate employment-based petitions for H-1B nonimmigrants.

USCIS's H-1B Nonimmigrant Petitioner Account, established by Section 286(s) of the Immigration and Nationality Act (8 U.S.C. 1356 (s)), supports activities related to the processing of petitions for nonimmigrant workers in the H-1B visa classification. The H-1B Visa program allows U.S. employers to temporarily employ foreign workers in specialty occupations.

Employers petitioning for H-1B workers in specialty occupations are required to pay a variety of fees based on their individual circumstances, and as determined by statute and regulation. As required by statute, 5% of the American Competitiveness and Workforce Improvement Act (ACWIA) fee collections are deposited into the H-1B Nonimmigrant Petitioner Account, while the remaining 95% of ACWIA collections are deposited in accounts managed by the Department of Labor and the National Science Foundation. Certain H-1B and H-1B1 petitioners with more than 25 employees in the United States pay an ACWIA fee of \$1,500, while similar petitioners with 25 or fewer employees in the United States pay an ACWIA fee of \$750.

For Fiscal Year (FY) 2019, the H-1B Nonimmigrant Petitioner Account includes funding in a single Program, Project, or Activity (PPA), Service Center Operations. Resources in this PPA are dedicated to a portion of the facility rent cost for the Service Center Operations Directorate and a share of the contractual cost for correspondence management, data collection and file operations support at three of the five USCIS service centers: California Service Center, Nebraska Service Center, and Vermont Service Center.

H-1B Nonimmigrant Petitioner Account Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$15,000	\$15,000	\$15,000
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$16,521	\$20,137	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$1,217)	(\$1,292)	-
Supplementals	-	-	-
Total Budget Authority	\$30,304	\$33,845	\$15,000
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$30,304	\$33,845	\$15,000
Obligations (Actual/Projections/Estimates)	\$13,980	\$15,000	\$15,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

**H-1B Nonimmigrant Petitioner Account
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	-	-	\$15,000
FY 2018 President's Budget	-	-	\$15,000
FY 2019 Base Budget	-	-	\$15,000
FY 2019 Current Services	-	-	\$15,000
FY 2019 Request	-	-	\$15,000
FY 2018 TO FY 2019 Change	-	-	-

**H-1B Nonimmigrant Petitioner Account
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
Total - Non Pay Object Classes	\$15,000	\$15,000	\$15,000	-

Service Center Operations - PPA

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Total	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Subtotal Mandatory - Fee	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-

**Service Center Operations – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$15,000	\$15,000	\$15,000
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$16,521	\$20,137	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$1,217)	(\$1,292)	-
Supplementals	-	-	-
Total Budget Authority	\$30,304	\$33,845	\$15,000
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$30,304	\$33,845	\$15,000
Obligations (Actual/Projections/Estimates)	\$13,980	\$15,000	\$15,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

**Service Center Operations – PPA
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	-	-	\$15,000
FY 2018 President's Budget	-	-	\$15,000
FY 2019 Base Budget	-	-	\$15,000
FY 2019 Current Services	-	-	\$15,000
FY 2019 Request	-	-	\$15,000
FY 2018 TO FY 2019 Change	-	-	-

**Service Center Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
Total - Non Pay Object Classes	\$15,000	\$15,000	\$15,000	-

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Rental Payments to GSA	\$2,000	\$2,000	\$2,000	\$0
Service Center Operations Support Services Contract	\$13,000	\$13,000	\$13,000	\$0
Total – Non Pay Cost Drivers	\$15,000	\$15,000	\$15,000	\$0

There are no changes from the FY 2018 President's Budget to the FY 2019 Budget.

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account



Fiscal Year 2019
Congressional Justification

Table of Contents

***Fraud Prevention and Detection Account*1**

 Budget Comparison and Adjustments..... 3

 Personnel Compensation and Benefits..... 8

 Non Pay Budget Exhibits..... 10

District Operations – PPA 11

 Budget Comparison and Adjustments..... 11

 Personnel Compensation and Benefits..... 14

 Non Pay Budget Exhibits..... 16

Service Center Operations – PPA..... 18

 Budget Comparison and Adjustments..... 18

 Personnel Compensation and Benefits..... 21

 Non Pay Budget Exhibits..... 23

 Asylum, Refugee and International Operations – PPA..... 25

 Budget Comparison and Adjustments..... 25

 Non Pay Budget Exhibits..... 28

Fraud Prevention and Detection Account

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$26,789	115	115	\$45,101	115	109	\$27,178	-	(6)	(\$17,923)
Service Center Operations	70	70	\$19,631	70	70	\$21,778	70	67	\$19,815	-	(3)	(\$1,963)
Asylum, Refugee and International Operations	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Total	185	185	\$46,728	185	185	\$67,187	185	176	\$47,301	-	(9)	(\$19,886)
Subtotal Mandatory - Fee	185	185	\$46,728	185	185	\$67,187	185	176	\$47,301	-	(9)	(\$19,886)

The Fraud Prevention and Detection Account (FPDA) directly supports U.S. Citizenship and Immigration Services (USCIS) efforts to strengthen the integrity of the United States' immigration system. FPDA resources enable USCIS operations to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systematic and other vulnerabilities.

Established by Section 286(v) of the *Immigration and Nationality Act* (8 U.S.C. 1356 (v)), the FPDA supports the operations, mission support, and associated management and administration (M&A) costs related to preventing and detecting fraud in the adjudication of all immigration benefit types.

The FPDA provides funds towards the operation of the Fraud Detection and National Security Directorate (FDNS), Service Center Operations Directorate (SCOPS), and supports FDNS' overseas operations costs. FDNS leads agency efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system. Resources from the FPDA are not sufficient to fund all of USCIS's fraud detection and national security programs; funds from the Immigration Examinations Fee Account (IEFA) also support these programs.

The FPDA funds 115 FDNS positions and 70 SCOPS positions. Salary and benefits funded through the FPDA represent a portion of the overall staff required to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system. The remaining salary and benefit costs supporting this activity are funded through the IEFA account.

The following table provides a summary of the total USCIS fraud detection referrals processed:

Fraud Detection Referrals Processed¹

FY 2017 (Actual) ²	Projected FY 2018	Projected FY 2019
131,697	145,506	159,319

Source: Fraud Detection and National Security Data System (FDNS-DS).

Of the 131,697 referrals FDNS received in Fiscal Year (FY) 2017 through September 30, 2017³:

- 7,079 were national security concerns
- 2,940 were public safety leads and 10,311 were public safety cases
- 26,464 were fraud leads and 18,616 were fraud cases
- 53,826 were requests for assistance
- 1,377 were requests for overseas verification
- 11,084 were requests for benefit fraud assessments

For FY 2019, the FPDA includes the following Programs, Projects, and Activities (PPAs):

- **District Operations:** Efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation’s immigration system.
- **Service Center Operations:** Support immigration officers and specialized teams of adjudication staff located in USCIS service centers that work with law enforcement agencies and other government agencies to conduct extensive research and vetting of individuals and employer organizations to protect the national security of the United States.
- **Asylum, Refugee and International Operations:** Support program operations administered by FDNS employees stationed overseas, including site visit travel costs related to fraud verification activities carried out by FDNS officers.

¹ For the purpose of this document, the term “referral” indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS “referral” that does not include requests to FDNS to conduct administrative investigations of fraud.

² The FY 2017 Actual number is through September 30, 2017, extracted from FDNS-DS on December 13, 2017; the FY 2018 and FY 2019 numbers are annual projections.

³ Case type and subtype data is provided as of December 13, 2017. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

**Fraud Prevention and Detection Account
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$46,728	\$67,187	\$47,301
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$40,155	\$41,830	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$3,190)	(\$3,108)	-
Supplementals	-	-	-
Total Budget Authority	\$83,693	\$105,909	\$47,301
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$83,693	\$105,909	\$47,301
Obligations (Actual/Projections/Estimates)	\$45,263	\$67,187	\$47,301
Personnel: Positions and FTE			
Enacted/Request Positions	185	185	185
Enacted/Request FTE	185	185	176
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	168	185	185
FTE (Actual/Estimates/Projections)	187	185	176

**Fraud Prevention and Detection Account
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	185	185	\$46,728
FY 2018 President's Budget	185	185	\$67,187
FY 2019 Base Budget	185	185	\$67,187
Annualization of 2018 Pay Raise	-	-	\$114
Total, Pricing Increases	-	-	\$114
Change in Operating Requirements (FTE Calculation Methodology Update)	-	(9)	-
Change in Operating Requirements (Termination of One-Time Costs)	-	-	(\$20,000)
Total, Pricing Decreases	-	(9)	(\$20,000)
Total Adjustments-to-Base	-	(9)	(\$19,886)
FY 2019 Current Services	185	176	\$47,301
FY 2019 Request	185	176	\$47,301
FY 2018 TO FY 2019 Change	-	(9)	(\$19,886)

Fraud Prevention and Detection Account Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2019 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Annualization of 2018 Pay Raise	-	-	\$114
District Operations	-	-	\$77
Service Center Operations	-	-	\$37
Pricing Change 2 - Change in Operating Requirements (FTE Calculation Methodology Update)	-	(9)	-
District Operations	-	(6)	-
Service Center Operations	-	(3)	-
Pricing Change 3 - Change in Operating Requirements (Termination of One-Time Costs)	-	-	(\$20,000)
District Operations	-	-	(\$18,000)
Service Center Operations	-	-	(\$2,000)
Total Pricing Changes	-	(9)	(\$19,886)

Pricing Change 1- Annualization of 2018 Pay Raise: Increase of \$114,000 for annualization of the 2018 pay raise. Full-time equivalents (FTE) are a fully burdened cost that includes annualization of pay from the prior year.

Pricing Change 2- Change in Operating Requirements (FTE Calculation Methodology Update): Decrease of 9 FTE to reflect a change in methodology to calculate FTE at 95% of full-time positions. This methodology is consistent with all other USCIS accounts.

Pricing Change 3- Change in Operating Requirements (Termination of One-Time Costs): Decrease of \$20.0M in spending authority for the District Operations PPA (\$18.0M) and Service Center Operations (\$2.0M). In the FY 2018 Budget, USCIS planned to fund an additional \$20.0M of the total FDNS budget from the FPDA, in order to utilize unobligated balances from fee collections in prior years.

**Fraud Prevention and Detection Account
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$15,982	\$138.97	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	-	(6)	\$77	\$8.5
Service Center Operations	70	70	\$7,585	\$108.36	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	-	(3)	\$37	\$5.5
Total	185	185	\$23,567	\$127.39	185	185	\$24,027	\$129.88	185	176	\$24,141	\$137.16	-	(9)	\$114	\$7.28
Mandatory - Fee	185	185	\$23,567	\$127.39	185	185	\$24,027	\$129.88	185	176	\$24,141	\$137.16	-	(9)	\$114	\$7.28

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$16,759	\$17,086	\$17,167	\$81
11.3 Other than Full-Time Permanent	\$57	\$58	\$58	-
11.5 Other Personnel Compensation	\$1,000	\$1,019	\$1,024	\$5
12.1 Civilian Personnel Benefits	\$5,751	\$5,864	\$5,892	\$28
Total - Personnel Compensation and Benefits	\$23,567	\$24,027	\$24,141	\$114
Positions and FTE				
Positions - Civilian	185	185	185	-
FTE - Civilian	185	185	176	(9)

**Fraud Prevention and Detection Account
Permanent Positions by Grade -Appropriation**

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
GS-15	6	6	6	-
GS-14	22	22	22	-
GS-13	82	82	82	-
GS-12	62	62	62	-
GS-11	5	5	5	-
GS-9	7	7	7	-
GS-7	1	1	1	-
Total Permanent Positions	185	185	185	-
Unfilled Positions EOY	17	-	-	-
Total Perm. Employment (Filled Positions) EOY	168	185	185	-
Position Locations				
Headquarters	9	9	9	-
U.S. Field	174	174	174	-
Foreign Field	2	2	2	-
Averages				
Average Personnel Costs, GS Positions	100,208	101,811	101,962	151
Average Grade, GS Positions	13	13	13	-

**Fraud Prevention and Detection Account
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
District Operations	\$10,807	\$28,807	\$10,807	(\$18,000)
Service Center Operations	\$12,046	\$14,045	\$12,045	(\$2,000)
Asylum, Refugee and International Operations	\$308	\$308	\$308	-
Total	\$23,161	\$43,160	\$23,160	(\$20,000)
Mandatory - Fee	\$23,161	\$43,160	\$23,160	(\$20,000)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$314	\$671	\$240	(\$431)
22.0 Transportation of Things	\$32	\$94	\$34	(\$60)
23.1 Rental Payments to GSA	\$1,785	\$1,817	\$1,757	(\$60)
23.2 Rental Payments to Others	\$34	\$98	\$35	(\$63)
23.3 Communications, Utilities, and Misc. Charges	\$6	\$17	\$6	(\$11)
24.0 Printing and Reproduction	\$2	\$4	\$1	(\$3)
25.1 Advisory and Assistance Services	\$16,309	\$31,997	\$16,586	(\$15,411)
25.2 Other Services from Non-Federal Sources	\$3,177	\$5,474	\$3,213	(\$2,261)
25.3 Other Goods and Services from Federal Sources	\$551	\$1,477	\$570	(\$907)
26.0 Supplies and Materials	\$805	\$1,109	\$705	(\$404)
31.0 Equipment	\$146	\$402	\$13	(\$389)
Total - Non Pay Object Classes	\$23,161	\$43,160	\$23,160	(\$20,000)

*District Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$26,789	115	115	\$45,101	115	109	\$27,178	-	(6)	(\$17,923)
Total	115	115	\$26,789	115	115	\$45,101	115	109	\$27,178	-	(6)	(\$17,923)
Subtotal Mandatory - Fee	115	115	\$26,789	115	115	\$45,101	115	109	\$27,178	-	(6)	(\$17,923)

PPA Description:

FDNS Officers are posted in every USCIS regional, district, and field office within the United States. As part of their duties, FDNS Officers participate in U.S. Immigration and Customs Enforcement's Document Benefit Fraud Task Forces, the Federal Bureau of Investigation's Joint Terrorism Task Forces, State and Local Fusion Centers and other Federal and local law enforcement initiatives, through which they share immigration related information, assist law enforcement investigations, and provide subject matter expertise.

In order to ensure compliance in select visa petition categories, FDNS district-based officers conduct unannounced pre- and post-adjudication site inspections under the Administrative Site Visit and Verification Program (ASVVP). In FY 2014, FDNS expanded the ASVVP to include petitions for L-1A Intra-company Transferee Executive or Manager, in addition to organizations filing Form I-129 (Petition for a Nonimmigrant Worker) petitions for H-1B temporary workers and Form I-360 (Petition for Amerasian, Widow(er) or Special Immigrant) petitions for religious workers. Pursuant to regulation, site inspections are conducted prior to the adjudication of religious worker petitions. Compliance reviews are also conducted on a random sample of approved petitions for religious workers and H-1B temporary workers as well as L-1As. During these site visits, FDNS officers seek to determine whether the petitioner and beneficiary have met, or continue to meet, eligibility requirements for the immigration benefit sought. FDNS officers verify information submitted with the petition, confirm the existence of the petitioning entity, review public records, take photographs, and speak with organizational representatives and the beneficiary.

In FY 2017, USCIS established the Targeted Site Visit and Verification Program (TSVVP) and conducted over 400 targeted worksite visits. TSVVP is initially focusing on petitioning entities where there is a greater risk of potential fraud. This includes, but is not limited to, cases where USCIS cannot validate the employer's basic business information through commercially available data, an employer is H-1B-dependent (i.e., has a high ratio of H-1B workers as compared to U.S. workers, as defined by statute); and/or an employer is petitioning for beneficiaries who work off site at another company or organization's location

**District Operations – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$26,789	\$45,101	\$27,178
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$26,344	\$23,981	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$3,190)	(\$3,108)	-
Supplementals	-	-	-
Total Budget Authority	\$49,943	\$65,974	\$27,178
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$49,943	\$65,974	\$27,178
Obligations (Actual/Projections/Estimates)	\$25,963	\$45,101	\$27,178
Personnel: Positions and FTE			
Enacted/Request Positions	115	115	115
Enacted/Request FTE	115	115	109
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	101	115	115
FTE (Actual/Estimates/Projections)	122	115	109

District Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	115	115	\$26,789
FY 2018 President's Budget	115	115	\$45,101
FY 2019 Base Budget	115	115	\$45,101
Annualization of 2018 Pay Raise	-	-	\$77
Total, Pricing Increases	-	-	\$77
Change in Operating Requirements (FTE Calculation Methodology Update)	-	(6)	-
Change in Operating Requirements (Termination of One-Time Costs)	-	-	(\$18,000)
Total, Pricing Decreases	-	(6)	(\$18,000)
Total Adjustments-to-Base	-	(6)	(\$17,923)
FY 2019 Current Services	115	109	\$27,178
FY 2019 Request	115	109	\$27,178
FY 2018 TO FY 2019 Change	-	(6)	(\$17,923)

**District Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$15,982	\$138.97	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	-	(6)	\$77	\$8.5
Total	115	115	\$15,982	\$138.97	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	-	(6)	\$77	\$8.5
Mandatory - Fee	115	115	\$15,982	\$138.97	115	115	\$16,294	\$141.69	115	109	\$16,371	\$150.19	-	(6)	\$77	\$8.5

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$11,175	\$11,393	\$11,447	\$54
11.3 Other than Full-Time Permanent	\$57	\$58	\$58	-
11.5 Other Personnel Compensation	\$880	\$897	\$901	\$4
12.1 Civilian Personnel Benefits	\$3,870	\$3,946	\$3,965	\$19
Total - Personnel Compensation and Benefits	\$15,982	\$16,294	\$16,371	\$77
Positions and FTE				
Positions - Civilian	115	115	115	-
FTE - Civilian	115	115	109	(6)

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	115	\$15,982	\$139	115	\$16,294	\$142	109	\$16,371	\$150	(6)	\$77	\$8.5
Total – Pay Cost Drivers	115	\$15,982	\$139	115	\$16,294	\$142	109	\$16,371	\$150	(6)	\$77	\$8.5

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** Reflects a decrease of 6 FTE due to changes in FTE calculation methodology. This methodology is already reflected in other USCIS accounts.
- **PCB Change FY 2018-2019:** Reflects an increase for the annualization of the 2018 pay raise.
- **Average Cost Change FY 2018-2019:** An average cost change of \$8,500 is based on the annualization of the FY 2018 requirements and changes to FTE calculation methodology resulting in a higher rate change from FY 2018 to FY 2019.

**District Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
District Operations	\$10,807	\$28,807	\$10,807	(\$18,000)
Total	\$10,807	\$28,807	\$10,807	(\$18,000)
Mandatory - Fee	\$10,807	\$28,807	\$10,807	(\$18,000)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$314	\$671	\$240	(\$431)
22.0 Transportation of Things	\$32	\$94	\$34	(\$60)
23.1 Rental Payments to GSA	\$1,270	\$1,292	\$1,189	(\$103)
23.2 Rental Payments to Others	\$34	\$98	\$35	(\$63)
23.3 Communications, Utilities, and Misc. Charges	\$6	\$17	\$6	(\$11)
24.0 Printing and Reproduction	\$2	\$4	\$1	(\$3)
25.1 Advisory and Assistance Services	\$7,406	\$21,557	\$7,723	(\$13,834)
25.2 Other Services from Non-Federal Sources	\$1,036	\$3,016	\$1,080	(\$1,936)
25.3 Other Goods and Services from Federal Sources	\$479	\$1,393	\$499	(\$894)
26.0 Supplies and Materials	\$95	\$278	-	(\$278)
31.0 Equipment	\$133	\$387	-	(\$387)
Total - Non Pay Object Classes	\$10,807	\$28,807	\$10,807	(\$18,000)

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Fraud Detection and National Security Program Support Contract	\$5,014	\$9,754	\$5,014	(\$4,740)
Fraud Detection and National Security Data System (FDNS-DS)	\$3,358	\$3,358	\$3,358	\$0
Rental Payments to the General Services Administration (GSA)	\$1,270	\$1,292	\$1,189	(\$103)
Other Costs	\$1,165	\$14,403	\$1,246	(\$13,157)
Total – Non Pay Cost Drivers	\$10,807	\$28,807	\$10,807	(\$18,000)

NON PAY NARRATIVE

- **Fraud Detection and National Security Program Support Contract:** This funds a portion of contractual costs to deploy advanced fraud detection devices and techniques and intelligence-driven planning. The increase in FY 2018 will occur due to one-time costs attributed to the Fraud Account, costs in FY 2019 will revert back to the level that occurred in FY 2017.
- **Fraud Detection and National Security Data System (FDNS-DS):** This funding supports a portfolio of fraud detection reporting, tracking and fraud detection business-intelligence systems. There is no change in the funding level from FY 2018 to FY 2019.
- **Rental Payments to GSA:** Provides a portion of the funding for facility rent payments to GSA. The change from FY 2018 to FY 2019 is due to rental lease adjustments.

*Service Center Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	70	70	\$19,631	70	70	\$21,778	70	67	\$19,815	-	(3)	(\$1,963)
Total	70	70	\$19,631	70	70	\$21,778	70	67	\$19,815	-	(3)	(\$1,963)
Subtotal Mandatory - Fee	70	70	\$19,631	70	70	\$21,778	70	67	\$19,815	-	(3)	(\$1,963)

PPA Description

This activity supports immigration officers and specialized teams of adjudication staff located in USCIS service centers that work with law enforcement agencies and other government agencies to conduct extensive research and vetting of individuals and organizations to prevent benefit fraud.

Service Center adjudicators and FDNS officers also vet companies through the Validation Instrument for Business Enterprises (VIBE), a web-based tool that uses commercially available information to validate the business operations of companies and organizations looking to employ foreign workers. VIBE enhances USCIS's ability to adjudicate employment-based immigrant and nonimmigrant petitions efficiently and accurately.

**Service Center Operations – PPA
Budget Authority and Obligations**

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$19,631	\$21,778	\$19,815
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$13,536	\$17,573	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$33,167	\$39,351	\$19,815
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$33,167	\$39,351	\$19,815
Obligations (Actual/Projections/Estimates)	\$19,038	\$21,778	\$19,815
Personnel: Positions and FTE			
Enacted/Request Positions	70	70	70
Enacted/Request FTE	70	70	67
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	67	70	70
FTE (Actual/Estimates/Projections)	65	70	67

**Service Center Operations – PPA
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	70	70	\$19,631
FY 2018 President's Budget	70	70	\$21,778
FY 2019 Base Budget	70	70	\$21,778
Annualization of 2018 Pay Raise	-	-	\$37
Total, Pricing Increases	-	-	\$37
Change in Operating Requirements (FTE Calculation Methodology Update)	-	(3)	-
Change in Operating Requirements (Termination of One-Time Costs)	-	-	(\$2,000)
Total, Pricing Decreases	-	(3)	(\$2,000)
Total Adjustments-to-Base	-	(3)	(\$1,963)
FY 2019 Current Services	70	67	\$19,815
FY 2019 Request	70	67	\$19,815
FY 2018 TO FY 2019 Change	-	(3)	(\$1,963)

**Service Center Operations – PPA
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	70	70	\$7,585	\$108.36	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	-	(3)	\$37	\$5.5
Total	70	70	\$7,585	\$108.36	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	-	(3)	\$37	\$5.5
Mandatory - Fee	70	70	\$7,585	\$108.36	70	70	\$7,733	\$110.47	70	67	\$7,770	\$115.97	-	(3)	\$37	\$5.5

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$5,584	\$5,693	\$5,720	\$27
11.5 Other Personnel Compensation	\$120	\$122	\$123	\$1
12.1 Civilian Personnel Benefits	\$1,881	\$1,918	\$1,927	\$9
Total - Personnel Compensation and Benefits	\$7,585	\$7,733	\$7,770	\$37
Positions and FTE				
Positions - Civilian	70	70	70	-
FTE - Civilian	70	70	67	(3)

Pay Cost Drivers

Leading Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	70	\$7,585	\$108	70	\$7,733	\$110	67	\$7,770	\$116	(3)	\$37	\$5.5
Total – Pay Cost Drivers	70	\$7,585	\$108	70	\$7,733	\$110	67	\$7,770	\$116	(3)	\$37	\$5.5

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2018-2019:** Reflects a decrease of 3 FTE due to changes in FTE calculation methodology. This methodology is already reflected in other USCIS accounts.
- **PCB Change FY 2018-2019:** Reflects an increase for the annualization of the 2018 pay raise.
- **Average Cost Change FY 2018-2019:** An average cost change of \$5,500 is based on the annualization of FY 2018 requirements, and changes to FTE calculation methodology resulting in a higher rate change from FY 2018 to FY 2019.

**Service Center Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Service Center Operations	\$12,046	\$14,045	\$12,045	(\$2,000)
Total	\$12,046	\$14,045	\$12,045	(\$2,000)
Mandatory - Fee	\$12,046	\$14,045	\$12,045	(\$2,000)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
23.1 Rental Payments to GSA	\$515	\$525	\$568	\$43
25.1 Advisory and Assistance Services	\$8,903	\$10,440	\$8,863	(\$1,577)
25.2 Other Services from Non-Federal Sources	\$1,833	\$2,150	\$1,825	(\$325)
25.3 Other Goods and Services from Federal Sources	\$72	\$84	\$71	(\$13)
26.0 Supplies and Materials	\$710	\$831	\$705	(\$126)
31.0 Equipment	\$13	\$15	\$13	(\$2)
Total - Non Pay Object Classes	\$12,046	\$14,045	\$12,045	(\$2,000)

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Validation Instrument for Business Enterprises (VIBE)	\$10,770	\$10,770	\$10,770	\$0
Rental Payments to GSA	\$515	\$525	\$568	\$43
Other Costs	\$761	\$2,750	\$707	(\$2,043)
Total – Non Pay Cost Drivers	\$12,046	\$14,045	\$12,045	(\$2,000)

NON PAY NARRATIVE

- **Validation Instrument for Business Enterprises (VIBE):** Covers operating costs and the IT support contract for the VIBE system. USCIS uses this system to validate the business operations and financial viability of organizations seeking to employ foreign workers, and to identify possible benefit fraud based on the FDNS findings and other government agencies' referrals.
- **Rental Payments to GSA:** Provides a portion of the funding for facility rent payments to GSA. The change from FY 2018 to FY 2019 is due to lease adjustments.

Asylum, Refugee and International Operations – PPA

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum, Refugee and International Operations	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Total	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Subtotal Mandatory - Fee	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-

PPA Description

The FY 2019 Budget includes \$308,000 in this PPA.

FDNS officers are located at each of the USCIS asylum offices located in the United States, the headquarters elements of the Refugee Asylum & International Operations (RAIO) Directorate as well as three overseas locations. FPDA funding supports a portion of the program operations administered by FDNS employees stationed overseas, including site visit travel costs related to fraud verification activities carried out by FDNS officers.

Asylum, Refugee and International Operations – PPA Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$308	\$308	\$308
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$275	\$276	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$583	\$584	\$308
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$583	\$584	\$308
Obligations (Actual/Projections/Estimates)	\$262	\$308	\$308
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Asylum, Refugee and International Operations – PPA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	-	-	\$308
FY 2018 President's Budget	-	-	\$308
FY 2019 Base Budget	-	-	\$308
FY 2019 Current Services	-	-	\$308
FY 2019 Request	-	-	\$308
FY 2018 TO FY 2019 Change	-	-	-

**Asylum, Refugee and International Operations – PPA
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Asylum, Refugee and International Operations	\$308	\$308	\$308	-
Total	\$308	\$308	\$308	-
Mandatory - Fee	\$308	\$308	\$308	-

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
25.2 Other Services from Non-Federal Sources	\$308	\$308	\$308	-
Total - Non Pay Object Classes	\$308	\$308	\$308	-

Non Pay Cost Drivers

Leading Non Pay Cost-Drivers <i>Dollars in Thousands</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Fraud Detection and National Security Operating Costs	\$308	\$308	\$308	\$0
Total – Non Pay Cost Drivers	\$308	\$308	\$308	\$0

NON PAY NARRATIVE

- There is no change from the FY 2018 base funding level.